

CENTRAL FLORIDA EXPRESSWAY AUTHORITY

AGENDA CENTRAL FLORIDA EXPRESSWAY AUTHORITY AUDIT COMMITTEE MEETING April 27, 2016 9:00 – 11:00 AM Sandpiper Room #337

- I. APPOINTMENT OF AUDIT COMMITTEE CHAIRMAN PRO TEMP FOR TODAY'S MEETING –
Lisa Lumbard- **Action Item**
- II. CALL TO ORDER
- III. PUBLIC COMMENT - Pursuant to Florida Statute 286.0114 (2013) the Audit Committee will allow public comment on any matter either identified on this meeting agenda as requiring action, or anticipated to come before the Committee for action in reasonable proximity to this meeting. Speakers shall be limited to three minutes per person and the assignment of one person's time to another or designation of group spokesperson shall be allowed at the discretion of the Committee Chairman.
- IV. INTRODUCTION OF NEW AUDIT COMMITTEE MEMBER- Info Item
- V. APPROVAL OF THE JANUARY 20, 2016 MINUTES – **Action Item** **TAB A**
- VI. INTERNAL AUDIT MATTERS - Protiviti **TAB B**
 - 1. Status of Fiscal 2016 Internal Audit Plan- Info Item
 - 2. Review and Acceptance of Prior Audit Recommendations Follow-Up- **Action Item**
 - 3. Review and Acceptance of Toll Revenue Audit- **Action Item**
 - 4. Review and Acceptance of Right of Way Audit- **Action Item**
 - 5. Status of Extension of 2015 PCI Assessment with Report on Compliance- Info Item
 - 6. Review and Acceptance of Fiscal 2017 Internal Audit Plan, Budget, and Internal Audit Charter- **Action Item**
- VII. RECOMMEND APPROVAL OF RENEWAL #1 OF INTERNAL AUDIT CONTRACT WITH PROTIVITI –
Joe Passiatore- **Action Item** **TAB C**
- VIII. RECOMMEND APPROVAL OF RENEWAL #1 OF PCI CONTRACT WITH PROTIVITI-
Lisa Lumbard- **Action Item** **TAB D**

- IX. DISTRIBUTION OF POTENTIAL CONFLICT DISCLOSURE FORM- Joe Passiatore- Info Item **TAB E**
- X. OTHER BUSINESS
- XI. ADJOURNMENT

This meeting is open to the public.

Note: Any person who decides to appeal any decision made at this meeting will need record of the proceedings and for that purpose, may need to ensure that a verbatim record of the proceedings is made which includes the testimony any evidence upon which the appeal is to be based, per Florida Statute 286.0105.

In accordance with the Americans with Disabilities Act (ADA), if any person with a disability as defined by the ADA needs special accommodation to participate in this proceeding, then not later than two (2) business days prior to the proceeding, he or she should contact the Central Florida Expressway Authority at (407) 690-5000.

Persons who require translation services, which are provided at no cost, should contact CFX at (407) 690-5000 x5317 or by email at Iranetta.dennis@CFXway.com at least three business days prior to the event.

TAB A.

CENTRAL FLORIDA EXPRESSWAY AUTHORITY

MINUTES CENTRAL FLORIDA EXPRESSWAY AUTHORITY AUDIT COMMITTEE MEETING January 20, 2016

Committee Members Present:

Brian Battles, City of Orlando
Kristy Mullane, Lake County Representative
Kaye Dover, Osceola County Representative
Bruce McMenemy, Seminole County Representative
Eric Gassman, Orange County Representative

Also Present:

Ruth Valentin, Recording Secretary/Office Coordinator
Laura Kelley, Executive Director
Linda Brehmer Lanosa, Deputy General Counsel
Michelle Maikisch, Chief of Staff/Public Affairs
Joann Chizlett, Director of Special Projects
Michael Carlisle, Manager of Finance and Accounting
Jeff Tecau, Protiviti
David Taylor, Protiviti
Teresa Mallary, Protiviti
Chris Porter, Protiviti
Jeanette Payne, Protiviti

1. CALL TO ORDER

The meeting was called to order at 9:00 a.m. by Chairman Brian Battles.

2. PUBLIC COMMENT

There was no public comment.

The full agenda package is attached with items distributed at the meeting (Exhibit A).

3. APPROVAL OF MINUTES

A motion was made by Mr. Gassman and seconded by Ms. Dover to approve the November 19, 2015 Audit Committee minutes as presented. The motion carried unanimously with four members voting AYE by voice vote; Mr. McMenemy was not present.

4. INTERNAL AUDIT MATTERS

Status of Fiscal 2016 Internal Audit Plan

Jeff Tecau presented the status of the Fiscal Year 2016 Internal Audit Plan.

Review and Acceptance of Annual Contract Billing Audits

Teresa Mallary presented the Fiscal Year 2016 Contracts Audit report for review and acceptance.

Observations and recommendations were provided by Protiviti to help improve controls. This review is located in Tab B section b of the agenda package.

A motion was made by Ms. Mullane and seconded by Ms. Dover to accept the Annual Contract Billing Audits as presented. The motion carried unanimously with five members voting AYE by voice vote.

Review and Acceptance of Bond Financing and Covenant Review

Jeanette Payne presented the Bond Financing and Covenant Review for review and acceptance. Observations and recommendations were provided by Protiviti to help improve controls. This review is located in Tab B section c of the agenda package.

A motion was made by Mr. Gassman and seconded by Mr. McMenemy to accept the Bond Financing and Covenant Review as presented. The motion carried unanimously with five members voting AYE by voice vote.

Review and Acceptance of DHSMV Audit

David Taylor presented the DHSMV Audit for review and acceptance. Protiviti did not identify any observations that needed to be addressed. This review is located in Tab B section d of the agenda package.

A motion was made by Ms. Dover and seconded by Ms. Mullane to accept the DHSMV audit as presented. The motion carried unanimously with five members voting AYE by voice vote.

Status of Right of Way Audit- Procurement and Invoice Review

Jeanette Payne provided a status on the Right of Way audit. The finalized audit will be presented in the next Audit Committee meeting.

Status of 2015 PCI Assessment with Report on Compliance and Extension

David Taylor provided a status of the 2015 PCI Assessment with Report on Compliance and Extension. The finalized audit will be presented in the April Audit Committee meeting.

5. REVIEW AND APPROVAL OF FISCAL 2017 INTERNAL AUDIT BUDGET

Michael Carlisle presented the Fiscal 2017 Internal Audit Budget for review and approval.

A motion was made by Mr. McMenemy and seconded by Mr. Gassman to approve the budget of \$389,000.00 for Fiscal Year 2017. The motion carried unanimously with five members voting AYE by voice vote.

6. DILIGENT BOARDBOOKS

Laura Kelley presented Diligent Boardbooks to the committee members. This would replace the agenda packets that the Committee currently receives. The Committee was informed that Ruth Valentin will be in communication with each member in order to schedule training by Diligent representatives.

7. ADJOURNMENT

The meeting adjourned at 10:14 a.m.

Minutes approved on _____, 2016.

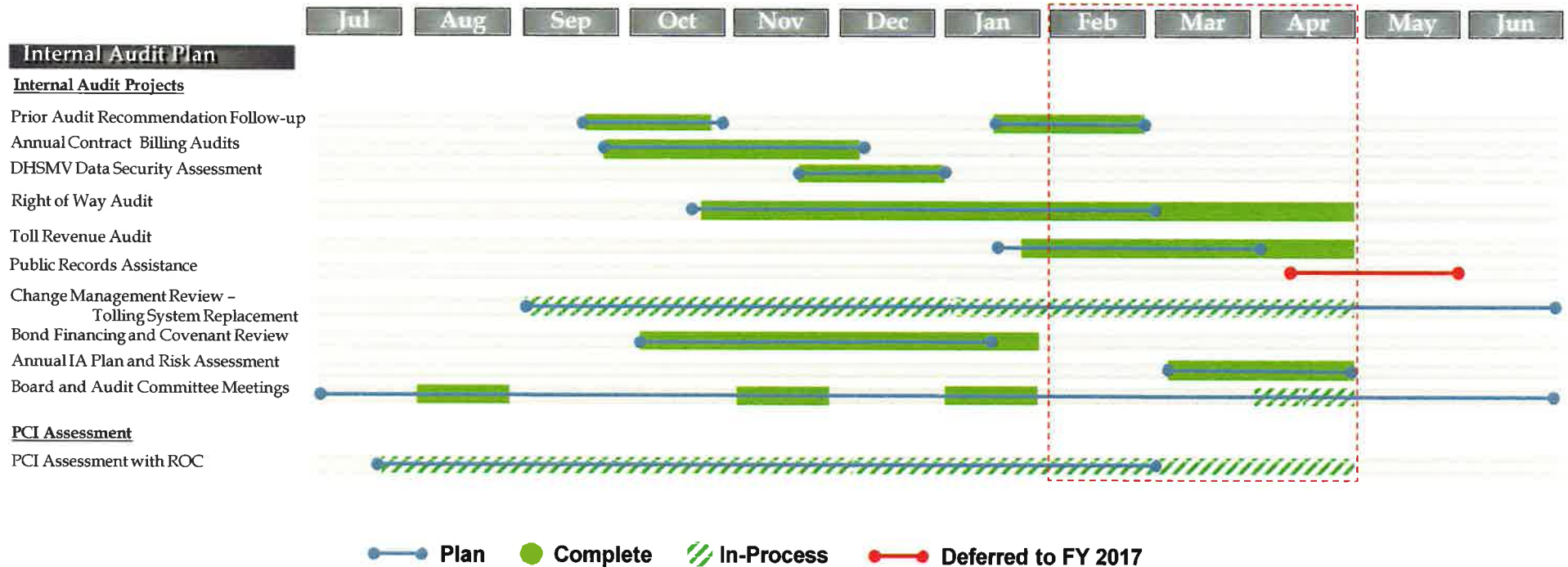
Pursuant to the Florida Public Records Law and CFX Records Management Policy, audio tapes of all Board and applicable Committee meetings are maintained and available upon request to the Records Management Liaison Officer at publicrecords@CFXway.com or 4974 ORL Tower Road, Orlando, FL 32807.

TAB B.

1.

Internal Audit Plan Update

April 27, 2016



CENTRAL
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2.

Executive Summary
Recommendations Summary
2010 Contracts Audit
2013 Toll Revenue Audit
2014 Maintenance & Safety Plan Audit
2015 Intelligent Transportation Security (ITS) Systems
Security Review
2015 Back Office Customer Call Center Review
2015 COSO Framework Governance Review
2015 Ethics Policy Compliance Review
2016 Contracts Audit
2016 Bond Financing Review

Central Florida Expressway Authority

Prior Audit Recommendations Follow-Up January 15, 2016

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Executive Summary

As part of the Fiscal Year 2016 Internal Audit plan, Internal Audit (IA) performed a review of open audit recommendations from prior audit reports to verify the implementation status reported by management. Open recommendations from the following audits were evaluated:

- 2010 Contracts Audit
- 2013 Toll Revenue Audit
- 2014 Maintenance and Safety Plan Audit
- 2015 Intelligent Transportation Security (ITS) Systems Security Review
- 2015 Back Office Customer Call Center Review
- 2015 COSO Framework Governance Review
- 2015 Ethics Policy Compliance Review
- 2016 Contracts Audit
- 2016 Bond Financing Review

Internal Audit last reviewed the status of open audit recommendations in September 2015. Results were reported to the Audit Committee at that time.

This review was completed in January and February 2016, and consisted of meetings with management to determine the status of open audit recommendations and performing testing of management's response, status, and explanation for all recommendations deemed "Complete" or "In Progress." If a recommendation was noted "Not Done," no testing was performed by Internal Audit. In addition, only those recommendations that remained open at the time of the last review have been included in this report. If a recommendation was completed as of September 30, 2015, no further work was performed and the recommendation was not included for review in this report.

Internal Audit did not include or follow-up on recommendations and management action plans from the 2014 Sensitive Data Review. The management action plan was to move the sensitive data identified to an appropriate location or to delete the data. Confirmation that sensitive data was moved or deleted would require an additional project and re-scan of selected systems with a data loss prevention (DLP) tool.

Testing performed included inquiry with the employees responsible for completing the recommendations and obtaining documentation evidence to confirm management's reported status and explanation. In instances where the evidence obtained did not agree with management's status, discussions with management were held and the differences were resolved.

There were no instances where management and Internal Audit did not come to an agreement on the status of a recommendation.

Recommendations Summary

Audit	Open as of September 30, 2015	New Recommendations	Completed Since September 30, 2015	In Progress / Not Done as of January 15, 2016*	Past Due
2010 Contracts Audit	1	0	0	1	1
2013 Toll Revenue Audit	1	0	0	1	1
2014 Maintenance & Safety Plan	1	0	0	1	1
2015 Intelligent Transportation Security (ITS) Systems Security Review	10	0	1	9	4
2015 Back Office Customer Call Center Review	4	0	0	4	3
2015 COSO Framework Governance Review	2	0	0	2	2
2015 Ethics Policy Compliance Review	4	0	0	4	0
2016 Contracts Audit	0	4	2	2	1
2016 Bond Financing Review	0	1	0	1	0
Total	23	5	3	25	13

*25 recommendations are classified as "In Progress." 13 of these recommendations were considered "Past Due" at January 15, 2016, the time of review.

Contracts Audit
January 2011 Recommendations
Status of Recommendations

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at September 30, 2015	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
The Authority should utilize CSC Manager and CSC Supervisor passwords, rather than PINs, to approve adjustment transactions in TRIMS (passwords are required to be changed on a regular basis by the system).	The Authority concurs that moving to a password based approval is in its best interest. However, the change to a password based approval key would be a fairly significant change to the existing system. The current system is currently being reviewed for replacement as part of the Toll System Replacement (TSR) project. Making these changes now may be waste of valuable IT resources if the current system were to be disposed of in the near term. Based on the outcome of the TSR project the Authority would make this change as a part of a newly procured system or would be developed and implemented in the existing system once it was determined that we would be retaining the existing system.	Rene Rodrigue, Director of IT David Wynne, Director of Toll Operations	b) In Progress (Past Due)	b) The change to a password based approval key would be a fairly significant change to the existing system. The current system is currently being reviewed for back office consolidation with Florida's other toll agencies. Making these changes now may be waste of valuable IT resources if the current system were to be disposed of in the near term. The Authority will make this requirement a part of the back office consolidation effort currently underway.	Per discussion with Rene Rodrigue, Director of IT, and David Wynne, Director of Toll Operations, the Authority planned to implement the recommendation as a part of the Centralized Customer Service System (CCSS) project. However, the completion date for the CCSS project has been delayed to 2018-2019 due to the pending back office consolidation and governance agreement between the Authority and the Florida Turnpike Enterprise (FTE). The IT department plans to implement the recommendation by the revised due date, as long as resource needs and business priorities allow.	Original: 12/31/13 Revised: 12/31/15 Revised: 3/31/16 Revised: 9/30/16	Concur

Toll Revenue Audit
March 2013 Recommendations
Status of Recommendations

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at September 30, 2015	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
6. b) The Authority should also consider automating certain aspects of the Attendant's Shift Record log by integrating the unusual occurrence, violations, and insufficient fund transactions within the MLT system. This would reduce the subjectivity of the FTS auditor's interpretation of the manual ASR log.	Concur	David Wynne, Director of Toll Operations	In Progress (Past Due)	b) The Authority currently has this recommendation as a function in the planned Toll System Replacement project that is currently ongoing at this time. The Authority would expect to have the new system in place and operating by July 1, 2015	Per discussion with David Wynne, Director of Toll Operations, this recommendation will be implemented as a function in the planned Toll System Replacement (TSR) project. The Request for Proposal (RFP) has been awarded and the new system is currently in development. Currently, the TSR project is expected to go live in at least one toll plaza by January 2017. Internal Audit obtained the System Requirements advertised as part of the RFP and determined specification 1.5.1.7.2.2 states the system should have drop down menu codes for unusual occurrences. Internal Audit determined the TSR project was awarded to TransCore, LP on 5/14/15 as evidenced by Board minutes. Internal Audit also obtained the current schedule of go-live dates for the new system from David Wynne and noted the first go-live date is scheduled for 1/9/17 in the Coral Hills plaza group.	Original: 7/1/15 Revised: 4/30/16 Revised: 1/31/17	Concur

Maintenance and Safety Plan Compliance
April 2014 Recommendations
Status of Recommendations

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at September 30, 2015	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
The Authority should develop written "desktop" procedures that clearly document key aspects of the Authority's maintenance and inspection program, including: Internal procedures for managing and maintaining the Authority's roadways and bridges, Asset tracking, Role of third party maintenance & inspections agreements (scheduling of inspections, repairs, and replacements; outputs of preventative maintenance), Maintenance contract performance monitoring, Work order, maintenance request, and deficiency response deadlines, Incidence and Emergency Response process, and the GEC Annual Inspection process.	Concur	Claude Miller, Director of Maintenance	In Progress (Past Due)	The Authority will develop written procedures to document the pertinent aspects of the Authority's maintenance and inspections operations in regards to bridges, overhead structures, and roadways.	Per discussion with Claude Miller, Director of Maintenance, staffing changes within the department have delayed the completion of the action plan. The procedures document will be finalized on or before the revised due date. Internal Audit obtained and reviewed the current draft of the procedures manual and noted the draft is currently undergoing review by the Director of Maintenance.	Original: 12/31/14 Revised: 12/31/15 Revised: 10/1/16	Concur

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Back Office Customer Call Center Review
May 2015 Recommendations
Status of Recommendations

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at September 30, 2015	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
There are opportunities to improve the prompts and messaging delivered to customers contacting the Authority by improving prompt and call treatment consistency and attempting to direct more callers to online servicing. The Authority should consider the points above and conduct a thorough evaluation of vector programming and IVR prompting during the implementation of the centralized back office contact center IVR platform to ensure consistent treatment and the use of EWT to inform callers of high wait times and reinforce the use of online or other servicing options.	Concur	Rene Rodrigue, Director of IT	In Progress	The Authority is in the process of procuring and implementing a new IVR system for E-PASS which will include back-up hardware, and the improvement recommendations will be taken into account at that time. In addition, the Authority will provide the recommendations to the centralized back office contact center vendor for consideration during the development of any IVR technology, if the Authority were to agree to move forward with the centralized back office contact center.	Per discussion with Rene Rodrigue, Director of IT, the Authority is in the design and testing phase for the new IVR system. The improvement recommendations will be evaluated throughout the process and the recommendation is on track for completion by the scheduled due date.	6/30/16	Concur
To improve the quality assurance process, the Authority should identify a standardized call flow for the types of calls handled by the call center and identify the specific behaviors and skills that should be measured within each segment of the call. This process would enhance the quality assurance process to allow for specific guidance to CSR's and align quality criteria to measurable business goals and objectives (e.g. reduction of call handle time).	Concur	David Wynne, Director of Toll Operations	In Progress (Past Due)	The Authority will work with the third party call center vendor to revise the current quality assurance process to align with the call quality attributes to measure business objectives.	Per discussion with David Wynne, Director of Toll Operations, with the recent turnover in the call center vendor, the quality assurance process is currently under review. The new vendor plans to complete and provide to Internal Audit by 3/31/2016.	Original: 7/1/15 Revised: 11/30/15 Revised: 3/31/16	Concur
<p>The Authority should consider launching an E-PASS product and services website, independent of the corporate website, that would focus on the customer experience and E-PASS activity. An E-PASS focused website would allow customers to navigate the site with ease and would help to deflect customer service activities, such as making unpaid toll notice payment and account replenishments, to the website.</p> <p>Also, call center agent training should also be considered to reinforce the importance of reminding customers to replenish their accounts and/or purchase a transponder in cases where an in-state customer is contacting the authority about a toll violation or citation. These improvements can deflect calls and reduce volume of calls received by the call center.</p> <p>The Authority should also consider adding prompts to the treatment provided on the main number to route callers to the call center in order to limit the number of call center calls received by the receptionist.</p>	Concur	<p>1. David Wynne, Director of Toll Operations, and Joann Chizlett, Director of IT</p> <p>2. David Wynne, Director of Toll Operations</p> <p>3. Joann Chizlett, Director of IT</p>	In Progress (Past Due)	<p>1. The Director of Toll Operation and IT will schedule a meeting with the CFX Communication Department to discuss the recommendations to the website and evaluate which recommendation can be implemented.</p> <p>2. The call center scripts will be updated to include a reminder to customers to replenish and/or purchase a transponder at the end of each call.</p> <p>3. The Authority will consider adding prompts to the treatment provided on the main number to route callers to the call center in order to limit the number of call center calls received by the receptionist.</p>	<p>1. Complete - Per discussion with David Wynne, Director of Toll Operations, and Joann Chizlett, Director of IT, additional hyperlinks were added to the Authority's website to improve ease of access to the E-PASS website. Internal Audit reviewed the updated website and noted links and pictures were added to direct users to the E-PASS website for UTN payment.</p> <p>2. In Progress - Per discussion with David Wynne, Director of Toll Operations, with the recent turnover in the call center contractor, the call center scripts are still in development. The new contractor is helping Toll Operations to develop scripts and plans to complete the action plan by 3/31/2016.</p> <p>3. Complete - Per discussion with David Wynne, Director of Toll Operations, and Joann Chizlett, Director of IT, the prompts have been added to the Authority's main number to route callers to the call center. Internal Audit performed a test call and noted three options were provided to the caller (UTN/UTC, E-PASS account, other calls). The prompts added will route calls regarding UTN/UTC and E-PASS accounts to the call center rather than directly to the receptionist.</p>	<p>1. 8/1/15</p> <p>2. Original: 6/1/15</p> <p>Revised: 11/30/15</p> <p>Revised: 3/31/16</p> <p>3. 8/1/15</p>	Concur

Back Office Customer Call Center Review
May 2015 Recommendations
Status of Recommendations

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at September 30, 2015	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
<p>The Authority should conduct further analysis to determine the percentage of inbound calling line ID's that can be linked to one or more existing accounts in the Authority's servicing applications. If this percentage is greater than 40-50%, this would indicate a substantial opportunity to automate inbound calls and thereby reduce overall handle times.</p> <p>In addition, the Authority should consider developing and conducting agent training to enable agents to take control of inbound calls and identify the information needed to access the caller's notifications, citations, and/or the caller's account as efficiently as possible.</p>	Concur	<p>1. David Wynne, Director of Toll Operations, and Rene Rodrigue, Director of IT</p> <p>2. David Wynne, Director of Toll Operations</p>	In Progress (Past Due)	<p>1. Further analysis will be conducted to determine the percentage of inbound calls associated with active customer accounts. Based on results of the analysis, The Authority will determine if it is feasible to incorporate call automation based on strategic direction as it relates to the deployment and CFX involvement in centralized back office contact center.</p> <p>2. The third party call center vendor will provide refresher training on "Call Control" and incorporate "Call Control" techniques into new agent training.</p>	<p>1. Complete - Per discussion with David Wynne, Director of Toll Operations, and Rafael Millan, Programmer Supervisor/Database Administrator, IT has completed the analysis of inbound calls to customer accounts. A list of phone numbers from actual calls to the Service Center was obtained by IT and loaded into the customer database. IT ran an SQL query to match the phone numbers in the list against the phone numbers registered for active EPASS accounts at the time of the query. There were 67,808 calls in the listing and 19,169 were linked to customer accounts (28%). As a result of this analysis, the IT department will not pursue call automation at this time, but may consider adding the feature in the future.</p> <p>2. In Progress - Per discussion with David Wynne, Director of Toll Operations, with the recent turnover in the call center contractor, the call center training has not been completed. The new contractor plans to complete by 3/31/2016.</p>	<p>1. Original: 7/1/15 Revised: 11/30/15</p> <p>2. Original: 8/1/1/5 Revised: 11/30/15 Revised: 3/31/16</p>	Concur

COSO Framework Governance Review
June 2015 Recommendations
Status of Recommendations

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at September 30, 2015	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
<p>To incorporate leading practice, the Authority should perform an annual training needs assessment during the performance evaluation process to identify development opportunities throughout the organization.</p> <p>The Authority should also develop an HR Training Request Form to document the business reason for each training, cost, attendees, and Human Resources approval. The addition of the form will formalize the oversight process for training requests and help ensure training expenditures align with business needs, goals, and budgetary restrictions.</p>	Concur	Michelle Maikisch, Chief of Staff	In Progress (Past Due)	<p>The Authority added discussions regarding training needs to the annual performance evaluation process. Performance evaluation forms were updated to request input on training needs from the employee and management.</p> <p>The Authority will develop an HR Training Request Form as recommended and implement a review process to ensure training expenditures are approved and meet business objectives.</p>	<p>Per discussion with Michelle Maikisch, Chief of Staff, the necessary changes were made to the annual performance evaluation forms and the Human Resources department has a current objective to research and coordinate additional training for employees based on the feedback. Internal Audit obtained and reviewed the Performance Evaluation Form and related process documentation and determined a question was added to the form regarding areas where additional training may be needed. This component of the recommendation has been marked complete.</p> <p>Staffing changes have delayed the implementation of the HR Training Request Form. Per discussion with Michelle Maikisch, Chief of Staff, the HR Training Request Form is in development and on track for completion by the revised due date.</p>	<p>Original: 12/31/15</p> <p>Revised: 3/31/16</p>	Concur
The Authority should identify and update the desktop procedures for key processes within the IT and HR departments to include the appropriate level of detail. The Desktop Procedures should allow anyone generally competent for the position to perform the job duties.	Concur	Michelle Maikisch, Chief of Staff Rene Rodrigue, Director of IT	In Progress (Past Due)	The Authority will identify and update the desktop procedures for key processes within the IT and HR departments to include the appropriate level of detail.	Per discussion with Rene Rodrigue, Director of IT, and Michelle Maikisch, Chief of Staff, staffing changes have delayed the completion of the action plans. Updates to procedures are in process and on track for completion by the revised due date for each department.	<p>Original: 2/28/16</p> <p>Revised: HR - 4/30/16 IT - 8/31/16</p>	Concur

Ethics Policy Compliance Review
June 2015 Recommendations
Status of Recommendations

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at September 30, 2015	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
<p>1. To improve the disclosure process and set clear expectations, the Authority should define and document instructions for completing the conflict of interest disclosure form, including the expectation that one form should be completed for the firm/company, guidance on answering questions geared toward an individual, and the objective of the Florida Statute requirement.</p> <p>2. The Authority should consider automating the distribution and monitoring processes for the disclosure form through development of a Conflict of Interest Reporting portal on the company's website. The website can host the disclosure form, instructions, deadline, and supplemental information (corridor maps, ownership listings, etc.); allow users to upload the completed disclosure form; and facilitate tracking and retention of responses received. The Authority should consider centralizing the disclosure process by selecting one department to manage distribution and tracking of the disclosure forms. Based on the current structure of the Authority and the process in place, the Procurement Department would be well-equipped to distribute the notification to consultants to complete the disclosure form and track and retain responses.</p> <p>3. The Authority should define and document consequences for consultants that do not return the completed conflict of interest disclosure form, including the penalties that may be imposed by the Authority. The Authority should consider withholding vendor payments if the consultant is not responsive to the Authority's request to complete the form, which is similar to the enforcement process in other areas of the organization.</p>	Concur	<p>1. Joe Passiatore, General Counsel</p> <p>2. Michelle Maikisch, Chief of Staff; Rene Rodrigue, Director of IT; Director of Procurement</p> <p>3. Joe Passiatore, General Counsel</p>	Not Done	<p>1. The Authority will define and document instructions for completing the conflict of interest disclosure form as recommended.</p> <p>2. The Authority will develop a Conflict of Interest Reporting portal to automate the distribution and monitoring process for conflict of interest disclosure forms. The Authority will centralize the process to manage distribution and tracking of the disclosure forms to the Procurement Department.</p> <p>3. The Authority will define and document consequences for consultants that are not responsive to the Authority's request to complete the disclosure form.</p>	Per discussion with Joe Passiatore, General Counsel, and Michelle Maikisch, Chief of Staff, recommendations are in process and are currently on track for completion by the scheduled due date. CFX has a contractor (Evolve) designing and hosting the new CFX website. The recommendation was communicated to Michelle Maikisch, Chief of Staff, so that the contractor can include a page to host the ethics information on the new website.	5/1/16	Concur
<p>The Authority's management and Board should review the previous discussions regarding the definition of "registered lobbyists" and determine if the current definition should be revised to better address the objectives of the Florida Statute.</p>	Concur	Joe Passiatore, General Counsel	In Progress	<p>The Authority will review previous Board discussions and discuss further, as needed, to determine if the registered lobbyist definition should be revised.</p>	<p>Per discussion with Joe Passiatore, General Counsel, the recommendation was discussed further with the Board during the 9/10/2015 Board Meeting and further research is currently being conducted based on the Board's recommendation.</p> <p>Internal Audit obtained and reviewed the draft minutes from the 9/10/2015 Board meeting and noted the Board proposed setting up lobbyist registration at CFX rather than relying on Orange County and the City of Orlando registrations, as the groups that lobby CFX may differ. General Counsel was asked to research the proposal further and return to the Board with a recommendation. Per discussion with Joe Passiatore, General Counsel, the results of the research will be presented to the Board for final decision during the April 2016 Board meeting.</p>	5/1/16	Concur
<p>Board Members should sign an annual ethics certification to acknowledge their understanding and adherence to the Code of Ethics. This will exhibit the Authority's focus on the tone at the top.</p> <p>Additionally, the Authority should consider notifying vendors when the Code of Ethics has been modified to ensure vendors are aware of any new compliance requirements. Vendors should reaffirm their understanding and compliance with the newly adopted Code of Ethics by signing a new Acknowledgement of Standard of Conduct and Code of Ethics.</p>	Concur	Darleen Mazillo, Executive Assistant, and Director of Procurement	In Progress	<p>The Authority will require Board members to certify their understanding and compliance with the Code of Ethics annually. Going forward, the Authority will notify vendors when the Code of Ethics is modified and require that each vendor sign a new Acknowledgement of Standard of Conduct and Code of Ethics.</p>	<p>Per discussion with Darleen Mazillo, Executive Assistant, the annual Board certification of understanding and compliance with the Code of Ethics has been added to the Board Checklists developed based on the 2015 COSO Governance Review recommendations. The checklists will help facilitate the annual certification from the Board members during 2016. As the process has been implemented, the action plan was marked as complete by Internal Audit.</p> <p>Per discussion with Claude Miller, Director of Maintenance (previously Director of Procurement), the Procurement Department has accepted the responsibility for implementing the recommendation and put a process in place to send notification to vendors (contractors, consultants, and service providers) in the event that changes are made to the Code of Ethics. Based on the current research regarding lobbyist registration, changes may be made to the Code of Ethics over the next six months. Internal Audit will perform testing to validate this action plan after the update has been made.</p>	5/1/16	Concur

Ethics Policy Compliance Review
June 2015 Recommendations
Status of Recommendations

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at September 30, 2015	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
<p>The Authority should consider developing written "desktop" procedures that clearly define and document key aspects of the Authority's ethics activities, including:</p> <ul style="list-style-type: none"> - Conflict of interest disclosure process - Training and ongoing education - Compliance review process - Penalties for violations 	Concur	Joe Passiatore, General Counsel	In Progress	The Authority will develop written procedures to document the Authority's ethics activities and compliance monitoring processes.	Per discussion with Joe Passiatore, General Counsel, and Linda Lanosa, Deputy General Counsel, the recommendation is in process and on track for completion by the schedule due date. Internal Audit obtained and reviewed a draft of the written procedures and will provide comments and input prior to the document being finalized.	5/1/16	Concur

Contracts Audit
January 2016 Recommendations
Status of Recommendations

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at January 15, 2016	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
The Authority should utilize a vendor billing compliance checklist (similar to one employed for construction and engineering contracts) to allow for monitoring of the use of subcontractors. A listing of authorized subcontractors should be included in the checklist and the checklist should be used to track the approval of new. The contractor should also be required to submit a detail of the use of subcontractors with each vendor invoice.	Concur	Claude Miller, Director of Maintenance	In Progress (Past Due)	Contract Specialists within the maintenance department will implement the use of a "Subcontractor Status Report" as part of monthly checklist of contract requirement monitoring and require the vendor to provide the listing of subcontractors along with their monthly invoice. Additionally, the Contract Specialist will compare the listing provided against the subcontractor approval forms submitted to confirm compliance. The Contract Specialist will also maintain a spreadsheet of subcontractor use and seek Board approval if the amounts approach \$25,000.	Staffing changes have delayed the implementation of the "Subcontractor Status Report" and subcontract tracking spreadsheet. Department management will ensure the new process is implemented by the revised due date.	Original: 2/1/2016 Revised: 4/1/16	Concur
The Authority should implement a process for calculating and reviewing the retainage to be withheld after each MRP rating to ensure accuracy. Approval of the calculation should be documented and submitted to the finance department to ensure the retainage is properly reflect in the vendor payments.	Concur	Claude Miller, Director of Maintenance	Complete	The Authority will implement a secondary review process of the MRP retainage calculation before processing the retainage along with the final payments. Retainage calculated and withheld will be documented on the vendor's invoice with supporting documentation for finance's review upon processing.	Per discussion with Claude Miller, Director of Maintenance, the secondary review of the MRP retainage calculation has been implemented. A retainage calculation was performed by Steve Geiss, Sr. Roadway Inspector, for the second period of FY 2016. The calculation was reviewed by Claude Miller, Director of Maintenance. Internal Audit obtained and reviewed the calculation with physical sign-offs as evidence that the review process has been implemented.	Original: 3/1/2016	Concur
The Authority should establish a procedure requiring Contract Management to prepare a checklist identifying key contract terms related to each contract. The contract manager should perform a detailed review of contract compliance at least annually and should reference the checklist to ensure vendors are in compliance with contract terms and conditions prior to releasing the last vendor payment of the year.	The Authority concurs and notes that less than six contracts within the Authority are subject to this type of bond. Most contracts require a bond at the commencement of the project.	Claude Miller, Director of Maintenance Director of Procurement	Not Done	The Contract Specialists within the maintenance department will verify the amount of the surety bond complies with the contract requirements as part of the contract compliance checklist. In addition, the procurement department will prepare a secondary review of the compliance with the surety bond requirement.	Per discussion with Claude Miller, Director of Maintenance, recommendations are in process and are currently on track for completion by the scheduled due date. Internal Audit did not perform any testing.	Upon expiration of surety bond	Concur
The Authority should implement a process for the monitoring of the A.M. Best Ratings for insurance carriers utilized by vendors. A member of the Procurement Department should verify that the carrier's financial rating and size meets the contractual terms and confirm the rating and size of the carrier each time the vendor provides a new proof of insurance document.	Concur	Director of Procurement	Complete	Procurement Department personnel who requests insurance renewal information will verify the credit ratings and financial size category for each renewal received.	Per discussion with Claude Miller, Director of Maintenance (former Director of Procurement), the review of the credit rating information has been implemented. Internal Audit obtained and reviewed a recent insurance renewal and noted the credit rating and financial size category was reviewed for the insurance companies as evidenced by the A.M. Best Ratings attached to the insurance certificate.	Immediately	Concur

**Bond Financing Review
January 2016 Recommendations
Status of Recommendations**

Internal Audit Recommendation	Management Response	Responsible Party	Management Status at January 15, 2016	Management Verification / Explanation	Testing Results	Due Date	IA Evaluation of Management's Status
CFX's Finance Department should comply with its Debt Policy and prepare and present the semi-annual debt reports to the Board.	Concur	Lisa Lumbard, CFO	Not Done	CFX will look into if the policy should be changed now that CFX's variable rate debt accounts for less than 19% of the portfolio. A report will be given to the Board at the next financial workshop.	Testing has not been performed for this item. The audit report was recently issued at the time of this follow-up. The outstanding action plan was sent as a reminder, but was not discussed further with management.	4/1/16	Concur

3.



Central Florida Expressway Authority

2016 Toll Revenue Audit

March 10, 2016

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Executive Summary

Background

The Central Florida Expressway Authority (“the Authority”) currently owns and operates 105 miles of roadway in Orange County. The roadways include 22 miles on State Road (SR) 408 (Spessard L. Holland East-West Expressway), 23 miles on SR 528 (Martin B. Andersen Beachline Expressway), 33 miles on SR 417 (Central Florida GreeneWay), 22 miles on SR 429 (Daniel Webster Western Beltway) and five miles on SR 414 (John Land Apopka Expressway).

In accordance with the FY 2016 Internal Audit Plan, Internal Audit reviewed and tested key controls of the two primary components of Toll Revenue in the Authority’s Comprehensive Annual Financial Report: Cash Toll Collections and Electronic Toll Collections. For fiscal year ended June 30, 2015, Cash Toll Collections and Electronic Toll Collections were \$76,830,000 and \$274,097,000, respectively, for total combined revenue of \$350,927,000. Electronic toll collections comprise approximately 78% of total toll revenue.

Cash Toll Collections

The Authority operates 13 mainline toll plazas and 71 ramp toll plazas across its five major roadways. Each of the main toll plazas offer manned lanes, and most offer Automatic Coin Machines (ACM) lanes. Ramp toll plazas are not manned and cash collected at these plazas is limited to ACM lanes. The number of manned lanes and ACM lanes at each plaza vary based on traffic patterns, construction, and other factors influencing plaza operations.

The Authority engages a third party contractor, URS, to manage day-to-day operations and cash toll collections. The contractor is responsible for staffing the toll plazas, including hiring, training, and monitoring employees, all controls around the physical security of cash maintained at the plazas from the point of collection through the time of deposit, monitoring of the electronic tolling system, and the audit procedures to identify variances between tolls collected, expected revenue, and cash deposited. The third party contractor has been performing this function for the Authority since 1995 (under varying company names). The contract was rebid in 2005 and 2015 and awarded to URS. On-site management of the contract has remained greatly the same despite name and ownership changes.

Electronic Toll Collections

Customers who utilize the Authority’s roadways have the option of establishing a prepaid E-PASS account through the use of a transponder. Each time customers use a toll facility where E-PASS is accepted, an antenna at the toll plaza (Automatic Vehicle Identification, or “AVI”) reads the transponder and identifies the customer’s account. The appropriate toll is then electronically deducted from the prepaid account. The Authority has entered into interoperability agreements with other agencies that allow transponders maintained with the Florida Turnpike, Lee County, State of Georgia, and State of North Carolina to be utilized on the Authority’s roadways. In addition, the Authority has interoperability agreements that allow E-PASS customers to use transponders in certain parking facilities in the Central Florida area, including the Orlando International Airport.

Executive Summary

Electronic Toll Collections (cont.)

The day-to-day operations associated with E-PASS accounts are outsourced to a third party contractor, EGIS. The contractor is responsible for providing the personnel necessary to operate the E-PASS Customer Service Centers, including servicing customers and processing account maintenance through walk-in service centers, a call center, mail, email, fax and the E-PASS website. Operations include other back office activities and reconciliation activities.

Upcoming Developments

Geolocation Mobile Application

The Authority is currently working with a third party to develop a mobile application (“app”) to enable customer payment of tolls on its system. Visitors and customers without transponders will have the option to pay tolls electronically with the mobile app. Using geolocation technology, when the user approaches a toll booth, the app sends a notification alert to the user’s smart phone asking whether to pay the toll. If the user approves the payment, an email will be sent to the user after the toll is deducted from the user’s account.

The Authority has obtained the code for the application and based on recent testing, determined that the mobile app requires further development to remove some existing application defects. The application is currently undergoing extensive testing and rework to remove these defects. The tentative timeline to deploy the geolocation mobile app is Fall 2016.

E-PASS Mobile Application

The Authority currently has plans to develop a mobile application for customers in which customers may review current activity, manage transponders, etc. This will constitute a mobile application version of the functionality that is offered within the full E-PASS website and will likely be released after the geolocation mobile app.

Transponder Replenishment and In-Lane Sales (TRAILS)

Effective April 1, 2016, the Authority is developing a Transponder Replenishment and In-Lane Sales (TRAILS) system. Customers will be able to drive up to designated TRAILS lanes and purchase an electronic toll transponder and/or replenish an existing account. TRAILS will provide customers greater convenience to purchase or replenish a toll transponder. As this process is in development, it was not in-scope for the audit.

Toll System Replacement

The current toll system went live in 1994, and as part of the five year work plan at CFX, the toll system will be upgraded. Transcore is the prime contractor, and key components of lane and plaza assets will be replaced.

Executive Summary

Objectives

The objectives of this audit were:

1. To review and test controls that exist to verify revenue data captured at the point of origin is completely and accurately recorded to the financial statements,
2. To review and test physical safeguarding controls that exist around cash (including the use of security and surveillance, data analytics, monitoring and reporting, and counts / other reconciling activities),
3. To review and test that controls in place around processing revenue adjustments to customer accounts are operating according to policy,
4. To review appropriate monitoring and measurements are in place to review toll revenue, and
5. To review the IT general controls around supporting systems and information technology that impacts the revenue process.

Project Scope and Approach

Internal Audit evaluated key processes and controls over cash toll collections and electronic tolling collections. This included gathering information from Authority management and staff, and third party contractor management and staff within the following departments: Accounting and Finance, Operations, Information Technology, E-PASS Customer Service, and Plaza Operations. The toll revenue process is highly dependent on a number of systems; therefore, Information Technology general controls (ITGCs) around supporting systems were reviewed and tested.

ITGCs are intended to provide a foundation to support the operating effectiveness of application controls that support toll revenue collection processes and the accuracy and completeness of electronic audit evidence (e.g., system-generated reports). The systems and processes included as part of this component of the audit were selected based on the degree to which they impact or support the overall toll revenue process and include the following systems:

- **Advanced Revenue Collection System (ARCS)** – The ARCS database stores transactional data generated and transmitted by the respective toll plazas. For this database, IA tested the effectiveness of controls in place around Computer Operations (e.g., data backups, job scheduling, and batch processing).
- **Toll Revenue Information Management System (TRIMS)** – TRIMS supports customer service center operations including the maintenance of customer account information and processing of payments. For this system, IA tested the effectiveness of controls in place around logical security (i.e., access-based controls).
- **EDEN** – EDEN is the Authority's general ledger system developed by a third-party vendor, Tyler Technologies, Inc. For this application, IA tested the effectiveness of controls in place around logical security, computer operations, and change management.

After the revenue recognition process, the Authority has three monthly discount programs for its electronic toll collection customers using their E-PASS transponder on Authority roadways. For the testing period of July 1, 2015 – December 31, 2015, discounts of \$9,479,319 (unaudited) totaled 6% of gross toll revenue \$157,608,794 (unaudited) recorded over that same period. The discount programs were not in-scope for the audit.

Summary of Procedures Performed and Results

During audit testing of the key controls identified within the cash toll and electronic toll collection processes, a sample of detailed toll related data was reviewed and tested for completeness and accuracy, as applicable. The transactional data reviewed was for the period of July 1, 2015 through December 31, 2015.

Internal Audit reviewed and evaluated approximately 75 internal controls specific to cash toll collections, electronic toll collections, and the IT supporting systems. Overall, the results of this audit confirmed that numerous internal controls are in place and operating effectively and as intended. In addition, Internal Audit identified six (6) findings / opportunities that could potentially further strengthen the overall control environment. The table below provides an overview of these findings:

Process	Observation Reference	Relative Priority
1. Revenue Leakage		
a. AVI Revenue Reconciliation	1	High
b. Special Events Reimbursement	2	Medium
2. Electronic Toll Collections		
a. Plaza Activity Monitoring	3	Low
3. Cash Toll Collections		
a. Toll Attendant Performance Assessment	4	Low
4. E-PASS Back Office		
a. Video Monitoring of Counting Room	5	Low
b. Terminated Employee Building Access	6	Low

Detailed Observations

Revenue Leakage

Electronic Toll Collections

Cash Toll Collections

E-PASS Back Office

Observation 1 – AVI Revenue Reconciliation

Relative Priority: *High*

Monthly, the Authority's Accounting & Finance department performs adjusting journal entries as part of the month-end reconciliation process to true-up the general ledger balance for total revenue earned. As part of the audit, Internal Audit identified that the December 2015 AVI revenue for the Airport Plaza was understated by \$136,083 due to an error performing the month-end reconciliation and journal entries. The December 2015 AVI revenue reconciliation was reviewed by appropriate personnel; however, the error was not detected during the review process. The error appeared to result from a recent change in assigned responsibilities within the Accounting & Finance department.

Recommendation

There is an opportunity for the Authority to improve its documentation over the revenue reconciliation process. By creating a procedural document, Authority personnel will have a set of instructions to reference when performing the reconciliation of toll revenue, and a document to leverage when roles or responsibilities change within the accounting department. The procedural document should include detailed procedures for performing and reviewing the monthly revenue reconciliation. As a leading practice, the review procedures should be performed at a level of precision to include recalculation of the month-end journal entry for mathematical accuracy and a review of the final AVI revenue balance per Eden for agreement to the TRIMS Plaza Revenue Summary report.

Management Response

Management concurs.

Management Action Plan

Accounting and Finance Department will create a desktop procedure document with detailed steps for the performer and reviewer of the month-end journal entries and reconciliations related to toll revenue.

Action Plan Owner/Due Date

Michael Carlisle, Manager of Accounting and Finance / September 30, 2016

Detailed Observations

Revenue Leakage

Observation 2 – Special Events Reimbursement

Relative Priority: *Medium*

Per the contract between the Authority and URS, the third party Toll Operations Contractor, URS is responsible for loss of revenue if lanes are not staffed according to the CFX approved staffing schedule. During the audit, Internal Audit identified two instances where the Authority was not reimbursed for loss of revenue when the lane was not staffed appropriately. In both instances, the lane was placed in Special Events mode due to an employee medical emergency or illness to allow traffic to pass through the cash toll lane. The Special Events mode was appropriately recorded on the Special Events report and appropriately provided to the Authority; however, the Authority's Accounting and Finance department did not file the request for reimbursement from URS. The total amount due to the Authority was approximately \$136 for the two Special Events identified by Internal Audit. Although not a significant amount, opportunities exist to improve the process and procedures to prevent a more significant issue in the future.

Recommendation

A review of the Special Events Report should be included on the calendar/checklist of month-end procedures to ensure all Special Events are captured for billing to appropriate parties, including URS.

Management Response

Management concurs.

Management Action Plan

Accounting and Finance Department will add the review of the Special Events Report to the month-end calendar as recommended.

Action Plan Owner/Due Date

Michael Carlisle, Manager of Accounting and Finance / June 30, 2016

Electronic Toll Collections

Cash Toll Collections

E-PASS Back Office

Detailed Observations

Revenue Leakage

Electronic Toll
Collections

Cash Toll Collections

E-PASS Back Office

Observation 3 – Plaza Activity Monitoring

Relative Priority: *Low*

In accordance with the Central Florida Expressway Authority's Toll Operations Standard Operating Procedures (SOP), URS performs a review of the Plaza Activity Monitor at least every two hours to review the TRIMS system and to mitigate potential revenue loss due to equipment failure. This review is documented by the Toll Service Supervisor/Manager using a Lane Monitor Checklist. For one day tested, in six (6) of the 15 plazas reviewed, there were one or more instances where the review of the Plaza Activity Monitor was not documented within the two hour limit.

Per the contract between the Authority and URS, the third party Toll Operations Contractor, URS is responsible for loss of revenue if equipment failure is not reported to the System Hardware Maintenance Contractor within 2 hours. Therefore, the impact to the Authority if the procedure is not followed would be minimal as URS would reimburse the Authority for actual lost revenue determined by the system or an estimate of lost revenue based on historical data.

Recommendation

We recommend that the Authority and third party contractor consider eliminating the Lane Monitor Checklist as this is a non-essential report. The Lane Monitor Checklist is an internal document and functions primarily as a summary of the Plaza Activity Monitor detail. Instead, the contractor should consider a revision to their process such that the Toll Service Manager or Supervisor signs directly on the Plaza Activity Monitor sheets, which are date and time-stamped source documents and will suffice as evidence of plaza review. By eliminating the completion of a summary sheet, the Toll Service Managers or Supervisors can complete the documentation of this task more efficiently in addition to having more time to focus on other responsibilities.

Management Response

Management concurs.

Management Action Plan

URS has implemented the recommendation as of March 4, 2016.

Action Plan Owner/Due Date

Dan Goff, Project Director / Completed

Detailed Observations

Revenue Leakage

Electronic Toll Collections

Cash Toll Collections

E-PASS Back Office

Observation 4 – Toll Attendant Performance Assessment

Relative Priority: *Low*

In accordance with the Central Florida Expressway Authority's Toll Operations Standard Operating Procedures (SOP), Toll Service Managers (TSMs) monitor new hire performance via a 30-day Toll Attendant Performance Assessment (TAPA). A 60-day follow-up TAPA will be completed as needed.

Of the 20 selected Toll Service Attendants (TSAs) hired during the period July 1, 2015 through December 31, 2015, the following issues were identified:

- Fourteen (14) of the 30-day TAPAs were not completed within 30 days of the employees' hire date and were completed greater than two weeks late.
- Two (2) of the 30-day TAPAs could not be located.

If new hire performance evaluation and feedback is not being performed timely for TSAs and TSAs are not appropriately processing the various types of toll transactions, the potential likelihood of error and lost toll revenue that may not be caught timely is increased.

Recommendation

The URS Assistant Project Director recently created an Excel spreadsheet to track and monitor the status of TAPA due dates and required follow-up. We recommend that the Excel spreadsheet be provided to the appropriate TSM bi-monthly via email and that upcoming TAPA due dates be discussed during the bi-monthly Plaza Managers' meeting.

Management Response

The Toll Attendant Performance Assessment is a quality process designed as a tool to assess a TSA's knowledge of the job tasks associated with the position. The TAPA is not meant as a substitute for the semi-annual Employee Performance Evaluation process.

Continued on the following page...

Detailed Observations

Revenue Leakage

Electronic Toll
Collections

Cash Toll Collections

E-PASS Back Office

Observation 4 – Toll Attendant Performance Assessment

Relative Priority: *Low*

Management Action Plan

On February 1, 2016 URS created an Excel spreadsheet to track the due date and the completion date for TAPAs. The spreadsheet will be shared with the Plaza Managers on the 15th and 30th of each month. In addition, the information will be reviewed at the bi-monthly Plaza Managers' meeting. Action Plan has been fully implemented as of March 1, 2016.

Action Plan Owner/Due Date

Dan Goff, Project Director / Completed

Detailed Observations

Revenue Leakage

Electronic Toll Collections

Cash Toll Collections

E-PASS Back Office

Observation 5 – Video Monitoring of Counting Room

Relative Priority: *Low*

All check, cash, money order transactions received via mail are processed in the back office mailroom or counting room located at the Authority's headquarters. To allow monitoring of the processing and handling of payments, the mailroom and the counting room are under video camera surveillance.

Based on inquiry of EGIS management and Authority IT personnel, camera surveillance is not being actively monitored by either party and the camera footage is not digitally stored and available for retrieval. The IT department indicated that the camera storage system became obsolete when the Authority upgraded to the Windows 7 operating system due to compatibility issues. Management was aware of the obsolete camera system and made a business decision to not upgrade the system at the time.

Recommendation

We recommend that the Authority purchase new surveillance equipment that is compatible with its current operating system and allows for 30 days storage of surveillance data, at minimum, for retrieval as needed. We recommend that footage be recorded in digital format and maintained for a period of at least two weeks to one month based on leading practices. Additionally, the Authority should consider assigning the responsibility of periodic monitoring of the security cameras to EGIS to be performed at least weekly in order to improve monitoring of the mailroom and counting room where checks, cash, and money orders get processed.

Management Response

Management concurs.

Management Action Plan

The Authority will procure and deploy the needed equipment and EGIS will perform monitoring of the cameras at least weekly. The procurement of the system will be included in an upcoming camera installation project

Action Plan Owner/Due Date

Fred Nieves, Manager of E-PASS & Plaza Operations / December 31, 2016

Detailed Observations

Revenue Leakage

Electronic Toll
Collections

Cash Toll Collections

E-PASS Back Office

Observation 6 – Terminated Employee Building Access

Relative Priority: *Low*

EGIS employees that work in the E-PASS Call Center and Violation Enforcement Section (VES) group are issued a unique badge and passcode for accessing the building and work area. Upon termination, it is the responsibility of the department manager to collect the terminated employee's badge and notify the IT Help Desk via an online ticket system that the employee's access rights should be terminated immediately. During testing, Internal Audit identified two (2) terminated employees with active badges and building access.

Recommendation

We recommend that an employee termination checklist be developed and distributed to all department managers. Department managers should complete the checklist, which should include procedures around collecting an employee's badge and notifying the Authority's IT department of the termination within a defined period of time (e.g. 3 days). The department manager should sign and date the checklist to indicate all termination procedures were completed. Additionally, the department manager should send the completed employee termination checklist to the EGIS HR Generalist or designee to sign and date the checklist as evidence of review.

Management Response

Management concurs.

Management Action Plan

EGIS will implement the recommendation once an HR Generalist is identified and hired.

Action Plan Owner/Due Date

Allie Braswell, Program Manager / December 31, 2016

Appendix: Risks Addressed

The following risks were identified and addressed by Internal Audit as part of testing the Authority's internal controls around toll revenue:

E-PASS and Accounting

- Unauthorized account adjustments/credits are made to E-PASS accounts resulting in potential lost revenue.
- E-PASS employees modify and make adjustments to their personal E-PASS accounts resulting in unauthorized adjustments and potential lost revenue.
- Non-revenue transponders are provided to customers without proper approval resulting in potential lost revenue.
- E-PASS personnel misappropriate E-PASS funds received in the mailroom or at the EPSC resulting in potential lost revenue.
- Unauthorized access to E-PASS customer account information including credit card and banking information.
- Badge access rights are not restricted from terminated employees in a timely manner resulting in theft or damage.
- Toll Revenue transactions are not accurate or recorded in the proper period.
- Revenue from interoperability agreements are not properly recorded resulting in loss of revenue.
- E-PASS transaction is cross read by the Automatic Vehicle Identification (AVI) reader in another lane.
- License plate images transmitted by the System Controller to the system are not converted properly and cannot be used resulting in lost revenue.
- Loop and/or treadle sensors malfunction and vehicle axle counts are inaccurate resulting in lost revenue.

Toll Plaza

- Toll Service Attendants (TSA) and/or Toll Service Supervisors (TSS) misappropriate toll collections resulting in lost revenue.
- Toll collections for a plaza are suspended without proper authorization or justification.
- Physical access to plaza counting room and safe room are not restricted resulting in lost revenue.
- Toll Service Attendants (TSAs) are not trained properly and/or timely resulting in inaccurate toll collection processing.
- Automatic Vehicle Identification (AVI), Manual Lane Terminal (MLT), Automatic Coin Machine (ACM), cameras, treadle and loop sensors malfunction resulting in lost revenue.
- Insufficient toll is collected for multi-axle vehicles passing through the staffed lane resulting in lost revenue.
- Transaction and financial data is lost due to equipment problems or damage resulting in misstated revenue.
- URS misappropriates (or miscalculates) toll collections and/or CFX Accounting does not properly reconcile URS's negative discrepancies resulting in lost revenue.



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4.



Central Florida Expressway Authority

Right of Way Legal Counsel Procurement and Invoice Audit

February 18, 2016

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Executive Summary

Background

During fiscal year 2012, Internal Audit performed an audit of the Central Florida Expressway Authority's Right of Way policies and procedures surrounding the procurement of parcels and the use of eminent domain. The 2012 audit included testing of land acquisitions taken from a population of 123 acquisitions for the John Land Apopka Expressway project, dating back to 2004, the last major road construction project undertaken by the Authority through the time of the last audit.

For the current Right of Way audit as outlined in the 2016 Internal Audit plan, Internal Audit reviewed the Authority's procurement of and use of legal counsel in Right of Way land acquisitions dating back to 2014 for the Wekiva Parkway, All Aboard Florida project, and Kelly Park Interchange project. More specifically, during this audit, Internal Audit reviewed the processes in place to procure Right of Way legal counsel, including the use of in-house and out-sourced legal counsel, and the processes in place for the review and evaluation of legal invoices and right of way costs.

As of February 2016, the Authority is currently using a blend of in-house legal counsel and outsourced legal counsel to manage the procurement of parcels of land. Also at this time, the Authority's Board is considering the need to hire additional in-house counsel, with at least part of the assigned responsibilities being to work on additional Right of Way acquisition. Within the next 12 months, the Authority expects to acquire an additional 100 parcels of land to support projects on State Roads 429 and 528.

Below is a summary of the allocation of work assigned to each Right of Way counsel for the projects in-scope as of the time of this audit.

- Winderweede, Haines, Ward & Woodman, P.A. – 45 parcels for the Wekiva Parkway project (29 in litigation)
- Shutts & Bowen, LLP – 89 parcels for the Wekiva Parkway project (67 parcels in litigation)
- Lowndes, Drosdick, Doster, Kantor & Reed, P.A. – 4 parcels at the Kelly Park Interchange (all in litigation)
- Mateer Harbert, P.A. – 3 parcels on SR 528 (purchase agreements executed for all)
- In-house Deputy General Counsel – 10 parcels post Order of Taking (OT), which represents the formal filing of an eminent domain action by the Authority)

Objectives

The specific objectives of this audit were to:

- (1) Perform a risk assessment of the Right of Way legal procurement and legal invoice review processes;
- (2) Review the Authority's policies and procedures surrounding the procurement and invoice review processes;
- (3) Identify the mixture of in-house versus outsourced legal counsel and obtain benchmark data around the use of outsourced legal counsel and the procurement process compared to local and industry practices;
- (4) Conduct a trend analysis of appraised cost values versus the settlement cost of recent land purchases; and
- (5) Conduct a trend analysis of land acquisition cost and the stage of settlement.

Continued on the following page...



Executive Summary

Project Scope and Approach

The audit was performed using a phased audit approach as outlined below:

Phase I – Risk Assessment and Process and Controls Review

Internal Audit performed a risk assessment to identify risks over the right of way legal counsel procurement and invoice review process. This included gathering information from the Authority's management and staff of the finance, procurement, and legal departments, as well as reviews of Board and Committee discussions.

Internal Audit subsequently reviewed the key processes and controls used to mitigate risks related to procuring external right of way legal counsel and approving invoices for payment. Key controls within each of these areas were identified and evaluated for design effectiveness. Details regarding the processes reviewed, controls walked through and observations are provided in the Summary of Audit Procedures.

Phase II – Benchmarking the Authority's Right of Way Legal Counsel Procurement to Local Government and Industry Practices

Internal Audit performed procedures to gather data to benchmark the following Right of Way (ROW) processes to local and industry practices:

- A. Mixture of in-house and outsourced legal counsel.
- B. Request for Proposal (RFP) process and evaluation criteria for legal counsel.

To gather the information necessary to perform the benchmarking, Internal Audit interviewed staff members of the following counties and municipalities: Orange County, City of Orlando, Seminole County, Osceola County, and Lake County. In addition, Internal Audit interviewed staff of the following other tolling and transportation authorities: North Texas Tolling Authority, Harris County Tolling Authority, Hillsborough Expressway Authority, Miami Dade Expressway Authority, Florida Turnpike Enterprise, and North Carolina Turnpike Authority.

Phase III – Data Analytics

Internal Audit performed limited data analytics to review right of way parcel acquisition settlement costs versus the appraised cost values (as obtained from Authority appraisals and owner appraisals), average legal and expert costs (based on fees paid) to acquire parcels, and the stage at which parcel acquisitions were settled. Data was obtained from Right of Way Committee minutes, Excel tracking files from outside legal counsel and Atkins (General Engineering Consultant), and the monthly TIFIA reports

Continued on the following page...

Executive Summary

Results: Phase I - Processes and Controls Review

Process	Procedures Performed / Key Areas Reviewed	Key Controls Identified*	Number of Observations	Observation Reference
Legal Invoice Review	Invoice Processing: review of billing rates, including expert fees and expenses, duplicate payments, and hours billed.	3	1	2
Monitoring of Parcel Acquisition	General Counsel, Deputy General Counsel, and ROW Committee activities to monitor direction, strategy, and performance.	6	1	1
Procurement	Project bidding (sealed bids and competitive sealed proposals) and bid awards: Authorization to bid, contracts reviewed by CFX's attorney's office, RFP documented and opened to the public, evaluation committee reviews bids, fee evaluation performed by Procurement Department and Evaluation Committee.	11	0	N/A
TOTAL:		20	2	

*A listing of key controls identified in the CFX Right of Way process is included in Appendix D.

Continued on the following page...

Executive Summary

Results: Phase II – Benchmarking

Process	Benchmarking Sources	Results
Mix of In-House vs Outsourced Counsel	<ul style="list-style-type: none">Counties and cities represented in the Authority's BoardOther tolling and transportation authorities	A
Request for Proposal (RFP) Process and Evaluation Criteria	<ul style="list-style-type: none">Counties and cities represented in the Authority's BoardOther tolling and transportation authorities	B

A - Refer to Appendix A for additional information on size of legal department, counsel used for ROW, and other qualitative factors that make comparing CFX legal counsel to other entities a complex process.

For the projects in-scope as of the time of this audit, approximately 93% of parcels identified by the Authority for acquisition have been assigned to outside legal counsel. The following three factors drive the Authority's decision around using in-house versus out-sourced legal counsel for right of way land acquisition:

- (1) Size of agency's legal staff,
- (2) Size and scope of right of way acquisitions performed, and
- (3) Level of complexity involved in the acquisition process (negotiated sale vs. eminent domain action).

The Authority will continue to need outside counsel to assist with complex acquisitions and to provide a more variable cost model to manage current spikes in right of way acquisition. However, based on a cost analysis prepared by the Authority's staff and presented to the Authority's Board during October 2015, there is opportunity to reduce costs for legal services through the hiring of an additional in-house resource. However, consideration should be given to use of an additional in-house resource if volume of right of way acquisition declines.

B - Refer to Appendix B for additional information on how the Authority's right of way counsel RFP evaluation metrics compare with the metric ranges provided by Orange County, City of Orlando, Seminole County, Osceola County and Lake County and the other similar tolling and transportation authorities that provided input.

Executive Summary

Results: Phase III – Data Analytics

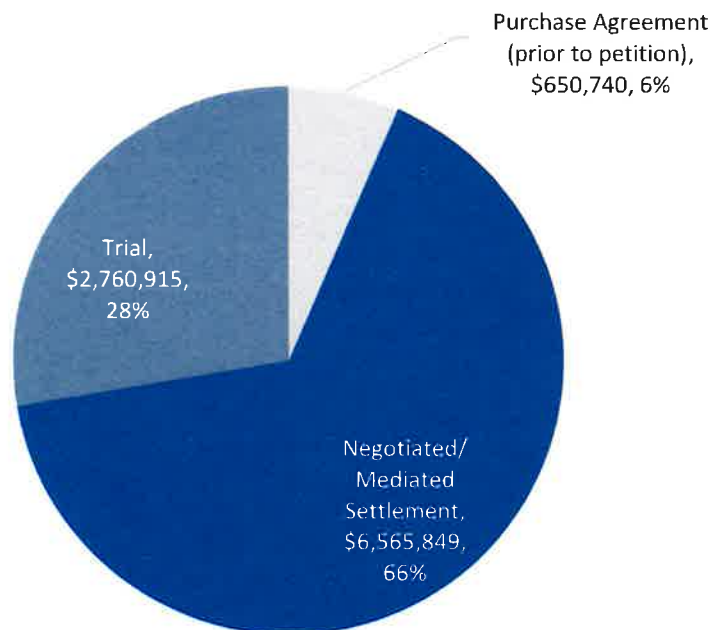
Land & Owner Settlement Costs by Settlement Stage

Dating from the start of the Wekiva Parkway Project, a total of 28 parcel acquisitions were sampled by Internal Audit and analyzed for a total “all-in” cost of \$9,977,504 (inclusive of purchase price of parcels and owner legal and expert costs paid by the Authority, exclusive of CFX legal and expert fees) per unaudited data in the monthly TIFIA reports. Data regarding the stage at which parcel acquisitions were settled was obtained from the Right of Way Committee minutes dated May 13, 2014 through October 28, 2015. This data is unaudited and was used to classify parcels and the related land and owner legal costs for analytical purposes only.

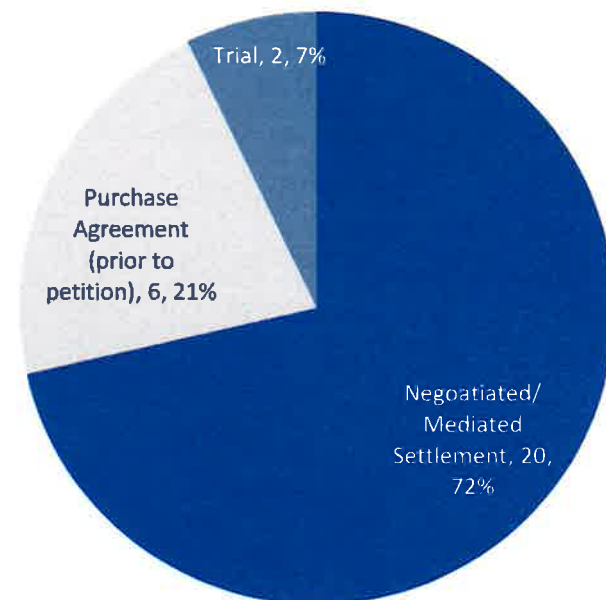
Based on data depicted below, the following key points were observed:

- The majority, or 66%, of parcels were acquired post OT through negotiated or mediated settlement, representing 72% of the dollars spent.
- Parcels settled through trial represented 7% of parcels acquired and 28% of dollars spent, demonstrating a higher cost of trials.
- As reflected by the data in the chart on page 8, on average, the highest legal and expert fees are incurred if a parcel goes to trial.

Settlement Stage by Value

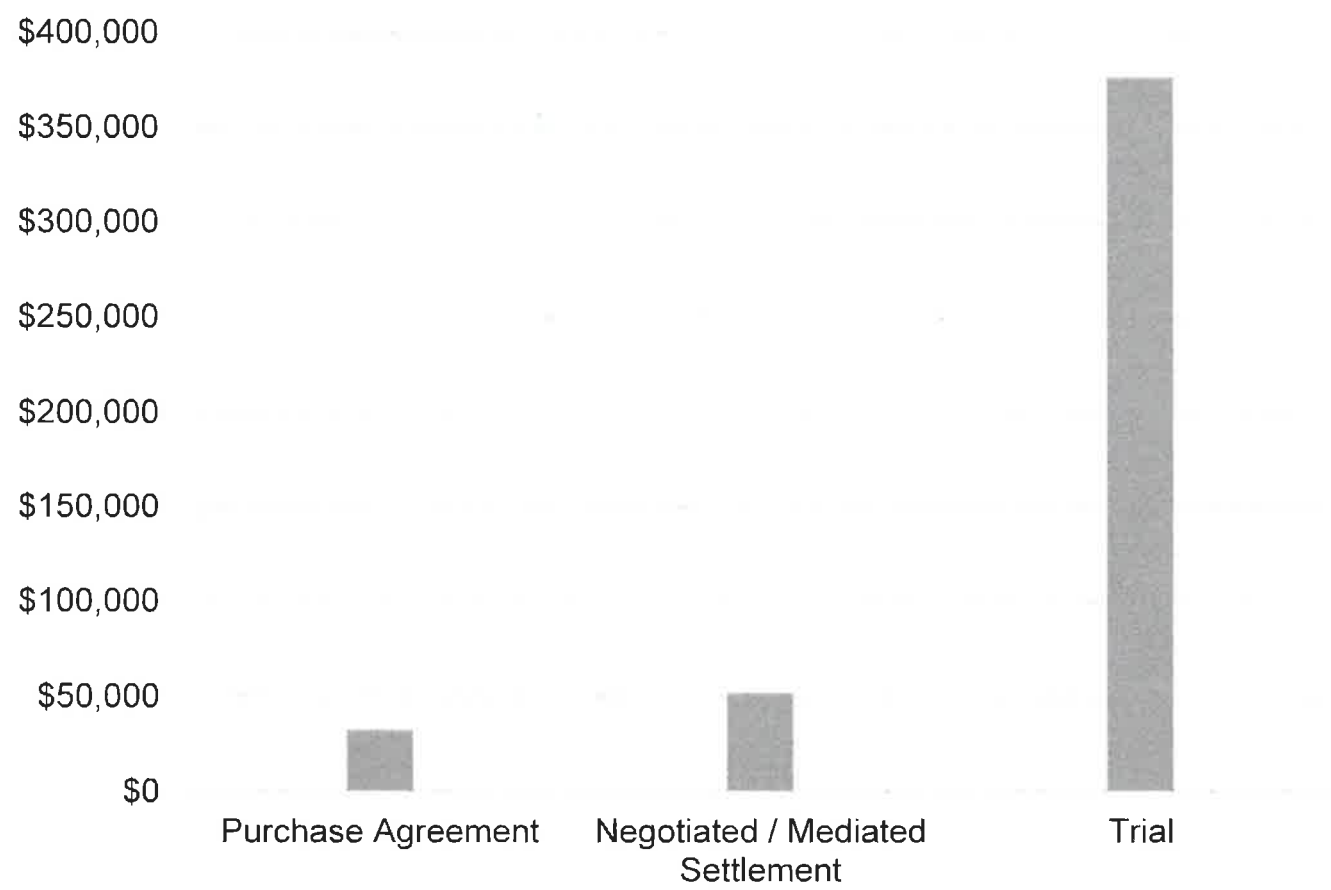


Settlement Stage by Number of Parcels



Executive Summary

Average CFX Legal and Expert Fees by Settlement Stage*



*Based on unaudited data taken from monthly TIFIA reports for the 28 parcels selected for the audit; does not include owner legal costs and expert fees.

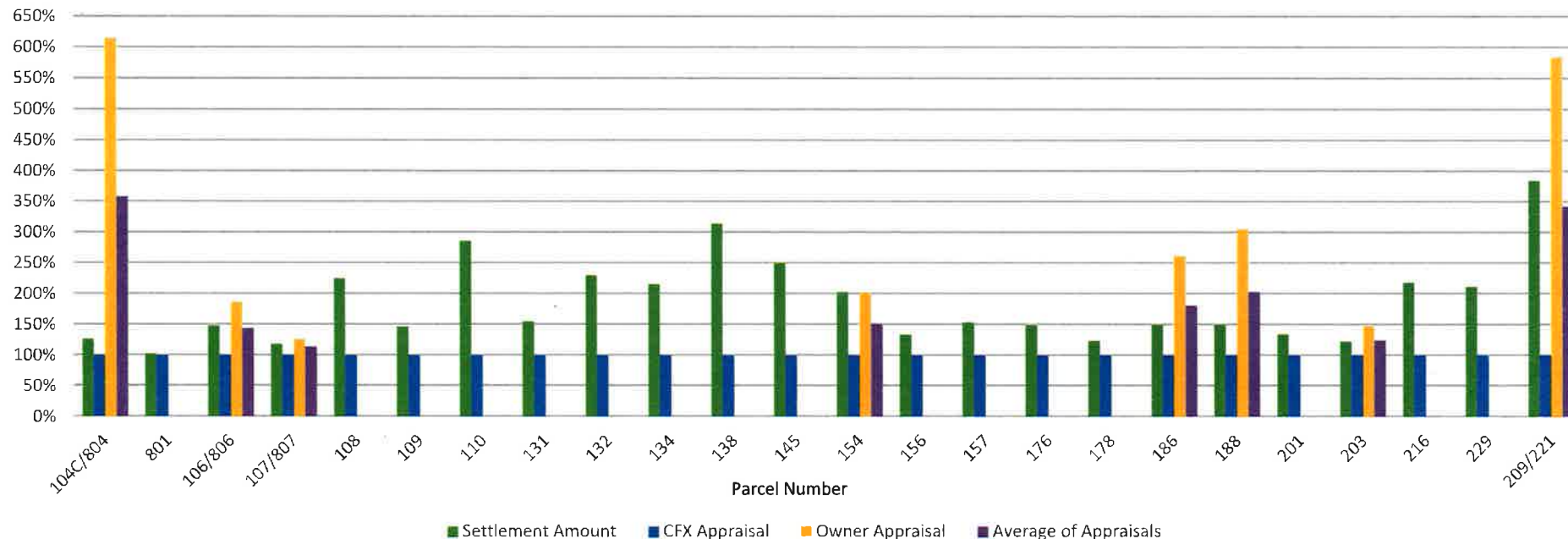
Executive Summary

Results – Data Analytics (continued)

Land Settlement Costs versus Appraisals

The following unaudited data was obtained from the monthly TIFIA reports. Comparing the final land settlement cost to the Authority's appraisal and the owner's appraisal, as available and reportable, the data for several parcels acquired revealed large differences between appraised values and settlements. This chart does not include confidential information obtained orally during mediations, such as owner demands that could not be shared or reported. In three of the four cases depicted below where owner's appraisals were in excess of 200% over the Authority's appraised value, the Authority's final settlement costs were closer to the Authority's appraisals than the owner's appraisal. The fourth case depicted below (209/221) was a trial verdict.

Land Settlement As Percentage of Land Appraisal

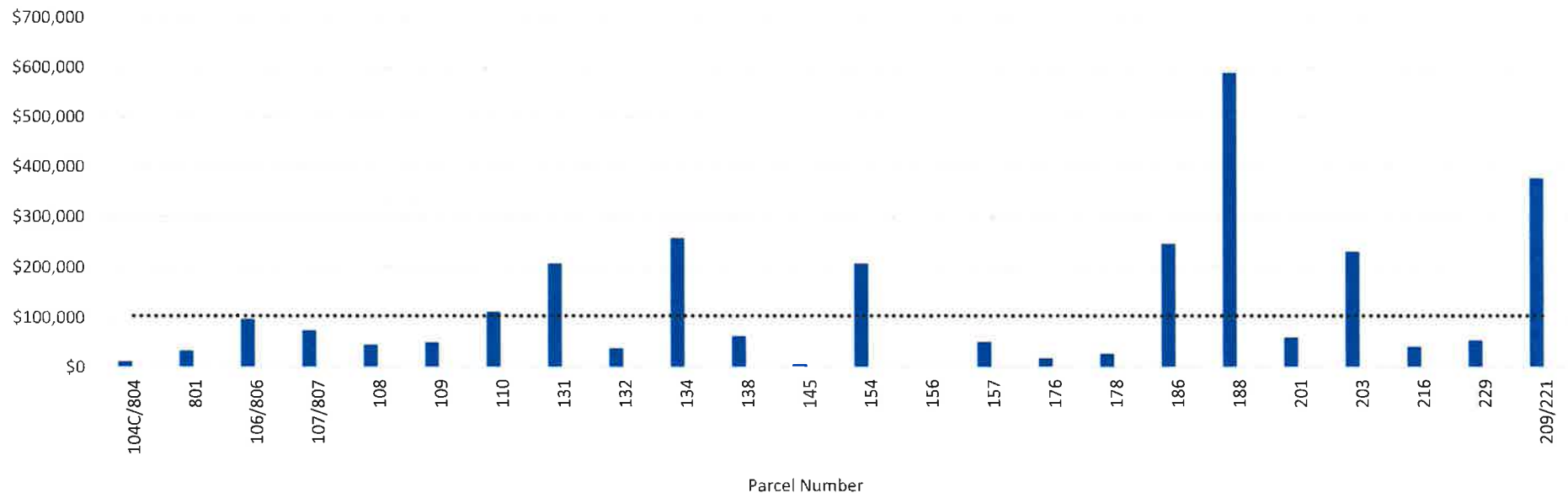


- Outliers (i.e., Settlement amount exceeded 250% of the CFX appraisal or average appraisal if applicable) in the analysis were as follows:
 - Parcel 110 was a purchase agreement prior to eminent domain action. Based on the Right of Way Committee minutes related to this parcel, severance damages were explained to the Committee to rationalize the settlement amount. Reported land settlement cost above may account for other compensation to the owner that was not specifically negotiated and could not be reported separately.
 - Parcel 138 had a spread of \$66,000 between the land appraisal of \$33,000 and the land settlement of \$96,000.
 - Parcels 209 and 221 were tried and purchase price was determined by jury verdict.

Executive Summary

Results – Data Analytics (continued)

Owner and CFX Legal, Expert, and Other Fees Per Parcel



- For the in-scope sample, the average legal and expert fees paid per parcel was \$104,168 as obtained from the monthly TIFIA reports.
- Outliers in the analysis were as follows:
 - Parcel 110 was a negotiated agreement prior to petition (eminent domain action).
 - Parcel 131 included two tenant claims.
 - Parcel 134 included relocation payments.
 - Parcel 154 included a business damage claim for two businesses on the property, relocation benefits, and the taking of three manufactured homes.
 - Parcel 186 involved a mediated settlement agreement.
 - Parcel 188 involved taking a residence with 10 acres. Multiple depositions were conducted and it was set for trial when settled. Additionally, there were multiple relocation issues related to this parcel which increased total fees.
 - Parcel 203 involved a business damage claim and involved the taking of a residence.
 - Parcels 209 and 221 were tried. Owner legal, expert, and other fees are not included in the amount above as they have not been paid by the Authority and are not yet included on the monthly TIFIA reports. Once paid, amount depicted above will increase.
- Parcel 156 attorney's fees/costs were billed to Project 202 General Matter. Because the attorney fee's/costs were blended into Project 202 General Matter for multiple parcels, the costs related to Parcel 156 were not included in the chart above.

Detailed Observations – Processes and Controls

Legal Invoice Review

Monitoring of Parcel Acquisition

Procurement

Observation 1 – Right of Way Recordkeeping

Relative Priority: *Medium*

Based on Transportation Infrastructure Finance and Innovation Act (TIFIA) loan requirements, the Authority submits a monthly report of project costs to the Federal government and will be required to report final costs for the project in order to obtain the TIFIA loan funding. During the audit, we reviewed various sources of Right of Way data, including the Right of Way Committee minutes, Excel tracking files from outside legal counsel, and the monthly TIFIA reports, and identified multiple instances of inaccurate or inconsistent data between sources. Specifically, the TIFIA reports contained formula errors, data that was incorrectly rolled forward from month-to-month, in addition to classification issues for closed parcels that were listed as open. Of most concern were inaccuracies identified in the TIFIA reports that are designed to track project costs for later funding from the Federal government. There are multiple parties involved in creating and reviewing the TIFIA reports, including Legal Counsel and Finance personnel, which all input or review parts of the report. It is critical that the data in the TIFIA reports be kept complete and accurate for monitoring and reporting purposes. There is an opportunity to improve the quality and reliability of the data gathered for presentation in the TIFIA reports.

Recommendation

The Authority should formalize its quality assurance/quality control process by assigning a process owner(s) responsible for compiling TIFIA data, reconciling TIFIA data to source documents, and verifying the completeness and accuracy of the information included in the monthly TIFIA reports. Additionally, there is an opportunity to centralize Right of Way activity into one spreadsheet or database in order to minimize (or eliminate) the maintenance and monitoring of multiple sources of information.

Management Response

Management concurs.

Continued on the following page....

Detailed Observations – Processes and Controls

Legal Invoice Review

Observation 1 – Right of Way Recordkeeping

Relative Priority: *Medium*

Management Action Plan

Initially, each Right of Way outside counsel or responsible party within the Authority will confirm the amount paid in the cumulative payments columns of the monthly TIFIA reports to ensure accuracy of the current spreadsheet. If there is a discrepancy identified, the responsible party will research and correct the discrepancy in the TIFIA reports. Going forward, each firm or responsible party will prepare a separate monthly TIFIA report summarizing the activity in the parcels assigned to that firm. The Accounting Department will audit the spreadsheets for accuracy using source documentation, which will be made available to the party responsible for performing the review of the spreadsheets.

Monitoring of Parcel Acquisition

Action Plan Owner/Due Date

Linda Lanosa, Deputy General Counsel / August 2016

Aneth Williams, Manager of Contract Compliance / August 2016

Procurement

Detailed Observations – Processes and Controls

Legal Invoice Review

Observation 2 – Review of Legal Invoices

Relative Priority: *Low*

The Authority's General Counsel reviews invoices for fees billed by external Right of Way legal counsel for accuracy and compliance with contractual terms. Per the terms of the contracts, external legal counsel will not be reimbursed for expenses such as telecopy, local telephone, data processing, courier or other services that would be deemed to be part of the firm's overhead expenses. However, the firm will notify General Counsel of any large copy and print jobs in order for a determination to be made as to how the copying will be handled and expensed.

Monitoring of Parcel Acquisition

Internal Audit testing identified that one external Right of Way counsel billed the Authority for \$1,440 in copy and printing charges without prior authorization by General Counsel. General Counsel reviewed and approved the invoices for payment; however, there was no evidence that the charges were approved prior to being invoiced.

In addition, supporting documentation is required to be provided by external legal counsel for direct costs incurred, such as court reporters and deposition transcripts. Internal Audit testing identified that one external Right of Way counsel billed the Authority for \$1,135 in court reporters and courier costs. However, invoice support was not provided for these costs and the legal invoice was approved for payment.

Procurement

Recommendation

The Legal Department should review the invoices for appropriateness and to (1) ensure that direct costs billed conform to authorized costs detailed in the contract, (2) supporting documentation is provided for direct costs, and (3) each expert invoice is reviewed and approved by external legal counsel. The Finance Department personnel should conduct a secondary review of invoices for supporting documentation and attorney approval while reviewing invoices for rates and personnel assigned.

Continued on the following page....

Detailed Observations – Processes and Controls

Legal Invoice Review

Observation 2 – Review of Legal Invoices (cont.)

Management Response

Management concurs.

Management Action Plan

Legal Department will perform a review of invoices to (1) ensure that direct costs billed conform to authorized costs detailed in the contract and (2) supporting documentation is provided for direct costs.

In addition, the Finance Department will perform a secondary review of the invoices to include the direct costs, supporting documentation, and approval of the expert invoices.

Monitoring of Parcel Acquisition

Action Plan Owner/Due Date

Joe Passiatore, General Counsel / August 2016

Aneth Williams, Manager of Contract Compliance / August 2016

Procurement

Appendix A – Right of Way Legal Counsel Benchmarking

CFX as compared to the counties and cities represented on the Board:

The following benchmarking charts are based on Internal Audit's discussion with members of various tolling authorities, cities and counties. The first chart is a comparison of CFX to other tolling and transportation authorities. The entities selected are a mixture of in-state and out-of-state authorities. The second chart is a comparison of CFX to local (i.e., in-state) cities and counties.

The information presented in the following charts is a broad comparison and is not intended to serve as a scorecard and should not be used to infer conclusions about an entity's legal department. There are numerous qualitative factors that make benchmarking CFX legal counsel to other entities complex. For instance, no two eminent domain cases are alike. Factors that may influence the amount of time and effort required for an eminent domain case, include but are not limited to: the type of taking (whole or partial), the type of property and its current/future use, and the number of owners and ownership interests (e.g., businesses, tenants, etc.). Additionally, another factor is the amount of time available before the acquisition deadline and whether it is possible to negotiate a voluntary purchase with the owner(s). Furthermore, the entity may be required to pay the owner(s) severance damages, relocation fees, and business damages.

Moreover, comparing the size of a legal department across different types of governmental entities is complex. Some entities primarily use in-house legal assistance, some entities use a mixture of in-house and outside counsel, and some primarily use outside counsel. Full-time equivalent (FTE) information, which would be a better representation of time spent by a legal department on eminent domain cases, was not available across entities. As such, the size of the legal department in the following benchmarking charts is presented in total and does not take into account the different types of legal matters that each entity's legal department may encounter (e.g., land use, general administrative issues, ordinances, building and zoning, corrections, etc.).

CFX has increased the use of eminent domain cases due to significant growth in Central Florida, the need for additional roadways, and specific deadlines mandated by the Transportation Infrastructure Finance and Innovation Act (TIFIA) loan that was used to help finance the roadway expansion. In comparison, CFX has increased usage of eminent domain cases whereas other governmental entities may not have experienced the same need for land acquisition through eminent domain.

Appendix A – Right of Way Legal Counsel Benchmarking (cont.)

CFX as compared to other tolling and transportation authorities in the United States:

The North Texas Tolling Authority and Harris County (Texas) Tolling Authority were selected based on the size similarity to CFX. Additionally, the Florida Turnpike Enterprise, Tampa Hillsborough Expressway and Miami Dade Expressway were selected because they are local (i.e., in-state) entities. The North Carolina Turnpike was selected as an additional comparison point although structure differs from CFX. North Carolina Turnpike is part of the North Carolina Department of Transportation (NCDOT), which is responsible for building, repairing and operating all roadways in North Carolina.

	CFX	North Carolina Turnpike*	North Texas Tolling Authority	Harris County (Texas) Tolling Authority	Florida Turnpike Enterprise	Tampa Hillsborough Expressway	Miami Dade Expressway
Size of Legal Department	2	31	2	2	1	1	2
Counsel Used	Primarily Outsourced	In-House	Outsourced	In-House (unless litigation required)	In-House	In-House (unless litigation required)	Outsourced
Qualitative Factors	Extensive eminent domain litigation mainly through the use of outside counsel. TIFIA loan requirements require strict timelines for acquisition.	The North Carolina Turnpike is part of the NCDOT and conducts extensive ROW acquisition. Nineteen (19) attorneys assigned to ROW division.	No ROW acquisition currently.	Irregular ROW acquisition. Covered by County's legal department. Additionally, Harris County has a separate Right of Way department with 15 full-time staff members.	Extensive ROW acquisition. Agency is part of Florida Department of Transportation and utilizes their legal resources in addition to one resource on-staff.	Very few ROW parcels acquired and most acquired through negotiated settlement.	Beginning a large project, but irregular ROW acquisition in the past. Agency policy encouraging negotiated purchase.

The above data is based on unaudited information provided to Internal Audit by the agencies. Comparable agencies were judgmentally selected by Internal Audit during the planning phase of the audit.

Appendix A – Right of Way Legal Counsel Benchmarking (cont.)

CFX as compared to the counties and cities represented on the Board:

In general, the Authority's decision to primarily outsource appears in line with the other county (Osceola) that is most similar to it in terms of size of legal department and spend. Lake County keeps ROW in house and has a legal department that is similar in size, but spend is significantly less than the Authority's and the complexity of acquisitions is less, as approximately 88% of parcels acquired through negotiated sale prior to eminent domain. The City and the counties with larger in-house staff tend to keep ROW acquisition in-house.

	CFX	Osceola County	City of Orlando	Seminole County	Lake County	Orange County
Size of Legal Department	2	4	30	13	3	16
Counsel Used for ROW	Primarily Outsourced	Outsourced	In-House (unless litigation required)	In-House (unless litigation required)	In-House	In-House
Qualitative Factors	Extensive eminent domain litigation mainly through the use of outside counsel. TIFIA loan requirements require strict timelines for acquisition.	Very irregular and infrequent eminent domain.	Regular ROW acquisition, but filing eminent domain action is irregular. One of the attorneys in the City Attorney's Office has substantial eminent domain experience. Additionally, the City's legal department handles a variety of legal matters including police cases.	Infrequent ROW acquisition.	Approximately 20 parcels purchased annually. Approximately 3 parcels involved eminent domain action with no trials.	Few parcels acquired.

The above data is based on unaudited information provided to Internal Audit by the entities identified.

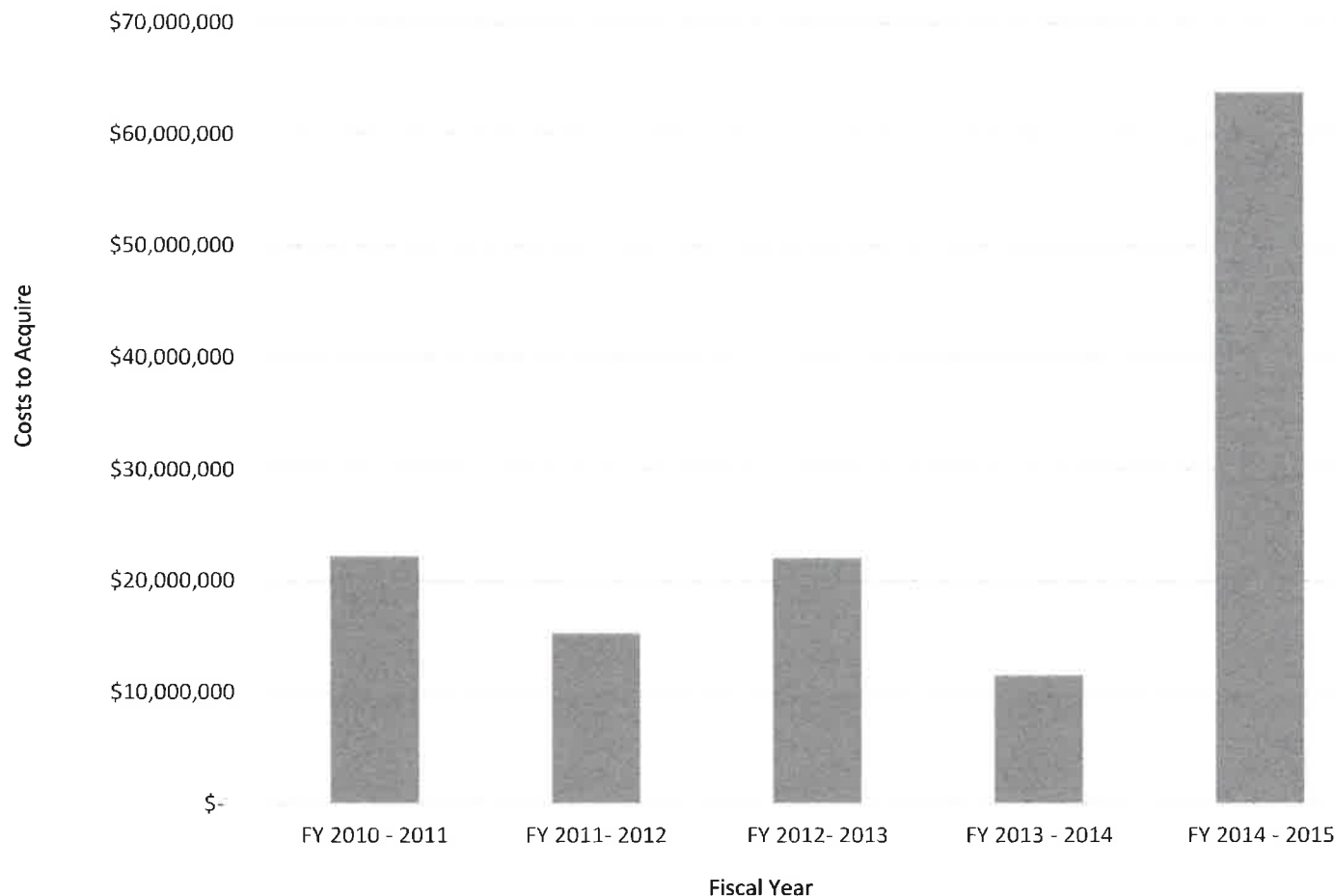
Appendix B – Benchmarking of Evaluation Criteria – ROW Legal Counsel

RFP EVALUATION METRIC USED (Evaluated over a total of 100 points)	CFX*	ORANGE COUNTY, CITY OF ORLANDO, OSCEOLA COUNTY, SEMINOLE COUNTY AND LAKE COUNTY	OTHER TOLLING AND TRANSPORTATION AUTHORITIES
Eminent Domain/Trial Experience	25	20-45	30-90
Local Staff Qualifications	30	20 - 40	10 - 40
Mitigation Approach	25	20 - 25	20 - 30
Cost Proposal	15	20 - 25	-
Minority/Women Business Enterprise Participation	-	10 - 20	0 - 10

* The Authority's Scoring Metric was last used during the 2015 Right of Way RFP process.

Appendix C - ROW Acquisition Costs by Fiscal Year*

ROW acquisition costs include cost of the parcel, legal and expert fees of both owner and the Authority. The below data includes all spend from 2010 through 2015, including parcels for which Final Judgment has not been entered, but deposits have been paid in the amount of the Authority's appraisal and legal and expert fees for all parcels regardless of completion.



*As recorded by the Central Florida Expressway Authority in the Comprehensive Annual Financial Report (CAFR).

Appendix D – Key Controls

The following key controls were identified by Internal Audit as part of the Right of Way audit:

Legal Invoice Review

1. CFX reviews legal invoices and checks rates, description of services, and expenses billed before approving and processing for payment.
2. General Counsel reviews invoices for obvious duplication of tasks or high volume of hours.
3. General Counsel reviews invoices submitted by outsourced legal counsel for activities being billed and the appropriateness of billings related to the status and strategy of the case.

Monitoring of Parcel Acquisition

4. Deputy General Counsel receives and reviews legal filings of outsourced counsel.
5. ROW Committee must approve all ROW acquisitions settlements before the Board approves payment, with the exception of those cases decided at trial.
6. The Board provides the authorization to initiate eminent domain proceedings.
7. ROW Committee oversees and directs CFX delegation of authority to make offers, negotiate settlements, approve mediation and condemnation settlements.
8. ROW Legal Counsel is responsible for all legal matters pertaining to the property acquisition process, including but not limited to preparing, filing, and prosecuting eminent domain proceedings (upon approval of CFX).
9. ROW Legal Counsel, with oversight from General Counsel's office, is responsible for negotiating settlement agreements achieved after the filing of eminent domain proceedings, and will be responsible for securing approvals of such settlements, through the Right of Way Committee and Board.

Appendix D – Key Controls

The following key controls were identified by Internal Audit as part of the Right of Way audit:

Procurement

10. Requests for proposal (RFPs) require specific levels of experience for any firms applying and specifically related to the practice of eminent domain.
11. CFX has developed a Procurement Policy which is available online, as well as a Procurement Procedure Manual. The policy is reviewed and updated at least every two years, and the Procurement Procedure Manual is updated annually.
12. CFX's Procurement Policy and Procurement Procedure Manual establishes a procurement authorization matrix which indicate the level of approval/authorization required at each threshold, as well as number of bids required for each process.
13. Shortlisted firms are interviewed and scored by the Committee members based on technical criteria.
14. Contracts for goods and services shall not exceed an initial term of five years. A renewal clause extending the term for up to five one-year periods may be provided.
15. Standard Authority forms for contracts, amendments, supplemental agreements, renewal and similar documents shall be developed and used whenever possible. It is recognized that, due to their specialized nature, agreements with other governmental agencies, certain services providers and financial institutions preclude the use of such standard documents by the General Counsel must be received prior to their execution.
16. The Authority uses a "Request for Proposal" process which details the work to be performed and qualifications required from responding firms.
17. It is typically the Authority's desire to obtain at least three competitive bids or proposals for each new project; however, it is not required. In the instances where less than three bids are received a document is completed by the Director of Procurement to document the award decision (if applicable).
18. Except for Emergency Purchases, all contracts, supplemental agreements, amendments, purchase orders and contract renewals obligating the Authority to an amount of \$50,000 or more shall have the prior approval of the Authority's Board of Directors.
19. Members of the Authority's Evaluation Committee will be required to complete a disclosure form, in compliance with the Authority's Ethics Policy, identifying any potential conflict of interest and certifying that no outside relationship exists that would adversely affect the member's judgment while serving on the Committee.
20. Price proposals are opened by the Committee following the completion of the technical proposal scoring. A predefined scoring criteria is used to evaluate the price proposals and included in the Scoring Summary Form. The price scores are added to the technical scores for the final ranking based on points.



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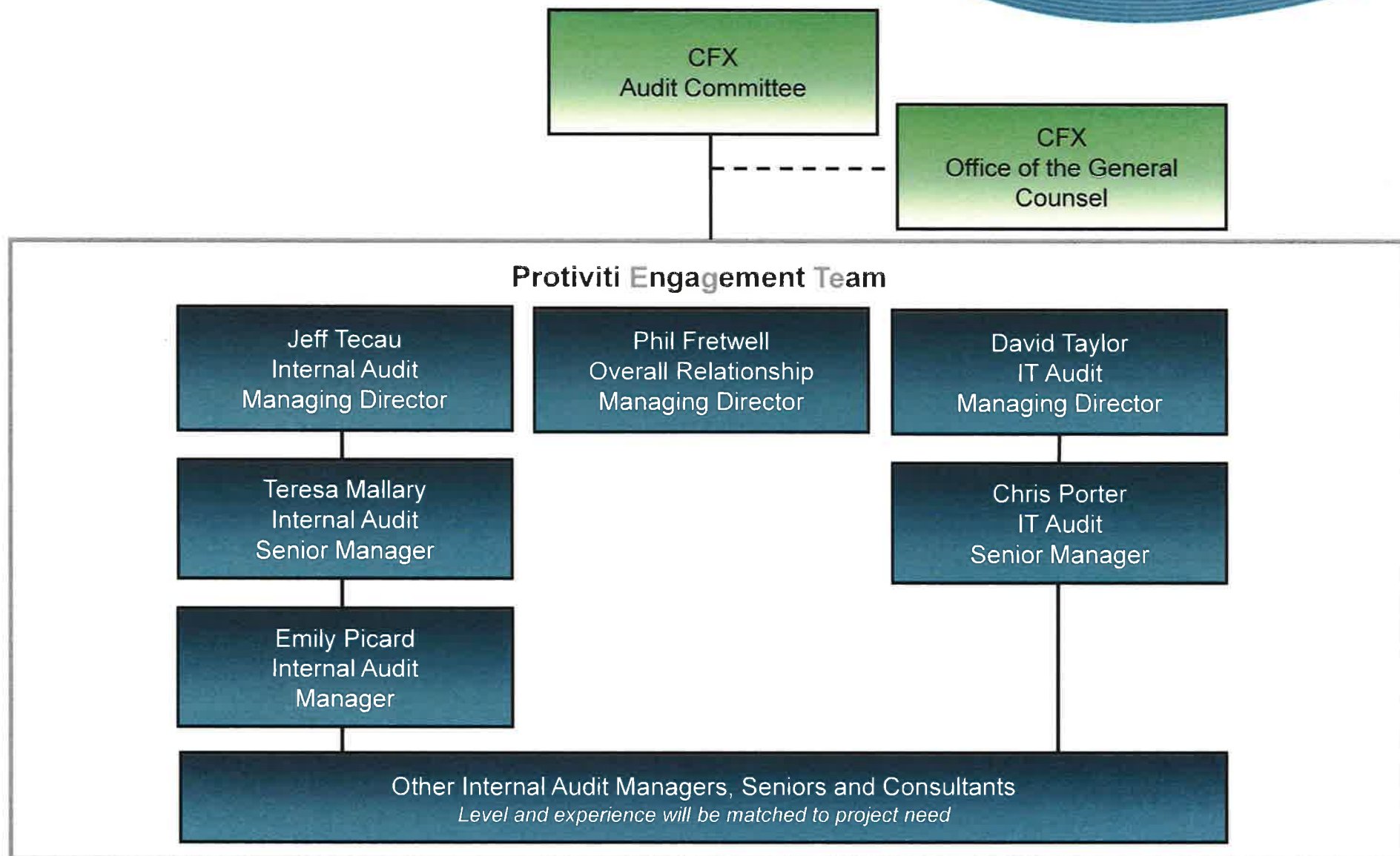


Internal Audit Plan For the Fiscal Year Ending June 30, 2017

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Your Internal Audit Team



Background

A risk assessment is a critical element of a high-quality Internal Audit department's responsibility and provides the opportunity to be "front and center" with senior leadership as a strategic partner in the review and management of key business risks. The objective of the fiscal 2017 risk assessment was to identify and prioritize key areas of risk within the Authority to consider in designing the fiscal 2017 Internal Audit plan. The approach utilized in conducting the fiscal 2017 risk assessment and in developing the fiscal 2017 Internal Audit plan is depicted below. Risk assessment results are included in Appendix B.



Identify Key Areas of Risk to be Assessed

- Confirm and update prior year risk areas based upon review of prior year work papers, audit results, and discussions with senior management and the Board
- Determine preliminary risk ratings based upon prior year results



Assess & Prioritize Areas of Risk

- Conduct interviews with senior management and the Board to confirm and validate the current enterprise risk model to gain additional insight around risk trending, key changes in the organization, and key initiatives
- Aggregate and compile resulting information
- Provide a graphical representation of enterprise risks on a risk heat map to prioritize areas of risk



Select Focus Areas

- Evaluate the prioritized enterprise risks and management commentary to determine Internal Audit focus areas for fiscal year 2017
- Develop and define a preliminary listing of proposed Internal Audit projects to address the areas of focus



Develop & Approve Audit Plan

- Establish high-level scoping statements and levels of effort for proposed projects
- Finalize budget allotments and propose projects for Audit Committee approval
- Finalize proposed timing for selected projects
- Finalize Internal Audit plan and obtain Audit Committee approval

Interview List

The following thirty (30) individuals were interviewed to gather information to develop the fiscal year 2017 Internal Audit plan:

<u>Name</u>	<u>Title</u>	<u>Name</u>	<u>Title</u>
Commissioner Welton Cadwell	Board Chairman	Joe Passiatore	General Counsel
Commissioner Scott Boyd	Board Vice-chairman	Linda Lanosa	Deputy General Counsel
Commissioner Brenda Carey	Board Secretary/Treasurer	Claude Miller	Director of Maintenance
Mayor Buddy Dyer	Board Member	David Wynne	Director of Toll Operations
Commissioner Fred Hawkins Jr.	Board Member	Ben Dreiling	Director of Construction
Mayor Teresa Jacobs	Board Member	Joann Chizlett	Director of IT Special Projects
Andria Herr	Board Member	Glenn Pressimone	Director of Engineering
Jay Madara	Board Member	Iranetta Dennis	Director of Supplier Diversity
S. Michael Scheeringa	Board Member	Rene Rodrigue	Director of Information Technology
Brian Battles	Audit Committee Chairman	Evelyn Wilson	Director of Human Resources
Laura Kelley	Executive Director	Michael Carlisle	Manager of Accounting and Finance
Joe Berenis	Chief of Infrastructure	Don Budnovich	Resident Engineer/Sr. Project Manager
Corey Quinn	Chief of Technology/Operations	Dan Goff	Vendor; AECOM Project Manager
Lisa Lumbard	Chief Financial Officer	Allie Braswell	Vendor; Egis EPASS Project Manager
Michelle Maikisch	Chief of Staff and Public Relations	Brent Wilder	Vendor; PFM Financial Advisor

Internal Audit Spend Benchmarks

There are several qualitative factors to consider when evaluating the level of Internal Audit resources. Below are statistics from the Institute of Internal Audit (IIA) 2015 Global Audit Information Network (GAIN) Benchmarking Study for the Transportation Industry to use as a starting point and key factors to consider, based on specific needs and circumstances.

IIA Benchmark Size of Company	IIA Benchmark Average	CFX FY 2015	IIA Benchmark Average Audit Staff	IIA Benchmark Average IA Cost as % of Revenue	Average Internal Audit Cost (Calculated)
Revenues < \$500M	\$382M	\$359M	3.80	0.1133%	\$407K
Assets \$1B - \$5B	\$2.74B	\$4.4B	7.19	0.0412%	\$1.8M

Average IA Spend

Factors	Average IA Spend	
	Lowers Resource Need	Increases Resource Need
Number of Locations	Few locations	Significant number of locations
Degree of Centralization	Highly centralized	Decentralized
Control Environment	Strong internal control environment	Poor internal control environment
Maturity of Business Processes	Optimized processes	Ad-hoc processes
Audit Scope / Board & Mgt Needs	Limited scope	Expansive scope
Degree of Change in the Business	Low degree of change	High degree of change
Board's Risk Tolerance	High risk tolerance	Low risk tolerance
Regulations	Low	High






3 Year Internal Audit Plan

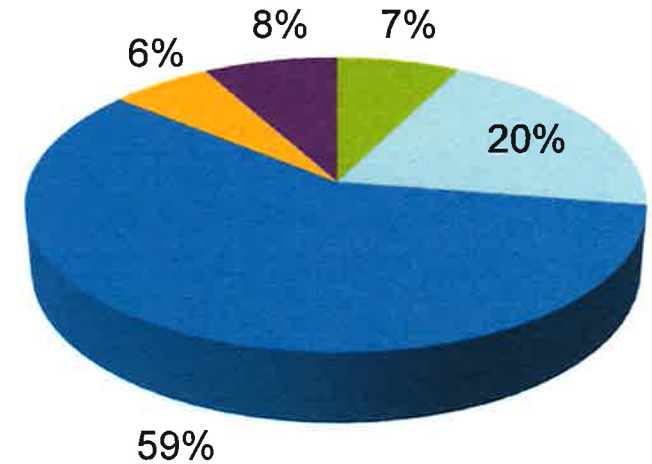
			Audit Plan Year		
Description	Frequency	Date Last Performed	2017	2018	2019
Annual Internal Audits					
Internal Audit Plan and Risk Assessment	Annual	2016	\$ 25,000	\$ 25,000	\$ 25,000
Board and Audit Committee Meetings	Annual	2016	\$ 15,000	\$ 15,000	\$ 15,000
Prior Year Recommendations: Semi-Annual Follow-up	Annual	2016	\$ 12,000	\$ 12,000	\$ 12,000
Procurement & Contract Billing Audits	Annual	2016	\$ 40,000	\$ 40,000	\$ 40,000
DHSMV Data Security Assessment	Annual	2016	\$ 25,000	\$ 25,000	\$ 25,000
Contingency for Special Project Requests	Annual	N/A	\$ 25,000	\$ 25,000	\$ 25,000
		Total	\$ 142,000	\$ 142,000	\$ 142,000
Cyclical Audits					
Purchasing Spend Data Audit	5 Year Cycle	2010	\$ 35,000		
Accounting System Access and SOD Review	5 Year Cycle	2011	\$ 25,000		
Human Resources Process Review	5 Year Cycle	2011	\$ 25,000		
Business Continuity Management Review	5 Year Cycle	N/A	\$ 32,000		
Information Security Risk Assessment - Phase I	3 Year Cycle	N/A	\$ 25,000	X	
Toll Violations and Toll-by-Plate Audit	5 Year Cycle	2012		X	
Ethics Policy Compliance Audit	3 Year Cycle	2015		X	
IT General Controls Review	3 Year Cycle	N/A		X	
Bond Financing Review	3 Year Cycle	2016			X
Toll Revenue Audit	3 Year Cycle	2016			X
Sensitive Data / Data Management Review	5 Year Cycle	2014			X
Safety and Maintenance Policy and Procedures Compliance Audit	5 Year Cycle	2014			X
PCard and Gas Card Audit	5 Year Cycle	N/A			X
COSO 2013 Governance Review	5 Year Cycle	2015			X
Right of Way Audit	5 Year Cycle	2016			X
As Needed Audits					
Public Records Review	As Needed	N/A	\$ 30,000		
Change Management Review – Tolling System Replacement	As Needed	2016 - Phase I	\$ 50,000		
Customer Service Center Performance Assessment	As Needed	2015	\$ 48,000		
Call Center Staffing Model Development	As Needed	N/A	\$ 15,000		
Vendor Security Review	As Needed	N/A	\$ 48,000		
Discount/Rebate Program Audit	As Needed	N/A	\$ 24,000		
Internal Penetration Test	As Needed	N/A		X	
ISO 27001 Information Security Review	As Needed	N/A		X	
Swaps Review	As Needed	N/A		X	
TRAILS Program Review	As Needed	N/A		X	
IT Service Management Review	As Needed	N/A			X
Customer Service Management and Lane Scheduling Review	As Needed	N/A			X
		Grand Total	\$ 499,000	TBD	TBD
PCI Assessment					
PCI Assessment with Report on Compliance	Annual	2015	\$ 65,000	\$ 65,000	\$ 65,000

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FY 2017 Internal Audit Coverage

	Strategic & Governance	Budget Allocation	Frequency
	• Fiscal 2018 Internal Audit Plan and Risk Assessment	\$25,000	Annual
	• Prior Audit Recommendations: Semi-Annual Follow-up	\$12,000	Annual
	Financial		
	• Procurement & Contract Billing Audits	\$40,000	Annual
	• Purchasing Spend Data Audit	\$35,000	5 Year Cycle
	• Accounting System Access and SOD Review	\$25,000	5 Year Cycle
	Operations & IT		
	• DHSMV Data Security Assessment	\$25,000	Annual
	• Human Resources Process Review	\$25,000	5 Year Cycle
	• Business Continuity Management Review	\$32,000	5 Year Cycle
	• Information Security Risk Assessment – Phase I	\$25,000	3 Year Cycle
	• Change Management Review – Tolling System Replacement	\$50,000	As Needed
	• Customer Service Center Performance Assessment	\$48,000	As Needed
	• Call Center Staffing Model Development	\$15,000	As Needed
	• Vendor Security Review	\$48,000	As Needed
	• Discount/Rebate Program Audit	\$24,000	As Needed
	Regulatory & Compliance		
	• Public Records Review	\$30,000	As Needed
	Other		
	• Board and Audit Committee Meetings	\$15,000	Annual
	• Contingency for Special Project Requests	\$25,000	Annual
Total Internal Audit Budget		\$499,000	
PCI Assessment with Report on Compliance*		\$65,000*	Annual
GRAND TOTAL		\$564,000	



* The PCI Assessment is a separate contract and is not included in the Internal Audit contract

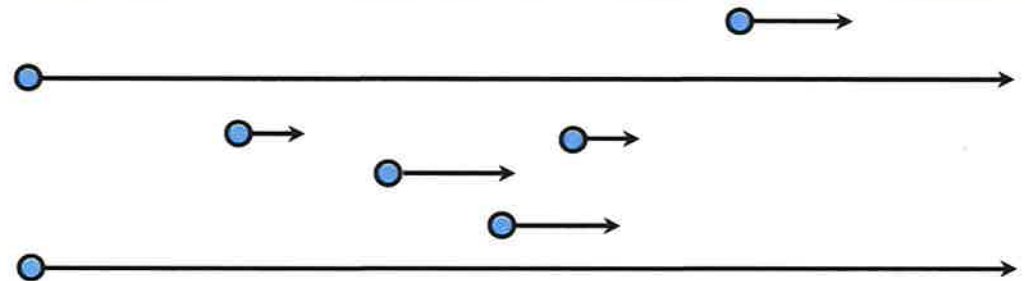
Internal Audit Timeline

FY 2017 Estimated Project Timeline

July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June
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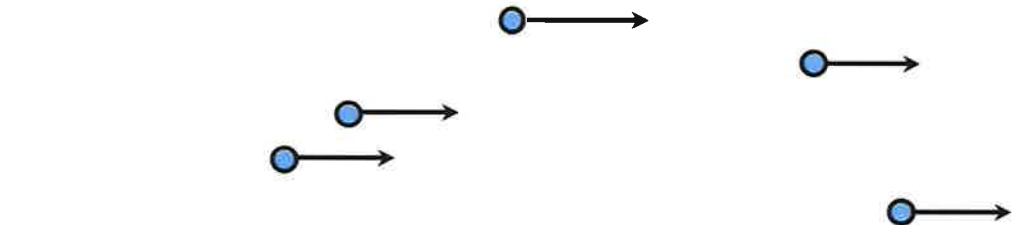
Annual Audits

1. Internal Audit Plan and Risk Assessment
2. Board and Audit Committee Meetings
3. Prior Audit Recommendations: Semi-Annual Follow-Up
4. Procurement & Contract Billing Audits
5. DHSMV Data Security Assessment
6. Contingency for Special Project Requests



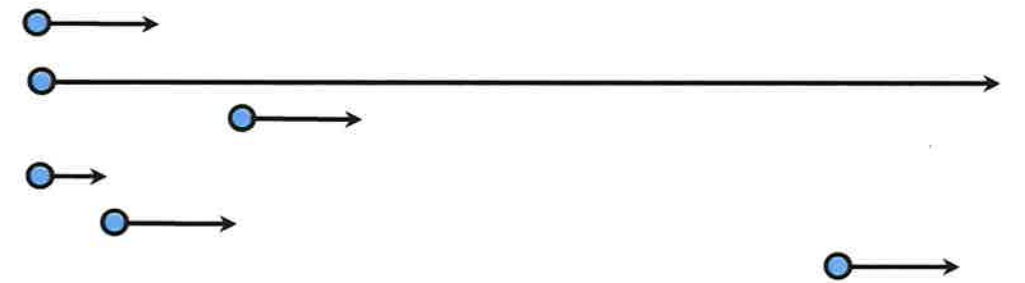
Cyclical Audits

6. Purchasing Spend Data Audit
7. Accounting System and SOD Review
8. Human Resources Process Review
9. Business Continuity Management Review
10. Information Security Risk Assessment – Phase I



As Needed Audits

11. Public Records Review
12. Change Management Review – Tolling System Replacement
13. Customer Service Center Performance Assessment
14. Call Center Staffing Model Development
15. Vendor Security Review
16. Discount / Rebate Program Audit



PCI Assessment

17. PCI Assessment with Report on Compliance



FY 2017 Internal Audit Project Descriptions

#	Project	Project Description	Risks	Estimated Cost
1	Internal Audit Plan and Risk Assessment (Annual)	We will conduct a risk assessment to highlight the Authority's current year risk profile, to identify risk trends, and to form the foundation for the fiscal year 2017/2018 Internal Audit Plan. In addition, we will conduct the annual review of the completeness of the fraud risk universe and annual refresh of the fraud risk assessment. The information and findings will be utilized to develop the 2017/2018 Internal Audit plan, with a focus on addressing opportunities identified during the risk assessment process.	Strategic Planning Fraud Governance	\$25,000
2	Board and Audit Committee Meetings (Annual)	Protiviti will attend Board meetings and prepare for and present at all Audit Committee meetings during fiscal year 2017. This includes document preparation time and preparation time with management and the Audit Committee in advance of meetings.	Governance	\$15,000
3	Prior Audit Recommendations: Semi-Annual Follow-up (Annual)	This work will focus on semi-annual follow-up on the status of all OPEN action plans from prior year audits. In addition, internal audit will consider re-auditing closed recommendations for selected areas from prior year audits as requested by management or the Audit Committee.	Governance	\$12,000
4	Procurement & Contract Billing Audits (Annual)	This audit will encompass a selection of 2 or 3 large engineering, construction, maintenance, operations, or legal contracts on an annual, rotational basis, with the objective of verifying that internal controls are in place to ensure work performed under large contracts has been billed in accordance with contractual terms and conditions. The work will include testing pricing and hours worked for accuracy and validity, testing invoice approvals, testing vendor compliance with other contractual obligations, using data analytics to identify high risk vendors and/or change orders, and review of other key data points.	Contract Management Contract Performance Reporting Cost Containment Procurement and Vendor Selection	\$40,000
5	DHSMV Data Security Assessment (Annual)	The objective of this assessment is to review internal controls for gaps in design related to the requirements set forth in the DHSMV Drivers License or Motor Vehicle Record Data Exchange Memorandum of Understanding (MOU), Section V – Safeguarding Information.	Cyber Security Data Security	\$25,000
6	Contingency for Special Project Requests (Annual)	Contingency in Internal Audit budget for special project requests of 4% of the overall budget.	Various	\$25,000
7	Purchasing Spend Data Audit (Cyclical)	This review will focus on a 100% interrogation of spending data over a 3 year history to identify opportunities for recovery such as vendor overpayments, unused vendor credits, etc. We will use our proprietary tools to review the Authority's detailed spend data for areas of leakage and audit against contracts and other available information as red flags are identified. As a side benefit to any actual recoveries, we will also focus on identifying potential frauds, root causes and process improvement opportunities.	Cost Containment Fraud Procurement and Vendor Selection	\$35,000

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FY 2017 Internal Audit Project Descriptions

#	Project	Project Description	Risks	Estimated Cost
7	Accounting System Access and Segregation of Duties Review (Cyclical)	The financial close and related accounting processes will be reviewed for appropriate segregation of duties among Authority personnel. Protiviti-developed tools will be leveraged to verify segregation for each key accounting cycle around the following: Physical custody of assets, adjustments to accounting records, approvals of accounting transactions, and review responsibilities. In addition, we will also review access rights within the Eden financial package to verify system access restrictions appropriately support segregation of duties and to identify segregation of duties conflicts within the system. We will recommend compensating monitoring controls to the extent necessary.	Financial Reporting Fraud	\$25,000
8	Human Resources Process Review (Cyclical)	During the Human Resource Process review, we will evaluate the Human Resource process, policies, procedures and related internal controls. The review may include recruiting and hiring; training; performance evaluations; performance, reward, and recognition; and employee terminations. The HR process and controls will be reviewed for compliance with policies and comparison to leading HR practices. Lastly, the succession planning strategy will be reviewed and compared to leading practices.	Human Resources Succession Planning	\$25,000
9	Business Continuity Management Review (Cyclical)	This review will focus on how the Authority manages Business Continuity, including IT Disaster Recovery plans and Crisis Management. The review will include an assessment of the documented plans as well as the foundational efforts that were performed to create them (such as a Business Impact Analysis).	Business Continuity	\$32,000
10	Information Security Risk Assessment – Phase I	Protiviti will conduct a risk assessment of CFX's IT function that will identify asset groupings within the environment and assign them a value so that Management may prioritize in what order to address risks posed to them. This value is based on the likelihood and potential impact of threats posed to these assets, the vulnerabilities they have, and the safeguards surrounding them. This project will be conducted in two phases, Phase I taking place in FY 2017.	Cyber Security Data Security	\$25,000
11	Public Records Review (One-Time)	This review will focus on the Authority's records management processes and policies to comply with public records laws. Data retention surrounding electronic communications via email, mobile, and voice mail will also be reviewed to identify the technology needed to assist with capturing and retaining data from such communication. Additionally, we will review documentation retention schedules specific to document classification (different types must be kept for a different lengths of time) for consistency with rules established by the Florida Secretary of State.	Records Management	\$30,000

FY 2017 Internal Audit Project Descriptions

#	Project	Project Description	Risks	Estimated Cost
12	Change Management Review –Tolling System Replacement (One-Time)	The Authority is in the process of replacing the toll plaza collection system. An access control review will be conducted on the Law Enforcement Notification System ("LENS") component of this replacement. Additionally, network vulnerability scans may be conducted on systems that have completed Factory Acceptance Testing but have not been put into production. This will allow Internal Audit to identify potential vulnerabilities to systems prior to their deployment so they may be remediated.	IT Change Management Toll Collections	\$50,000
13	Customer Service Center Performance Assessment (One-Time)	If the Authority does not move to a centralized customer contact center back office, Protiviti subject matter experts will conduct a re-audit of the contact center to follow-up on an audit conducted during fiscal 2015. A new vendor is overseeing the operation as of July 1, 2015. The re-audit will involve a deep-dive review of progress toward the implementation of each prior audit recommendation as well as a comparison of the new vendor's performance against benchmarks and other leading practices through silent observations of calls and statistical analysis to extrapolate results.	Back Office Consolidation Cost Containment Customer Satisfaction Public Relations	\$48,000
14	Call Center Staffing Model Development (One-Time)	CFX has asked for assistance with an independent analysis of their call center staffing numbers and for help forecasting agent needs to assist them with managing their vendor contract and higher than normal call volumes that are exceeding their current contract limitations.	Cost Containment Customer Satisfaction Public Relations	\$15,000
15	Vendor Security Review (One-Time)	This review will assess the security of vendor IT connections that come into the Authority's environment, as well as the design and operating effectiveness of the security configurations and controls that surround the Authority's data within vendor's environments.	Cyber Security Data Security	\$48,000
16	Discount/Rebate Program Audit	Given recent changes to provide more volume discounts to riders as a relief measure with the interstate construction project underway in addition to the new marketing initiatives underway, rebates and volume discounts continue to increase. This project would involve an audit of rebates and volume discount programs for completeness and accuracy and a review of the policies and procedures in place to manage the process.	Toll Collections Toll Discounts/Rebates	\$24,000

FY 2017 PCI Assessment

#	Project	Project Description	Risks	Estimated Cost
1	PCI Assessment with Report on Compliance	This project will be to fully test the Authority's compliance with the PCI Data Security Standard, (PCI-DSS) version 3.1 and issue a Report on Compliance (ROC). The testing will cover all twelve sections of the PCI-DSS.	IT Security	\$65,000



Appendix A

Internal Audit Charter

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Internal Audit Charter

Central Florida Expressway Authority Fiscal 2017 Internal Audit Department Charter

**Proposed changes to Fiscal 2017 Internal Audit Charter are italicized*

MISSION

The mission of the internal audit department is to provide the Authority Board with unbiased, objective assessments of whether Expressway resources are responsibly and effectively managed to achieve intended results.

PURPOSE

Internal audit's purpose is to add value, improve operations, and enhance transparency. It helps the Expressway accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes.

INDEPENDENCE

The Internal Auditor is appointed by the Expressway Authority Board, and reports to them through the Audit Committee. The role of the Internal Auditor may be filled by an outside firm that provides internal audit services to the Expressway Authority on an outsourced basis. For administrative purposes, the Internal Auditor reports to the Office of the General Counsel. To ensure independence, the internal audit function has no direct responsibility or any authority over any of the activities or operation of the Expressway. *The Internal Auditor will exhibit the highest level of professional objectivity in gathering, evaluating, and communicating information about the activity or process being examined. The Internal Auditor will make a balanced assessment of all the relevant circumstances and not be unduly influenced by their own interests or by others in forming judgments.*

Internal Audit Charter

AUTHORITY

Everything the Expressway Authority does is subject to assessment by internal audit. Internal Audit shall have full, free, and unrestricted access to all activities, records, properties and personnel. The Internal Auditor shall have direct and independent access to the Audit Committee and individually to members of the Audit Committee. The internal audit department is authorized to allocate resources, set frequencies, select subjects, determine scopes of work for projects as approved by the Audit Committee, and apply the techniques required to accomplish audit objectives. In addition, the Internal Auditor may obtain the necessary assistance of personnel in units of the organization where they perform audits, as well as other specialized services from within or outside the organization, as approved by the Audit Committee.

SCOPE

Management is responsible for establishing and maintaining risk management, control, and governance processes. The scope of work of internal audit is to determine whether management's processes are adequate and functioning in a manner to ensure:

- Risks are appropriately identified and managed.
- Interaction with the various governance groups occurs as needed.
- Significant financial, managerial, and operating information is relevant, reliable and understandable.
- Employee actions comply with policies, standards, procedures, and applicable laws and regulations.
- Resources are acquired economically, used efficiently, and adequately protected.
- Programs, plans, and objectives are achieved.
- Quality and continuous improvement are fostered in control processes.
- Significant legislative or regulatory issues are recognized and addressed properly.

Internal Audit Charter

RESPONSIBILITY

The internal audit department's responsibility includes, but is not limited to:

- Develop a flexible annual audit plan using appropriate risk-based methodology, including any risks or control concerns identified by management, and submit that plan to the Audit Committee for review and approval.
- Implement the annual audit plan, as approved, including, and as appropriate, any special tasks or projects requested by management and the Audit Committee.
- Maintain a professional audit staff with sufficient knowledge, skills, experience, and professional certifications to meet the requirements of this Charter.
- Establish a quality assurance program by which the Internal Auditor assures the operation of internal auditing activities.
- Perform consulting services, beyond internal audit's assurance services, to assist management in meeting its objectives. Examples may include facilitation, process design, training, and advisory services.
- Evaluate and assess significant merging/consolidating functions and new or changing services, processes, operations, and control processes coincident with their development, implementation, and/or expansion.
- Issue periodic reports to the Audit Committee and management summarizing results of audit activities as well as results of internal and external assessments conducted in association with the Quality Assurance and Improvement Program.
- Keep the Audit Committee informed of emerging trends and successful practices in internal auditing.
- Provide a list of significant measurement goals and results to the Audit Committee.
- Assist in the investigation of significant suspected fraudulent activities within the organization and notify management and the Audit Committee of the results.
- Consider the scope of work of the external auditors and regulators, as appropriate, for the purpose of providing optimal audit coverage to the organization at a reasonable overall cost.

Internal Audit Charter

STANDARDS & PROFESSIONALISM

Internal audit shall comply with the International Standards for the Professional Practice of Internal Auditing of The Institute of Internal Auditors. Consistent with the IIA Standards, internal audit recognizes the mandatory nature of the Definition of Internal Auditing, the Code of Ethics, and the IIA Standards. *In addition, the internal audit activity will adhere to the Expressway's relevant policies and procedures and the internal audit activity's standard operating procedures manual.*



Appendix B

Enterprise Risk Assessment

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Enterprise Risk Assessment

To assist with the development of the fiscal 2017 Internal Audit Plan, Internal Audit used prior years' risk models and risk trending data as the starting point for discussions with the Board and management. Internal Audit asked the Board and management to consider the current business environment, critical business initiatives, and prior year audit results to provide input on which risks warranted the most focus in today's environment. In addition, management was asked to identify any new risks that may not have been considered in past years for inclusion in the current risk model.

Internal Audit utilized the aggregated input obtained during interviews with the Board and management and from risk surveys of management to develop a list of potential internal audit projects for fiscal 2017, with the objective being to help the Audit Committee and management mitigate areas of highest residual risk, monitor areas of high inherent risk, or to mitigate areas where risks are trending higher.

Risk is defined as follows:

Risk:

- Is the possibility of an event occurring that will have a negative impact on the achievement of goals and objectives and could also include the cost of missing an opportunity.

Inherent Risk:

- Is the amount of risk to the business given the environment in which it operates, without considering the application of controls. The risks identified on the following page represent the risk areas deemed most important for the Authority to manage and control in order to achieve its goals and objectives.

Residual Risk:

- Is the amount of risk remaining after the application of management controls. Residual risk was judgmentally considered for purposes of this fiscal 2017 audit plan in the selection of potential projects for inclusion in the plan. The results of the residual risk assessment are depicted via the Enterprise Risk Map on the following pages.

CFX Risk Model

Strategic & Governance

- **Strategic Planning**
- Regulatory Changes
- **Governance**
- Communication
- **Back Office Consolidation**
- **Public Relations**
- Organization Structure
- Statewide Interoperability
- Political Environment
- Leadership
- National Interoperability
- Asset & Liability Transfer Risk*
- **Succession Planning**
- Access to Capital
- Ethical Compliance
- Outsourcing
- Toll Rate Management

Financial

- **Financial Reporting**
- **Cost Containment**
- Management Performance Reporting
- **Fraud**
- Bond Financing / Covenant Compliance
- Swap Pricing*
- **Contract Performance Reporting**
- **Procurement and Vendor Selection**
- Right of Way
- Cash Handling
- Treasury and Liquidity Management

Operations & IT

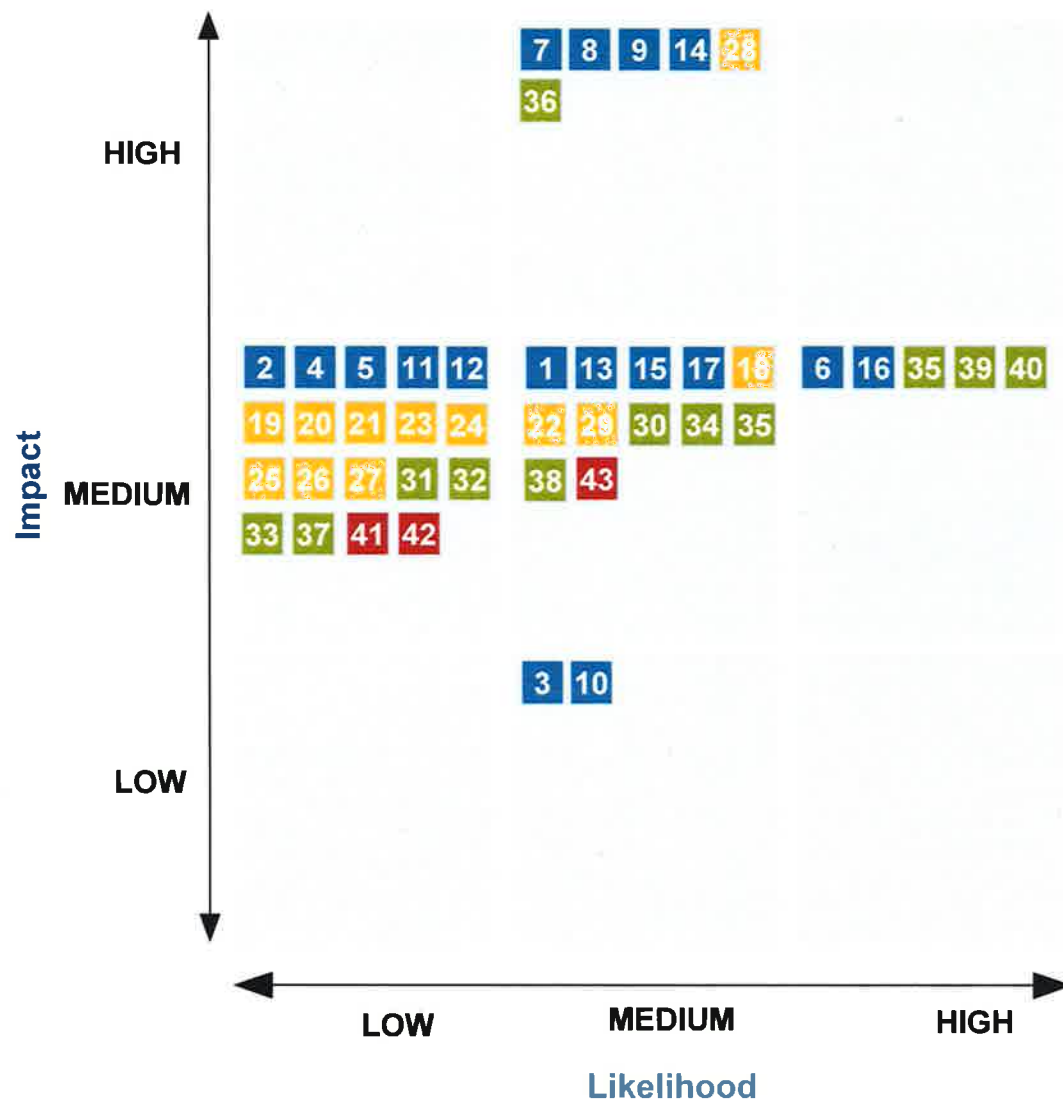
- Toll Violations
- **Toll Collections**
- **Data Security***
- IT Applications
- **Human Resources**
- **Customer Satisfaction**
- **IT Infrastructure / Business Continuity**
- **Cyber Security***
- **Toll Discounts/Rebates***
- **IT Change Management**
- Insurance Coverage

Regulatory & Compliance

- Maintenance & Safety
- **Records Management**
- **Contract Management**

* Represents new risks added for FY 2017. **Bold** represents risks addressed by FY 2017 Internal Audit plan.

Enterprise Risk Map – Residual Risk



STRATEGIC & GOVERNANCE

- 1 Strategic Planning
- 2 Organization Structure
- 3 Succession Planning
- 4 Regulatory Changes
- 5 Access to Capital
- 6 Statewide Interoperability
- 7 Governance
- 8 Political Environment
- 9 Ethical Compliance
- 10 Communication
- 11 Leadership
- 12 Outsourcing
- 13 Public Relations
- 14 Back Office Consolidation
- 15 National Interoperability
- 16 Toll Rate Management
- 17 Asset & Liability Transfer Risk

OPERATIONS & IT

- 30 Toll Violations
- 31 Toll Collections
- 32 IT Application Controls
- 33 Human Resources
- 34 IT Infrastructure/Business Continuity
- 35 Cyber Security
- 36 IT Change Management
- 37 Insurance Coverage
- 38 Customer Satisfaction
- 39 Data Security
- 40 Toll Discounts/Rebates

REGULATORY & COMPLIANCE

- 41 Contract Management
- 42 Maintenance and Safety
- 43 Records Management

FINANCIAL

- 18 Bond Financing / Covenant Compliance
- 19 Fraud
- 20 Management Performance Reporting
- 21 Budgeting
- 22 Cost Containment
- 23 Treasury and Liquidity Management
- 24 Cash Handling
- 25 Procurement and Vendor Selection
- 26 Contract Performance Reporting
- 27 Financial Reporting
- 28 Right of Way
- 29 Swap Pricing

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Key Fraud Risks

As part of the Enterprise Risk Assessment, Internal Audit discussed potential fraud risk scenarios with management and the Board and identified the following potential fraud scenarios for consideration when executing FY 2017 Internal Audit work.

- Unauthorized / improper use of corporate credit cards / misuse of funds
- Awarding of work to related parties
- Bribery / kickback to award bids
- Management disclosure of confidential information during procurement
- Theft or misuse of confidential financial information
- Billing for work not performed or vendor overbillings
- Circumvention of procurement
- Selective disclosure to Board or public
- Earnings management
- Management override of controls
- Nepotism
- Use of confidential information for personal gain
- Solicitation
- Unauthorized adjustment of salary / wages
- Creation of ghost vendors or employees
- Manual journal entries
- Partner billings / payments
- Adjustment to customer accounts
- Theft of cash
- Toll violations
- Counterfeit cash
- Price fixing
- Bid rigging
- Off contract agreements
- Payment of false invoices / invoices do not match contract terms
- Misuse of company assets / theft of company assets
- Falsification of hours worked
- Theft / forgery of payroll or blank checks



Appendix C

Other Potential Audits

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Other Potential Audits

#	Project	Project Description	Risks	Estimated Cost
1	Toll Violations and Toll-by-Plate Audit	This audit will focus on reviewing the processes, policies, procedures, technology, and reporting in place around the violations process to verify the process is working as intended. Focus will be on enhancing the efficiencies around the process to review violations and to bill and collect violations revenue. Samples of deleted / voided unpaid toll notices will also be reviewed to verify there is sufficient justification for voiding.	Toll Violations	\$40,000
2	Ethics Policy Compliance Audit	CFX has a formal ethics policy in place and will update it to incorporate new ethics language imposed by Florida state legislation. Later in the year, Internal Audit will review the policy and (1) leverage leading practices to suggest additional areas for consideration to include in the policy and (2) review compliance with the policy, including the new provisions added as a result of Florida state legislation.	Ethical Behavior Financial Reporting	\$29,000
3	IT General Controls Review	This review will focus on the Authority's Information Systems area. To accomplish this, we will assess the policies and procedures that are utilized to support the business critical applications and systems at CFX. Our approach will be to focus on the IT General Computer Controls which include the following components: Change Management, Logical Security, Physical Security, Security Administration, IT Organization & Management.	IT Infrastructure Application Controls Change Management	\$36,000
4	Bond Financing Review	The Authority has \$2.5B of bonds issued and outstanding with varying terms. As part of this project, we will perform a risk assessment of the financing process, a review of the policies (including policies to procure the financial advisor, underwriter, attorneys, and others involved in the financing process), and a review of the process to structure financing deals and manage existing portfolio risk. This project may also include a review of the process to monitor bond covenant compliance.	Bond Financing / Covenant Compliance	\$40,000
5	Toll Revenue Audit	This audit will focus on cash toll collections and electronic tolling collections, with the objectives to review (1) controls exist to ensure revenue data captured at the point of origin is completely and accurately recorded to the financial statements, (2) physical safeguarding controls exist around cash (including the use of security and surveillance, data analytics, monitoring and reporting, and counts / other reconciling activities), (3) controls in place around processing revenue adjustments to customer accounts are operating according to policy, and (4) appropriate monitoring and measurements are in place to review toll revenue. Additionally, IT general controls around supporting systems and information technology will be reviewed. Additionally, we may review the Authority's process for determining the ways to structure tolls (e.g. toll rates by axles vs flat rates, variable rate tolling, time of day tolling). We may also provide recommendations for enhancements to the structure, if in scope.	Toll Collections Cash Handling	\$75,000

Other Potential Audits

#	Project	Project Description	Risk	Estimated Cost
6	Sensitive Data / Data Management Review	The objectives of the project will be to identify if sensitive data is inappropriately stored in locations on the CFX network - in violation of company policy and leading practices. In addition, we will perform a high-level evaluation of the controls over the sensitive data repositories that are identified (if applicable) to determine if potential gaps exist.	Cyber Security Data Security	\$40,000
7	Safety and Maintenance Policy and Procedures Compliance Audit	The objective of this project will be to review the safety policies and procedures in place, including any recent technological enhancements to safety within the system (e.g. new technology measures to help prevent wrong way driving), and to test compliance with the safety policies.	Maintenance and Safety	\$30,000
8	P-Card and Gas Card Audit	The objective of the project will be to review P-card and Gas procurement expenditures to verify purchases are adequately supported and are for valid business purposes.	Cost Containment Fraud	\$20,000
9	COSO 2013 Governance Review	This audit will focus on the testing of CFX's governance internal controls identified as part of the COSO 2013 governance review conducted in FY 2015. In addition, we may assist with implementing certain recommendations from the COSO 2013 review performed in FY15; specifically, developing a checklist for the Board and Board committees to be used to manage compliance with identified requirements from respective charters and the CFX ethics policy.	Governance Ethical Compliance	\$25,000
10	Right of Way Audit	Review the processes in place to procure Right of Way legal counsel. Identify the mix of in-house vs outsourced work. Review in-house legal invoice review procedures for ROW services for tasks and billings. Review the contracted rate structure for appraisal work (use of caps, mix of variable v fixed fees). The review may include a trending analysis of appraised cost values for recent purchases and a review of outliers.	Cost Containment Public Relations Records Management	\$30,000
11	Internal Penetration Test	Protiviti will assess the security of internal networks, devices, and servers as part of an internal penetration test. This test will identify risks to those networks, devices, and servers posed by outdated software, missing patches, or insecure configurations. Attempts will then be made to exploit these vulnerabilities with manual techniques.	Cyber Security Data Security	\$32,000

Other Potential Audits

#	Project	Project Description	Risk	Estimated Cost
12	ISO 27001 Information Security Review	This review will compare CFX's information security practices and procedures to the ISO 27001 framework. This framework is widely recognized as the benchmark for assessing / creating overall information security programs. Protiviti will utilize an adapted version of the Carnegie Mellon Capability Maturity Model (CMM) to report on the results. The CMM helps to identify critical areas that must be addressed before an organization can progress to a more mature state.	Cyber Security Data Security	\$45,000
13	Swaps Review	<p>Currently, five forward-stating, variable-to-fixed rate interest rate swap agreements exist covering approximately \$499K of outstanding debt. These agreements were entered into on July 13, 2004. The existing synthetic fixed rate swap agreements cover approximately 19% of the overall portfolio, below the existing 25% cap set by Board policy. A review of the existing swap agreements would entail a look back analysis of the transactions supporting the existing swap arrangements on the books. The review would be performed by an independent, third party hired by procurement, with a first phase to outline historical facts and information (to the extent it exists) around the following:</p> <ul style="list-style-type: none"> - Advice provided to the former CFX Board for consistency with available market data at the time the swap arrangements were entered into; -Review of the terms associated with the agreements (features, etc.) and communications around such by the Financial Advisor that advised CFX on the existing arrangements; and -Review of market rates and pricing of the swaps compared to available market data at the time. <p>CFX continues to consult with its Financial Advisor and Finance Committee to review available options specific to amending or terminating the existing swap arrangements and should consider this as a potential project only if the Audit and Finance Committees believe it valuable.</p>	Swap Pricing	* To be determined through a formal RFP process
14	TRAILS Program Review	This audit will encompass a review of the policies and procedures for new tolling lanes on the system that is expected to sell transponders, handle a higher volume of cash than the traditional lanes, process credit cards, and handle checks.	Cash Handling	\$20,000

Other Potential Audits

#	Project	Project Description	Risk	Estimated Cost
15	IT Service Management Review	This review will focus on IT operational effectiveness and entail the following: (1) Processes for receiving, responding to and prioritizing requests for work; (2) Program and project management procedures and governance entities; (3) Review of overall roles and responsibilities for alignment with technology strategy and business objectives; (4) Review of IT service management procedures (potentially using ITIL); (5) Analyze the procedures for communication and transparency of IT projects and effectiveness; (6) Compare with leading practices, evaluate maturity, and provide specific recommendations for effectiveness/ efficiency.	IT Infrastructure IT Applications Strategic Planning Communication	\$50,000
16	Customer Service Management and Lane Scheduling Review	Protiviti will review the use of scheduling toll collectors on the system, lane management, and use of traffic studies to drive scheduling by URS/AECOM in relation to customer service impact.	Customer Satisfaction	\$25,000

TAB C.

MEMORANDUM

TO: Joe Passiatore, General Counsel
Central Florida Expressway Authority

FROM: Courtney Gordon, Contracts Analyst
Procurement Department

SUBJECT: Renewal/Non-Renewal Worksheet for Contract No. 000931
Internal Auditing Services

DATE: November 18, 2015

The subject renewal/non-renewal worksheet is attached for your review and recommendation. Please return the renewal worksheet to me no later than 3 weeks from the date of this memo.

Upon receipt of the worksheet, the process will be completed based on your recommendation. If any issues arise you will be notified.

Thank you for your immediate attention in this matter.

WORKSHEET FOR RENEWAL/NON-RENEWAL OF CONTRACT

Contractor: Protiviti, Inc.

Contract No.: 000931

Contract Name/Description: Internal Auditing Services

Contract Amount (Including Supplemental Agreement): \$ 867,500.00

Original Contract Execution Date: 5/22/2013

Original Contract Term: 3 Years with 2 Renewal Options

First Renewal: X Second Renewal: _____

What is the factual basis to support renewal/non-renewal recommendation? Are the value and level of service provided by the Consultant satisfactory and adequate for CFX's needs? For non-renewal recommendation due to Contractor non-compliance, give specific instances for non-compliance with work/performance requirements. Has Consultant been notified of the instances of non-compliance? Give date(s) and method(s) of notification. For non-renewal recommendation due to other reasons (scope changes, quantity, work limit changes, price increases), give specifics.

The Legal Department is the administrative supervisor of this contractor, however, the Internal Auditor reports to the CFX Audit Committee. Ultimately the decision to renew should be made by the Committee. Legal has no objection to the renewal and supports same.

Renewal Recommended

Yes ✓ No _____

Joseph J. Lessiatore
(Recommending Department Head/Manager)

3/29/16
Date

Approve Recommendation

Yes _____ No _____

(Name)
Chief Financial Officer

Date

Concur With Recommendation

Yes _____ No _____

(Name)
Director of Procurement

Date

The AUTHORITY does not guarantee that all of the services described in the Scope of Services will be assigned during the term of the Contract. Further, the AUDITOR is providing these services on a non-exclusive basis. The AUTHORITY, at its option, may elect to have any of the services set forth herein performed by other auditors or AUTHORITY staff.

The Contract Documents, in order of precedence, consist of:

- 1.1 The Contract, including insurance policies,
- 1.2 The Scope of Services,
- 1.3 The Method of Compensation,
- 1.4 The Technical Proposal submitted by AUDITOR, and
- 1.5 The Price Proposal submitted by AUDITOR,

(collectively, the "Contract").

2. TERM AND NOTICE

The initial term of the Contract will be three (3) years from the date indicated in the Notice to Proceed from the AUTHORITY. There shall be two renewal options of one (1) year each. The options to renew are at the sole discretion and election of the AUTHORITY. Renewals will be based, in part, on a determination by the AUTHORITY that the value and level of service provided by the AUDITOR are satisfactory and adequate for the AUTHORITY's needs. If a renewal option is exercised, the AUTHORITY will provide the AUDITOR with written notice of its intent at least 150 days prior to the expiration of the initial three-year Contract Term.

The AUTHORITY shall have the right to terminate or suspend the Contract, in whole or in part, at any time with 10 days notice for convenience or 15 days with cure notice for cause for AUDITOR's material failure to perform the provisions of the Contract. Under no circumstances shall a properly noticed termination by the AUTHORITY (with or without cause) constitute a default by the AUTHORITY. In the event of a termination for convenience or without cause, AUTHORITY shall notify AUDITOR (in writing) of such action with instructions as to the effective date of termination or suspension, in accordance with the time frames set forth hereinabove. AUDITOR will be paid for all work performed prior to termination and any reasonable, documented, direct, normal, and ordinary termination expenses. AUDITOR will not be paid for special, indirect, consequential, or undocumented termination expenses. Payment for work performed will be based on Contract prices, which prices are deemed to include profit and overhead. No profit or overhead will be allowed for work not performed, regardless of whether the termination is for cause.

If AUDITOR: (i) fails to perform the Contract terms and conditions; (ii) fails to begin the work under the Contract within the time specified in the "Notice to Proceed"; (iii) fails to perform the work with sufficient personnel or with sufficient materials to assure the prompt performance of the work items covered by the Contract; (iv) materially fails to comply with the Contract, or (v) performs unsuitably or unsatisfactorily in the opinion of AUTHORITY reasonably exercised in accordance with the Scope of Services, or for any other cause whatsoever, fails to carry on the work in an acceptable manner in accordance with the Scope of Services, the AUTHORITY will

TAB D.

CENTRAL FLORIDA EXPRESSWAY AUTHORITY

MEMORANDUM

TO: Rene Rodrigue, Director of Information Technology
Central Florida Expressway Authority

FROM: Courtney Gordon, Contracts Analyst
Procurement Department

SUBJECT: Renewal/Non-Renewal Worksheet for Contract No. 000960
PCI Compliance Audit

DATE: March 1, 2016

The subject renewal/non-renewal worksheet is attached for your review and recommendation. Please return the renewal worksheet to me no later than 3 weeks from the date of this memo.

Upon receipt of the worksheet, the process will be completed based on your recommendation. If any issues arise you will be notified.

Thank you for your immediate attention in this matter.

EXPIRES
* 7/17/2016

WORKSHEET FOR RENEWAL/NON-RENEWAL OF CONTRACT

Contractor: Protiviti, Inc.

Contract No.: 000960

Contract Name/Description: PCI Compliance Audit

Contract Amount (Including Supplemental Agreement): \$ 156,625.00

Original Contract Execution Date: 5/22/2013

Original Contract Term: 3 Years with 2 Renewal Options

First Renewal: X

Second Renewal: _____

What is the factual basis to support renewal/non-renewal recommendation? Are the value and level of service provided by the (Contractor) (Consultant) satisfactory and adequate for CFX's needs? For non-renewal recommendation due to Contractor non-compliance, give specific instances for non-compliance with work/performance requirements. Has Contractor been notified of the instances of non-compliance? Give date(s) and method(s) of notification. For non-renewal recommendation due to other reasons (scope changes, quantity, work limit changes, price increases), give specifics.

The value and level of service justify
renewal.

Renewal Recommended

Yes X No _____

Rene Rodriguez
(Recommending Department Head/Manager)

3-30-16
Date

Approve Recommendation

Yes X No _____

Ag 2
(Name)
Chief of Technology/Operations

3/30/16
Date

Concur With Recommendation

Yes X No _____

Jose Gonzalez
(Name)
Director of Procurement

4-4-16
Date

The AUTHORITY does not guarantee that all of the services described in the Scope of Services will be assigned during the term of the Contract. Further, the AUDITOR is providing these services on a non-exclusive basis. The AUTHORITY, at its option, may elect to have any of the services set forth herein performed by other auditors or AUTHORITY staff.

The Contract Documents, in order of precedence, consist of:

- 1.1 The Contract, including insurance policies,
- 1.2 The Scope of Services,
- 1.3 The Method of Compensation,
- 1.4 The Technical Proposal submitted by AUDITOR, and
- 1.5 The Price Proposal submitted by AUDITOR,

(collectively, the "Contract").

2. TERM AND NOTICE

The initial term of the Contract will be three (3) years from the date indicated in the Notice to Proceed from the AUTHORITY. There shall be two renewal options of one (1) year each. The options to renew are at the sole discretion and election of the AUTHORITY. Renewals will be based, in part, on a determination by the AUTHORITY that the value and level of service provided by the AUDITOR are satisfactory and adequate for the AUTHORITY's needs. If a renewal option is exercised, the AUTHORITY will provide the AUDITOR with written notice of its intent at least 150 days prior to the expiration of the initial three-year Contract Term.

The AUTHORITY shall have the right to terminate or suspend the Contract, in whole or in part, at any time with 10 days' notice for convenience or 15 days with cure notice for cause for AUDITOR's material failure to perform the provisions of the Contract. Under no circumstances shall a properly noticed termination by the AUTHORITY (with or without cause) constitute a default by the AUTHORITY. In the event of a termination for convenience or without cause, AUTHORITY shall notify AUDITOR (in writing) of such action with instructions as to the effective date of termination or suspension, in accordance with the time frames set forth hereinabove. AUDITOR will be paid for all work performed prior to termination and any reasonable, documented, direct, normal, and ordinary termination expenses. AUDITOR will not be paid for special, indirect, consequential, or undocumented termination expenses. Payment for work performed will be based on Contract prices, which prices are deemed to include profit and overhead. No profit or overhead will be allowed for work not performed, regardless of whether the termination is for cause.

If AUDITOR: (i) fails to perform the Contract terms and conditions; (ii) fails to begin the work under the Contract within the time specified in the "Notice to Proceed"; (iii) fails to perform the work with sufficient personnel or with sufficient materials to assure the prompt performance of the work items covered by the Contract; (iv) materially fails to comply with the Contract, or (v) performs unsuitably or unsatisfactorily in the opinion of AUTHORITY reasonably exercised in accordance with the Scope of Services, or for any other cause whatsoever, fails to carry on the work in an acceptable manner in accordance with the Scope of Services, the AUTHORITY will

TAB E.

West's Florida Statutes Annotated

Title IX. Electors and Elections (Chapters 97-109)

Chapter 104. Election Code: Violations; Penalties (Refs & Annos)

West's F.S.A. § **104.31**

104.31. Political activities of state, county, and municipal officers and employees
Effective: October 1, 2006

Currentness

(1) No officer or employee of the state, or of any county or municipality thereof, except as hereinafter exempted from provisions hereof, shall:

(a) Use his or her official authority or influence for the purpose of interfering with an election or a nomination of office or coercing or influencing another person's vote or affecting the result thereof.

(b) Directly or indirectly coerce or attempt to coerce, command, or advise any other officer or employee to pay, lend, or contribute any part of his or her salary, or any money, or anything else of value to any party, committee, organization, agency, or person for political purposes. Nothing in this paragraph or in any county or municipal charter or ordinance shall prohibit an employee from suggesting to another employee in a noncoercive manner that he or she may voluntarily contribute to a fund which is administered by a party, committee, organization, agency, person, labor union or other employee organization for political purposes.

(c) Directly or indirectly coerce or attempt to coerce, command, and advise any such officer or employee as to where he or she might purchase commodities or to interfere in any other way with the personal right of said officer or employee.

The provisions of this section shall not be construed so as to prevent any person from becoming a candidate for and actively campaigning for any elective office in this state. All such persons shall retain the right to vote as they may choose and to express their opinions on all political subjects and candidates. The provisions of paragraph (a) shall not be construed so as to limit the political activity in a general, special, primary, bond, referendum, or other election of any kind or nature, of elected officials or candidates for public office in the state or of any county or municipality thereof; and the provisions of paragraph (a) shall not be construed so as to limit the political activity in general or special elections of the officials appointed as the heads or directors of state administrative agencies, boards, commissions, or committees or of the members of state boards, commissions, or committees, whether they be salaried, nonsalaried, or reimbursed for expense. In the event of a dual capacity of any member of a state board, commission, or committee, any restrictive provisions applicable to either capacity shall apply. The provisions of paragraph (a) shall not be construed so as to limit the political activity in a general, special, primary, bond, referendum, or other election of any kind or nature of the

Governor, the elected members of the Governor's Cabinet, or the members of the Legislature. The provisions of paragraphs (b) and (c) shall apply to all officers and employees of the state or of any county or municipality thereof, whether elected, appointed, or otherwise employed, or whether the activity shall be in connection with a primary, general, special, bond, referendum, or other election of any kind or nature.

(2) An employee of the state or any political subdivision may not participate in any political campaign for an elective office while on duty.

(3) Any person violating the provisions of this section is guilty of a misdemeanor of the first degree, punishable as provided in s. 775.082 or s. 775.083.

(4) Nothing contained in this section or in any county or municipal charter shall be deemed to prohibit any public employee from expressing his or her opinions on any candidate or issue or from participating in any political campaign during the employee's off-duty hours, so long as such activities are not in conflict with the provisions of subsection (1) or s. 110.233.

Credits

Laws 1951, c. 26870, § 8; Laws 1955, c. 29615, § 7; Laws 1955, c. 29936, § 5; Laws 1959, c. 59-208, § 1; Laws 1965, c. 65-379, § 18; Laws 1971, c. 71-136, § 53; Laws 1974, c. 74-13, §§ 1, 2; Laws 1975, c. 75-261, § 1; Laws 1979, c. 79-190, § 30; Laws 1980, c. 80-207, § 1. Amended by Laws 1995, c. 95-147, § 628, eff. July 10, 1995; Laws 2006, c. 2006-275, § 1, eff. Oct. 1, 2006.

Notes of Decisions containing your search terms (0)

[View all 28](#)

West's F. S. A. § 104.31, FL ST § 104.31

Current through the 2015 1st Reg. Sess. and Special A Session of the Twenty-Fourth Legislature

End of Document

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 KeyCite Yellow Flag - Negative Treatment
Proposed Legislation

West's Florida Statutes Annotated

Title XXVI. Public Transportation (Chapters 334-349)

Chapter 348. Expressway and Bridge Authorities (Refs & Annos)

Part III. Central Florida Expressway Authority

West's F.S.A. § 348.753

348.753. Central Florida Expressway Authority

Effective: June 30, 2015

Currentness

(1) There is created and established a body politic and corporate, an agency of the state, to be known as the Central Florida Expressway Authority.

(2)(a) Immediately on June 20, 2014, the Central Florida Expressway Authority shall assume the governance and control of the Orlando-Orange County Expressway Authority System, including its assets, personnel, contracts, obligations, liabilities, facilities, and tangible and intangible property. Any rights in such property, and other legal rights of the authority, are transferred to the Central Florida Expressway Authority. The Central Florida Expressway Authority shall immediately succeed to and assume the powers, responsibilities, and obligations of the Orlando-Orange County Expressway Authority.

(b) It is the intent of the Legislature that the Central Florida Expressway Authority, upon its formation, be the successor party to the Orlando-Orange County Expressway Authority under the land acquisition contract dated November 11, 2013, and be subject to all terms and provisions, including conditions precedent and rights of termination, stated in the contract.

(c) The transfer pursuant to this subsection is subject to the terms and covenants provided for the protection of the holders of the Orlando-Orange County Expressway Authority bonds in the lease-purchase agreement and the resolutions adopted in connection with the issuance of the bonds. Further, the transfer does not impair the terms of the contract between the Orlando-Orange County Expressway Authority and the bondholders, does not act to the detriment of the bondholders, and does not diminish the security for the bonds. After the transfer, the Central Florida Expressway Authority shall operate and maintain the expressway system and any other facilities of the Orlando-Orange County Expressway Authority in accordance with the terms, conditions, and covenants contained in the bond resolutions and lease-purchase agreement securing the bonds of the authority. The Central Florida Expressway Authority shall collect toll revenues and apply them to the payment of debt service as provided in the bond resolution securing the bonds and shall expressly assume all obligations relating to the bonds to ensure that the transfer will have no adverse impact on the security for the bonds. The transfer does not make the obligation to pay the principal and interest on the bonds a

general liability of the Central Florida Expressway Authority or pledge additional expressway system revenues to payment of the bonds. Revenues that are generated by the expressway system and other facilities of the Central Florida Expressway Authority which were pledged by the Orlando-Orange County Expressway Authority to payment of the bonds will remain subject to the pledge for the benefit of the bondholders. The transfer does not modify or eliminate any prior obligation of the department to pay certain costs of the expressway system from sources other than revenues of the expressway system.

(3) The governing body of the authority shall consist of nine members. The chairs of the boards of the county commissions of Seminole, Lake, and Osceola Counties shall each appoint one member, who may be a commission member or chair. The Mayor of Orange County shall appoint a member from the Orange County Commission. The Governor shall appoint three citizen members, each of whom must be a citizen of either Orange County, Seminole County, Lake County, or Osceola County. The eighth member must be the Mayor of Orange County. The ninth member must be the Mayor of the City of Orlando. The executive director of the Florida Turnpike Enterprise shall serve as a nonvoting advisor to the governing body of the authority. Each member appointed by the Governor shall serve for 4 years. Each county-appointed member shall serve for 2 years. The terms of standing board members expire June 20, 2014. Each appointed member shall hold office until his or her successor has been appointed and has qualified. A vacancy occurring during a term must be filled only for the balance of the unexpired term. Each appointed member of the authority shall be a person of outstanding reputation for integrity, responsibility, and business ability, but, except as provided in this subsection, a person who is an officer or employee of a municipality or county may not be an appointed member of the authority. Any member of the authority is eligible for reappointment.

(4)(a) The authority shall elect one of its members as chair of the authority. The authority shall also elect one of its members as vice chair, one of its members as secretary, and one of its members as treasurer. The chair, vice chair, secretary, and treasurer shall hold such offices at the will of the authority. Five members of the authority constitute a quorum, and the vote of five members is necessary for any action taken by the authority. A vacancy in the authority does not impair the right of a quorum of the authority to exercise all of the rights and perform all of the duties of the authority.

(b) Upon the effective date of his or her appointment, or as soon thereafter as practicable, each appointed member of the authority shall enter upon his or her duties. Members of the authority may be removed from office by the Governor for misconduct, malfeasance, misfeasance, or nonfeasance in office.

(c) Members of the authority are entitled to receive reimbursement from the authority for travel and other necessary expenses incurred in connection with the business of the authority as provided in [s. 112.061](#), but may not draw salaries or other compensation.

(5) The authority may employ an executive secretary, an executive director, its own counsel and legal staff, technical experts, and the engineers and employees that it requires. The authority may determine the qualifications and fix the compensation of such persons, firms, or corporations, and may employ a fiscal agent or agents; however, the authority shall solicit sealed proposals from at least three persons, firms, or corporations for the performance of any services as fiscal agents. The authority may delegate to one or more of its agents or employees the power it deems necessary to carry out the purposes of this part.

(6) A member or the executive director of the authority may not:

(a) Personally represent another person or entity for compensation before the authority for a period of 2 years following vacation of his or her position.

(b) After retirement or termination, have an employment or contractual relationship with a business entity other than an agency as defined in [s. 112.312](#), in connection with a contract in which the member or executive director personally and substantially participated in through decision, approval, disapproval, recommendation, rendering of advice, or investigation while he or she was a member or employee of the authority.

(7) The authority's general counsel shall serve as the authority's ethics officer.

(8) Authority board members, employees, and consultants who hold positions that may influence authority decisions shall refrain from engaging in any relationship that may adversely affect their judgment in carrying out authority business. To prevent such conflicts of interest and preserve the integrity and transparency of the authority to the public, the following disclosures must be made annually on a disclosure form:

(a) Any relationship a board member, employee, or consultant has which affords a current or future financial benefit to such board member, employee, or consultant, or to a relative or business associate of such board member, employee, or consultant, and which a reasonable person would conclude has the potential to create a prohibited conflict of interest. As used in this subsection, the term "relative" has the same meaning as in [s. 112.312](#).

(b) Whether a relative of a board member, employee, or consultant is a registered lobbyist, and if so, the names of the lobbyist's clients. Such names shall be provided in writing to the ethics officer.

(c) Any and all interests in real property that a board member, employee, or consultant has, or that a relative, principal, client, or business associate of such board member, employee, or consultant has, if such real property is located within, or within a one-half mile radius of, any

actual or prospective authority roadway project. The executive director shall provide a corridor map and a property ownership list reflecting the ownership of all real property within the disclosure area, or an alignment map with a list of associated owners, to all board members, employees, and consultants.

(9) The disclosure forms required under subsection (8) must be reviewed by the ethics officer or, if a form is filed by the general counsel, by the executive director.

(10) The conflict of interest process shall be outlined in the authority's code of ethics.

(11) Authority employees and consultants are prohibited from serving on the governing body of the authority while employed by or under contract with the authority.

(12) The code of ethics policy shall be reviewed and updated by the ethics officer and presented for board approval at a minimum of once every 2 years.

(13) Employees shall be adequately informed and trained on the code of ethics and shall continually participate in ongoing ethics education.

(14) The requirements in subsections (6)-(13) are in addition to the requirements that the members and the executive director of the authority are required to follow under chapter 112.

(15) Violations of subsections (6), (8), and (11) are punishable in accordance with [s. 112.317](#).

Credits

Laws 1963, c. 63-339, § 3; Laws 1963, c. 63-573, § 3; [Fla.St.1967, § 348.0102](#); [Laws 1988, c. 88-215, § 9](#). Amended by [Laws 1995, c. 95-148, § 520](#), eff. July 10, 1995; [Laws 1997, c. 97-100, § 16](#), eff. July 1, 1997; [Laws 2014, c. 2014-171, § 3](#), eff. June 20, 2014; [Laws 2015, c. 2015-2, § 28](#), eff. June 30, 2015.

Notes of Decisions (1)

West's F. S. A. § 348.753, FL ST § 348.753

Current through the 2015 1st Reg. Sess. and Special A Session of the Twenty-Fourth Legislature

CENTRAL FLORIDA EXPRESSWAY AUTHORITY CODE OF ETHICS

The Central Florida Expressway Authority (the "Authority") operates for the benefit of the public. As such, it is imperative that the Authority conduct business in accordance with the highest standards of ethics. In furtherance thereof, the Authority has enacted this Code of Ethics ("Code of Ethics"), which governs all Board Members, in the performance of their duties and obligations to the Authority. This Code of Ethics shall serve as the standard for official conduct. This Code of Ethics is intended to supplement the Florida Code of Ethics. In the event of a conflict between the Florida Code and the Code of Ethics, the more restrictive provisions shall control.

PART 1. DEFINITIONS.

6-1.01. Definitions.

"Business Associate" has the meaning ascribed in subsection 112.312(4), Florida Statutes, and shall also mean any person or entity engaged in or carrying on a business enterprise or any contractual relationship with a CFX Board member as a principal, partner, joint venture, corporate shareholder where the shares of such corporation are not listed on any national or regional stock exchange, or co-owner of property. In addition, the term includes any person or entity engaged in or carrying on a business enterprise, any contractual relationship, employment relationship or otherwise engaging in common investment with a CFX Board member as a principal, partner, member, shareholder, owner, co-owner, joint venture partner, or other investor, whether directly or indirectly, whether through a Business Entity or through interlocking Parent Entities, Subsidiary Entities, or other business or investment scheme, structure, or venture of any nature.

"Committee Member" shall mean an employee of any of the local government entities represented in the Authority who has been appointed to serve on any of the following committees: Audit Committee, Finance Committee, Operations Committee or Right of Way Committee.

"Consultant" shall mean an individual who is rendering services to the Authority in the nature of time and effort rather than the furnishing of specific commodities. The term applies only to those professional services rendered by individuals who are independent consultants, providing services that may include, but are not limited to, evaluations, consultations, maintenance, financial, auditing, accounting, legal, engineering, management consulting, educational training programs, public relations, legislative advisors, planning, personnel, research and development studies or reports on the findings of consultants engaged thereunder, and professional, technical and social services.

"Ethics Officer" shall mean the Authority's general counsel or, in his absence, deputy general counsel. (For reference, see Section 348.753(7), Florida Statutes)

"Public Officer" includes any Board Member or Committee Member. (For reference, see Section 112.313(2), Florida Statutes)

“Registered Lobbyist” shall mean any person who shall engage in lobbying for compensation for (1) an entity other than his or her employer; or (2) for any entity including his or her employer if a principal function of his or her position is lobbying or governmental relations; and (3) is registered with any local jurisdiction represented on the Authority’s Board.

PART 2. ADOPTION OF FLORIDA CODE OF ETHICS.

6-2.01. Code of Ethics Adoption. The Authority is governed by the requirements and standards set forth in the Florida Code of Ethics for Public Officers and Employees, Chapter 112, Part III, Florida Statutes, in addition to the ethical standards set forth in Section 348.753(6) to (15), Florida Statutes, as may be amended from time to time, which presently are as follows:

F.S. 348.753

- (6) A Board member or the executive director of the Authority may not:
 - (a) Personally represent another person or entity for compensation before the authority for a period of 2 years following vacation of his or her position.
 - (b) After retirement or termination, have an employment or contractual relationship with a business entity other than an agency as defined in s. 112.312, in connection with a contract in which the member or executive director personally substantially participated in through decision, approval, disapproval, recommendation, rendering of advice, or investigation while he or she was a member or employee of the authority.
- (7) The authority’s general counsel shall serve as the authority’s ethics officer.
- (8) Authority board members, employees, and consultants who hold positions that may influence authority decision shall refrain from engaging in any relationship that may adversely affect their judgment in carrying out authority business. To prevent such conflicts of interest and preserve the integrity and transparency of the authority to the public, the following disclosures must be made annually on a disclosure form:
 - a. Any relationship a board member, employee, or consultant has which affords a current or future financial benefit to such board member, employee, or consultant, or to a relative or business associate of such board member, employee, or consultant, and which a reasonable person would conclude has the potential to create a prohibited conflict of interest. As used in this subsection, the term “relative” has the same meaning as in s.112.312.
 - b. Whether a relative of a board member, employee, or consultant is a registered lobbyist, and if so, the names of the lobbyist’s clients. Such names shall be provided in writing to the ethics officer.

- c. Any and all interests in real property that a board member, employee, or consultant has, or that a relative, principal, client, or business associate of such board member, employee, or consultant has, if such real property is located within or within a one-half mile radius of, any actually or prospective authority roadway project. The executive director shall provide a corridor map and a property ownership list reflecting the ownership of all real property within the disclosure area, or an alignment map with a list of associated owners, to all board members, employees, and consultants.

(9) The disclosure forms required under subsection (8) must be reviewed by the ethics officer or, if a form is filed by the general counsel, by the executive director.

(10) The conflict of interest process shall be outlined in the authority's code of ethics.

(11) Authority employees and consultants are prohibited from serving on the governing body of the authority while employed by or under contract with the authority.

(12) The code of ethics policy shall be reviewed and updated by the ethics officer and presented for board approval at a minimum of once every 2 years.

(13) Employees shall be adequately informed and trained on the code of ethics and shall continually participate in ongoing ethics education.

(14) The requirements in subsections (6) through (13) are in addition to the requirements that the members and the executive director of the authority are required to follow under chapter 112.

(15) Violations of subsections (6), (8), and (11) are punishable in accordance with s. 112.317.

PART 3. DISCLOSURES.

6-3.01. To prevent conflicts of interest and preserve the integrity and transparency of the Authority to the public, the following disclosures must be made annually on a disclosure form:

- (a) Any relationship a board member, employee, or consultant has which affords a current or future financial benefit to such board member, employee, or consultant, or to a relative or business associate of such board member, employee, or consultant, and which a reasonable person would conclude has the potential to create a prohibited conflict of interest. As used in this subsection, the term "relative" has the same meaning as in Section.112.312, Florida Statutes.
- (b) Whether a relative of a board member, employee, or consultant is a registered lobbyist, and if so, the names of the lobbyist's clients. Such names shall be provided in writing to the Ethics Officer.

- (c) Any and all interests in real property that a board member, employee, or consultant has, or that a relative, principal, client, or business associate of such board member, employee, or consultant has, if such real property is located within, or within a one-half mile radius of, any actual or prospective authority roadway project. The executive director shall provide a corridor map and a property ownership list reflecting the ownership of all real property within the disclosure area, or an alignment map with a list of associated owners, to all board members, employees, and consultants.
- (d) Board members shall file a Form 6 Full and Public Disclosure of Financial Interests annual financial disclosure as provided by Florida Statute 348.003(4)(c). Commencing with the reporting period for calendar year 2015, CFX Committee members shall be required to file Form 1 Statement of Financial Interest with the Supervisor of Elections in the county in which the Committee member permanently resides as provided by Florida Statute 112.3145(1)(a)2.f.

6-3.02. Annual Filing. Each Board Member, Committee Member, employee, or consultant shall file a disclosure form each year with the Executive Secretary. Attached is a sample form which may be amended from time to time by the Ethics Officer.

PART 4. POLITICAL ACTIVITY

6-4.01. Political Activities. The Authority adopts Section 104.31, Florida Statutes (2014) as may be amended from time to time as though fully set forth herein.

PART 5. TRAINING AND ENFORCEMENT

6-5.01. Advisory Opinions. Any Public Official, who is in doubt regarding the applicability of the standards of conduct herein provided may seek an advisory opinion from the Ethics Officer concerning the applicability of this Code of Ethics or any provision thereof. Any opinion rendered by the Ethics Officer may be relied upon by any Board Member, but only with regard to the application of the Code of Ethics. Such opinion does not insulate such Board Member, against the application of Florida Statutes or of any other applicable code of ethics they may be governed by.

6-5.02. Code of Ethics Acknowledgement and Training

6-5.021. Posting. This Code of Ethics, in its entirety, shall be posted on the Authority's website.

6-5.022. Board Member Orientation and Review Responsibilities. New Board Members shall be provided with an orientation on all relevant Authority matters, including a detailed briefing on the Code of Ethics.

PART 6. DISCLOSURE; ABSTAINING FROM VOTE DUE TO APPARENT CONFLICT OF INTEREST; EXCEPTIONS

6-6.01. Disclosure; Abstaining from vote due to apparent conflict of interest; exceptions

(1) In addition to the requirements that a CFX Board member abstain from voting due to conflict as provided in section 112.3143, Florida Statutes and Section 6-3.03 of the CFX Ethics Policy, when a Board member knowingly is a business associate, as defined herein, with any person bringing a matter before the CFX Board or when a matter before the CFX Board will benefit any person with whom the Board member knowingly was a business associate in the previous two-year period, the Board member shall disclose the existence of the business associate.

(2) The Board member may abstain from voting on any matter coming before the CFX Board if:

- i. The matter is brought by or benefits a person with whom the Board member knowingly is a business associate at the time of the vote; or
- ii. The matter is brought by or benefits a person with whom the Board member knowingly was a business associate within the two-year period prior to the matter coming before the CFX Board.

a. If applicable, the basis for abstaining from the vote shall be an Appearance or Perception of Conflict, as defined in this article, and the Board member shall:

1. Prior to the vote being taken, publicly state to the assembly the nature of the Board member's interest in the matter from which he or she is abstaining from voting; and
2. Within fifteen (15) days after the vote occurs, disclose the nature of his or her interest as a public record in a Memorandum of Voting Conflict (Commission on Ethics Form 8B) filed with the person responsible for recording the minutes of the meeting. The memorandum shall be incorporated into the minutes of the meeting at which the Board member abstained.

(3) However, in all cases where the Board member is a business associate, as defined herein, with any person bringing a matter before the CFX Board or when a matter before the CFX Board will benefit any person with whom the Board member was a business associate in the previous two-year period, the Board member shall disclose the nature of the prior relationship prior to voting.

Part 7. Repeal

6-7.01. This policy does hereby repeal the previously adopted Ethics Code of March 2014.

**CENTRAL FLORIDA EXPRESSWAY AUTHORITY
POTENTIAL CONFLICT DISCLOSURE FORM**

Projects: _____

Name/Company: _____

Address: _____

Relationship to CFX: ___Board or Committee Member ___Employee ___Consultant/Vendor

1. Disclosure of Relationships (Refer to Section 348.753(8))		
Do you have any relationship which affords a current or future financial benefit to you or to your relative or business associate and which a reasonable person would conclude has the potential to create a prohibited conflict of interest?	Yes___	No___
If yes, check the applicable relationships below and provide the full names, addresses, and relationships on page 4.		
Self	Yes___	No___
Relative (as defined in Section 112.312(21), Fla. Stat.), including father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, half sister, grandparent, great grandparent, grandchild, great grandchild, step grandparent, step great grandparent, step grandchild, step great grandchild, person who is engaged to be married to you or who otherwise holds himself or herself out as or is generally known as the person whom you intend to marry or with whom you intend to form a household, any other natural person having the same legal residence as you.	Yes___	No___
Business Associate, as defined in Section 112.312(4), Fla. Stat., includes any person or entity engaged in or carrying on a business enterprise with you as a partner, joint venturer, corporate shareholder where the shares of such corporation are not listed on any national or regional stock exchange, or co-owner of property.	Yes___	No___

	Other (explain) _____ _____ _____ _____	Yes____	No____
2.	Disclosure of Lobbyists (Refer to Section 348.753(8)) Do you have a relative who is a registered lobbyist?	Yes____	No____
	If yes, list the full names and addresses of the lobbyist and the lobbyist's clients on page 4.		
3.	Disclosure of Property Interests within a Project (Section 348.753(8)) Do you or any of your relatives (as defined in Section 112.312(21), Fla. Stat.), principals, clients, or business associates have any interest in real property located within any actual or prospective Authority project? The actual or prospective Authority projects include the Wekiva Parkway and All Aboard Florida. The corridor maps and property ownership lists reflecting the ownership of all real property within the disclosure areas, or alignment maps with lists of associated owners, are attached hereto or available upon request.	Yes____	No____
	If yes, check the applicable relationship types and disclose the full names and addresses and identify the real property on page 4.		
	Self	Yes____	No____
	Relative (as defined in Section 112.312(21), Fla. Stat.), including father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, half sister, grandparent, great grandparent, grandchild, great grandchild, step grandparent, step great grandparent, step grandchild, step great grandchild, person who is engaged to be married to you or who otherwise holds himself or herself out as or is generally known as the person whom you intend to marry or with whom you intend to form a household, any other natural person having the same legal residence as you.	Yes____	No____
	Principal or Client	Yes____	No____

	Business Associate, as defined in in Section 112.312(4), Fla. Stat., includes any person or entity engaged in or carrying on a business enterprise with you as a partner, joint venturer, corporate shareholder where the shares of such corporation are not listed on any national or regional stock exchange, or co-owner of property.	Yes___	No___
	Other (explain)_____	Yes___	No___
4.	<p>Disclosure of Property Interests Within a One-Half Mile Radius of a Project (but Outside of a Project) (Refer to Section 348.753(8))</p> <p>Do you or any of your relatives (as defined in Section 112.312(21), Fla. Stat.), principals, clients, or business associates have any interest in real property located within a one-half mile radius of any actual or prospective Authority project, but outside of any actual or prospective Authority project?</p> <p>The actual or prospective authority projects include the Wekiva Parkway and All Aboard Florida. The corridor maps and property ownership lists reflecting the ownership of all real property within the disclosure areas, or alignment maps with lists of associated owners, are attached hereto or available upon request.</p>	Yes___	No___
	If yes, check the applicable relationship types and disclose the full names and addresses and identify the real property on page 3.		
	Self	Yes___	No___
	Relative (as defined in Section 112.312(21), Fla. Stat.), including father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, half sister, grandparent, great grandparent, grandchild, great grandchild, step grandparent, step great grandparent, step grandchild, step great grandchild, person who is engaged to be married to you or who otherwise holds himself or herself out as or is generally known as the person whom you intend to marry or with whom you intend to form a household, any other natural person having the same legal residence as you.	Yes___	No___
	Principal or Client	Yes___	No___

	Business Associate, as defined in in Section 112.312(4), Fla. Stat., includes any person or entity engaged in or carrying on a business enterprise with you as a partner, joint venturer, corporate shareholder where the shares of such corporation are not listed on any national or regional stock exchange, or co-owner of property.	Yes___	No___
	Other (explain)_____	Yes___	No___
5.	Solicitation or Acceptance of Gifts Have you solicited or accepted anything of value, including a gift, loan, reward, promise of future employment, favor, or service, based upon any understanding that your action or judgment regarding Authority business would be influenced thereby? (For reference, see Section 112.313(2), Florida Statutes)	Yes___	No___
6.	Unauthorized Compensation Have you or your spouse or minor child accepted any compensation, payment, or thing of value when you knew, or, with the exercise of reasonable care, should know, that it was given to influence your action regarding Authority business? (For reference, see Section 112.313(4), Florida Statutes)	Yes___	No___
7.	Misuse of Position Have you used or attempted to use your position with the Authority or any property or resource which may be within your trust, to secure a special privilege, benefit, or exemption for you or others? (For reference, see Section 112.313(6), Florida Statutes)	Yes___	No___
8.	Conflicting Employment or Contractual Relationship Do you have or hold any employment or contractual relationship with any business entity or any agency which is subject to the regulation of, or is doing business with, the Authority, that will create a continuing or frequently recurring conflict between your private interests and the performance of your duties to the Authority or that would impede the full and faithful discharge of your duties to the Authority, subject to the exemptions set forth in Section 112.313(12) & (15), Florida Statutes? (For reference, see Section 112.313(7), Florida Statutes)	Yes___	No___

If you answered any of the above questions in the affirmative, provide a detailed explanation below. Attach additional sheets as necessary.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on _____ Signature: _____

Print Name: _____

Print Title: _____

Return this form by mail, email or fax to: Darleen Mazzillo, Executive Assistant
Central Florida Expressway Authority
4974 ORL Tower Road, Orlando, FL 32807
Phone: 407-690-5310 Fax: 407-690-5034
Email: Darleen.Mazzillo@CFXWay.com

**PART III
CODE OF ETHICS FOR
PUBLIC OFFICERS AND EMPLOYEES**

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112.311 Legislative intent and declaration of policy.—

(1) It is essential to the proper conduct and operation of government that public officials be independent and impartial and that public office not be used for private gain other than the remuneration provided by law. The public interest, therefore, requires that the law protect against any conflict of interest and establish standards for the conduct of elected officials and government employees in situations where conflicts may exist.

(2) It is also essential that government attract those citizens best qualified to serve. Thus, the law against conflict of interest must be so designed as not to impede unreasonably or unnecessarily the recruitment and retention by government of those best qualified to serve. Public officials should not be denied the opportunity, available to all other citizens, to acquire and retain private economic interests except when conflicts with the responsibility of such officials to the public cannot be avoided.

(3) It is likewise essential that the people be free to seek redress of their grievances and express their opinions to all government officials on current issues and past or pending legislative and executive actions at every level of government. In order to preserve and maintain the integrity of the governmental process, it is necessary that the identity, expenditures, and activities of those persons who regularly engage in efforts to persuade public officials to take specific actions, either by direct communication with such officials or by solicitation of others to engage in such efforts, be regularly disclosed to the people.

(4) It is the intent of this act to implement these objectives of protecting the integrity of government and of facilitating the recruitment and retention of qualified personnel by prescribing restrictions against conflicts of interest without creating unnecessary barriers to public service.

(5) It is hereby declared to be the policy of the state that no officer or employee of a state agency or of a county, city, or other political subdivision of the state, and no member of the Legislature or legislative employee, shall have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or incur any obligation of any nature which is in substantial conflict with the proper discharge of his or her duties in the public interest. To implement this policy and strengthen the faith and confidence of the people of the state in their government, there is enacted a code of ethics setting forth standards of conduct required of state, county, and city officers and employees, and of officers and employees of other political subdivisions of the state, in the performance of their official duties. It is the intent of the

Legislature that this code shall serve not only as a guide for the official conduct of public servants in this state, but also as a basis for discipline of those who violate the provisions of this part.

(6) It is declared to be the policy of the state that public officers and employees, state and local, are agents of the people and hold their positions for the benefit of the public. They are bound to uphold the Constitution of the United States and the State Constitution and to perform efficiently and faithfully their duties under the laws of the federal, state, and local governments. Such officers and employees are bound to observe, in their official acts, the highest standards of ethics consistent with this code and the advisory opinions rendered with respect hereto regardless of personal considerations, recognizing that promoting the public interest and maintaining the respect of the people in their government must be of foremost concern.

History.—s. 1, ch. 67-469; s. 1, ch. 69-335; s. 1, ch. 74-177; s. 2, ch. 75-208; s. 698, ch. 95-147.

112.312 Definitions.—As used in this part and for purposes of the provisions of s. 8, Art. II of the State Constitution, unless the context otherwise requires:

(1) “Advisory body” means any board, commission, committee, council, or authority, however selected, whose total budget, appropriations, or authorized expenditures constitute less than 1 percent of the budget of each agency it serves or \$100,000, whichever is less, and whose powers, jurisdiction, and authority are solely advisory and do not include the final determination or adjudication of any personal or property rights, duties, or obligations, other than those relating to its internal operations.

(2) “Agency” means any state, regional, county, local, or municipal government entity of this state, whether executive, judicial, or legislative; any department, division, bureau, commission, authority, or political subdivision of this state therein; any public school, community college, or state university; or any special district as defined in s. 189.012.

(3) “Breach of the public trust” means a violation of a provision of the State Constitution or this part which establishes a standard of ethical conduct, a disclosure requirement, or a prohibition applicable to public officers or employees in order to avoid conflicts between public duties and private interests, including, without limitation, a violation of s. 8, Art. II of the State Constitution or of this part.

(4) “Business associate” means any person or entity engaged in or carrying on a business enterprise with a public officer, public employee, or candidate as a partner, joint venturer, corporate shareholder where the shares of such corporation are not listed on any national or regional stock exchange, or coowner of property.

(5) “Business entity” means any corporation, partnership, limited partnership, company, limited liability company, proprietorship, firm, enterprise, franchise, association, self-employed individual, or trust, whether fictitiously named or not, doing business in this state.

(6) “Candidate” means any person who has filed a statement of financial interest and qualification papers, has subscribed to the candidate’s oath as required by s. 99.021, and seeks by election to become a public officer. This definition expressly excludes a committeeman or committeewoman regulated by chapter 103 and persons seeking any other office or position in a political party.

(7) “Commission” means the Commission on Ethics created by s. 112.320 or any successor to which its duties are transferred.

(8) “Conflict” or “conflict of interest” means a situation in which regard for a private interest tends to lead to disregard of a public duty or interest.

(9) “Corruptly” means done with a wrongful intent and for the purpose of obtaining, or compensating or receiving compensation for, any benefit resulting from some act or omission of a public servant which is inconsistent with the proper performance of his or her public duties.

(10) “Disclosure period” means the taxable year for the person or business entity, whether based on a calendar or fiscal year, immediately preceding the date on which, or the last day of the period during which, the financial disclosure statement required by this part is required to be filed.

(11) “Facts materially related to the complaint at issue” means facts which tend to show a violation of this part or s. 8, Art. II of the State Constitution by the alleged violator other than those alleged in the complaint and consisting of separate instances of the same or similar conduct as alleged in the complaint, or which tend to show an additional violation of this part or s. 8, Art. II of the State Constitution by the alleged violator which arises out of or in connection with the allegations of the complaint.

(12)(a) “Gift,” for purposes of ethics in government and financial disclosure required by law, means that which is accepted by a donee or by another on the donee’s behalf, or that which is paid or given to another for or on behalf of a donee, directly, indirectly, or in trust for the donee’s benefit or by any other means, for which equal or greater consideration is not given within 90 days, including:

1. Real property.
2. The use of real property.
3. Tangible or intangible personal property.
4. The use of tangible or intangible personal property.
5. A preferential rate or terms on a debt, loan, goods, or services, which rate is below the customary rate and is not either a government rate available to all other similarly situated government employees or officials or a rate which is available to similarly situated members of the public by virtue of occupation, affiliation, age, religion, sex, or national origin.
6. Forgiveness of an indebtedness.
7. Transportation, other than that provided to a public officer or employee by an agency in relation to officially approved governmental business, lodging, or parking.
8. Food or beverage.
9. Membership dues.
10. Entrance fees, admission fees, or tickets to events, performances, or facilities.
11. Plants, flowers, or floral arrangements.
12. Services provided by persons pursuant to a professional license or certificate.
13. Other personal services for which a fee is normally charged by the person providing the services.
14. Any other similar service or thing having an attributable value not already provided for in this section.

(b) “Gift” does not include:

1. Salary, benefits, services, fees, commissions, gifts, or expenses associated primarily with the donee’s employment, business, or service as an officer or director of a corporation or organization.
2. Except as provided in s. 112.31485, contributions or expenditures reported pursuant to chapter 106, contributions or expenditures reported pursuant to federal election law, campaign-related personal services provided without compensation by individuals volunteering their time, or any other contribution or expenditure by a political party or affiliated party committee.

3. An honorarium or an expense related to an honorarium event paid to a person or the person's spouse.
 4. An award, plaque, certificate, or similar personalized item given in recognition of the donee's public, civic, charitable, or professional service.
 5. An honorary membership in a service or fraternal organization presented merely as a courtesy by such organization.
 6. The use of a public facility or public property, made available by a governmental agency, for a public purpose.
 7. Transportation provided to a public officer or employee by an agency in relation to officially approved governmental business.
 8. Gifts provided directly or indirectly by a state, regional, or national organization which promotes the exchange of ideas between, or the professional development of, governmental officials or employees, and whose membership is primarily composed of elected or appointed public officials or staff, to members of that organization or officials or staff of a governmental agency that is a member of that organization.
- (c) For the purposes of paragraph (a), "intangible personal property" means property as defined in s. 192.001(11)(b).
- (d) For the purposes of paragraph (a), the term "consideration" does not include a promise to pay or otherwise provide something of value unless the promise is in writing and enforceable through the courts.
- (13) "Indirect" or "indirect interest" means an interest in which legal title is held by another as trustee or other representative capacity, but the equitable or beneficial interest is held by the person required to file under this part.
- (14) "Liability" means any monetary debt or obligation owed by the reporting person to another person, entity, or governmental entity, except for credit card and retail installment accounts, taxes owed unless reduced to a judgment, indebtedness on a life insurance policy owed to the company of issuance, contingent liabilities, or accrued income taxes on net unrealized appreciation. Each liability which is required to be disclosed by s. 8, Art. II of the State Constitution shall identify the name and address of the creditor.
- (15) "Material interest" means direct or indirect ownership of more than 5 percent of the total assets or capital stock of any business entity. For the purposes of this act, indirect ownership does not include ownership by a spouse or minor child.
- (16) "Materially affected" means involving an interest in real property located within the jurisdiction of the official's agency or involving an investment in a business entity, a source of income or a position of employment, office, or management in any business entity located within the jurisdiction or doing business within the jurisdiction of the official's agency which is or will be affected in a substantially different manner or degree than the manner or degree in which the public in general will be affected or, if the matter affects only a special class of persons, then affected in a substantially different manner or degree than the manner or degree in which such class will be affected.
- (17) "Ministerial matter" means action that a person takes in a prescribed manner in obedience to the mandate of legal authority, without the exercise of the person's own judgment or discretion as to the propriety of the action taken.
- (18) "Parties materially related to the complaint at issue" means any other public officer or employee within the same agency as the alleged violator who has engaged in the same conduct

as that alleged in the complaint, or any other public officer or employee who has participated with the alleged violator in the alleged violation as a coconspirator or as an aider and abettor.

(19) “Person or business entities provided a grant or privilege to operate” includes state and federally chartered banks, state and federal savings and loan associations, cemetery companies, insurance companies, mortgage companies, credit unions, small loan companies, alcoholic beverage licensees, pari-mutuel wagering companies, utility companies, and entities controlled by the Public Service Commission or granted a franchise to operate by either a city or county government.

(20) “Purchasing agent” means a public officer or employee having the authority to commit the expenditure of public funds through a contract for, or the purchase of, any goods, services, or interest in real property for an agency, as opposed to the authority to request or requisition a contract or purchase by another person.

(21) “Relative,” unless otherwise specified in this part, means an individual who is related to a public officer or employee as father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, half sister, grandparent, great grandparent, grandchild, great grandchild, step grandparent, step great grandparent, step grandchild, step great grandchild, person who is engaged to be married to the public officer or employee or who otherwise holds himself or herself out as or is generally known as the person whom the public officer or employee intends to marry or with whom the public officer or employee intends to form a household, or any other natural person having the same legal residence as the public officer or employee.

(22) “Represent” or “representation” means actual physical attendance on behalf of a client in an agency proceeding, the writing of letters or filing of documents on behalf of a client, and personal communications made with the officers or employees of any agency on behalf of a client.

(23) “Source” means the name, address, and description of the principal business activity of a person or business entity.

(24) “Value of real property” means the most recently assessed value in lieu of a more current appraisal.

History.—s. 2, ch. 67-469; ss. 11, 12, ch. 68-35; s. 8, ch. 69-353; s. 2, ch. 74-177; s. 1, ch. 75-196; s. 1, ch. 75-199; s. 3, ch. 75-208; s. 4, ch. 76-18; s. 1, ch. 77-174; s. 2, ch. 82-98; s. 1, ch. 83-282; s. 2, ch. 90-502; s. 2, ch. 91-85; s. 3, ch. 91-292; s. 699, ch. 95-147; s. 1, ch. 96-328; s. 1, ch. 2000-243; ss. 28, 30, ch. 2011-6; s. 75, ch. 2011-40; HJR 7105, 2011 Regular Session; s. 1, ch. 2013-36; s. 3, ch. 2014-22.

112.3125 Dual public employment.—

(1) As used in this section, the term “public officer” includes any person who is elected to state or local office or, for the period of his or her candidacy, any person who has qualified as a candidate for state or local office.

(2) A public officer may not accept public employment with the state or any of its political subdivisions if the public officer knows, or with the exercise of reasonable care should know, that the position is being offered by the employer for the purpose of gaining influence or other advantage based on the public officer’s office or candidacy.

(3) Any public employment accepted by a public officer must meet all of the following conditions:

- (a) The position was already in existence or was created by the employer without the knowledge or anticipation of the public officer's interest in such position;
 - (b) The position was publicly advertised;
 - (c) The public officer was subject to the same application and hiring process as other candidates for the position; and
 - (d) The public officer meets or exceeds the required qualifications for the position.
- (4) A person who was employed by the state or any of its political subdivisions before qualifying as a public officer for his or her current term of office or the next available term of office may continue his or her employment. However, he or she may not accept promotion, advancement, additional compensation, or anything of value that he or she knows, or with the exercise of reasonable care should know, is provided or given as a result of his or her election or position, or that is otherwise inconsistent with the promotion, advancement, additional compensation, or anything of value provided or given an employee who is similarly situated.
- (5) This section may not be interpreted as authorizing employment that is otherwise prohibited by law.
- History.—s. 2, ch. 2013-36.

112.313 Standards of conduct for public officers, employees of agencies, and local government attorneys.—

- (1) **DEFINITION.**—As used in this section, unless the context otherwise requires, the term “public officer” includes any person elected or appointed to hold office in any agency, including any person serving on an advisory body.
- (2) **SOLICITATION OR ACCEPTANCE OF GIFTS.**—No public officer, employee of an agency, local government attorney, or candidate for nomination or election shall solicit or accept anything of value to the recipient, including a gift, loan, reward, promise of future employment, favor, or service, based upon any understanding that the vote, official action, or judgment of the public officer, employee, local government attorney, or candidate would be influenced thereby.
- (3) **DOING BUSINESS WITH ONE'S AGENCY.**—No employee of an agency acting in his or her official capacity as a purchasing agent, or public officer acting in his or her official capacity, shall either directly or indirectly purchase, rent, or lease any realty, goods, or services for his or her own agency from any business entity of which the officer or employee or the officer's or employee's spouse or child is an officer, partner, director, or proprietor or in which such officer or employee or the officer's or employee's spouse or child, or any combination of them, has a material interest. Nor shall a public officer or employee, acting in a private capacity, rent, lease, or sell any realty, goods, or services to the officer's or employee's own agency, if he or she is a state officer or employee, or to any political subdivision or any agency thereof, if he or she is serving as an officer or employee of that political subdivision. The foregoing shall not apply to district offices maintained by legislators when such offices are located in the legislator's place of business or when such offices are on property wholly or partially owned by the legislator. This subsection shall not affect or be construed to prohibit contracts entered into prior to:
- (a) October 1, 1975.
 - (b) Qualification for elective office.
 - (c) Appointment to public office.
 - (d) Beginning public employment.

(4) **UNAUTHORIZED COMPENSATION.**—No public officer, employee of an agency, or local government attorney or his or her spouse or minor child shall, at any time, accept any compensation, payment, or thing of value when such public officer, employee, or local government attorney knows, or, with the exercise of reasonable care, should know, that it was given to influence a vote or other action in which the officer, employee, or local government attorney was expected to participate in his or her official capacity.

(5) **SALARY AND EXPENSES.**—No public officer shall be prohibited from voting on a matter affecting his or her salary, expenses, or other compensation as a public officer, as provided by law. No local government attorney shall be prevented from considering any matter affecting his or her salary, expenses, or other compensation as the local government attorney, as provided by law.

(6) **MISUSE OF PUBLIC POSITION.**—No public officer, employee of an agency, or local government attorney shall corruptly use or attempt to use his or her official position or any property or resource which may be within his or her trust, or perform his or her official duties, to secure a special privilege, benefit, or exemption for himself, herself, or others. This section shall not be construed to conflict with s. 104.31.

(7) **CONFLICTING EMPLOYMENT OR CONTRACTUAL RELATIONSHIP.**—

(a) No public officer or employee of an agency shall have or hold any employment or contractual relationship with any business entity or any agency which is subject to the regulation of, or is doing business with, an agency of which he or she is an officer or employee, excluding those organizations and their officers who, when acting in their official capacity, enter into or negotiate a collective bargaining contract with the state or any municipality, county, or other political subdivision of the state; nor shall an officer or employee of an agency have or hold any employment or contractual relationship that will create a continuing or frequently recurring conflict between his or her private interests and the performance of his or her public duties or that would impede the full and faithful discharge of his or her public duties.

1. When the agency referred to is that certain kind of special tax district created by general or special law and is limited specifically to constructing, maintaining, managing, and financing improvements in the land area over which the agency has jurisdiction, or when the agency has been organized pursuant to chapter 298, then employment with, or entering into a contractual relationship with, such business entity by a public officer or employee of such agency shall not be prohibited by this subsection or be deemed a conflict per se. However, conduct by such officer or employee that is prohibited by, or otherwise frustrates the intent of, this section shall be deemed a conflict of interest in violation of the standards of conduct set forth by this section.

2. When the agency referred to is a legislative body and the regulatory power over the business entity resides in another agency, or when the regulatory power which the legislative body exercises over the business entity or agency is strictly through the enactment of laws or ordinances, then employment or a contractual relationship with such business entity by a public officer or employee of a legislative body shall not be prohibited by this subsection or be deemed a conflict.

(b) This subsection shall not prohibit a public officer or employee from practicing in a particular profession or occupation when such practice by persons holding such public office or employment is required or permitted by law or ordinance.

(8) **DISCLOSURE OR USE OF CERTAIN INFORMATION.**—A current or former public officer, employee of an agency, or local government attorney may not disclose or use information not available to members of the general public and gained by reason of his or her

official position, except for information relating exclusively to governmental practices, for his or her personal gain or benefit or for the personal gain or benefit of any other person or business entity.

(9) POSTEMPLOYMENT RESTRICTIONS; STANDARDS OF CONDUCT FOR LEGISLATORS AND LEGISLATIVE EMPLOYEES.—

(a)1. It is the intent of the Legislature to implement by statute the provisions of s. 8(e), Art. II of the State Constitution relating to legislators, statewide elected officers, appointed state officers, and designated public employees.

2. As used in this paragraph:

a. “Employee” means:

(I) Any person employed in the executive or legislative branch of government holding a position in the Senior Management Service as defined in s. 110.402 or any person holding a position in the Selected Exempt Service as defined in s. 110.602 or any person having authority over policy or procurement employed by the Department of the Lottery.

(II) The Auditor General, the director of the Office of Program Policy Analysis and Government Accountability, the Sergeant at Arms and Secretary of the Senate, and the Sergeant at Arms and Clerk of the House of Representatives.

(III) The executive director and deputy executive director of the Commission on Ethics.

(IV) An executive director, staff director, or deputy staff director of each joint committee, standing committee, or select committee of the Legislature; an executive director, staff director, executive assistant, analyst, or attorney of the Office of the President of the Senate, the Office of the Speaker of the House of Representatives, the Senate Majority Party Office, Senate Minority Party Office, House Majority Party Office, or House Minority Party Office; or any person, hired on a contractual basis, having the power normally conferred upon such persons, by whatever title.

(V) The Chancellor and Vice Chancellors of the State University System; the general counsel to the Board of Governors of the State University System; and the president, provost, vice presidents, and deans of each state university.

(VI) Any person, including an other-personal-services employee, having the power normally conferred upon the positions referenced in this sub-subparagraph.

b. “Appointed state officer” means any member of an appointive board, commission, committee, council, or authority of the executive or legislative branch of state government whose powers, jurisdiction, and authority are not solely advisory and include the final determination or adjudication of any personal or property rights, duties, or obligations, other than those relative to its internal operations.

c. “State agency” means an entity of the legislative, executive, or judicial branch of state government over which the Legislature exercises plenary budgetary and statutory control.

3.a. No member of the Legislature, appointed state officer, or statewide elected officer shall personally represent another person or entity for compensation before the government body or agency of which the individual was an officer or member for a period of 2 years following vacation of office. No member of the Legislature shall personally represent another person or entity for compensation during his or her term of office before any state agency other than judicial tribunals or in settlement negotiations after the filing of a lawsuit.

b. For a period of 2 years following vacation of office, a former member of the Legislature may not act as a lobbyist for compensation before an executive branch agency, agency official, or

employee. The terms used in this sub-subparagraph have the same meanings as provided in s. 112.3215.

4. An agency employee, including an agency employee who was employed on July 1, 2001, in a Career Service System position that was transferred to the Selected Exempt Service System under chapter 2001-43, Laws of Florida, may not personally represent another person or entity for compensation before the agency with which he or she was employed for a period of 2 years following vacation of position, unless employed by another agency of state government.

5. Any person violating this paragraph shall be subject to the penalties provided in s. 112.317 and a civil penalty of an amount equal to the compensation which the person receives for the prohibited conduct.

6. This paragraph is not applicable to:

- a. A person employed by the Legislature or other agency prior to July 1, 1989;
- b. A person who was employed by the Legislature or other agency on July 1, 1989, whether or not the person was a defined employee on July 1, 1989;
- c. A person who was a defined employee of the State University System or the Public Service Commission who held such employment on December 31, 1994;
- d. A person who has reached normal retirement age as defined in s. 121.021(29), and who has retired under the provisions of chapter 121 by July 1, 1991; or
- e. Any appointed state officer whose term of office began before January 1, 1995, unless reappointed to that office on or after January 1, 1995.

(b) In addition to the provisions of this part which are applicable to legislators and legislative employees by virtue of their being public officers or employees, the conduct of members of the Legislature and legislative employees shall be governed by the ethical standards provided in the respective rules of the Senate or House of Representatives which are not in conflict herewith.

(10) EMPLOYEES HOLDING OFFICE.—

(a) No employee of a state agency or of a county, municipality, special taxing district, or other political subdivision of the state shall hold office as a member of the governing board, council, commission, or authority, by whatever name known, which is his or her employer while, at the same time, continuing as an employee of such employer.

(b) The provisions of this subsection shall not apply to any person holding office in violation of such provisions on the effective date of this act. However, such a person shall surrender his or her conflicting employment prior to seeking reelection or accepting reappointment to office.

(11) PROFESSIONAL AND OCCUPATIONAL LICENSING BOARD MEMBERS.—No officer, director, or administrator of a Florida state, county, or regional professional or occupational organization or association, while holding such position, shall be eligible to serve as a member of a state examining or licensing board for the profession or occupation.

(12) EXEMPTION.—The requirements of subsections (3) and (7) as they pertain to persons serving on advisory boards may be waived in a particular instance by the body which appointed the person to the advisory board, upon a full disclosure of the transaction or relationship to the appointing body prior to the waiver and an affirmative vote in favor of waiver by two-thirds vote of that body. In instances in which appointment to the advisory board is made by an individual, waiver may be effected, after public hearing, by a determination by the appointing person and full disclosure of the transaction or relationship by the appointee to the appointing person. In addition, no person shall be held in violation of subsection (3) or subsection (7) if:

(a) Within a city or county the business is transacted under a rotation system whereby the business transactions are rotated among all qualified suppliers of the goods or services within the city or county.

(b) The business is awarded under a system of sealed, competitive bidding to the lowest or best bidder and:

1. The official or the official's spouse or child has in no way participated in the determination of the bid specifications or the determination of the lowest or best bidder;

2. The official or the official's spouse or child has in no way used or attempted to use the official's influence to persuade the agency or any personnel thereof to enter such a contract other than by the mere submission of the bid; and

3. The official, prior to or at the time of the submission of the bid, has filed a statement with the Commission on Ethics, if the official is a state officer or employee, or with the supervisor of elections of the county in which the agency has its principal office, if the official is an officer or employee of a political subdivision, disclosing the official's interest, or the interest of the official's spouse or child, and the nature of the intended business.

(c) The purchase or sale is for legal advertising in a newspaper, for any utilities service, or for passage on a common carrier.

(d) An emergency purchase or contract which would otherwise violate a provision of subsection (3) or subsection (7) must be made in order to protect the health, safety, or welfare of the citizens of the state or any political subdivision thereof.

(e) The business entity involved is the only source of supply within the political subdivision of the officer or employee and there is full disclosure by the officer or employee of his or her interest in the business entity to the governing body of the political subdivision prior to the purchase, rental, sale, leasing, or other business being transacted.

(f) The total amount of the transactions in the aggregate between the business entity and the agency does not exceed \$500 per calendar year.

(g) The fact that a county or municipal officer or member of a public board or body, including a district school officer or an officer of any district within a county, is a stockholder, officer, or director of a bank will not bar such bank from qualifying as a depository of funds coming under the jurisdiction of any such public board or body, provided it appears in the records of the agency that the governing body of the agency has determined that such officer or member of a public board or body has not favored such bank over other qualified banks.

(h) The transaction is made pursuant to s. 1004.22 or s. 1004.23 and is specifically approved by the president and the chair of the university board of trustees. The chair of the university board of trustees shall submit to the Governor and the Legislature by March 1 of each year a report of the transactions approved pursuant to this paragraph during the preceding year.

(i) The public officer or employee purchases in a private capacity goods or services, at a price and upon terms available to similarly situated members of the general public, from a business entity which is doing business with his or her agency.

(j) The public officer or employee in a private capacity purchases goods or services from a business entity which is subject to the regulation of his or her agency and:

1. The price and terms of the transaction are available to similarly situated members of the general public; and

2. The officer or employee makes full disclosure of the relationship to the agency head or governing body prior to the transaction.

(13) COUNTY AND MUNICIPAL ORDINANCES AND SPECIAL DISTRICT AND SCHOOL DISTRICT RESOLUTIONS REGULATING FORMER OFFICERS OR EMPLOYEES.—The governing body of any county or municipality may adopt an ordinance and the governing body of any special district or school district may adopt a resolution providing that an appointed county, municipal, special district, or school district officer or a county, municipal, special district, or school district employee may not personally represent another person or entity for compensation before the government body or agency of which the individual was an officer or employee for a period of 2 years following vacation of office or termination of employment, except for the purposes of collective bargaining. Nothing in this section may be construed to prohibit such ordinance or resolution.

(14) LOBBYING BY FORMER LOCAL OFFICERS; PROHIBITION.—A person who has been elected to any county, municipal, special district, or school district office may not personally represent another person or entity for compensation before the government body or agency of which the person was an officer for a period of 2 years after vacating that office. For purposes of this subsection:

(a) The “government body or agency” of a member of a board of county commissioners consists of the commission, the chief administrative officer or employee of the county, and their immediate support staff.

(b) The “government body or agency” of any other county elected officer is the office or department headed by that officer, including all subordinate employees.

(c) The “government body or agency” of an elected municipal officer consists of the governing body of the municipality, the chief administrative officer or employee of the municipality, and their immediate support staff.

(d) The “government body or agency” of an elected special district officer is the special district.

(e) The “government body or agency” of an elected school district officer is the school district.

(15) ADDITIONAL EXEMPTION.—No elected public officer shall be held in violation of subsection (7) if the officer maintains an employment relationship with an entity which is currently a tax-exempt organization under s. 501(c) of the Internal Revenue Code and which contracts with or otherwise enters into a business relationship with the officer’s agency and:

(a) The officer’s employment is not directly or indirectly compensated as a result of such contract or business relationship;

(b) The officer has in no way participated in the agency’s decision to contract or to enter into the business relationship with his or her employer, whether by participating in discussion at the meeting, by communicating with officers or employees of the agency, or otherwise; and

(c) The officer abstains from voting on any matter which may come before the agency involving the officer’s employer, publicly states to the assembly the nature of the officer’s interest in the matter from which he or she is abstaining, and files a written memorandum as provided in s. 112.3143.

(16) LOCAL GOVERNMENT ATTORNEYS.—

(a) For the purposes of this section, “local government attorney” means any individual who routinely serves as the attorney for a unit of local government. The term shall not include any person who renders legal services to a unit of local government pursuant to contract limited to a specific issue or subject, to specific litigation, or to a specific administrative proceeding. For the purposes of this section, “unit of local government” includes, but is not limited to, municipalities, counties, and special districts.

(b) It shall not constitute a violation of subsection (3) or subsection (7) for a unit of local government to contract with a law firm, operating as either a partnership or a professional association, or in any combination thereof, or with a local government attorney who is a member of or is otherwise associated with the law firm, to provide any or all legal services to the unit of local government, so long as the local government attorney is not a full-time employee or member of the governing body of the unit of local government. However, the standards of conduct as provided in subsections (2), (4), (5), (6), and (8) shall apply to any person who serves as a local government attorney.

(c) No local government attorney or law firm in which the local government attorney is a member, partner, or employee shall represent a private individual or entity before the unit of local government to which the local government attorney provides legal services. A local government attorney whose contract with the unit of local government does not include provisions that authorize or mandate the use of the law firm of the local government attorney to complete legal services for the unit of local government shall not recommend or otherwise refer legal work to that attorney's law firm to be completed for the unit of local government.

(17) **BOARD OF GOVERNORS AND BOARDS OF TRUSTEES.**—No citizen member of the Board of Governors of the State University System, nor any citizen member of a board of trustees of a local constituent university, shall have or hold any employment or contractual relationship as a legislative lobbyist requiring annual registration and reporting pursuant to s. 11.045.

History.—s. 3, ch. 67-469; s. 2, ch. 69-335; ss. 10, 35, ch. 69-106; s. 3, ch. 74-177; ss. 4, 11, ch. 75-208; s. 1, ch. 77-174; s. 1, ch. 77-349; s. 4, ch. 82-98; s. 2, ch. 83-26; s. 6, ch. 83-282; s. 14, ch. 85-80; s. 12, ch. 86-145; s. 1, ch. 88-358; s. 1, ch. 88-408; s. 3, ch. 90-502; s. 3, ch. 91-85; s. 4, ch. 91-292; s. 1, ch. 92-35; s. 1, ch. 94-277; s. 1406, ch. 95-147; s. 3, ch. 96-311; s. 34, ch. 96-318; s. 41, ch. 99-2; s. 29, ch. 2001-266; s. 20, ch. 2002-1; s. 894, ch. 2002-387; s. 2, ch. 2005-285; s. 2, ch. 2006-275; s. 10, ch. 2007-217; s. 16, ch. 2011-34; s. 3, ch. 2013-36.

112.3135 Restriction on employment of relatives.—

(1) In this section, unless the context otherwise requires:

(a) “Agency” means:

1. A state agency, except an institution under the jurisdiction of the Board of Governors of the State University System;
2. An office, agency, or other establishment in the legislative branch;
3. An office, agency, or other establishment in the judicial branch;
4. A county;
5. A city; and
6. Any other political subdivision of the state, except a district school board or community college district.

(b) “Collegial body” means a governmental entity marked by power or authority vested equally in each of a number of colleagues.

(c) “Public official” means an officer, including a member of the Legislature, the Governor, and a member of the Cabinet, or an employee of an agency in whom is vested the authority by law, rule, or regulation, or to whom the authority has been delegated, to appoint, employ, promote, or advance individuals or to recommend individuals for appointment, employment, promotion, or advancement in connection with employment in an agency, including the authority

as a member of a collegial body to vote on the appointment, employment, promotion, or advancement of individuals.

(d) “Relative,” for purposes of this section only, with respect to a public official, means an individual who is related to the public official as father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, or half sister.

(2)(a) A public official may not appoint, employ, promote, or advance, or advocate for appointment, employment, promotion, or advancement, in or to a position in the agency in which the official is serving or over which the official exercises jurisdiction or control any individual who is a relative of the public official. An individual may not be appointed, employed, promoted, or advanced in or to a position in an agency if such appointment, employment, promotion, or advancement has been advocated by a public official, serving in or exercising jurisdiction or control over the agency, who is a relative of the individual or if such appointment, employment, promotion, or advancement is made by a collegial body of which a relative of the individual is a member. However, this subsection shall not apply to appointments to boards other than those with land-planning or zoning responsibilities in those municipalities with less than 35,000 population. This subsection does not apply to persons serving in a volunteer capacity who provide emergency medical, firefighting, or police services. Such persons may receive, without losing their volunteer status, reimbursements for the costs of any training they get relating to the provision of volunteer emergency medical, firefighting, or police services and payment for any incidental expenses relating to those services that they provide.

(b) Mere approval of budgets shall not be sufficient to constitute “jurisdiction or control” for the purposes of this section.

(3) An agency may prescribe regulations authorizing the temporary employment, in the event of an emergency as defined in s. 252.34, of individuals whose employment would be otherwise prohibited by this section.

(4) Legislators’ relatives may be employed as pages or messengers during legislative sessions. History.—ss. 1, 2, 3, ch. 69-341; ss. 15, 35, ch. 69-106; s. 70, ch. 72-221; s. 3, ch. 83-334; s. 1, ch. 89-67; s. 4, ch. 90-502; s. 2, ch. 94-277; s. 1407, ch. 95-147; s. 1, ch. 98-160; s. 42, ch. 99-2; s. 11, ch. 2007-217; s. 47, ch. 2011-142.

Note.—Former s. 116.111.

112.3136 Standards of conduct for officers and employees of entities serving as chief administrative officer of political subdivisions.—The officers, directors, and chief executive officer of a corporation, partnership, or other business entity that is serving as the chief administrative or executive officer or employee of a political subdivision, and any business entity employee who is acting as the chief administrative or executive officer or employee of the political subdivision, for the purposes of the following sections, are public officers and employees who are subject to the following standards of conduct of this part:

(1) Section 112.313, and their “agency” is the political subdivision that they serve; however, the contract under which the business entity serves as chief executive or administrative officer of the political subdivision is not deemed to violate s. 112.313(3) or (7).

(2) Section 112.3145, as a “local officer.”

(3) Sections 112.3148 and 112.3149, as a “reporting individual.”

History.—s. 1, ch. 2009-126.

112.3142 Ethics training for specified constitutional officers and elected municipal officers.—

(1) As used in this section, the term “constitutional officers” includes the Governor, the Lieutenant Governor, the Attorney General, the Chief Financial Officer, the Commissioner of Agriculture, state attorneys, public defenders, sheriffs, tax collectors, property appraisers, supervisors of elections, clerks of the circuit court, county commissioners, district school board members, and superintendents of schools.

(2)(a) All constitutional officers must complete 4 hours of ethics training each calendar year which addresses, at a minimum, s. 8, Art. II of the State Constitution, the Code of Ethics for Public Officers and Employees, and the public records and public meetings laws of this state. This requirement may be satisfied by completion of a continuing legal education class or other continuing professional education class, seminar, or presentation if the required subjects are covered.

(b) Beginning January 1, 2015, all elected municipal officers must complete 4 hours of ethics training each calendar year which addresses, at a minimum, s. 8, Art. II of the State Constitution, the Code of Ethics for Public Officers and Employees, and the public records and public meetings laws of this state. This requirement may be satisfied by completion of a continuing legal education class or other continuing professional education class, seminar, or presentation if the required subjects are covered.

(c) The commission shall adopt rules establishing minimum course content for the portion of an ethics training class which addresses s. 8, Art. II of the State Constitution and the Code of Ethics for Public Officers and Employees.

(d) The Legislature intends that a constitutional officer or elected municipal officer who is required to complete ethics training pursuant to this section receive the required training as close as possible to the date that he or she assumes office. A constitutional officer or elected municipal officer assuming a new office or new term of office on or before March 31 must complete the annual training on or before December 31 of the year in which the term of office began. A constitutional officer or elected municipal officer assuming a new office or new term of office after March 31 is not required to complete ethics training for the calendar year in which the term of office began.

(3) Each house of the Legislature shall provide for ethics training pursuant to its rules. History.—s. 4, ch. 2013-36; s. 2, ch. 2014-183.

112.31425 Qualified blind trusts.—

(1) The Legislature finds that if a public officer creates a trust and does not control the interests held by the trust, his or her official actions will not be influenced or appear to be influenced by private considerations.

(2) If a public officer holds a beneficial interest in a qualified blind trust as described in this section, he or she does not have a conflict of interest prohibited under s. 112.313(3) or (7) or a voting conflict of interest under s. 112.3143 with regard to matters pertaining to that interest.

(3) The public officer may not attempt to influence or exercise any control over decisions regarding the management of assets in a qualified blind trust. The public officer or any person having a beneficial interest in the qualified blind trust may not make any effort to obtain information with respect to the holdings of the trust, including obtaining a copy of any trust tax return filed or any information relating thereto, except as otherwise provided in this section.

(4) Except for communications that consist solely of requests for distributions of cash or other unspecified assets of the trust, the public officer or the person who has a beneficial interest may not have any direct or indirect communication with the trustee with respect to the trust, unless such communication is in writing and relates only to:

(a) A distribution from the trust which does not specify the source or assets within the trust from which the distribution is to be made in cash or in kind;

(b) The general financial interests and needs of the public officer or the person who has a beneficial interest, including, but not limited to, an interest in maximizing income or long-term capital gain;

(c) A notification of the trustee of a law or regulation subsequently applicable to the public officer which prohibits the officer from holding an asset and directs that the asset not be held by the trust; or

(d) A direction to the trustee to sell all of an asset initially placed in the trust by the public officer which, in the determination of the public officer, creates a conflict of interest or the appearance thereof due to the subsequent assumption of duties by the public officer.

(5) The public officer shall report the beneficial interest in the qualified blind trust and its value as an asset on his or her financial disclosure form, if the value is required to be disclosed. The public officer shall report the blind trust as a primary source of income on his or her financial disclosure forms and its amount, if the amount of income is required to be disclosed. The public officer is not required to report as a secondary source of income any source of income to the blind trust.

(6) In order to constitute a qualified blind trust, the trust established by the public officer must meet the following requirements:

(a) The appointed trustee must be a bank, trust company, or other institutional fiduciary or an individual who is an attorney, certified public accountant, broker, or investment advisor. If the trustee is an individual or if the trustee is a bank, trust company, or other institutional fiduciary, the individual responsible for managing the trust may not be:

1. The public officer's spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, aunt, uncle, or first cousin, or the spouse of any such person;
2. A person who is an elected or appointed public officer or a public employee;
3. A person who has been appointed to serve in an agency by the public officer or by a public officer or public employee supervised by the public officer; or
4. A business associate or principal of the public officer.

(b) All assets in the trust must be free of any restrictions with respect to their transfer or sale. The trust may not contain investments or assets the transfer of which by the trustee is improbable or impractical without the public officer's knowledge.

(c) The trust agreement must:

1. Contain a statement that its purpose is to remove from the grantor control and knowledge of investment of trust assets so that conflicts between the grantor's responsibilities as a public officer and his or her private interests are eliminated.
2. Give the trustee complete discretion to manage the trust, including, but not limited to, the power to dispose of and acquire trust assets without consulting or notifying the covered public officer or the person having a beneficial interest in the trust.
3. Prohibit communication between the trustee and the public officer, or the person who has a beneficial interest in the trust, concerning the holdings or sources of income of the trust, except

amounts of cash value or net income or loss, if such report does not identify any asset or holding, or except as provided in this section.

4. Provide that the trust tax return is prepared by the trustee or his or her designee and that any information relating thereto is not disclosed to the public officer or to the person who has a beneficial interest, except as provided in this section.

5. Permit the trustee to notify the public officer of the date of disposition and value at disposition of any original investment or interest in real property to the extent required by federal tax law so that the information can be reported on the public officer's applicable tax returns.

6. Prohibit the trustee from disclosing to the public officer or the person who has a beneficial interest any information concerning replacement assets to the trust, except for the minimum tax information necessary to enable the public official to complete an individual tax return required by law.

(d) Within 5 business days after the agreement is executed, the public officer shall file with the commission a notice setting forth:

1. The date that the agreement is executed.

2. The name and address of the trustee.

3. The acknowledgment by the trustee that he or she has agreed to serve as trustee.

4. A certification by the trustee on a form prescribed by the commission that the trust meets all of the requirements of this section. In lieu of said certification, the public officer may file a copy of the trust agreement.

5. A complete list of assets placed in the trust that the public officer would be required to disclose pursuant to s. 112.3144 or s. 112.3145.

(7) If the trust is revoked while the covered public official is a public officer, or if the covered public official learns of any replacement assets that have been added to the trust, the covered public official shall file an amendment to his or her most recent financial disclosure statement. The amendment shall be filed no later than 60 days after the date of revocation or the addition of the replacement assets. The covered public official shall disclose the previously unreported pro rata share of the trust's interests in investments or income deriving from any such investments. For purposes of this section, any replacement asset that becomes known to the covered public official shall thereafter be treated as though it were an original asset of the trust.

History.—s. 5, ch. 2013-36.

112.3143 Voting conflicts.—

(1) As used in this section:

(a) "Principal by whom retained" means an individual or entity, other than an agency as defined in s. 112.312(2), that for compensation, salary, pay, consideration, or similar thing of value, has permitted or directed another to act for the individual or entity, and includes, but is not limited to, one's client, employer, or the parent, subsidiary, or sibling organization of one's client or employer.

(b) "Public officer" includes any person elected or appointed to hold office in any agency, including any person serving on an advisory body.

(c) "Relative" means any father, mother, son, daughter, husband, wife, brother, sister, father-in-law, mother-in-law, son-in-law, or daughter-in-law.

(d) "Special private gain or loss" means an economic benefit or harm that would inure to the officer, his or her relative, business associate, or principal, unless the measure affects a class that includes the officer, his or her relative, business associate, or principal, in which case, at least the

following factors must be considered when determining whether a special private gain or loss exists:

1. The size of the class affected by the vote.
2. The nature of the interests involved.
3. The degree to which the interests of all members of the class are affected by the vote.
4. The degree to which the officer, his or her relative, business associate, or principal receives a greater benefit or harm when compared to other members of the class.

The degree to which there is uncertainty at the time of the vote as to whether there would be any economic benefit or harm to the public officer, his or her relative, business associate, or principal and, if so, the nature or degree of the economic benefit or harm must also be considered.

(2)(a) A state public officer may not vote on any matter that the officer knows would inure to his or her special private gain or loss. Any state public officer who abstains from voting in an official capacity upon any measure that the officer knows would inure to the officer's special private gain or loss, or who votes in an official capacity on a measure that he or she knows would inure to the special private gain or loss of any principal by whom the officer is retained or to the parent organization or subsidiary of a corporate principal by which the officer is retained other than an agency as defined in s. 112.312(2); or which the officer knows would inure to the special private gain or loss of a relative or business associate of the public officer, shall make every reasonable effort to disclose the nature of his or her interest as a public record in a memorandum filed with the person responsible for recording the minutes of the meeting, who shall incorporate the memorandum in the minutes. If it is not possible for the state public officer to file a memorandum before the vote, the memorandum must be filed with the person responsible for recording the minutes of the meeting no later than 15 days after the vote.

(b) A member of the Legislature may satisfy the disclosure requirements of this section by filing a disclosure form created pursuant to the rules of the member's respective house if the member discloses the information required by this subsection.

(3)(a) No county, municipal, or other local public officer shall vote in an official capacity upon any measure which would inure to his or her special private gain or loss; which he or she knows would inure to the special private gain or loss of any principal by whom he or she is retained or to the parent organization or subsidiary of a corporate principal by which he or she is retained, other than an agency as defined in s. 112.312(2); or which he or she knows would inure to the special private gain or loss of a relative or business associate of the public officer. Such public officer shall, prior to the vote being taken, publicly state to the assembly the nature of the officer's interest in the matter from which he or she is abstaining from voting and, within 15 days after the vote occurs, disclose the nature of his or her interest as a public record in a memorandum filed with the person responsible for recording the minutes of the meeting, who shall incorporate the memorandum in the minutes.

(b) However, a commissioner of a community redevelopment agency created or designated pursuant to s. 163.356 or s. 163.357, or an officer of an independent special tax district elected on a one-acre, one-vote basis, is not prohibited from voting, when voting in said capacity.

(4) No appointed public officer shall participate in any matter which would inure to the officer's special private gain or loss; which the officer knows would inure to the special private gain or loss of any principal by whom he or she is retained or to the parent organization or subsidiary of a corporate principal by which he or she is retained; or which he or she knows

would inure to the special private gain or loss of a relative or business associate of the public officer, without first disclosing the nature of his or her interest in the matter.

(a) Such disclosure, indicating the nature of the conflict, shall be made in a written memorandum filed with the person responsible for recording the minutes of the meeting, prior to the meeting in which consideration of the matter will take place, and shall be incorporated into the minutes. Any such memorandum shall become a public record upon filing, shall immediately be provided to the other members of the agency, and shall be read publicly at the next meeting held subsequent to the filing of this written memorandum.

(b) In the event that disclosure has not been made prior to the meeting or that any conflict is unknown prior to the meeting, the disclosure shall be made orally at the meeting when it becomes known that a conflict exists. A written memorandum disclosing the nature of the conflict shall then be filed within 15 days after the oral disclosure with the person responsible for recording the minutes of the meeting and shall be incorporated into the minutes of the meeting at which the oral disclosure was made. Any such memorandum shall become a public record upon filing, shall immediately be provided to the other members of the agency, and shall be read publicly at the next meeting held subsequent to the filing of this written memorandum.

(c) For purposes of this subsection, the term “participate” means any attempt to influence the decision by oral or written communication, whether made by the officer or at the officer’s direction.

(5) If disclosure of specific information would violate confidentiality or privilege pursuant to law or rules governing attorneys, a public officer, who is also an attorney, may comply with the disclosure requirements of this section by disclosing the nature of the interest in such a way as to provide the public with notice of the conflict.

(6) Whenever a public officer or former public officer is being considered for appointment or reappointment to public office, the appointing body shall consider the number and nature of the memoranda of conflict previously filed under this section by said officer.

History.—s. 6, ch. 75-208; s. 2, ch. 84-318; s. 1, ch. 84-357; s. 2, ch. 86-148; s. 5, ch. 91-85; s. 3, ch. 94-277; s. 1408, ch. 95-147; s. 43, ch. 99-2; s. 6, ch. 2013-36.

112.3144 Full and public disclosure of financial interests.—

(1) An officer who is required by s. 8, Art. II of the State Constitution to file a full and public disclosure of his or her financial interests for any calendar or fiscal year shall file that disclosure with the Florida Commission on Ethics. Additionally, beginning January 1, 2015, an officer who is required to complete annual ethics training pursuant to s. 112.3142 must certify on his or her full and public disclosure of financial interests that he or she has completed the required training.

(2) A person who is required, pursuant to s. 8, Art. II of the State Constitution, to file a full and public disclosure of financial interests and who has filed a full and public disclosure of financial interests for any calendar or fiscal year shall not be required to file a statement of financial interests pursuant to s. 112.3145(2) and (3) for the same year or for any part thereof notwithstanding any requirement of this part. If an incumbent in an elective office has filed the full and public disclosure of financial interests to qualify for election to the same office or if a candidate for office holds another office subject to the annual filing requirement, the qualifying officer shall forward an electronic copy of the full and public disclosure of financial interests to the commission no later than July 1. The electronic copy of the full and public disclosure of financial interests satisfies the annual disclosure requirement of this section. A candidate who does not qualify until after the annual full and public disclosure of financial interests has been

filed pursuant to this section shall file a copy of his or her disclosure with the officer before whom he or she qualifies.

(3) For purposes of full and public disclosure under s. 8(a), Art. II of the State Constitution, the following items, if not held for investment purposes and if valued at over \$1,000 in the aggregate, may be reported in a lump sum and identified as “household goods and personal effects”:

- (a) Jewelry;
- (b) Collections of stamps, guns, and numismatic properties;
- (c) Art objects;
- (d) Household equipment and furnishings;
- (e) Clothing;
- (f) Other household items; and
- (g) Vehicles for personal use.

(4)(a) With respect to reporting, on forms prescribed under this section, assets valued in excess of \$1,000 which the reporting individual holds jointly with another person, the amount reported shall be based on the reporting individual’s legal percentage of ownership in the property. However, assets that are held jointly, with right of survivorship, must be reported at 100 percent of the value of the asset. For purposes of this subsection, a reporting individual is deemed to own a percentage of a partnership which is equal to the reporting individual’s interest in the capital or equity of the partnership.

(b)1. With respect to reporting liabilities valued in excess of \$1,000 on forms prescribed under this section for which the reporting individual is jointly and severally liable, the amount reported shall be based on the reporting individual’s percentage of liability rather than the total amount of the liability. However, liability for a debt that is secured by property owned by the reporting individual but that is held jointly, with right of survivorship, must be reported at 100 percent of the total amount owed.

2. A separate section of the form shall be created to provide for the reporting of the amounts of joint and several liability of the reporting individual not otherwise reported in subparagraph 1.

(5) Forms for compliance with the full and public disclosure requirements of s. 8, Art. II of the State Constitution shall be created by the Commission on Ethics. The commission shall give notice of disclosure deadlines and delinquencies and distribute forms in the following manner:

(a) Not later than May 1 of each year, the commission shall prepare a current list of the names and addresses of and the offices held by every person required to file full and public disclosure annually by s. 8, Art. II of the State Constitution, or other state law. In compiling the list, the commission shall be assisted by each unit of government in providing at the request of the commission the name, address, and name of the office held by each public official within the respective unit of government.

(b) Not later than 30 days before July 1 of each year, the commission shall mail a copy of the form prescribed for compliance with full and public disclosure and a notice of the filing deadline to each person on the mailing list.

(c) Not later than 30 days after July 1 of each year, the commission shall determine which persons on the mailing list have failed to file full and public disclosure and shall send delinquency notices by certified mail to such persons. Each notice shall state that a grace period is in effect until September 1 of the current year.

(d) Statements must be filed not later than 5 p.m. of the due date. However, any statement that is postmarked by the United States Postal Service by midnight of the due date is deemed to have

been filed in a timely manner, and a certificate of mailing obtained from and dated by the United States Postal Service at the time of the mailing, or a receipt from an established courier company which bears a date on or before the due date, constitutes proof of mailing in a timely manner.

(e) Any person who is required to file full and public disclosure of financial interests and whose name is on the commission's mailing list but who fails to timely file is assessed a fine of \$25 per day for each day late up to a maximum of \$1,500; however this \$1,500 limitation on automatic fines does not limit the civil penalty that may be imposed if the statement is filed more than 60 days after the deadline and a complaint is filed, as provided in s. 112.324. The commission must provide by rule the grounds for waiving the fine and the procedures by which each person whose name is on the mailing list and who is determined to have not filed in a timely manner will be notified of assessed fines and may appeal. The rule must provide for and make specific the following:

1. The amount of the fine due is based upon the earliest of the following:
 - a. When a statement is actually received by the office.
 - b. When the statement is postmarked.
 - c. When the certificate of mailing is dated.
 - d. When the receipt from an established courier company is dated.
2. Upon receipt of the disclosure statement or upon accrual of the maximum penalty, whichever occurs first, the commission shall determine the amount of the fine which is due and shall notify the delinquent person. The notice must include an explanation of the appeal procedure under subparagraph 3. Such fine must be paid within 30 days after the notice of payment due is transmitted, unless appeal is made to the commission pursuant to subparagraph 3. The moneys shall be deposited into the General Revenue Fund.
3. Any reporting person may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the designated due date, and may request and is entitled to a hearing before the commission, which may waive the fine in whole or in part for good cause shown. Any such request must be made within 30 days after the notice of payment due is transmitted. In such a case, the reporting person must, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission.

(f) Any person subject to the annual filing of full and public disclosure under s. 8, Art. II of the State Constitution, or other state law, whose name is not on the commission's mailing list of persons required to file full and public disclosure is not subject to the fines or penalties provided in this part for failure to file full and public disclosure in any year in which the omission occurred, but nevertheless is required to file the disclosure statement.

(g) The notification requirements and fines of this subsection do not apply to candidates or to the first filing required of any person appointed to elective constitutional office or other position required to file full and public disclosure, unless the person's name is on the commission's notification list and the person received notification from the commission. The appointing official shall notify such newly appointed person of the obligation to file full and public disclosure by July 1. The notification requirements and fines of this subsection do not apply to the final filing provided for in subsection (7).

(h) Notwithstanding any provision of chapter 120, any fine imposed under this subsection which is not waived by final order of the commission and which remains unpaid more than 60 days after the notice of payment due or more than 60 days after the commission renders a final order on the appeal must be submitted to the Department of Financial Services as a claim, debt,

or other obligation owed to the state, and the department shall assign the collection of such fine to a collection agent as provided in s. 17.20.

(6) If a person holding public office or public employment fails or refuses to file a full and public disclosure of financial interests for any year in which the person received notice from the commission regarding the failure to file and has accrued the maximum automatic fine authorized under this section, regardless of whether the fine imposed was paid or collected, the commission shall initiate an investigation and conduct a public hearing without receipt of a complaint to determine whether the person's failure to file is willful. Such investigation and hearing must be conducted in accordance with s. 112.324. Except as provided in s. 112.324(4), if the commission determines that the person willfully failed to file a full and public disclosure of financial interests, the commission shall enter an order recommending that the officer or employee be removed from his or her public office or public employment.

(7) Each person required to file full and public disclosure of financial interests shall file a final disclosure statement within 60 days after leaving his or her public position for the period between January 1 of the year in which the person leaves and the last day of office or employment, unless within the 60-day period the person takes another public position requiring financial disclosure under s. 8, Art. II of the State Constitution, or is otherwise required to file full and public disclosure for the final disclosure period. The head of the agency of each person required to file full and public disclosure for the final disclosure period shall notify such persons of their obligation to file the final disclosure and may designate a person to be responsible for the notification requirements of this subsection.

(8)(a) The commission shall treat an amended full and public disclosure of financial interests which is filed before September 1 of the year in which the disclosure is due as the original filing, regardless of whether a complaint has been filed. If a complaint alleges only an immaterial, inconsequential, or de minimis error or omission, the commission may not take any action on the complaint other than notifying the filer of the complaint. The filer must be given 30 days to file an amended full and public disclosure of financial interests correcting any errors. If the filer does not file an amended full and public disclosure of financial interests within 30 days after the commission sends notice of the complaint, the commission may continue with proceedings pursuant to s. 112.324.

(b) For purposes of the final full and public disclosure of financial interests, the commission shall treat a new final full and public disclosure of financial interests as the original filing if filed within 60 days after the original filing, regardless of whether a complaint has been filed. If, more than 60 days after a final full and public disclosure of financial interests is filed, a complaint is filed alleging a complete omission of any information required to be disclosed by this section, the commission may immediately follow the complaint procedures in s. 112.324. However, if the complaint alleges an immaterial, inconsequential, or de minimis error or omission, the commission may not take any action on the complaint, other than notifying the filer of the complaint. The filer must be given 30 days to file a new final full and public disclosure of financial interests correcting any errors. If the filer does not file a new final full and public disclosure of financial interests within 30 days after the commission sends notice of the complaint, the commission may continue with proceedings pursuant to s. 112.324.

(c) For purposes of this section, an error or omission is immaterial, inconsequential, or de minimis if the original filing provided sufficient information for the public to identify potential conflicts of interest. However, failure to certify completion of annual ethics training required

under s. 112.3142 does not constitute an immaterial, inconsequential, or de minimis error or omission.

(9)(a) An individual required to file a disclosure pursuant to this section may have the disclosure prepared by an attorney in good standing with The Florida Bar or by a certified public accountant licensed under chapter 473. After preparing a disclosure form, the attorney or certified public accountant must sign the form indicating that he or she prepared the form in accordance with this section and the instructions for completing and filing the disclosure forms and that, upon his or her reasonable knowledge and belief, the disclosure is true and correct. If a complaint is filed alleging a failure to disclose information required by this section, the commission shall determine whether the information was disclosed to the attorney or certified public accountant. The failure of the attorney or certified public accountant to accurately transcribe information provided by the individual required to file is not a violation of this section.

(b) An elected officer or candidate who chooses to use an attorney or a certified public accountant to prepare his or her disclosure may pay for the services of the attorney or certified public accountant from funds in an office account created pursuant to s. 106.141 or, during a year that the individual qualifies for election to public office, the candidate's campaign depository pursuant to s. 106.021.

(10) The commission shall adopt rules and forms specifying how a person who is required to file full and public disclosure of financial interests may amend his or her disclosure statement to report information that was not included on the form as originally filed. If the amendment is the subject of a complaint filed under this part, the commission and the proper disciplinary official or body shall consider as a mitigating factor when considering appropriate disciplinary action the fact that the amendment was filed before any complaint or other inquiry or proceeding, while recognizing that the public was deprived of access to information to which it was entitled.

History.—s. 1, ch. 82-98; s. 3, ch. 88-358; s. 19, ch. 91-45; s. 4, ch. 94-277; s. 1409, ch. 95-147; s. 2, ch. 2000-243; s. 30, ch. 2000-258; s. 127, ch. 2003-261; s. 3, ch. 2006-275; s. 7, ch. 2013-36; s. 3, ch. 2014-183.

112.31445 Electronic filing system; full and public disclosure of financial interests.—

(1) As used in this section, the term “electronic filing system” means an Internet system for recording and reporting full and public disclosure of financial interests or any other form that is required pursuant to s. 112.3144.

(2) Beginning with the 2012 filing year, all full and public disclosures of financial interests filed with the commission pursuant to s. 8, Art. II of the State Constitution or s. 112.3144 must be scanned and made publicly available by the commission through a searchable Internet database.

(3) By December 1, 2015, the commission shall submit a proposal to the President of the Senate and the Speaker of the House of Representatives for a mandatory electronic filing system. The proposal must, at a minimum:

(a) Provide for access through the Internet.

(b) Establish a procedure to make filings available in a searchable format that is accessible by an individual using standard web-browsing software.

(c) Provide for direct completion of the full and public disclosure of financial interests forms as well as upload such information using software approved by the commission.

(d) Provide a secure method that prevents unauthorized access to electronic filing system functions.

(e) Provide a method for an attorney or certified public accountant licensed in this state to sign the disclosure form to indicate that he or she prepared the form in accordance with s. 112.3144 and the instructions for completing and filing the disclosure form and that, upon his or her reasonable knowledge and belief, the form is true and correct.

(f) Address whether additional statutory or rulemaking authority is necessary for implementation of the system, and must include, at a minimum, the following elements: alternate filing procedures to be used in the event that the commission's electronic filing system is inoperable, issuance of an electronic receipt via electronic mail indicating and verifying to the individual who submitted the full and public disclosure of financial interests form that the form has been filed, and a determination of the feasibility and necessity of including statements of financial interests filed pursuant to s. 112.3145 in the proposed system.

History.—s. 8, ch. 2013-36.

112.3145 Disclosure of financial interests and clients represented before agencies.—

(1) For purposes of this section, unless the context otherwise requires, the term:

(a) “Local officer” means:

1. Every person who is elected to office in any political subdivision of the state, and every person who is appointed to fill a vacancy for an unexpired term in such an elective office.

2. Any appointed member of any of the following boards, councils, commissions, authorities, or other bodies of any county, municipality, school district, independent special district, or other political subdivision of the state:

a. The governing body of the political subdivision, if appointed;

b. A community college or junior college district board of trustees;

c. A board having the power to enforce local code provisions;

d. A planning or zoning board, board of adjustment, board of appeals, community redevelopment agency board, or other board having the power to recommend, create, or modify land planning or zoning within the political subdivision, except for citizen advisory committees, technical coordinating committees, and such other groups who only have the power to make recommendations to planning or zoning boards;

e. A pension board or retirement board having the power to invest pension or retirement funds or the power to make a binding determination of one's entitlement to or amount of a pension or other retirement benefit; or

f. Any other appointed member of a local government board who is required to file a statement of financial interests by the appointing authority or the enabling legislation, ordinance, or resolution creating the board.

3. Any person holding one or more of the following positions: mayor; county or city manager; chief administrative employee of a county, municipality, or other political subdivision; county or municipal attorney; finance director of a county, municipality, or other political subdivision; chief county or municipal building code inspector; county or municipal water resources coordinator; county or municipal pollution control director; county or municipal environmental control director; county or municipal administrator, with power to grant or deny a land development permit; chief of police; fire chief; municipal clerk; district school superintendent; community college president; district medical examiner; or purchasing agent having the authority to make any purchase exceeding the threshold amount provided for in s. 287.017 for CATEGORY ONE, on behalf of any political subdivision of the state or any entity thereof.

(b) “Specified state employee” means:

1. Public counsel created by chapter 350, an assistant state attorney, an assistant public defender, a criminal conflict and civil regional counsel, an assistant criminal conflict and civil regional counsel, a full-time state employee who serves as counsel or assistant counsel to any state agency, the Deputy Chief Judge of Compensation Claims, a judge of compensation claims, an administrative law judge, or a hearing officer.
 2. Any person employed in the office of the Governor or in the office of any member of the Cabinet if that person is exempt from the Career Service System, except persons employed in clerical, secretarial, or similar positions.
 3. The State Surgeon General or each appointed secretary, assistant secretary, deputy secretary, executive director, assistant executive director, or deputy executive director of each state department, commission, board, or council; unless otherwise provided, the division director, assistant division director, deputy director, bureau chief, and assistant bureau chief of any state department or division; or any person having the power normally conferred upon such persons, by whatever title.
 4. The superintendent or institute director of a state mental health institute established for training and research in the mental health field or the warden or director of any major state institution or facility established for corrections, training, treatment, or rehabilitation.
 5. Business managers, purchasing agents having the power to make any purchase exceeding the threshold amount provided for in s. 287.017 for CATEGORY ONE, finance and accounting directors, personnel officers, or grants coordinators for any state agency.
 6. Any person, other than a legislative assistant exempted by the presiding officer of the house by which the legislative assistant is employed, who is employed in the legislative branch of government, except persons employed in maintenance, clerical, secretarial, or similar positions.
 7. Each employee of the Commission on Ethics.
- (c) "State officer" means:
1. Any elected public officer, excluding those elected to the United States Senate and House of Representatives, not covered elsewhere in this part and any person who is appointed to fill a vacancy for an unexpired term in such an elective office.
 2. An appointed member of each board, commission, authority, or council having statewide jurisdiction, excluding a member of an advisory body.
 3. A member of the Board of Governors of the State University System or a state university board of trustees, the Chancellor and Vice Chancellors of the State University System, and the president of a state university.
 4. A member of the judicial nominating commission for any district court of appeal or any judicial circuit.
- (2)(a) A person seeking nomination or election to a state or local elective office shall file a statement of financial interests together with, and at the same time he or she files, qualifying papers. When a candidate has qualified for office prior to the deadline to file an annual statement of financial interests, the statement of financial interests that is filed with the candidate's qualifying papers shall be deemed to satisfy the annual disclosure requirement of this section. The qualifying officer must record that the statement of financial interests was timely filed. However, if a candidate does not qualify until after the annual statement of financial interests has been filed, the candidate may file a copy of his or her statement with the qualifying officer.
- (b) Each state or local officer and each specified state employee shall file a statement of financial interests no later than July 1 of each year. Each state officer, local officer, and specified state employee shall file a final statement of financial interests within 60 days after leaving his or

her public position for the period between January 1 of the year in which the person leaves and the last day of office or employment, unless within the 60-day period the person takes another public position requiring financial disclosure under this section or s. 8, Art. II of the State Constitution or otherwise is required to file full and public disclosure or a statement of financial interests for the final disclosure period. Each state or local officer who is appointed and each specified state employee who is employed shall file a statement of financial interests within 30 days from the date of appointment or, in the case of a specified state employee, from the date on which the employment begins, except that any person whose appointment is subject to confirmation by the Senate shall file prior to confirmation hearings or within 30 days from the date of appointment, whichever comes first.

(c) State officers and specified state employees shall file their statements of financial interests with the Commission on Ethics. Local officers shall file their statements of financial interests with the supervisor of elections of the county in which they permanently reside. Local officers who do not permanently reside in any county in the state shall file their statements of financial interests with the supervisor of elections of the county in which their agency maintains its headquarters. Persons seeking to qualify as candidates for local public office shall file their statements of financial interests with the officer before whom they qualify.

(3) The statement of financial interests for state officers, specified state employees, local officers, and persons seeking to qualify as candidates for state or local office shall be filed even if the reporting person holds no financial interests requiring disclosure, in which case the statement shall be marked "not applicable." Otherwise, the statement of financial interests shall include, at the filer's option, either:

(a)1. All sources of income in excess of 5 percent of the gross income received during the disclosure period by the person in his or her own name or by any other person for his or her use or benefit, excluding public salary. However, this shall not be construed to require disclosure of a business partner's sources of income. The person reporting shall list such sources in descending order of value with the largest source first;

2. All sources of income to a business entity in excess of 10 percent of the gross income of a business entity in which the reporting person held a material interest and from which he or she received an amount which was in excess of 10 percent of his or her gross income during the disclosure period and which exceeds \$1,500. The period for computing the gross income of the business entity is the fiscal year of the business entity which ended on, or immediately prior to, the end of the disclosure period of the person reporting;

3. The location or description of real property in this state, except for residences and vacation homes, owned directly or indirectly by the person reporting, when such person owns in excess of 5 percent of the value of such real property, and a general description of any intangible personal property worth in excess of 10 percent of such person's total assets. For the purposes of this paragraph, indirect ownership does not include ownership by a spouse or minor child; and

4. Every individual liability that equals more than the reporting person's net worth; or

(b)1. All sources of gross income in excess of \$2,500 received during the disclosure period by the person in his or her own name or by any other person for his or her use or benefit, excluding public salary. However, this shall not be construed to require disclosure of a business partner's sources of income. The person reporting shall list such sources in descending order of value with the largest source first;

2. All sources of income to a business entity in excess of 10 percent of the gross income of a business entity in which the reporting person held a material interest and from which he or she

received gross income exceeding \$5,000 during the disclosure period. The period for computing the gross income of the business entity is the fiscal year of the business entity which ended on, or immediately prior to, the end of the disclosure period of the person reporting;

3. The location or description of real property in this state, except for residence and vacation homes, owned directly or indirectly by the person reporting, when such person owns in excess of 5 percent of the value of such real property, and a general description of any intangible personal property worth in excess of \$10,000. For the purpose of this paragraph, indirect ownership does not include ownership by a spouse or minor child; and

4. Every liability in excess of \$10,000.

A person filing a statement of financial interests shall indicate on the statement whether he or she is using the method specified in paragraph (a) or paragraph (b).

(4) Beginning January 1, 2015, an officer who is required to complete annual ethics training pursuant to s. 112.3142 must certify on his or her statement of financial interests that he or she has completed the required training.

(5) Each elected constitutional officer, state officer, local officer, and specified state employee shall file a quarterly report of the names of clients represented for a fee or commission, except for appearances in ministerial matters, before agencies at his or her level of government. For the purposes of this part, agencies of government shall be classified as state-level agencies or agencies below state level. Each local officer shall file such report with the supervisor of elections of the county in which the officer is principally employed or is a resident. Each state officer, elected constitutional officer, and specified state employee shall file such report with the commission. The report shall be filed only when a reportable representation is made during the calendar quarter and shall be filed no later than the last day of each calendar quarter, for the previous calendar quarter. Representation before any agency shall be deemed to include representation by such officer or specified state employee or by any partner or associate of the professional firm of which he or she is a member and of which he or she has actual knowledge. For the purposes of this subsection, the term "representation before any agency" does not include appearances before any court or the Deputy Chief Judge of Compensation Claims or judges of compensation claims or representations on behalf of one's agency in one's official capacity. Such term does not include the preparation and filing of forms and applications merely for the purpose of obtaining or transferring a license based on a quota or a franchise of such agency or a license or operation permit to engage in a profession, business, or occupation, so long as the issuance or granting of such license, permit, or transfer does not require substantial discretion, a variance, a special consideration, or a certificate of public convenience and necessity.

(6) Each elected constitutional officer and each candidate for such office, any other public officer required pursuant to s. 8, Art. II of the State Constitution to file a full and public disclosure of his or her financial interests, and each state officer, local officer, specified state employee, and candidate for elective public office who is or was during the disclosure period an officer, director, partner, proprietor, or agent, other than a resident agent solely for service of process, of, or owns or owned during the disclosure period a material interest in, any business entity which is granted a privilege to operate in this state shall disclose such facts as a part of the disclosure form filed pursuant to s. 8, Art. II of the State Constitution or this section, as applicable. The statement shall give the name, address, and principal business activity of the

business entity and shall state the position held with such business entity or the fact that a material interest is owned and the nature of that interest.

(7) Forms for compliance with the disclosure requirements of this section and a current list of persons subject to disclosure shall be created by the commission and provided to each supervisor of elections. The commission and each supervisor of elections shall give notice of disclosure deadlines and delinquencies and distribute forms in the following manner:

(a)1. Not later than May 1 of each year, the commission shall prepare a current list of the names and addresses of, and the offices or positions held by, every state officer, local officer, and specified employee. In compiling the list, the commission shall be assisted by each unit of government in providing, at the request of the commission, the name, address, and name of agency of, and the office or position held by, each state officer, local officer, or specified state employee within the respective unit of government.

2. Not later than May 15 of each year, the commission shall provide each supervisor of elections with a current mailing list of all local officers required to file with such supervisor of elections.

(b) Not later than 30 days before July 1 of each year, the commission and each supervisor of elections, as appropriate, shall mail a copy of the form prescribed for compliance with subsection (3) and a notice of all applicable disclosure forms and filing deadlines to each person required to file a statement of financial interests.

(c) Not later than 30 days after July 1 of each year, the commission and each supervisor of elections shall determine which persons required to file a statement of financial interests in their respective offices have failed to do so and shall send delinquency notices by certified mail, return receipt requested, to these persons. Each notice shall state that a grace period is in effect until September 1 of the current year; that no investigative or disciplinary action based upon the delinquency will be taken by the agency head or commission if the statement is filed by September 1 of the current year; that, if the statement is not filed by September 1 of the current year, a fine of \$25 for each day late will be imposed, up to a maximum penalty of \$1,500; for notices sent by a supervisor of elections, that he or she is required by law to notify the commission of the delinquency; and that, if upon the filing of a sworn complaint the commission finds that the person has failed to timely file the statement within 60 days after September 1 of the current year, such person will also be subject to the penalties provided in s. 112.317.

(d) No later than November 15 of each year, the supervisor of elections in each county shall certify to the commission a list of the names and addresses of, and the offices or positions held by, all persons who have failed to timely file the required statements of financial interests. The certification must include the earliest of the dates described in subparagraph (f)1. The certification shall be on a form prescribed by the commission and shall indicate whether the supervisor of elections has provided the disclosure forms and notice as required by this subsection to all persons named on the delinquency list.

(e) Statements must be filed not later than 5 p.m. of the due date. However, any statement that is postmarked by the United States Postal Service by midnight of the due date is deemed to have been filed in a timely manner, and a certificate of mailing obtained from and dated by the United States Postal Service at the time of the mailing, or a receipt from an established courier company which bears a date on or before the due date, constitutes proof of mailing in a timely manner.

(f) Any person who is required to file a statement of financial interests and whose name is on the commission's mailing list but who fails to timely file is assessed a fine of \$25 per day for each day late up to a maximum of \$1,500; however, this \$1,500 limitation on automatic fines

does not limit the civil penalty that may be imposed if the statement is filed more than 60 days after the deadline and a complaint is filed, as provided in s. 112.324. The commission must provide by rule the grounds for waiving the fine and procedures by which each person whose name is on the mailing list and who is determined to have not filed in a timely manner will be notified of assessed fines and may appeal. The rule must provide for and make specific the following:

1. The amount of the fine due is based upon the earliest of the following:
 - a. When a statement is actually received by the office.
 - b. When the statement is postmarked.
 - c. When the certificate of mailing is dated.
 - d. When the receipt from an established courier company is dated.
2. For a specified state employee or a state officer, upon receipt of the disclosure statement by the commission or upon accrual of the maximum penalty, whichever occurs first, and for a local officer upon receipt by the commission of the certification from the local officer's supervisor of elections pursuant to paragraph (d), the commission shall determine the amount of the fine which is due and shall notify the delinquent person. The notice must include an explanation of the appeal procedure under subparagraph 3. The fine must be paid within 30 days after the notice of payment due is transmitted, unless appeal is made to the commission pursuant to subparagraph 3. The moneys are to be deposited into the General Revenue Fund.
3. Any reporting person may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the designated due date, and may request and is entitled to a hearing before the commission, which may waive the fine in whole or in part for good cause shown. Any such request must be made within 30 days after the notice of payment due is transmitted. In such a case, the reporting person must, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission.
- (g) Any state officer, local officer, or specified employee whose name is not on the mailing list of persons required to file an annual statement of financial interests is not subject to the penalties provided in s. 112.317 or the fine provided in this section for failure to timely file a statement of financial interests in any year in which the omission occurred, but nevertheless is required to file the disclosure statement.
- (h) The notification requirements and fines of this subsection do not apply to candidates or to the first or final filing required of any state officer, specified employee, or local officer as provided in paragraph (2)(b).
- (i) Notwithstanding any provision of chapter 120, any fine imposed under this subsection which is not waived by final order of the commission and which remains unpaid more than 60 days after the notice of payment due or more than 60 days after the commission renders a final order on the appeal must be submitted to the Department of Financial Services as a claim, debt, or other obligation owed to the state, and the department shall assign the collection of such a fine to a collection agent as provided in s. 17.20.
- (8)(a) The appointing official or body shall notify each newly appointed local officer, state officer, or specified state employee, not later than the date of appointment, of the officer's or employee's duty to comply with the disclosure requirements of this section. The agency head of each employing agency shall notify each newly employed local officer or specified state employee, not later than the day of employment, of the officer's or employee's duty to comply with the disclosure requirements of this section. The appointing official or body or employing

agency head may designate a person to be responsible for the notification requirements of this paragraph.

(b) The agency head of the agency of each local officer, state officer, or specified state employee who is required to file a statement of financial interests for the final disclosure period shall notify such persons of their obligation to file the final disclosure and may designate a person to be responsible for the notification requirements of this paragraph.

(c) If a person holding public office or public employment fails or refuses to file an annual statement of financial interests for any year in which the person received notice from the commission regarding the failure to file and has accrued the maximum automatic fine authorized under this section, regardless of whether the fine imposed was paid or collected, the commission shall initiate an investigation and conduct a public hearing without receipt of a complaint to determine whether the person's failure to file is willful. Such investigation and hearing must be conducted in accordance with s. 112.324. Except as provided in s. 112.324(4), if the commission determines that the person willfully failed to file a statement of financial interests, the commission shall enter an order recommending that the officer or employee be removed from his or her public office or public employment.

(9) A public officer who has filed a disclosure for any calendar or fiscal year shall not be required to file a second disclosure for the same year or any part thereof, notwithstanding any requirement of this act, except that any public officer who qualifies as a candidate for public office shall file a copy of the disclosure with the officer before whom he or she qualifies as a candidate at the time of qualification.

(10)(a) The commission shall treat an amended annual statement of financial interests which is filed before September 1 of the year in which the statement is due as the original filing, regardless of whether a complaint has been filed. If a complaint alleges only an immaterial, inconsequential, or de minimis error or omission, the commission may not take any action on the complaint other than notifying the filer of the complaint. The filer must be given 30 days to file an amended statement of financial interests correcting any errors. If the filer does not file an amended statement of financial interests within 30 days after the commission sends notice of the complaint, the commission may continue with proceedings pursuant to s. 112.324.

(b) For purposes of the final statement of financial interests, the commission shall treat a new final statement of financial interests as the original filing, if filed within 60 days of the original filing regardless of whether a complaint has been filed. If, more than 60 days after a final statement of financial interests is filed, a complaint is filed alleging a complete omission of any information required to be disclosed by this section, the commission may immediately follow the complaint procedures in s. 112.324. However, if the complaint alleges an immaterial, inconsequential, or de minimis error or omission, the commission may not take any action on the complaint other than notifying the filer of the complaint. The filer must be given 30 days to file a new final statement of financial interests correcting any errors. If the filer does not file a new final statement of financial interests within 30 days after the commission sends notice of the complaint, the commission may continue with proceedings pursuant to s. 112.324.

(c) For purposes of this section, an error or omission is immaterial, inconsequential, or de minimis if the original filing provided sufficient information for the public to identify potential conflicts of interest. However, failure to certify completion of annual ethics training required under s. 112.3142 does not constitute an immaterial, inconsequential, or de minimis error or omission.

(11)(a) An individual required to file a disclosure pursuant to this section may have the disclosure prepared by an attorney in good standing with The Florida Bar or by a certified public accountant licensed under chapter 473. After preparing a disclosure form, the attorney or certified public accountant must sign the form indicating that he or she prepared the form in accordance with this section and the instructions for completing and filing the disclosure forms and that, upon his or her reasonable knowledge and belief, the disclosure is true and correct. If a complaint is filed alleging a failure to disclose information required by this section, the commission shall determine whether the information was disclosed to the attorney or certified public accountant. The failure of the attorney or certified public accountant to accurately transcribe information provided by the individual who is required to file the disclosure does not constitute a violation of this section.

(b) An elected officer or candidate who chooses to use an attorney or a certified public accountant to prepare his or her disclosure may pay for the services of the attorney or certified public accountant from funds in an office account created pursuant to s. 106.141 or, during a year that the individual qualifies for election to public office, the candidate's campaign depository pursuant to s. 106.021.

(12) The commission shall adopt rules and forms specifying how a state officer, local officer, or specified state employee may amend his or her statement of financial interests to report information that was not included on the form as originally filed. If the amendment is the subject of a complaint filed under this part, the commission and the proper disciplinary official or body shall consider as a mitigating factor when considering appropriate disciplinary action the fact that the amendment was filed before any complaint or other inquiry or proceeding, while recognizing that the public was deprived of access to information to which it was entitled.

History.—s. 5, ch. 74-177; ss. 2, 6, ch. 75-196; s. 2, ch. 76-18; s. 1, ch. 77-174; s. 63, ch. 77-175; s. 54, ch. 79-40; s. 3, ch. 82-98; s. 2, ch. 83-128; ss. 2, 5, ch. 83-282; s. 3, ch. 84-318; s. 1, ch. 88-316; s. 1, ch. 90-169; s. 5, ch. 90-502; s. 27, ch. 91-46; s. 6, ch. 91-85; s. 6, ch. 91-292; ss. 5, 13, ch. 94-277; s. 3, ch. 94-340; s. 1410, ch. 95-147; s. 14, ch. 96-410; s. 31, ch. 97-286; s. 17, ch. 99-399; s. 2, ch. 2000-161; s. 3, ch. 2000-243; s. 31, ch. 2000-258; s. 23, ch. 2000-372; s. 3, ch. 2001-91; s. 2, ch. 2001-282; s. 128, ch. 2003-261; s. 4, ch. 2006-275; s. 12, ch. 2007-217; s. 7, ch. 2008-6; s. 9, ch. 2013-36; s. 4, ch. 2014-183.

112.31455 Collection methods for unpaid automatic fines for failure to timely file disclosure of financial interests.—

(1) Before referring any unpaid fine accrued pursuant to s. 112.3144(5) or s. 112.3145(7) to the Department of Financial Services, the commission shall attempt to determine whether the individual owing such a fine is a current public officer or current public employee. If so, the commission may notify the Chief Financial Officer or the governing body of the appropriate county, municipality, or special district of the total amount of any fine owed to the commission by such individual.

(a) After receipt and verification of the notice from the commission, the Chief Financial Officer or the governing body of the county, municipality, or special district shall begin withholding the lesser of 10 percent or the maximum amount allowed under federal law from any salary-related payment. The withheld payments shall be remitted to the commission until the fine is satisfied.

(b) The Chief Financial Officer or the governing body of the county, municipality, or special district may retain an amount of each withheld payment, as provided in s. 77.0305, to cover the administrative costs incurred under this section.

(2) If the commission determines that the individual who is the subject of an unpaid fine accrued pursuant to s. 112.3144(5) or s. 112.3145(7) is no longer a public officer or public employee or if the commission is unable to determine whether the individual is a current public officer or public employee, the commission may, 6 months after the order becomes final, seek garnishment of any wages to satisfy the amount of the fine, or any unpaid portion thereof, pursuant to chapter 77. Upon recording the order imposing the fine with the clerk of the circuit court, the order shall be deemed a judgment for purposes of garnishment pursuant to chapter 77.

(3) The commission may refer unpaid fines to the appropriate collection agency, as directed by the Chief Financial Officer, to utilize any collection methods provided by law. Except as expressly limited by this section, any other collection methods authorized by law are allowed.

(4) Action may be taken to collect any unpaid fine imposed by ss. 112.3144 and 112.3145 within 20 years after the date the final order is rendered.

History.—s. 10, ch. 2013-36; s. 10, ch. 2015-2.

112.3146 Public records.—The statements required by ss. 112.313, 112.3145, 112.3148, and 112.3149 shall be public records within the meaning of s. 119.01.

History.—s. 6, ch. 74-177; s. 6, ch. 90-502; s. 7, ch. 91-85.

112.3147 Forms.—Except as otherwise provided, all information required to be furnished by ss. 112.313, 112.3143, 112.3144, 112.3145, 112.3148, and 112.3149 and by s. 8, Art. II of the State Constitution shall be on forms prescribed by the Commission on Ethics.

History.—s. 7, ch. 74-177; s. 3, ch. 76-18; s. 7, ch. 90-502; s. 8, ch. 91-85; s. 12, ch. 2000-243; s. 5, ch. 2006-275; s. 11, ch. 2013-36.

112.3148 Reporting and prohibited receipt of gifts by individuals filing full or limited public disclosure of financial interests and by procurement employees.—

(1) The provisions of this section do not apply to gifts solicited or accepted by a reporting individual or procurement employee from a relative.

(2) As used in this section:

(a) “Immediate family” means any parent, spouse, child, or sibling.

(b)1. “Lobbyist” means any natural person who, for compensation, seeks, or sought during the preceding 12 months, to influence the governmental decisionmaking of a reporting individual or procurement employee or his or her agency or seeks, or sought during the preceding 12 months, to encourage the passage, defeat, or modification of any proposal or recommendation by the reporting individual or procurement employee or his or her agency.

2. With respect to an agency that has established by rule, ordinance, or law a registration process for persons seeking to influence decisionmaking or to encourage the passage, defeat, or modification of any proposal or recommendation by such agency or an employee or official of the agency, the term “lobbyist” includes only a person who is required to be registered as a lobbyist in accordance with such rule, ordinance, or law or who was during the preceding 12 months required to be registered as a lobbyist in accordance with such rule, ordinance, or law. At a minimum, such a registration system must require the registration of, or must designate,

persons as “lobbyists” who engage in the same activities as require registration to lobby the Legislature pursuant to s. 11.045.

(c) “Person” includes individuals, firms, associations, joint ventures, partnerships, estates, trusts, business trusts, syndicates, fiduciaries, corporations, and all other groups or combinations.

(d) “Reporting individual” means any individual, including a candidate upon qualifying, who is required by law, pursuant to s. 8, Art. II of the State Constitution or s. 112.3145, to file full or limited public disclosure of his or her financial interests or any individual who has been elected to, but has yet to officially assume the responsibilities of, public office. For purposes of implementing this section, the “agency” of a reporting individual who is not an officer or employee in public service is the agency to which the candidate seeks election, or in the case of an individual elected to but yet to formally take office, the agency in which the individual has been elected to serve.

(e) “Procurement employee” means any employee of an officer, department, board, commission, council, or agency of the executive branch or judicial branch of state government who has participated in the preceding 12 months through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, or auditing or in any other advisory capacity in the procurement of contractual services or commodities as defined in s. 287.012, if the cost of such services or commodities exceeds or is expected to exceed \$10,000 in any fiscal year.

(f) “Vendor” means a business entity doing business directly with an agency, such as renting, leasing, or selling any realty, goods, or services.

(3) A reporting individual or procurement employee is prohibited from soliciting any gift from a vendor doing business with the reporting individual’s or procurement employee’s agency, a political committee as defined in s. 106.011, or a lobbyist who lobbies the reporting individual’s or procurement employee’s agency, or the partner, firm, employer, or principal of such lobbyist, where such gift is for the personal benefit of the reporting individual or procurement employee, another reporting individual or procurement employee, or any member of the immediate family of a reporting individual or procurement employee.

(4) A reporting individual or procurement employee or any other person on his or her behalf is prohibited from knowingly accepting, directly or indirectly, a gift from a vendor doing business with the reporting individual’s or procurement employee’s agency, a political committee as defined in s. 106.011, or a lobbyist who lobbies the reporting individual’s or procurement employee’s agency, or directly or indirectly on behalf of the partner, firm, employer, or principal of a lobbyist, if he or she knows or reasonably believes that the gift has a value in excess of \$100; however, such a gift may be accepted by such person on behalf of a governmental entity or a charitable organization. If the gift is accepted on behalf of a governmental entity or charitable organization, the person receiving the gift shall not maintain custody of the gift for any period of time beyond that reasonably necessary to arrange for the transfer of custody and ownership of the gift.

(5)(a) A vendor doing business with the reporting individual’s or procurement employee’s agency; a political committee as defined in s. 106.011; a lobbyist who lobbies a reporting individual’s or procurement employee’s agency; the partner, firm, employer, or principal of a lobbyist; or another on behalf of the lobbyist or partner, firm, principal, or employer of the lobbyist is prohibited from giving, either directly or indirectly, a gift that has a value in excess of \$100 to the reporting individual or procurement employee or any other person on his or her

behalf; however, such person may give a gift having a value in excess of \$100 to a reporting individual or procurement employee if the gift is intended to be transferred to a governmental entity or a charitable organization.

(b) However, a person who is regulated by this subsection, who is not regulated by subsection (6), and who makes, or directs another to make, an individual gift having a value in excess of \$25, but not in excess of \$100, other than a gift that the donor knows will be accepted on behalf of a governmental entity or charitable organization, must file a report on the last day of each calendar quarter for the previous calendar quarter in which a reportable gift is made. The report shall be filed with the Commission on Ethics, except with respect to gifts to reporting individuals of the legislative branch, in which case the report shall be filed with the Office of Legislative Services. The report must contain a description of each gift, the monetary value thereof, the name and address of the person making such gift, the name and address of the recipient of the gift, and the date such gift is given. In addition, if a gift is made which requires the filing of a report under this subsection, the donor must notify the intended recipient at the time the gift is made that the donor, or another on his or her behalf, will report the gift under this subsection. Under this paragraph, a gift need not be reported by more than one person or entity.

(6)(a) Notwithstanding the provisions of subsection (5), an entity of the legislative or judicial branch, a department or commission of the executive branch, a water management district created pursuant to s. 373.069, South Florida Regional Transportation Authority, a county, a municipality, an airport authority, or a school board may give, either directly or indirectly, a gift having a value in excess of \$100 to any reporting individual or procurement employee if a public purpose can be shown for the gift; and a direct-support organization specifically authorized by law to support a governmental entity may give such a gift to a reporting individual or procurement employee who is an officer or employee of such governmental entity.

(b) Notwithstanding the provisions of subsection (4), a reporting individual or procurement employee may accept a gift having a value in excess of \$100 from an entity of the legislative or judicial branch, a department or commission of the executive branch, a water management district created pursuant to s. 373.069, South Florida Regional Transportation Authority, a county, a municipality, an airport authority, or a school board if a public purpose can be shown for the gift; and a reporting individual or procurement employee who is an officer or employee of a governmental entity supported by a direct-support organization specifically authorized by law to support such governmental entity may accept such a gift from such direct-support organization.

(c) No later than March 1 of each year, each governmental entity or direct-support organization specifically authorized by law to support a governmental entity which has given a gift to a reporting individual or procurement employee under paragraph (a) shall provide the reporting individual or procurement employee with a statement of each gift having a value in excess of \$100 given to such reporting individual or procurement employee by the governmental entity or direct-support organization during the preceding calendar year. Such report shall contain a description of each gift, the date on which the gift was given, and the value of the total gifts given by the governmental entity or direct-support organization to the reporting individual or procurement employee during the calendar year for which the report is made. A governmental entity may provide a single report to the reporting individual or procurement employee of gifts provided by the governmental entity and any direct-support organization specifically authorized by law to support such governmental entity.

(d) No later than July 1 of each year, each reporting individual or procurement employee shall file a statement listing each gift having a value in excess of \$100 received by the reporting individual or procurement employee, either directly or indirectly, from a governmental entity or a direct-support organization specifically authorized by law to support a governmental entity. The statement shall list the name of the person providing the gift, a description of the gift, the date or dates on which the gift was given, and the value of the total gifts given during the calendar year for which the report is made. The reporting individual or procurement employee shall attach to the statement any report received by him or her in accordance with paragraph (c), which report shall become a public record when filed with the statement of the reporting individual or procurement employee. The reporting individual or procurement employee may explain any differences between the report of the reporting individual or procurement employee and the attached reports. The annual report filed by a reporting individual shall be filed with the financial disclosure statement required by either s. 8, Art. II of the State Constitution or s. 112.3145, as applicable to the reporting individual. The annual report filed by a procurement employee shall be filed with the Commission on Ethics. The report filed by a reporting individual or procurement employee who left office or employment during the calendar year covered by the report shall be filed by July 1 of the year after leaving office or employment at the same location as his or her final financial disclosure statement or, in the case of a former procurement employee, with the Commission on Ethics.

(7)(a) The value of a gift provided to a reporting individual or procurement employee shall be determined using actual cost to the donor, less taxes and gratuities, except as otherwise provided in this subsection, and, with respect to personal services provided by the donor, the reasonable and customary charge regularly charged for such service in the community in which the service is provided shall be used. If additional expenses are required as a condition precedent to eligibility of the donor to purchase or provide a gift and such expenses are primarily for the benefit of the donor or are of a charitable nature, such expenses shall not be included in determining the value of the gift.

(b) Compensation provided by the donee to the donor, if provided within 90 days after receipt of the gift, shall be deducted from the value of the gift in determining the value of the gift.

(c) If the actual gift value attributable to individual participants at an event cannot be determined, the total costs shall be prorated among all invited persons, whether or not they are reporting individuals or procurement employees.

(d) Transportation shall be valued on a round-trip basis unless only one-way transportation is provided. Round-trip transportation expenses shall be considered a single gift. Transportation provided in a private conveyance shall be given the same value as transportation provided in a comparable commercial conveyance.

(e) Lodging provided on consecutive days shall be considered a single gift. Lodging in a private residence shall be valued at the per diem rate provided in s. 112.061(6)(a)1. less the meal allowance rate provided in s. 112.061(6)(b).

(f) Food and beverages which are not consumed at a single sitting or meal and which are provided on the same calendar day shall be considered a single gift, and the total value of all food and beverages provided on that date shall be considered the value of the gift. Food and beverage consumed at a single sitting or meal shall be considered a single gift, and the value of the food and beverage provided at that sitting or meal shall be considered the value of the gift.

(g) Membership dues paid to the same organization during any 12-month period shall be considered a single gift.

- (h) Entrance fees, admission fees, or tickets shall be valued on the face value of the ticket or fee, or on a daily or per event basis, whichever is greater.
- (i) Except as otherwise specified in this section, a gift shall be valued on a per occurrence basis.
- (j) The value of a gift provided to several individuals may be attributed on a pro rata basis among all of the individuals. If the gift is food, beverage, entertainment, or similar items, provided at a function for more than 10 people, the value of the gift to each individual shall be the total value of the items provided divided by the number of persons invited to the function, unless the items are purchased on a per person basis, in which case the value of the gift to each person is the per person cost.
- (k) The value of a gift of an admission ticket shall not include that portion of the cost which represents a charitable contribution, if the gift is provided by the charitable organization.
- (8)(a) Each reporting individual or procurement employee shall file a statement with the Commission on Ethics not later than the last day of each calendar quarter, for the previous calendar quarter, containing a list of gifts which he or she believes to be in excess of \$100 in value, if any, accepted by him or her, for which compensation was not provided by the donee to the donor within 90 days of receipt of the gift to reduce the value to \$100 or less, except the following:
1. Gifts from relatives.
 2. Gifts prohibited by subsection (4) or s. 112.313(4).
 3. Gifts otherwise required to be disclosed by this section.
- (b) The statement shall include:
1. A description of the gift, the monetary value of the gift, the name and address of the person making the gift, and the dates thereof. If any of these facts, other than the gift description, are unknown or not applicable, the report shall so state.
 2. A copy of any receipt for such gift provided to the reporting individual or procurement employee by the donor.
- (c) The statement may include an explanation of any differences between the reporting individual's or procurement employee's statement and the receipt provided by the donor.
- (d) The reporting individual's or procurement employee's statement shall be sworn to by such person as being a true, accurate, and total listing of all such gifts.
- (e) Statements must be filed not later than 5 p.m. of the due date. However, any statement that is postmarked by the United States Postal Service by midnight of the due date is deemed to have been filed in a timely manner, and a certificate of mailing obtained from and dated by the United States Postal Service at the time of the mailing, or a receipt from an established courier company, which bears a date on or before the due date constitutes proof of mailing in a timely manner.
- (f) If a reporting individual or procurement employee has not received any gifts described in paragraph (a) during a calendar quarter, he or she is not required to file a statement under this subsection for that calendar quarter.
- (9) A person, other than a lobbyist regulated under s. 11.045, who violates the provisions of subsection (5) commits a noncriminal infraction, punishable by a fine of not more than \$5,000 and by a prohibition on lobbying, or employing a lobbyist to lobby, before the agency of the reporting individual or procurement employee to which the gift was given in violation of subsection (5), for a period of not more than 24 months. The state attorney, or an agency, if otherwise authorized, may initiate an action to impose or recover a fine authorized under this section or to impose or enforce a limitation on lobbying provided in this section.

(10) A member of the Legislature may request an advisory opinion from the general counsel of the house of which he or she is a member as to the application of this section to a specific situation. The general counsel shall issue the opinion within 10 days after receiving the request. The member of the Legislature may reasonably rely on such opinion.

History.—s. 2, ch. 89-380; s. 8, ch. 90-502; s. 9, ch. 91-85; s. 7, ch. 91-292; s. 6, ch. 94-277; s. 1411, ch. 95-147; s. 2, ch. 96-328; s. 8, ch. 98-136; s. 4, ch. 2000-243; s. 32, ch. 2000-258; s. 8, ch. 2003-159; s. 6, ch. 2006-275; s. 4, ch. 2012-51; s. 12, ch. 2013-36; s. 29, ch. 2013-37; s. 3, ch. 2013-235.

112.31485 Prohibition on gifts involving political committees.—

(1)(a) For purposes of this section, the term “gift” means any purchase, payment, distribution, loan, advance, transfer of funds, or disbursement of money or anything of value that is not primarily related to contributions, expenditures, or other political activities authorized pursuant to chapter 106.

(b) For purposes of this section, the term “immediate family” means any parent, spouse, child, or sibling.

(2)(a) A reporting individual or procurement employee or a member of his or her immediate family is prohibited from soliciting or knowingly accepting, directly or indirectly, any gift from a political committee.

(b) A political committee is prohibited from giving, directly or indirectly, any gift to a reporting individual or procurement employee or a member of his or her immediate family.

(3) Any person who violates this section is subject to a civil penalty equal to three times the amount of the gift. Such penalty is in addition to the penalties provided in s. 112.317 and shall be paid to the General Revenue Fund of the state. A reporting individual or procurement employee or a member of his or her immediate family who violates this section is personally liable for payment of the treble penalty. Any agent or person acting on behalf of a political committee who gives a prohibited gift is personally liable for payment of the treble penalty.

History.—s. 13, ch. 2013-36.

112.3149 Solicitation and disclosure of honoraria.—

(1) As used in this section:

(a) “Honorarium” means a payment of money or anything of value, directly or indirectly, to a reporting individual or procurement employee, or to any other person on his or her behalf, as consideration for:

1. A speech, address, oration, or other oral presentation by the reporting individual or procurement employee, regardless of whether presented in person, recorded, or broadcast over the media.

2. A writing by the reporting individual or procurement employee, other than a book, which has been or is intended to be published.

The term “honorarium” does not include the payment for services related to employment held outside the reporting individual’s or procurement employee’s public position which resulted in the person becoming a reporting individual or procurement employee, any ordinary payment or salary received in consideration for services related to the reporting individual’s or procurement employee’s public duties, a campaign contribution reported pursuant to chapter 106, or the payment or provision of actual and reasonable transportation, lodging, and food and beverage

expenses related to the honorarium event, including any event or meeting registration fee, for a reporting individual or procurement employee and spouse.

(b) "Person" includes individuals, firms, associations, joint ventures, partnerships, estates, trusts, business trusts, syndicates, fiduciaries, corporations, and all other groups or combinations.

(c) "Reporting individual" means any individual who is required by law, pursuant to s. 8, Art. II of the State Constitution or s. 112.3145, to file a full or limited public disclosure of his or her financial interests.

(d)1. "Lobbyist" means any natural person who, for compensation, seeks, or sought during the preceding 12 months, to influence the governmental decisionmaking of a reporting individual or procurement employee or his or her agency or seeks, or sought during the preceding 12 months, to encourage the passage, defeat, or modification of any proposal or recommendation by the reporting individual or procurement employee or his or her agency.

2. With respect to an agency that has established by rule, ordinance, or law a registration process for persons seeking to influence decisionmaking or to encourage the passage, defeat, or modification of any proposal or recommendation by such agency or an employee or official of the agency, the term "lobbyist" includes only a person who is required to be registered as a lobbyist in accordance with such rule, ordinance, or law or who was during the preceding 12 months required to be registered as a lobbyist in accordance with such rule, ordinance, or law. At a minimum, such a registration system must require the registration of, or must designate, persons as "lobbyists" who engage in the same activities as require registration to lobby the Legislature pursuant to s. 11.045.

(e) "Procurement employee" means any employee of an officer, department, board, commission, council, or agency of the executive branch or judicial branch of state government who has participated in the preceding 12 months through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, or auditing or in any other advisory capacity in the procurement of contractual services or commodities as defined in s. 287.012, if the cost of such services or commodities exceeds \$10,000 in any fiscal year.

(f) "Vendor" means a business entity doing business directly with an agency, such as renting, leasing, or selling any realty, goods, or services.

(2) A reporting individual or procurement employee is prohibited from soliciting an honorarium which is related to the reporting individual's or procurement employee's public office or duties.

(3) A reporting individual or procurement employee is prohibited from knowingly accepting an honorarium from a political committee, as defined in s. 106.011, from a vendor doing business with the reporting individual's or procurement employee's agency, from a lobbyist who lobbies the reporting individual's or procurement employee's agency, or from the employer, principal, partner, or firm of such a lobbyist.

(4) A political committee, as defined in s. 106.011, a vendor doing business with the reporting individual's or procurement employee's agency, a lobbyist who lobbies a reporting individual's or procurement employee's agency, or the employer, principal, partner, or firm of such a lobbyist is prohibited from giving an honorarium to a reporting individual or procurement employee.

(5) A person who is prohibited by subsection (4) from paying an honorarium to a reporting individual or procurement employee, but who provides a reporting individual or procurement employee, or a reporting individual or procurement employee and his or her spouse, with

expenses related to an honorarium event, shall provide to the reporting individual or procurement employee, no later than 60 days after the honorarium event, a statement listing the name and address of the person providing the expenses, a description of the expenses provided each day, and the total value of the expenses provided for the honorarium event.

(6) A reporting individual or procurement employee who receives payment or provision of expenses related to any honorarium event from a person who is prohibited by subsection (4) from paying an honorarium to a reporting individual or procurement employee shall publicly disclose on an annual statement the name, address, and affiliation of the person paying or providing the expenses; the amount of the honorarium expenses; the date of the honorarium event; a description of the expenses paid or provided on each day of the honorarium event; and the total value of the expenses provided to the reporting individual or procurement employee in connection with the honorarium event. The annual statement of honorarium expenses shall be filed by July 1 of each year for those expenses received during the previous calendar year. The reporting individual or procurement employee shall attach to the annual statement a copy of each statement received by him or her in accordance with subsection (5) regarding honorarium expenses paid or provided during the calendar year for which the annual statement is filed. The attached statement shall become a public record upon the filing of the annual report. The annual statement of a reporting individual shall be filed with the financial disclosure statement required by either s. 8, Art. II of the State Constitution or s. 112.3145, as applicable to the reporting individual. The annual statement of a procurement employee shall be filed with the Commission on Ethics. The statement filed by a reporting individual or procurement employee who left office or employment during the calendar year covered by the statement shall be filed by July 1 of the year after leaving office or employment at the same location as his or her final financial disclosure statement or, in the case of a former procurement employee, with the Commission on Ethics.

(7) A person, other than a lobbyist regulated under s. 11.045, who violates the provisions of subsection (4) commits a noncriminal infraction, punishable by a fine of not more than \$5,000 and by a prohibition on lobbying, or employing a lobbyist to lobby, before the agency of the reporting individual or procurement employee to whom the honorarium was paid in violation of subsection (4), for a period of not more than 24 months. The state attorney, or an agency, if otherwise authorized, may initiate an action to impose or recover a fine authorized under this section or to impose or enforce a limitation on lobbying provided in this section.

(8) A member of the Legislature may request an advisory opinion from the general counsel of the house of which he or she is a member as to the application of this section to a specific situation. The general counsel shall issue the opinion within 10 days after receiving the request. The member of the Legislature may reasonably rely on such opinion.

History.—s. 9, ch. 90-502; s. 7, ch. 94-277; s. 1412, ch. 95-147; s. 5, ch. 2000-243; s. 33, ch. 2000-258; s. 7, ch. 2006-275; s. 14, ch. 2013-36; s. 30, ch. 2013-37.

112.3151 Extensions of time for filing disclosure.—The Commission on Ethics may grant, for good cause, on an individual basis, an extension of time for filing of any disclosure required under the provisions of this part or s. 8(a), Art. II of the State Constitution. However, no extension may extend the filing deadline to a date within 20 days before a primary election. The commission may delegate to its chair the authority to grant any extension of time which the commission itself may grant under this section; however, no extension of time granted by the

chair may exceed 45 days. Extensions of time granted under this section shall be exempt from the provisions of chapter 120.

History.—s. 4, ch. 83-282; s. 700, ch. 95-147.

112.316 Construction.—It is not the intent of this part, nor shall it be construed, to prevent any officer or employee of a state agency or county, city, or other political subdivision of the state or any legislator or legislative employee from accepting other employment or following any pursuit which does not interfere with the full and faithful discharge by such officer, employee, legislator, or legislative employee of his or her duties to the state or the county, city, or other political subdivision of the state involved.

History.—s. 6, ch. 67-469; s. 2, ch. 69-335; s. 701, ch. 95-147.

112.317 Penalties.—

(1) Any violation of this part, including, but not limited to, failure to file disclosures required by this part or violation of any standard of conduct imposed by this part, or any violation of s. 8, Art. II of the State Constitution, in addition to any criminal penalty or other civil penalty involved, under applicable constitutional and statutory procedures, constitutes grounds for, and may be punished by, one or more of the following:

(a) In the case of a public officer:

1. Impeachment.
2. Removal from office.
3. Suspension from office.
4. Public censure and reprimand.
5. Forfeiture of no more than one-third of his or her salary per month for no more than 12 months.
6. A civil penalty not to exceed \$10,000.
7. Restitution of any pecuniary benefits received because of the violation committed. The commission may recommend that the restitution penalty be paid to the agency of which the public officer was a member or to the General Revenue Fund.

(b) In the case of an employee or a person designated as a public officer by this part who otherwise would be deemed to be an employee:

1. Dismissal from employment.
2. Suspension from employment for not more than 90 days without pay.
3. Demotion.
4. Reduction in his or her salary level.
5. Forfeiture of no more than one-third salary per month for no more than 12 months.
6. A civil penalty not to exceed \$10,000.
7. Restitution of any pecuniary benefits received because of the violation committed. The commission may recommend that the restitution penalty be paid to the agency by which the public employee was employed, or of which the officer was deemed to be an employee, or to the General Revenue Fund.

8. Public censure and reprimand.

(c) In the case of a candidate who violates this part or s. 8(a) and (i), Art. II of the State Constitution:

1. Disqualification from being on the ballot.
2. Public censure.

3. Reprimand.
 4. A civil penalty not to exceed \$10,000.
- (d) In the case of a former public officer or employee who has violated a provision applicable to former officers or employees or whose violation occurred before the officer's or employee's leaving public office or employment:
1. Public censure and reprimand.
 2. A civil penalty not to exceed \$10,000.
 3. Restitution of any pecuniary benefits received because of the violation committed. The commission may recommend that the restitution penalty be paid to the agency of the public officer or employee or to the General Revenue Fund.
- (e) In the case of a person who is subject to the standards of this part, other than a lobbyist or lobbying firm under s. 112.3215 for a violation of s. 112.3215, but who is not a public officer or employee:
1. Public censure and reprimand.
 2. A civil penalty not to exceed \$10,000.
 3. Restitution of any pecuniary benefits received because of the violation committed. The commission may recommend that the restitution penalty be paid to the agency of the person or to the General Revenue Fund.
- (2) In any case in which the commission finds a violation of this part or of s. 8, Art. II of the State Constitution and the proper disciplinary official or body under s. 112.324 imposes a civil penalty or restitution penalty, the Attorney General shall bring a civil action to recover such penalty. No defense may be raised in the civil action to enforce the civil penalty or order of restitution that could have been raised by judicial review of the administrative findings and recommendations of the commission by certiorari to the district court of appeal. The Attorney General shall collect any costs, attorney fees, expert witness fees, or other costs of collection incurred in bringing the action.
- (3) The penalties prescribed in this part shall not be construed to limit or to conflict with:
- (a) The power of either house of the Legislature to discipline its own members or impeach a public officer.
 - (b) The power of agencies to discipline officers or employees.
- (4) Any violation of this part or of s. 8, Art. II of the State Constitution by a public officer constitutes malfeasance, misfeasance, or neglect of duty in office within the meaning of s. 7, Art. IV of the State Constitution.
- (5) By order of the Governor, upon recommendation of the commission, any elected municipal officer who violates this part or s. 8, Art. II of the State Constitution may be suspended from office and the office filled by appointment for the period of suspension. The suspended officer may at any time before removal be reinstated by the Governor. The Senate may, in proceedings prescribed by law, remove from office, or reinstate, the suspended official, and for such purpose the Senate may be convened in special session by its President or by a majority of its membership.
- (6) In any case in which the commission finds probable cause to believe that a complainant has committed perjury in regard to any document filed with, or any testimony given before, the commission, it shall refer such evidence to the appropriate law enforcement agency for prosecution and taxation of costs.
- (7) In any case in which the commission determines that a person has filed a complaint against a public officer or employee with a malicious intent to injure the reputation of such officer or

employee by filing the complaint with knowledge that the complaint contains one or more false allegations or with reckless disregard for whether the complaint contains false allegations of fact material to a violation of this part, the complainant shall be liable for costs plus reasonable attorney fees incurred in the defense of the person complained against, including the costs and reasonable attorney fees incurred in proving entitlement to and the amount of costs and fees. If the complainant fails to pay such costs and fees voluntarily within 30 days following such finding by the commission, the commission shall forward such information to the Department of Legal Affairs, which shall bring a civil action in a court of competent jurisdiction to recover the amount of such costs and fees awarded by the commission.

History.—s. 7, ch. 67-469; s. 1, ch. 70-144; s. 2, ch. 74-176; s. 8, ch. 74-177; s. 2, ch. 75-199; s. 7, ch. 75-208; s. 5, ch. 82-98; s. 10, ch. 90-502; s. 10, ch. 91-85; s. 8, ch. 94-277; s. 1413, ch. 95-147; s. 1, ch. 95-354; s. 13, ch. 2000-151; s. 8, ch. 2006-275; s. 2, ch. 2009-126; s. 15, ch. 2013-36.

112.3173 Felonies involving breach of public trust and other specified offenses by public officers and employees; forfeiture of retirement benefits.—

(1) **INTENT.**—It is the intent of the Legislature to implement the provisions of s. 8(d), Art. II of the State Constitution.

(2) **DEFINITIONS.**—As used in this section, unless the context otherwise requires, the term:

(a) “Conviction” and “convicted” mean an adjudication of guilt by a court of competent jurisdiction; a plea of guilty or of nolo contendere; a jury verdict of guilty when adjudication of guilt is withheld and the accused is placed on probation; or a conviction by the Senate of an impeachable offense.

(b) “Court” means any state or federal court of competent jurisdiction which is exercising its jurisdiction to consider a proceeding involving the alleged commission of a specified offense.

(c) “Public officer or employee” means an officer or employee of any public body, political subdivision, or public instrumentality within the state.

(d) “Public retirement system” means any retirement system or plan to which the provisions of part VII of this chapter apply.

(e) “Specified offense” means:

1. The committing, aiding, or abetting of an embezzlement of public funds;
2. The committing, aiding, or abetting of any theft by a public officer or employee from his or her employer;
3. Bribery in connection with the employment of a public officer or employee;
4. Any felony specified in chapter 838, except ss. 838.15 and 838.16;
5. The committing of an impeachable offense;
6. The committing of any felony by a public officer or employee who, willfully and with intent to defraud the public or the public agency for which the public officer or employee acts or in which he or she is employed of the right to receive the faithful performance of his or her duty as a public officer or employee, realizes or obtains, or attempts to realize or obtain, a profit, gain, or advantage for himself or herself or for some other person through the use or attempted use of the power, rights, privileges, duties, or position of his or her public office or employment position; or
7. The committing on or after October 1, 2008, of any felony defined in s. 800.04 against a victim younger than 16 years of age, or any felony defined in chapter 794 against a victim

younger than 18 years of age, by a public officer or employee through the use or attempted use of power, rights, privileges, duties, or position of his or her public office or employment position.

(3) **FORFEITURE.**—Any public officer or employee who is convicted of a specified offense committed prior to retirement, or whose office or employment is terminated by reason of his or her admitted commission, aid, or abetment of a specified offense, shall forfeit all rights and benefits under any public retirement system of which he or she is a member, except for the return of his or her accumulated contributions as of the date of termination.

(4) **NOTICE.**—

(a) The clerk of a court in which a proceeding involving a specified offense is being conducted against a public officer or employee shall furnish notice of the proceeding to the Commission on Ethics after the state attorney advises the clerk that the defendant is a public officer or employee and that the defendant is alleged to have committed a specified offense. Such notice is sufficient if it is in the form of a copy of the indictment, information, or other document containing the charges. In addition, if a verdict of guilty is returned by a jury or by the court trying the case without a jury, or a plea of guilty or of nolo contendere is entered in the court by the public officer or employee, the clerk shall furnish a copy thereof to the Commission on Ethics.

(b) The Secretary of the Senate shall furnish to the Commission on Ethics notice of any proceeding of impeachment being conducted by the Senate. In addition, if such trial results in conviction, the Secretary of the Senate shall furnish notice of the conviction to the commission.

(c) The employer of any member whose office or employment is terminated by reason of his or her admitted commission, aid, or abetment of a specified offense shall forward notice thereof to the commission.

(d) The Commission on Ethics shall forward any notice and any other document received by it pursuant to this subsection to the governing body of the public retirement system of which the public officer or employee is a member or from which the public officer or employee may be entitled to receive a benefit. When called on by the Commission on Ethics, the Department of Management Services shall assist the commission in identifying the appropriate public retirement system.

(5) **FORFEITURE DETERMINATION.**—

(a) Whenever the official or board responsible for paying benefits under a public retirement system receives notice pursuant to subsection (4), or otherwise has reason to believe that the rights and privileges of any person under such system are required to be forfeited under this section, such official or board shall give notice and hold a hearing in accordance with chapter 120 for the purpose of determining whether such rights and privileges are required to be forfeited. If the official or board determines that such rights and privileges are required to be forfeited, the official or board shall order such rights and privileges forfeited.

(b) Any order of forfeiture of retirement system rights and privileges is appealable to the district court of appeal.

(c) The payment of retirement benefits ordered forfeited, except payments drawn from nonemployer contributions to the retiree's account, shall be stayed pending an appeal as to a felony conviction. If such conviction is reversed, no retirement benefits shall be forfeited. If such conviction is affirmed, retirement benefits shall be forfeited as ordered in this section.

(d) If any person's rights and privileges under a public retirement system are forfeited pursuant to this section and that person has received benefits from the system in excess of his or her accumulated contributions, such person shall pay back to the system the amount of the benefits received in excess of his or her accumulated contributions. If he or she fails to pay back such

amount, the official or board responsible for paying benefits pursuant to the retirement system or pension plan may bring an action in circuit court to recover such amount, plus court costs.

(6) FORFEITURE NONEXCLUSIVE.—

(a) The forfeiture of retirement rights and privileges pursuant to this section is supplemental to any other forfeiture requirements provided by law.

(b) This section does not preclude or otherwise limit the Commission on Ethics in conducting under authority of other law an independent investigation of a complaint which it may receive against a public officer or employee involving a specified offense.

History.—s. 14, ch. 84-266; s. 4, ch. 90-301; s. 44, ch. 92-279; s. 55, ch. 92-326; s. 22, ch. 94-249; s. 1414, ch. 95-147; s. 13, ch. 99-255; s. 3, ch. 2008-108; s. 14, ch. 2012-100.

112.3175 Remedies; contracts voidable.—

(1) Any contract that has been executed in violation of this part is voidable:

(a) By any party to the contract.

(b) In any circuit court, by any appropriate action, by:

1. The commission.

2. The Attorney General.

3. Any citizen materially affected by the contract and residing in the jurisdiction represented by the officer or agency entering into such contract.

(2) Any contract that has been executed in violation of this part is presumed void with respect to any former employee or former public official of a state agency and is voidable with respect to any private sector third party who employs or retains in any capacity such former agency employee or former public official.

History.—s. 8, ch. 75-208; s. 2, ch. 2001-266.

112.3185 Additional standards for state agency employees.—

(1) For the purposes of this section:

(a) “Contractual services” shall be defined as set forth in chapter 287.

(b) “Agency” means any state officer, department, board, commission, or council of the executive or judicial branch of state government and includes the Public Service Commission.

(2) An agency employee who participates through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, or auditing or in any other advisory capacity in the procurement of contractual services may not become or be, while an agency employee, the employee of a person contracting with the agency by whom the employee is employed.

(3) An agency employee may not, after retirement or termination, have or hold any employment or contractual relationship with any business entity other than an agency in connection with any contract in which the agency employee participated personally and substantially through decision, approval, disapproval, recommendation, rendering of advice, or investigation while an officer or employee. When the agency employee’s position is eliminated and his or her duties are performed by the business entity, this subsection does not prohibit him or her from employment or contractual relationship with the business entity if the employee’s participation in the contract was limited to recommendation, rendering of advice, or investigation and if the agency head determines that the best interests of the state will be served thereby and provides prior written approval for the particular employee.

(4) An agency employee may not, within 2 years after retirement or termination, have or hold any employment or contractual relationship with any business entity other than an agency in connection with any contract for contractual services which was within his or her responsibility while an employee. If the agency employee's position is eliminated and his or her duties are performed by the business entity, this subsection may be waived by the agency head through prior written approval for a particular employee if the agency head determines that the best interests of the state will be served thereby.

(5) The sum of money paid to a former agency employee during the first year after the cessation of his or her responsibilities, by the agency with whom he or she was employed, for contractual services provided to the agency, shall not exceed the annual salary received on the date of cessation of his or her responsibilities. This subsection may be waived by the agency head for a particular contract if the agency head determines that such waiver will result in significant time or cost savings for the state.

(6) An agency employee acting in an official capacity may not directly or indirectly procure contractual services for his or her own agency from any business entity of which a relative is an officer, partner, director, or proprietor or in which the officer or employee or his or her spouse or child, or any combination of them, has a material interest.

(7) A violation of any provision of this section is punishable in accordance with s. 112.317.

(8) This section is not applicable to any employee of the Public Service Commission who was so employed on or before December 31, 1994.

History.—s. 6, ch. 82-196; s. 32, ch. 83-217; s. 2, ch. 90-268; s. 11, ch. 90-502; s. 9, ch. 94-277; s. 1415, ch. 95-147; s. 9, ch. 2006-275.

112.3187 Adverse action against employee for disclosing information of specified nature prohibited; employee remedy and relief.—

(1) SHORT TITLE.—Sections 112.3187-112.31895 may be cited as the “Whistle-blower’s Act.”

(2) LEGISLATIVE INTENT.—It is the intent of the Legislature to prevent agencies or independent contractors from taking retaliatory action against an employee who reports to an appropriate agency violations of law on the part of a public employer or independent contractor that create a substantial and specific danger to the public’s health, safety, or welfare. It is further the intent of the Legislature to prevent agencies or independent contractors from taking retaliatory action against any person who discloses information to an appropriate agency alleging improper use of governmental office, gross waste of funds, or any other abuse or gross neglect of duty on the part of an agency, public officer, or employee.

(3) DEFINITIONS.—As used in this act, unless otherwise specified, the following words or terms shall have the meanings indicated:

(a) “Agency” means any state, regional, county, local, or municipal government entity, whether executive, judicial, or legislative; any official, officer, department, division, bureau, commission, authority, or political subdivision therein; or any public school, community college, or state university.

(b) “Employee” means a person who performs services for, and under the control and direction of, or contracts with, an agency or independent contractor for wages or other remuneration.

(c) “Adverse personnel action” means the discharge, suspension, transfer, or demotion of any employee or the withholding of bonuses, the reduction in salary or benefits, or any other adverse

action taken against an employee within the terms and conditions of employment by an agency or independent contractor.

(d) “Independent contractor” means a person, other than an agency, engaged in any business and who enters into a contract, including a provider agreement, with an agency.

(e) “Gross mismanagement” means a continuous pattern of managerial abuses, wrongful or arbitrary and capricious actions, or fraudulent or criminal conduct which may have a substantial adverse economic impact.

(4) ACTIONS PROHIBITED.—

(a) An agency or independent contractor shall not dismiss, discipline, or take any other adverse personnel action against an employee for disclosing information pursuant to the provisions of this section.

(b) An agency or independent contractor shall not take any adverse action that affects the rights or interests of a person in retaliation for the person’s disclosure of information under this section.

(c) The provisions of this subsection shall not be applicable when an employee or person discloses information known by the employee or person to be false.

(5) NATURE OF INFORMATION DISCLOSED.—The information disclosed under this section must include:

(a) Any violation or suspected violation of any federal, state, or local law, rule, or regulation committed by an employee or agent of an agency or independent contractor which creates and presents a substantial and specific danger to the public’s health, safety, or welfare.

(b) Any act or suspected act of gross mismanagement, malfeasance, misfeasance, gross waste of public funds, suspected or actual Medicaid fraud or abuse, or gross neglect of duty committed by an employee or agent of an agency or independent contractor.

(6) **TO WHOM INFORMATION DISCLOSED.—**The information disclosed under this section must be disclosed to any agency or federal government entity having the authority to investigate, police, manage, or otherwise remedy the violation or act, including, but not limited to, the Office of the Chief Inspector General, an agency inspector general or the employee designated as agency inspector general under s. 112.3189(1) or inspectors general under s. 20.055, the Florida Commission on Human Relations, and the whistle-blower’s hotline created under s. 112.3189. However, for disclosures concerning a local governmental entity, including any regional, county, or municipal entity, special district, community college district, or school district or any political subdivision of any of the foregoing, the information must be disclosed to a chief executive officer as defined in s. 447.203(9) or other appropriate local official.

(7) **EMPLOYEES AND PERSONS PROTECTED.—**This section protects employees and persons who disclose information on their own initiative in a written and signed complaint; who are requested to participate in an investigation, hearing, or other inquiry conducted by any agency or federal government entity; who refuse to participate in any adverse action prohibited by this section; or who initiate a complaint through the whistle-blower’s hotline or the hotline of the Medicaid Fraud Control Unit of the Department of Legal Affairs; or employees who file any written complaint to their supervisory officials or employees who submit a complaint to the Chief Inspector General in the Executive Office of the Governor, to the employee designated as agency inspector general under s. 112.3189(1), or to the Florida Commission on Human Relations. The provisions of this section may not be used by a person while he or she is under the care, custody, or control of the state correctional system or, after release from the care, custody, or control of the state correctional system, with respect to circumstances that occurred during any period of incarceration. No remedy or other protection under ss. 112.3187-112.31895 applies to

any person who has committed or intentionally participated in committing the violation or suspected violation for which protection under ss. 112.3187-112.31895 is being sought.

(8) REMEDIES.—

(a) Any employee of or applicant for employment with any state agency, as the term “state agency” is defined in s. 216.011, who is discharged, disciplined, or subjected to other adverse personnel action, or denied employment, because he or she engaged in an activity protected by this section may file a complaint, which complaint must be made in accordance with s.

112.31895. Upon receipt of notice from the Florida Commission on Human Relations of termination of the investigation, the complainant may elect to pursue the administrative remedy available under s. 112.31895 or bring a civil action within 180 days after receipt of the notice.

(b) Within 60 days after the action prohibited by this section, any local public employee protected by this section may file a complaint with the appropriate local governmental authority, if that authority has established by ordinance an administrative procedure for handling such complaints or has contracted with the Division of Administrative Hearings under s. 120.65 to conduct hearings under this section. The administrative procedure created by ordinance must provide for the complaint to be heard by a panel of impartial persons appointed by the appropriate local governmental authority. Upon hearing the complaint, the panel must make findings of fact and conclusions of law for a final decision by the local governmental authority. Within 180 days after entry of a final decision by the local governmental authority, the public employee who filed the complaint may bring a civil action in any court of competent jurisdiction. If the local governmental authority has not established an administrative procedure by ordinance or contract, a local public employee may, within 180 days after the action prohibited by this section, bring a civil action in a court of competent jurisdiction. For the purpose of this paragraph, the term “local governmental authority” includes any regional, county, or municipal entity, special district, community college district, or school district or any political subdivision of any of the foregoing.

(c) Any other person protected by this section may, after exhausting all available contractual or administrative remedies, bring a civil action in any court of competent jurisdiction within 180 days after the action prohibited by this section.

(9) RELIEF.—In any action brought under this section, the relief must include the following:

(a) Reinstatement of the employee to the same position held before the adverse action was commenced, or to an equivalent position or reasonable front pay as alternative relief.

(b) Reinstatement of the employee’s full fringe benefits and seniority rights, as appropriate.

(c) Compensation, if appropriate, for lost wages, benefits, or other lost remuneration caused by the adverse action.

(d) Payment of reasonable costs, including attorney’s fees, to a substantially prevailing employee, or to the prevailing employer if the employee filed a frivolous action in bad faith.

(e) Issuance of an injunction, if appropriate, by a court of competent jurisdiction.

(f) Temporary reinstatement to the employee’s former position or to an equivalent position, pending the final outcome on the complaint, if an employee complains of being discharged in retaliation for a protected disclosure and if a court of competent jurisdiction or the Florida Commission on Human Relations, as applicable under s. 112.31895, determines that the disclosure was not made in bad faith or for a wrongful purpose or occurred after an agency’s initiation of a personnel action against the employee which includes documentation of the employee’s violation of a disciplinary standard or performance deficiency. This paragraph does not apply to an employee of a municipality.

(10) DEFENSES.—It shall be an affirmative defense to any action brought pursuant to this section that the adverse action was predicated upon grounds other than, and would have been taken absent, the employee's or person's exercise of rights protected by this section.

(11) EXISTING RIGHTS.—Sections 112.3187-112.31895 do not diminish the rights, privileges, or remedies of an employee under any other law or rule or under any collective bargaining agreement or employment contract; however, the election of remedies in s. 447.401 also applies to whistle-blower actions.

History.—ss. 1, 2, 3, 4, 5, 6, 7, 8, ch. 86-233; s. 1, ch. 91-285; s. 12, ch. 92-316; s. 1, ch. 93-57; s. 702, ch. 95-147; s. 1, ch. 95-153; s. 15, ch. 96-410; s. 20, ch. 99-333; s. 2, ch. 2002-400.

112.3188 Confidentiality of information given to the Chief Inspector General, internal auditors, inspectors general, local chief executive officers, or other appropriate local officials.—

(1) The name or identity of any individual who discloses in good faith to the Chief Inspector General or an agency inspector general, a local chief executive officer, or other appropriate local official information that alleges that an employee or agent of an agency or independent contractor:

(a) Has violated or is suspected of having violated any federal, state, or local law, rule, or regulation, thereby creating and presenting a substantial and specific danger to the public's health, safety, or welfare; or

(b) Has committed an act of gross mismanagement, malfeasance, misfeasance, gross waste of public funds, or gross neglect of duty

may not be disclosed to anyone other than a member of the Chief Inspector General's, agency inspector general's, internal auditor's, local chief executive officer's, or other appropriate local official's staff without the written consent of the individual, unless the Chief Inspector General, internal auditor, agency inspector general, local chief executive officer, or other appropriate local official determines that: the disclosure of the individual's identity is necessary to prevent a substantial and specific danger to the public's health, safety, or welfare or to prevent the imminent commission of a crime; or the disclosure is unavoidable and absolutely necessary during the course of the audit, evaluation, or investigation.

(2)(a) Except as specifically authorized by s. 112.3189, all information received by the Chief Inspector General or an agency inspector general or information produced or derived from fact-finding or other investigations conducted by the Florida Commission on Human Relations or the Department of Law Enforcement is confidential and exempt from s. 119.07(1) if the information is being received or derived from allegations as set forth in paragraph (1)(a) or paragraph (1)(b), and an investigation is active.

(b) All information received by a local chief executive officer or appropriate local official or information produced or derived from fact-finding or investigations conducted pursuant to the administrative procedure established by ordinance by a local government as authorized by s. 112.3187(8)(b) is confidential and exempt from s. 119.07(1) and s. 24(a), Art. I of the State Constitution, if the information is being received or derived from allegations as set forth in paragraph (1)(a) or paragraph (1)(b) and an investigation is active.

(c) Information deemed confidential under this section may be disclosed by the Chief Inspector General, agency inspector general, local chief executive officer, or other appropriate local

official receiving the information if the recipient determines that the disclosure of the information is absolutely necessary to prevent a substantial and specific danger to the public's health, safety, or welfare or to prevent the imminent commission of a crime. Information disclosed under this subsection may be disclosed only to persons who are in a position to prevent the danger to the public's health, safety, or welfare or to prevent the imminent commission of a crime based on the disclosed information.

1. An investigation is active under this section if:

- a. It is an ongoing investigation or inquiry or collection of information and evidence and is continuing with a reasonable, good faith anticipation of resolution in the foreseeable future; or
- b. All or a portion of the matters under investigation or inquiry are active criminal intelligence information or active criminal investigative information as defined in s. 119.011.

2. Notwithstanding sub-subparagraph 1.a., an investigation ceases to be active when:

- a. The written report required under s. 112.3189(9) has been sent by the Chief Inspector General to the recipients named in s. 112.3189(9);
- b. It is determined that an investigation is not necessary under s. 112.3189(5); or
- c. A final decision has been rendered by the local government or by the Division of Administrative Hearings pursuant to s. 112.3187(8)(b).

3. Notwithstanding paragraphs (a), (b), and this paragraph, information or records received or produced under this section which are otherwise confidential under law or exempt from disclosure under chapter 119 retain their confidentiality or exemption.

4. Any person who willfully and knowingly discloses information or records made confidential under this subsection commits a misdemeanor of the first degree, punishable as provided in s. 775.082 or s. 775.083.

History.—s. 6, ch. 90-247; s. 1, ch. 91-150; s. 3, ch. 91-285; s. 2, ch. 93-57; s. 1, ch. 95-136; s. 2, ch. 95-153; s. 1, ch. 95-166; ss. 36, 37, ch. 96-406; s. 21, ch. 99-333.

¹Note.—As amended by s. 1, ch. 95-166, s. 2, ch. 95-153, and s. 36, ch. 96-406; this version of paragraph (2)(a) was also amended by s. 21, ch. 99-333. For a description of multiple acts in the same session affecting a statutory provision, see preface to the Florida Statutes, "Statutory Construction." This section was also amended by s. 1, ch. 95-136, and s. 37, ch. 96-406, and that version reads:

112.3188 Confidentiality of information given to the Chief Inspector General and agency inspectors general.—

(1) The identity of any individual who discloses in good faith to the Chief Inspector General or an agency inspector general information that alleges that an employee or agent of an agency or independent contractor has violated or is suspected of having violated any federal, state, or local law, rule, or regulation, thereby creating and presenting a substantial and specific danger to the public's health, safety, or welfare or has committed or is suspected of having committed an act of gross mismanagement, malfeasance, misfeasance, gross waste of public funds, or gross neglect of duty is exempt from the provisions of s. 119.07(1) and s. 24(a), Art. I of the State Constitution and shall not be disclosed to anyone other than a member of the Chief Inspector General's or agency inspector general's staff without the written consent of the individual, unless the Chief Inspector General or agency inspector general determines that:

(a) The disclosure of the individual's identity is necessary to prevent a substantial and specific danger to the public's health, safety, or welfare or to prevent the imminent commission of a crime, provided that such information is disclosed only to persons who are in a position to prevent the danger to the public's health, safety, or welfare or to prevent the imminent commission of a crime;

(b) The disclosure of the individual's identity is unavoidable and absolutely necessary during the course of the inquiry or investigation; or

(c) The disclosure of the individual's identity is authorized as a result of the individual consenting in writing to attach general comments signed by such individual to the final report required pursuant to s. 112.3189(6)(b).

(2)(a) Except as specifically authorized by s. 112.3189 and except as provided in subsection (1), all information received by the Chief Inspector General or an agency inspector general or information produced or derived from fact-finding or other investigations conducted by the Department of Legal Affairs, the Office of the Public Counsel, or the Department of Law Enforcement is confidential and exempt from the provisions of s. 119.07(1) and s. 24(a), Art. I of the State Constitution for an initial period of not more than 30 days during which time a determination is made whether an investigation is required pursuant to s. 112.3189(5)(a) and, if an investigation is determined to be required, until the investigation is closed or ceases to be active. For the purposes of this subsection, an investigation is active while such investigation is being conducted with a reasonable good faith belief that it may lead to the filing of administrative, civil, or criminal charges. An investigation does not cease to be active so long as the Chief Inspector General or the agency inspector general is proceeding with reasonable dispatch and there is a good faith belief that action may be initiated by the Chief Inspector General or agency inspector general or other administrative or law enforcement agency. Except for active criminal intelligence or criminal investigative information as defined in s. 119.011, and except as otherwise provided in this section, all information obtained pursuant to this subsection shall become available to the public when the investigation is closed or ceases to be active. An investigation is closed or ceases to be active when the final report required pursuant to s. 112.3189(9) has been sent by the Chief Inspector General to the recipients specified in s. 112.3189(9)(c).

(b) Information deemed confidential under this subsection may be disclosed by the Chief Inspector General or agency inspector general receiving the information if the Chief Inspector General or agency inspector general determines that the disclosure of the information is absolutely necessary to prevent a substantial and specific danger to the public's health, safety, or welfare or to prevent the imminent commission of a crime, and such information may be disclosed only to persons who are in a position to prevent the danger to the public's health, safety, or welfare or to prevent the imminent commission of a crime based on the disclosed information.

(3) Information or records obtained under this section which are otherwise confidential under law or exempt from disclosure shall retain their confidentiality or exemption.

(4) Any person who willfully and knowingly discloses information or records made confidential under this section commits a misdemeanor of the first degree, punishable as provided in s. 775.082 or s. 775.083.

112.3189 Investigative procedures upon receipt of whistle-blower information from certain state employees.—

(1) This section only applies to the disclosure of information as described in s. 112.3187(5) by an employee or former employee of, or an applicant for employment with, a state agency, as the term “state agency” is defined in s. 216.011, to the Office of the Chief Inspector General of the Executive Office of the Governor or to the agency inspector general. If an agency does not have an inspector general, the head of the state agency, as defined in s. 216.011, shall designate an employee to receive information described in s. 112.3187(5). For purposes of this section and s. 112.3188 only, the employee designated by the head of the state agency shall be deemed an agency inspector general.

(2) To facilitate the receipt of information described in subsection (1), the Chief Inspector General shall maintain an in-state toll-free whistle-blower’s hotline and shall circulate among the various state agencies an advisory for all employees which indicates the existence of the toll-free number and its purpose and provides an address to which written whistle-blower information may be forwarded.

(3) When a person alleges information described in s. 112.3187(5), the Chief Inspector General or agency inspector general actually receiving such information shall within 20 days of receiving such information determine:

(a) Whether the information disclosed is the type of information described in s. 112.3187(5).

(b) Whether the source of the information is a person who is an employee or former employee of, or an applicant for employment with, a state agency, as defined in s. 216.011.

(c) Whether the information actually disclosed demonstrates reasonable cause to suspect that an employee or agent of an agency or independent contractor has violated any federal, state, or local law, rule, or regulation, thereby creating and presenting a substantial and specific danger to the public’s health, safety, or welfare, or has committed an act of gross mismanagement, malfeasance, misfeasance, gross waste of public funds, or gross neglect of duty.

(4) If the Chief Inspector General or agency inspector general under subsection (3) determines that the information disclosed is not the type of information described in s. 112.3187(5), or that the source of the information is not a person who is an employee or former employee of, or an applicant for employment with, a state agency, as defined in s. 216.011, or that the information disclosed does not demonstrate reasonable cause to suspect that an employee or agent of an agency or independent contractor has violated any federal, state, or local law, rule, or regulation, thereby creating and presenting a substantial and specific danger to the public’s health, safety, or welfare, or has committed an act of gross mismanagement, malfeasance, misfeasance, gross waste of public funds, or gross neglect of duty, the Chief Inspector General or agency inspector general shall notify the complainant of such fact and copy and return, upon request of the complainant, any documents and other materials that were provided by the complainant.

(5)(a) If the Chief Inspector General or agency inspector general under subsection (3) determines that the information disclosed is the type of information described in s. 112.3187(5), that the source of the information is from a person who is an employee or former employee of, or an applicant for employment with, a state agency, as defined in s. 216.011, and that the information disclosed demonstrates reasonable cause to suspect that an employee or agent of an

agency or independent contractor has violated any federal, state, or local law, rule, or regulation, thereby creating a substantial and specific danger to the public's health, safety, or welfare, or has committed an act of gross mismanagement, malfeasance, misfeasance, gross waste of public funds, or gross neglect of duty, the Chief Inspector General or agency inspector general making such determination shall then conduct an investigation, unless the Chief Inspector General or the agency inspector general determines, within 30 days after receiving the allegations from the complainant, that such investigation is unnecessary. For purposes of this subsection, the Chief Inspector General or the agency inspector general shall consider the following factors, but is not limited to only the following factors, when deciding whether the investigation is not necessary:

1. The gravity of the disclosed information compared to the time and expense of an investigation.
 2. The potential for an investigation to yield recommendations that will make state government more efficient and effective.
 3. The benefit to state government to have a final report on the disclosed information.
 4. Whether the alleged whistle-blower information primarily concerns personnel practices that may be investigated under chapter 110.
 5. Whether another agency may be conducting an investigation and whether any investigation under this section could be duplicative.
 6. The time that has elapsed between the alleged event and the disclosure of the information.
- (b) If the Chief Inspector General or agency inspector general determines under paragraph (a) that an investigation is not necessary, the Chief Inspector General or agency inspector general making such determination shall:

1. Copy and return, upon request of the complainant, any documents and other materials provided by the individual who made the disclosure.
2. Inform in writing the head of the state agency for the agency inspector general making the determination that the investigation is not necessary and the individual who made the disclosure of the specific reasons why an investigation is not necessary and why the disclosure will not be further acted on under this section.

(6) The agency inspector general may conduct an investigation pursuant to paragraph (5)(a) only if the person transmitting information to the agency inspector general is an employee or former employee of, or an applicant for employment with, the agency inspector general's agency. The agency inspector general shall:

- (a) Conduct an investigation with respect to the information and any related matters.
- (b) Submit to the complainant and the Chief Inspector General, within 60 days after the date on which a determination to conduct an investigation is made under paragraph (5)(a), a final written report that sets forth the agency inspector general's findings, conclusions, and recommendations, except as provided under subsection (11). The complainant shall be advised in writing by the agency head that the complainant may submit to the Chief Inspector General and agency inspector general comments on the final report within 20 days of the date of the report and that such comments will be attached to the final report.

(7) If the Chief Inspector General decides an investigation should be conducted pursuant to paragraph (5)(a), the Chief Inspector General shall either:

- (a) Promptly transmit to the appropriate head of the state agency the information with respect to which the determination to conduct an investigation was made, and such agency head shall conduct an investigation and submit to the Chief Inspector General a final written report that sets forth the agency head's findings, conclusions, and recommendations; or

- (b)1. Conduct an investigation with respect to the information and any related matters; and
- 2. Submit to the complainant within 60 days after the date on which a determination to conduct an investigation is made under paragraph (5)(a), a final written report that sets forth the Chief Inspector General's findings, conclusions, and recommendations, except as provided under subsection (11). The complainant shall be advised in writing by the Chief Inspector General that the complainant may submit to the Chief Inspector General comments on the final report within 20 days of the date of the report and that such comments will be attached to the final report.
- (c) The Chief Inspector General may require an agency head to conduct an investigation under paragraph (a) only if the information was transmitted to the Chief Inspector General by:
 - 1. An employee or former employee of, or an applicant for employment with, the agency that the information concerns; or
 - 2. An employee who obtained the information in connection with the performance of the employee's duties and responsibilities.
- (8) Final reports required under this section must be reviewed and signed by the person responsible for conducting the investigation (agency inspector general, agency head, or Chief Inspector General) and must include:
 - (a) A summary of the information with respect to which the investigation was initiated.
 - (b) A description of the conduct of the investigation.
 - (c) A summary of any evidence obtained from the investigation.
 - (d) A listing of any violation or apparent violation of any law, rule, or regulation.
 - (e) A description of any action taken or planned as a result of the investigation, such as:
 - 1. A change in an agency rule, regulation, or practice.
 - 2. The restoration of an aggrieved employee.
 - 3. A disciplinary action against an employee.
 - 4. The referral to the Department of Law Enforcement of any evidence of a criminal violation.
- (9)(a) A report required of the agency head under paragraph (7)(a) shall be submitted to the Chief Inspector General and the complainant within 60 days after the agency head receives the complaint from the Chief Inspector General, except as provided under subsection (11). The complainant shall be advised in writing by the agency head that the complainant may submit to the Chief Inspector General comments on the report within 20 days of the date of the report and that such comments will be attached to the final report.
- (b) Upon receiving a final report required under this section, the Chief Inspector General shall review the report and determine whether the report contains the information required by subsection (8). If the report does not contain the information required by subsection (8), the Chief Inspector General shall determine why and note the reasons on an addendum to the final report.
- (c) The Chief Inspector General shall transmit any final report under this section, any comments provided by the complainant, and any appropriate comments or recommendations by the Chief Inspector General to the Governor, the Legislative Auditing Committee, the investigating agency, and the Chief Financial Officer.
- (d) If the Chief Inspector General does not receive the report of the agency head within the time prescribed in paragraph (a), the Chief Inspector General may conduct the investigation in accordance with paragraph (7)(b) or request that another agency inspector general conduct the investigation in accordance with subsection (6) and shall report the complaint to the Governor, to the Joint Legislative Auditing Committee, and to the investigating agency, together with a statement noting the failure of the agency head to file the required report.

(10) For any time period set forth in subsections (3), (6), (7), and (9), such time period may be extended in writing by the Chief Inspector General for good cause shown.

(11) If an investigation under this section produces evidence of a criminal violation, the report shall not be transmitted to the complainant, and the agency head or agency inspector general shall notify the Chief Inspector General and the Department of Law Enforcement.

History.—s. 13, ch. 92-316; s. 3, ch. 93-57; s. 129, ch. 2003-261; s. 17, ch. 2011-34.

112.31895 Investigative procedures in response to prohibited personnel actions.—

(1)(a) If a disclosure under s. 112.3187 includes or results in alleged retaliation by an employer, the employee or former employee of, or applicant for employment with, a state agency, as defined in s. 216.011, that is so affected may file a complaint alleging a prohibited personnel action, which complaint must be made by filing a written complaint with the Office of the Chief Inspector General in the Executive Office of the Governor or the Florida Commission on Human Relations, no later than 60 days after the prohibited personnel action.

(b) Within three working days after receiving a complaint under this section, the office or officer receiving the complaint shall acknowledge receipt of the complaint and provide copies of the complaint and any other preliminary information available concerning the disclosure of information under s. 112.3187 to each of the other parties named in paragraph (a), which parties shall each acknowledge receipt of such copies to the complainant.

(2) FACT FINDING.—The Florida Commission on Human Relations shall:

(a) Receive any allegation of a personnel action prohibited by s. 112.3187, including a proposed or potential action, and conduct informal fact finding regarding any allegation under this section, to the extent necessary to determine whether there are reasonable grounds to believe that a prohibited personnel action under s. 112.3187 has occurred, is occurring, or is to be taken.

(b) Notify the complainant, within 15 days after receiving a complaint, that the complaint has been received by the department.

(c) Within 90 days after receiving the complaint, provide the agency head and the complainant with a fact-finding report that may include recommendations to the parties or proposed resolution of the complaint. The fact-finding report shall be presumed admissible in any subsequent or related administrative or judicial review.

(3) CORRECTIVE ACTION AND TERMINATION OF INVESTIGATION.—

(a) The Florida Commission on Human Relations, in accordance with this act and for the sole purpose of this act, is empowered to:

1. Receive and investigate complaints from employees alleging retaliation by state agencies, as the term “state agency” is defined in s. 216.011.

2. Protect employees and applicants for employment with such agencies from prohibited personnel practices under s. 112.3187.

3. Petition for stays and petition for corrective actions, including, but not limited to, temporary reinstatement.

4. Recommend disciplinary proceedings pursuant to investigation and appropriate agency rules and procedures.

5. Coordinate with the Chief Inspector General in the Executive Office of the Governor and the Florida Commission on Human Relations to receive, review, and forward to appropriate agencies, legislative entities, or the Department of Law Enforcement disclosures of a violation of any law, rule, or regulation, or disclosures of gross mismanagement, malfeasance, misfeasance, nonfeasance, neglect of duty, or gross waste of public funds.

6. Review rules pertaining to personnel matters issued or proposed by the Department of Management Services, the Public Employees Relations Commission, and other agencies, and, if the Florida Commission on Human Relations finds that any rule or proposed rule, on its face or as implemented, requires the commission of a prohibited personnel practice, provide a written comment to the appropriate agency.
 7. Investigate, request assistance from other governmental entities, and, if appropriate, bring actions concerning, allegations of retaliation by state agencies under subparagraph 1.
 8. Administer oaths, examine witnesses, take statements, issue subpoenas, order the taking of depositions, order responses to written interrogatories, and make appropriate motions to limit discovery, pursuant to investigations under subparagraph 1.
 9. Intervene or otherwise participate, as a matter of right, in any appeal or other proceeding arising under this section before the Public Employees Relations Commission or any other appropriate agency, except that the Florida Commission on Human Relations must comply with the rules of the commission or other agency and may not seek corrective action or intervene in an appeal or other proceeding without the consent of the person protected under ss. 112.3187-112.31895.
 10. Conduct an investigation, in the absence of an allegation, to determine whether reasonable grounds exist to believe that a prohibited action or a pattern of prohibited action has occurred, is occurring, or is to be taken.
- (b) Within 15 days after receiving a complaint that a person has been discharged from employment allegedly for disclosing protected information under s. 112.3187, the Florida Commission on Human Relations shall review the information and determine whether temporary reinstatement is appropriate under s. 112.3187(9)(f). If the Florida Commission on Human Relations so determines, it shall apply for an expedited order from the appropriate agency or circuit court for the immediate reinstatement of the employee who has been discharged subsequent to the disclosure made under s. 112.3187, pending the issuance of the final order on the complaint.
- (c) The Florida Commission on Human Relations shall notify a complainant of the status of the investigation and any action taken at such times as the commission considers appropriate.
- (d) If the Florida Commission on Human Relations is unable to conciliate a complaint within 60 days after receipt of the fact-finding report, the Florida Commission on Human Relations shall terminate the investigation. Upon termination of any investigation, the Florida Commission on Human Relations shall notify the complainant and the agency head of the termination of the investigation, providing a summary of relevant facts found during the investigation and the reasons for terminating the investigation. A written statement under this paragraph is presumed admissible as evidence in any judicial or administrative proceeding but is not admissible without the consent of the complainant.
- (e)1. The Florida Commission on Human Relations may request an agency or circuit court to order a stay, on such terms as the court requires, of any personnel action for 45 days if the Florida Commission on Human Relations determines that reasonable grounds exist to believe that a prohibited personnel action has occurred, is occurring, or is to be taken. The Florida Commission on Human Relations may request that such stay be extended for appropriate periods of time.
2. If, in connection with any investigation, the Florida Commission on Human Relations determines that reasonable grounds exist to believe that a prohibited action has occurred, is occurring, or is to be taken which requires corrective action, the Florida Commission on Human

Relations shall report the determination together with any findings or recommendations to the agency head and may report that determination and those findings and recommendations to the Governor and the Chief Financial Officer. The Florida Commission on Human Relations may include in the report recommendations for corrective action to be taken.

3. If, after 20 days, the agency does not implement the recommended action, the Florida Commission on Human Relations shall terminate the investigation and notify the complainant of the right to appeal under subsection (4), or may petition the agency for corrective action under this subsection.

4. If the Florida Commission on Human Relations finds, in consultation with the individual subject to the prohibited action, that the agency has implemented the corrective action, the commission shall file such finding with the agency head, together with any written comments that the individual provides, and terminate the investigation.

(f) If the Florida Commission on Human Relations finds that there are no reasonable grounds to believe that a prohibited personnel action has occurred, is occurring, or is to be taken, the commission shall terminate the investigation.

(g)1. If, in connection with any investigation under this section, it is determined that reasonable grounds exist to believe that a criminal violation has occurred which has not been previously reported, the Florida Commission on Human Relations shall report this determination to the Department of Law Enforcement and to the state attorney having jurisdiction over the matter.

2. If an alleged criminal violation has been reported, the Florida Commission on Human Relations shall confer with the Department of Law Enforcement and the state attorney before proceeding with the investigation of the prohibited personnel action and may defer the investigation pending completion of the criminal investigation and proceedings. The Florida Commission on Human Relations shall inform the complainant of the decision to defer the investigation and, if appropriate, of the confidentiality of the investigation.

(h) If, in connection with any investigation under this section, the Florida Commission on Human Relations determines that reasonable grounds exist to believe that a violation of a law, rule, or regulation has occurred, other than a criminal violation or a prohibited action under this section, the commission may report such violation to the head of the agency involved. Within 30 days after the agency receives the report, the agency head shall provide to the commission a certification that states that the head of the agency has personally reviewed the report and indicates what action has been or is to be taken and when the action will be completed.

(i) During any investigation under this section, disciplinary action may not be taken against any employee of a state agency, as the term "state agency" is defined in s. 216.011, for reporting an alleged prohibited personnel action that is under investigation, or for reporting any related activity, or against any employee for participating in an investigation without notifying the Florida Commission on Human Relations.

(j) The Florida Commission on Human Relations may also petition for an award of reasonable attorney's fees and expenses from a state agency, as the term "state agency" is defined in s. 216.011, pursuant to s. 112.3187(9).

(4) RIGHT TO APPEAL.—

(a) Not more than 60 days after receipt of a notice of termination of the investigation from the Florida Commission on Human Relations, the complainant may file, with the Public Employees Relations Commission, a complaint against the employer-agency regarding the alleged prohibited personnel action. The Public Employees Relations Commission shall have jurisdiction over such complaints under ss. 112.3187 and 447.503(4) and (5).

(b) Judicial review of any final order of the commission shall be as provided in s. 120.68. History.—s. 14, ch. 92-316; s. 4, ch. 93-57; s. 703, ch. 95-147; s. 22, ch. 99-333; s. 130, ch. 2003-261.

112.31901 Investigatory records.—

(1) If certified pursuant to subsection (2), an investigatory record of the Chief Inspector General within the Executive Office of the Governor or of the employee designated by an agency head as the agency inspector general under s. 112.3189 is exempt from s. 119.07(1) and s. 24(a), Art. I of the State Constitution until the investigation ceases to be active, or a report detailing the investigation is provided to the Governor or the agency head, or 60 days from the inception of the investigation for which the record was made or received, whichever first occurs.

Investigatory records are those records that are related to the investigation of an alleged, specific act or omission or other wrongdoing, with respect to an identifiable person or group of persons, based on information compiled by the Chief Inspector General or by an agency inspector general, as named under the provisions of s. 112.3189, in the course of an investigation. An investigation is active if it is continuing with a reasonable, good faith anticipation of resolution and with reasonable dispatch.

(2) The Governor, in the case of the Chief Inspector General, or agency head, in the case of an employee designated as the agency inspector general under s. 112.3189, may certify that such investigatory records require an exemption to protect the integrity of the investigation or avoid unwarranted damage to an individual's good name or reputation. The certification must specify the nature and purpose of the investigation and shall be kept with the exempt records and made public when the records are made public.

(3) This section does not apply to whistle-blower investigations conducted pursuant to ss. 112.3187, 112.3188, 112.3189, and 112.31895.

History.—s. 4, ch. 93-405; s. 35, ch. 95-398; s. 38, ch. 2005-251; s. 13, ch. 2006-1.

Note.—Former s. 119.07(6)(w).

112.3191 Short title.—This act shall be known and cited as “The John J. Savage Memorial Act of 1974.”

History.—s. 1, ch. 74-176.

112.320 Commission on Ethics; purpose.—There is created a Commission on Ethics, the purpose of which is to serve as guardian of the standards of conduct for the officers and employees of the state, and of a county, city, or other political subdivision of the state, as defined in this part, and to serve as the independent commission provided for in s. 8(f), Art. II of the State Constitution.

History.—s. 2, ch. 74-176; s. 11, ch. 91-85.

112.321 Membership, terms; travel expenses; staff.—

(1) The commission shall be composed of nine members. Five of these members shall be appointed by the Governor, no more than three of whom shall be from the same political party, subject to confirmation by the Senate. One member appointed by the Governor shall be a former city or county official and may be a former member of a local planning or zoning board which has only advisory duties. Two members shall be appointed by the Speaker of the House of Representatives, and two members shall be appointed by the President of the Senate. Neither the

Speaker of the House of Representatives nor the President of the Senate shall appoint more than one member from the same political party. Of the nine members of the Commission, no more than five members shall be from the same political party at any one time. No member may hold any public employment. An individual who qualifies as a lobbyist pursuant to s. 11.045 or s. 112.3215 or pursuant to any local government charter or ordinance may not serve as a member of the commission, except that this prohibition does not apply to an individual who is a member of the commission on July 1, 2006, until the expiration of his or her current term. A member of the commission may not lobby any state or local governmental entity as provided in s. 11.045 or s. 112.3215 or as provided by any local government charter or ordinance, except that this prohibition does not apply to an individual who is a member of the commission on July 1, 2006, until the expiration of his or her current term. All members shall serve 2-year terms. A member may not serve more than two full terms in succession. Any member of the commission may be removed for cause by majority vote of the Governor, the President of the Senate, the Speaker of the House of Representatives, and the Chief Justice of the Supreme Court.

(2) The members of the commission shall elect a chair from their number, who shall serve for a 1-year term and may not succeed himself or herself as chair.

(3) Members of the commission shall receive no salary but shall receive travel and per diem as provided in s. 112.061.

(4) In accordance with the uniform personnel, job classification, and pay plan adopted with the approval of the President of the Senate and the Speaker of the House of Representatives and administered by the Office of Legislative Services, the commission shall employ an executive director and shall provide the executive director with necessary office space, assistants, and secretaries. Within the above uniform plan, decisions relating to hiring, promotion, demotion, and termination of commission employees shall be made by the commission or, if so delegated by the commission, by its executive director.

History.—s. 2, ch. 74-176; s. 3, ch. 75-199; s. 6, ch. 82-98; s. 1, ch. 86-148; s. 3, ch. 88-29; s. 2, ch. 91-49; s. 704, ch. 95-147; s. 24, ch. 98-136; s. 6, ch. 2000-243; s. 10, ch. 2006-275.

112.3213 Legislative intent and purpose.—The Legislature finds that the operation of open and responsible government requires the fullest opportunity to be afforded to the people to petition their government for the redress of grievances and to express freely their opinions on executive branch action. Further, the Legislature finds that preservation of the integrity of the governmental decisionmaking process is essential to the continued functioning of an open government. Therefore, in order to preserve and maintain the integrity of the process and to better inform citizens of the efforts to influence executive branch action, the Legislature finds it necessary to require the public disclosure of the identity, expenditures, and activities of certain persons who attempt to influence actions of the executive branch in the areas of policy and procurement.

History.—s. 5, ch. 93-121.

112.3215 Lobbying before the executive branch or the Constitution Revision Commission; registration and reporting; investigation by commission.—

(1) For the purposes of this section:

(a) “Agency” means the Governor, Governor and Cabinet, or any department, division, bureau, board, commission, or authority of the executive branch. In addition, “agency” shall mean the Constitution Revision Commission as provided by s. 2, Art. XI of the State Constitution.

(b) “Agency official” or “employee” means any individual who is required by law to file full or limited public disclosure of his or her financial interests.

(c) “Compensation” means a payment, distribution, loan, advance, reimbursement, deposit, salary, fee, retainer, or anything of value provided or owed to a lobbying firm, directly or indirectly, by a principal for any lobbying activity.

(d) “Expenditure” means a payment, distribution, loan, advance, reimbursement, deposit, or anything of value made by a lobbyist or principal for the purpose of lobbying. The term “expenditure” does not include contributions or expenditures reported pursuant to chapter 106 or contributions or expenditures reported pursuant to federal election law, campaign-related personal services provided without compensation by individuals volunteering their time, any other contribution or expenditure made by or to a political party or an affiliated party committee, or any other contribution or expenditure made by an organization that is exempt from taxation under 26 U.S.C. s. 527 or s. 501(c)(4).

(e) “Fund” means the Executive Branch Lobby Registration Trust Fund.

(f) “Lobbies” means seeking, on behalf of another person, to influence an agency with respect to a decision of the agency in the area of policy or procurement or an attempt to obtain the goodwill of an agency official or employee. “Lobbies” also means influencing or attempting to influence, on behalf of another, the Constitution Revision Commission’s action or nonaction through oral or written communication or an attempt to obtain the goodwill of a member or employee of the Constitution Revision Commission.

(g) “Lobbying firm” means a business entity, including an individual contract lobbyist, that receives or becomes entitled to receive any compensation for the purpose of lobbying, where any partner, owner, officer, or employee of the business entity is a lobbyist.

(h) “Lobbyist” means a person who is employed and receives payment, or who contracts for economic consideration, for the purpose of lobbying, or a person who is principally employed for governmental affairs by another person or governmental entity to lobby on behalf of that other person or governmental entity. “Lobbyist” does not include a person who is:

1. An attorney, or any person, who represents a client in a judicial proceeding or in a formal administrative proceeding conducted pursuant to chapter 120 or any other formal hearing before an agency, board, commission, or authority of this state.
2. An employee of an agency or of a legislative or judicial branch entity acting in the normal course of his or her duties.
3. A confidential informant who is providing, or wishes to provide, confidential information to be used for law enforcement purposes.
4. A person who lobbies to procure a contract pursuant to chapter 287 which contract is less than the threshold for CATEGORY ONE as provided in s. 287.017.

(i) “Principal” means the person, firm, corporation, or other entity which has employed or retained a lobbyist.

(2) The Executive Branch Lobby Registration Trust Fund is hereby created within the commission to be used for the purpose of funding any office established to administer the registration of lobbyists lobbying an agency, including the payment of salaries and other expenses. The trust fund is not subject to the service charge to General Revenue provisions of chapter 215. All annual registration fees collected pursuant to this section shall be deposited into such fund.

(3) A person may not lobby an agency until such person has registered as a lobbyist with the commission. Such registration shall be due upon initially being retained to lobby and is

renewable on a calendar year basis thereafter. Upon registration the person shall provide a statement signed by the principal or principal's representative that the registrant is authorized to represent the principal. The principal shall also identify and designate its main business on the statement authorizing that lobbyist pursuant to a classification system approved by the commission. The registration shall require each lobbyist to disclose, under oath, the following information:

- (a) Name and business address;
- (b) The name and business address of each principal represented;
- (c) His or her area of interest;
- (d) The agencies before which he or she will appear; and
- (e) The existence of any direct or indirect business association, partnership, or financial relationship with any employee of an agency with which he or she lobbies, or intends to lobby, as disclosed in the registration.

(4) The annual lobbyist registration fee shall be set by the commission by rule, not to exceed \$40 for each principal represented.

(5)(a)1. Each lobbying firm shall file a compensation report with the commission for each calendar quarter during any portion of which one or more of the firm's lobbyists were registered to represent a principal. The report shall include the:

- a. Full name, business address, and telephone number of the lobbying firm;
- b. Name of each of the firm's lobbyists; and
- c. Total compensation provided or owed to the lobbying firm from all principals for the reporting period, reported in one of the following categories: \$0; \$1 to \$49,999; \$50,000 to \$99,999; \$100,000 to \$249,999; \$250,000 to \$499,999; \$500,000 to \$999,999; \$1 million or more.

2. For each principal represented by one or more of the firm's lobbyists, the lobbying firm's compensation report shall also include the:

- a. Full name, business address, and telephone number of the principal; and
- b. Total compensation provided or owed to the lobbying firm for the reporting period, reported in one of the following categories: \$0; \$1 to \$9,999; \$10,000 to \$19,999; \$20,000 to \$29,999; \$30,000 to \$39,999; \$40,000 to \$49,999; or \$50,000 or more. If the category "\$50,000 or more" is selected, the specific dollar amount of compensation must be reported, rounded up or down to the nearest \$1,000.

3. If the lobbying firm subcontracts work from another lobbying firm and not from the original principal:

- a. The lobbying firm providing the work to be subcontracted shall be treated as the reporting lobbying firm's principal for reporting purposes under this paragraph; and
- b. The reporting lobbying firm shall, for each lobbying firm identified under subparagraph 2., identify the name and address of the principal originating the lobbying work.

4. The senior partner, officer, or owner of the lobbying firm shall certify to the veracity and completeness of the information submitted pursuant to this paragraph.

(b) For each principal represented by more than one lobbying firm, the commission shall aggregate the reporting-period and calendar-year compensation reported as provided or owed by the principal.

(c) The reporting statements shall be filed no later than 45 days after the end of each reporting period. The four reporting periods are from January 1 through March 31, April 1 through June

30, July 1 through September 30, and October 1 through December 31, respectively. Reporting statements must be filed by electronic means as provided in s. 112.32155.

(d) The commission shall provide by rule the grounds for waiving a fine, the procedures by which a lobbying firm that fails to timely file a report shall be notified and assessed fines, and the procedure for appealing the fines. The rule shall provide for the following:

1. Upon determining that the report is late, the person designated to review the timeliness of reports shall immediately notify the lobbying firm as to the failure to timely file the report and that a fine is being assessed for each late day. The fine shall be \$50 per day per report for each late day up to a maximum of \$5,000 per late report.

2. Upon receipt of the report, the person designated to review the timeliness of reports shall determine the amount of the fine due based upon the earliest of the following:

- a. When a report is actually received by the lobbyist registration and reporting office.

- b. When the electronic receipt issued pursuant to s. 112.32155 is dated.

3. Such fine shall be paid within 30 days after the notice of payment due is transmitted by the Lobbyist Registration Office, unless appeal is made to the commission. The moneys shall be deposited into the Executive Branch Lobby Registration Trust Fund.

4. A fine shall not be assessed against a lobbying firm the first time any reports for which the lobbying firm is responsible are not timely filed. However, to receive the one-time fine waiver, all reports for which the lobbying firm is responsible must be filed within 30 days after the notice that any reports have not been timely filed is transmitted by the Lobbyist Registration Office. A fine shall be assessed for any subsequent late-filed reports.

5. Any lobbying firm may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the designated due date, and may request and shall be entitled to a hearing before the commission, which shall have the authority to waive the fine in whole or in part for good cause shown. Any such request shall be made within 30 days after the notice of payment due is transmitted by the Lobbyist Registration Office. In such case, the lobbying firm shall, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission.

6. The person designated to review the timeliness of reports shall notify the commission of the failure of a lobbying firm to file a report after notice or of the failure of a lobbying firm to pay the fine imposed. All lobbyist registrations for lobbyists who are partners, owners, officers, or employees of a lobbying firm that fails to timely pay a fine are automatically suspended until the fine is paid or waived, and the commission shall promptly notify all affected principals of each suspension and each reinstatement.

7. Notwithstanding any provision of chapter 120, any fine imposed under this subsection that is not waived by final order of the commission and that remains unpaid more than 60 days after the notice of payment due or more than 60 days after the commission renders a final order on the lobbying firm's appeal shall be collected by the Department of Financial Services as a claim, debt, or other obligation owed to the state, and the department may assign the collection of such fine to a collection agent as provided in s. 17.20.

(e) Each lobbying firm and each principal shall preserve for a period of 4 years all accounts, bills, receipts, computer records, books, papers, and other documents and records necessary to substantiate compensation. Any documents and records retained pursuant to this section may be subpoenaed for audit by the Legislative Auditing Committee pursuant to s. 11.40, and such subpoena may be enforced in circuit court.

- (6)(a) Notwithstanding s. 112.3148, s. 112.3149, or any other provision of law to the contrary, no lobbyist or principal shall make, directly or indirectly, and no agency official, member, or employee shall knowingly accept, directly or indirectly, any expenditure.
- (b) No person shall provide compensation for lobbying to any individual or business entity that is not a lobbying firm.
- (7) A lobbyist shall promptly send a written statement to the commission canceling the registration for a principal upon termination of the lobbyist's representation of that principal. Notwithstanding this requirement, the commission may remove the name of a lobbyist from the list of registered lobbyists if the principal notifies the office that a person is no longer authorized to represent that principal.
- (8)(a) The commission shall investigate every sworn complaint that is filed with it alleging that a person covered by this section has failed to register, has failed to submit a compensation report, has made a prohibited expenditure, or has knowingly submitted false information in any report or registration required in this section.
- (b) All proceedings, the complaint, and other records relating to the investigation are confidential and exempt from the provisions of s. 119.07(1) and s. 24(a), Art. I of the State Constitution, and any meetings held pursuant to an investigation are exempt from the provisions of s. 286.011(1) and s. 24(b), Art. I of the State Constitution either until the alleged violator requests in writing that such investigation and associated records and meetings be made public or until the commission determines, based on the investigation, whether probable cause exists to believe that a violation has occurred.
- (c) The commission shall investigate any lobbying firm, lobbyist, principal, agency, officer, or employee upon receipt of information from a sworn complaint or from a random audit of lobbying reports indicating a possible violation other than a late-filed report.
- (d)1. Records relating to an audit conducted pursuant to this section or an investigation conducted pursuant to this section or s. 112.32155 are confidential and exempt from s. 119.07(1) and s. 24(a), Art. I of the State Constitution.
2. Any portion of a meeting wherein such investigation or audit is discussed is exempt from s. 286.011 and s. 24(b), Art. I of the State Constitution.
3. The exemptions no longer apply if the lobbying firm requests in writing that such investigation and associated records and meetings be made public or the commission determines there is probable cause that the audit reflects a violation of the reporting laws.
- (9) If the commission finds no probable cause to believe that a violation of this section occurred, it shall dismiss the complaint, whereupon the complaint, together with a written statement of the findings of the investigation and a summary of the facts, shall become a matter of public record, and the commission shall send a copy of the complaint, findings, and summary to the complainant and the alleged violator. If, after investigating information from a random audit of lobbying reports, the commission finds no probable cause to believe that a violation of this section occurred, a written statement of the findings of the investigation and a summary of the facts shall become a matter of public record, and the commission shall send a copy of the findings and summary to the alleged violator. If the commission finds probable cause to believe that a violation occurred, it shall report the results of its investigation to the Governor and Cabinet and send a copy of the report to the alleged violator by certified mail. Such notification and all documents made or received in the disposition of the complaint shall then become public records. Upon request submitted to the Governor and Cabinet in writing, any person whom the commission finds probable cause to believe has violated any provision of this section shall be

entitled to a public hearing. Such person shall be deemed to have waived the right to a public hearing if the request is not received within 14 days following the mailing of the probable cause notification. However, the Governor and Cabinet may on its own motion require a public hearing and may conduct such further investigation as it deems necessary.

(10) If the Governor and Cabinet find that a violation occurred, the Governor and Cabinet may reprimand the violator, censure the violator, or prohibit the violator from lobbying all agencies for a period not to exceed 2 years. If the violator is a lobbying firm, lobbyist, or principal, the Governor and Cabinet may also assess a fine of not more than \$5,000 to be deposited in the Executive Branch Lobby Registration Trust Fund.

(11) Any person who is required to be registered or to provide information under this section or under rules adopted pursuant to this section and who knowingly fails to disclose any material fact that is required by this section or by rules adopted pursuant to this section, or who knowingly provides false information on any report required by this section or by rules adopted pursuant to this section, commits a noncriminal infraction, punishable by a fine not to exceed \$5,000. Such penalty is in addition to any other penalty assessed by the Governor and Cabinet pursuant to subsection (10).

(12) Any person, when in doubt about the applicability and interpretation of this section to himself or herself in a particular context, may submit in writing the facts of the situation to the commission with a request for an advisory opinion to establish the standard of duty. An advisory opinion shall be rendered by the commission and, until amended or revoked, shall be binding on the conduct of the person who sought the opinion, unless material facts were omitted or misstated in the request.

(13) Agencies shall be diligent to ascertain whether persons required to register pursuant to this section have complied. An agency may not knowingly permit a person who is not registered pursuant to this section to lobby the agency.

(14) Upon discovery of violations of this section an agency or any person may file a sworn complaint with the commission.

(15) The commission shall adopt rules to administer this section, which shall prescribe forms for registration and compensation reports, procedures for registration, and procedures that will prevent disclosure of information that is confidential as provided in this section.

History.—s. 2, ch. 89-325; s. 3, ch. 90-268; s. 29, ch. 90-360; s. 5, ch. 91-292; s. 2, ch. 92-35; s. 6, ch. 93-121; s. 705, ch. 95-147; s. 1, ch. 95-357; s. 2, ch. 96-203; s. 38, ch. 96-406; s. 1, ch. 97-12; s. 2, ch. 2000-232; s. 131, ch. 2003-261; ss. 5, 6, ch. 2005-359; s. 1, ch. 2005-361; ss. 12, 13, 14, ch. 2006-275; s. 6, ch. 2010-151; ss. 29, 30, ch. 2011-6; s. 76, ch. 2011-40; s. 1, ch. 2011-178; HJR 7105, 2011 Regular Session; s. 3, ch. 2012-25; s. 16, ch. 2013-36; s. 17, ch. 2014-17.

112.32151 Requirements for reinstitution of lobbyist registration after felony

conviction.—A person convicted of a felony after January 1, 2006, may not be registered as a lobbyist pursuant to s. 112.3215 until the person:

(1) Has been released from incarceration and any postconviction supervision, and has paid all court costs and court-ordered restitution; and

(2) Has had his or her civil rights restored.

History.—s. 9, ch. 2005-359; s. 8, ch. 2007-5.

112.32155 Electronic filing of compensation reports and other information.—

(1) As used in this section, the term “electronic filing system” means an Internet system for recording and reporting lobbying compensation and other required information by reporting period.

(2) Each lobbying firm who is required to file reports with the Commission on Ethics pursuant to s. 112.3215 must file such reports with the commission by means of the electronic filing system.

(3) A report filed pursuant to this section must be completed and filed through the electronic filing system not later than 11:59 p.m. of the day designated in s. 112.3215. A report not filed by 11:59 p.m. of the day designated is a late-filed report and is subject to the penalties under s. 112.3215(5).

(4) Each report filed pursuant to this section is considered to meet the certification requirements of s. 112.3215(5)(a)4. Persons given a secure sign-on to the electronic filing system are responsible for protecting it from disclosure and are responsible for all filings using such credentials, unless they have notified the commission that their credentials have been compromised.

(5) The electronic filing system must:

(a) Be based on access by means of the Internet.

(b) Be accessible by anyone with Internet access using standard web-browsing software.

(c) Provide for direct entry of compensation report information as well as upload of such information from software authorized by the commission.

(d) Provide a method that prevents unauthorized access to electronic filing system functions.

(6) The commission shall provide by rule procedures to implement and administer this section, including, but not limited to:

(a) Alternate filing procedures in case the electronic filing system is not operable.

(b) The issuance of an electronic receipt to the person submitting the report indicating and verifying the date and time that the report was filed.

(7) The commission shall make all the data filed available on the Internet in an easily understood and accessible format. The Internet website shall also include, but not be limited to, the names and business addresses of lobbyists, lobbying firms, and principals, the affiliations between lobbyists and principals, and the classification system designated and identified by each principal pursuant to s. 112.3215(3).

History.—s. 7, ch. 2005-359.

112.3217 Contingency fees; prohibitions; penalties.—

(1) “Contingency fee” means a fee, bonus, commission, or nonmonetary benefit as compensation which is dependent or in any way contingent on the enactment, defeat, modification, or other outcome of any specific executive branch action.

(2) No person may, in whole or in part, pay, give, or receive, or agree to pay, give, or receive, a contingency fee. However, this subsection does not apply to claims bills.

(3) Any person who violates this section commits a misdemeanor of the first degree, punishable as provided in s. 775.082 or s. 775.083. If such person is a lobbyist, the lobbyist shall forfeit any fee, bonus, commission, or profit received in violation of this section and is subject to the penalties set forth in s. 112.3215. When the fee, bonus, commission, or profit is nonmonetary, the fair market value of the benefit shall be used in determining the amount to be

forfeited. All forfeited benefits shall be deposited into the Executive Branch Lobby Registration Trust Fund.

(4) Nothing in this section may be construed to prohibit any salesperson engaging in legitimate state business on behalf of a company from receiving compensation or commission as part of a bona fide contractual arrangement with that company.

History.—s. 7, ch. 93-121; s. 9, ch. 2000-336.

112.322 Duties and powers of commission.—

(1) It is the duty of the Commission on Ethics to receive and investigate sworn complaints of violation of the code of ethics as established in this part and of any other breach of the public trust, as provided in s. 8(f), Art. II of the State Constitution, including investigation of all facts and parties materially related to the complaint at issue.

(2)(a) Any public officer or employee may request a hearing before the Commission on Ethics to present oral or written testimony in response to allegations that such person violated the code of ethics established in this part or allegations of any other breach of the public trust, as provided in s. 8, Art. II of the State Constitution, provided a majority of the commission members present and voting consider that the allegations are of such gravity as to affect the general welfare of the state and the ability of the subject public officer or employee effectively to discharge the duties of the office. If the allegations made against the subject public officer or employee are made under oath, then he or she shall also be required to testify under oath.

(b) Upon completion of any investigation initiated under this subsection, the commission shall make a finding and public report as to whether any provision of the code of ethics has been violated or any other breach of the public trust has been committed by the subject official or employee. In the event that a violation or breach is found to have been committed, the commission shall recommend appropriate action to the agency or official having power to impose any penalty provided by s. 112.317.

(c) All proceedings conducted pursuant to this subsection shall be public meetings within the meaning of chapter 286, and all documents made or received in connection with the commission's investigation thereof shall be public records within the meaning of chapter 119.

(d) Any response to a request of a public official or employee shall be addressed in the first instance to the official or employee making the request.

(3)(a) Every public officer, candidate for public office, or public employee, when in doubt about the applicability and interpretation of this part or s. 8, Art. II of the State Constitution to himself or herself in a particular context, may submit in writing the facts of the situation to the Commission on Ethics with a request for an advisory opinion to establish the standard of public duty. Any public officer or employee who has the power to hire or terminate employees may likewise seek an advisory opinion from the commission as to the application of the provisions of this part or s. 8, Art. II of the State Constitution to any such employee or applicant for employment. An advisory opinion shall be rendered by the commission, and each such opinion shall be numbered, dated, and published without naming the person making the request, unless such person consents to the use of his or her name.

(b) Such opinion, until amended or revoked, shall be binding on the conduct of the officer, employee, or candidate who sought the opinion or with reference to whom the opinion was sought, unless material facts were omitted or misstated in the request for the advisory opinion.

(4) The commission has the power to subpoena, audit, and investigate. The commission may subpoena witnesses and compel their attendance and testimony, administer oaths and affirmations, take evidence, and require by subpoena the production of any books, papers,

records, or other items relevant to the performance of the duties of the commission or to the exercise of its powers. The commission may delegate to its investigators the authority to administer oaths and affirmations. The commission may delegate the authority to issue subpoenas to its chair, and may authorize its employees to serve any subpoena issued under this section. In the case of a refusal to obey a subpoena issued to any person, the commission may make application to any circuit court of this state which shall have jurisdiction to order the witness to appear before the commission and to produce evidence, if so ordered, or to give testimony touching on the matter in question. Failure to obey the order may be punished by the court as contempt. Witnesses shall be paid mileage and witnesses fees as authorized for witnesses in civil cases, except that a witness who is required to travel outside the county of his or her residence to testify is entitled to per diem and travel expenses at the same rate provided for state employees under s. 112.061, to be paid after the witness appears.

(5) The commission may recommend that the Governor initiate judicial proceedings in the name of the state against any executive or administrative state, county, or municipal officer to enforce compliance with any provision of this part or of s. 8, Art. II of the State Constitution or to restrain violations of this part or of s. 8, Art. II of the State Constitution, pursuant to s. 1(b), Art. IV of the State Constitution; and the Governor may without further action initiate such judicial proceedings.

(6) The commission is authorized to call upon appropriate agencies of state government for such professional assistance as may be needed in the discharge of its duties. The Department of Legal Affairs shall, upon request, provide legal and investigative assistance to the commission.

(7) The commission may prepare materials designed to assist persons in complying with the provisions of this part and with s. 8, Art. II of the State Constitution.

(8) It shall be the further duty of the commission to submit to the Legislature from time to time a report of its work and recommendations for legislation deemed necessary to improve the code of ethics and its enforcement.

(9) The commission is authorized to make such rules not inconsistent with law as are necessary to carry out the duties and authority conferred upon the commission by s. 8, Art. II of the State Constitution or by this part. Such rules shall be limited to:

(a) Rules providing for the practices and procedures of the commission.

(b) Rules interpreting the disclosures and prohibitions established by s. 8, Art. II of the State Constitution and by this part.

History.—s. 2, ch. 74-176; s. 4, ch. 75-199; s. 1, ch. 76-89; s. 1, ch. 77-174; s. 7, ch. 82-98; s. 33, ch. 89-169; s. 12, ch. 91-85; s. 13, ch. 94-277; s. 1416, ch. 95-147; s. 7, ch. 2000-243; s. 15, ch. 2006-275.

112.3231 Time limitations.—

(1) On or after October 1, 1993, all sworn complaints alleging a violation of this part, or of any other breach of the public trust within the jurisdiction of the Commission on Ethics under s. 8, Art. II of the State Constitution, shall be filed with the commission within 5 years of the alleged violation or other breach of the public trust.

(2) A violation of this part or any other breach of public trust is committed when every element has occurred or, if the violation or breach of public trust involves a continuing course of conduct, at the time when the course of conduct or the officer's, employee's, or candidate's complicity therein is terminated. Time starts to run on the day after the violation or breach of public trust is committed.

(3) The applicable period of limitation is tolled on the day a sworn complaint against the public officer, employee, or candidate is filed with the Commission on Ethics. If it can be concluded from the face of the complaint that the applicable period of limitation has run, the complaint shall be dismissed and the commission shall issue a public report.

History.—s. 13, ch. 91-85; s. 10, ch. 94-277.

112.3232 Compelled testimony.—If any person called to give evidence in a commission proceeding shall refuse to give evidence because of a claim of possible self-incrimination, the commission, with the written authorization of the appropriate state attorney, may apply to the chief judge of the appropriate judicial circuit for a judicial grant of immunity ordering the testimony or other evidence of such person notwithstanding his or her objection, but in such case no testimony or other information compelled under the order, or any information directly or indirectly derived from such testimony or other information, may be used against the witness in any criminal proceeding.

History.—s. 10, ch. 2000-243.

112.324 Procedures on complaints of violations and referrals; public records and meeting exemptions.—

(1) The commission shall investigate an alleged violation of this part or other alleged breach of the public trust within the jurisdiction of the commission as provided in s. 8(f), Art. II of the State Constitution:

(a) Upon a written complaint executed on a form prescribed by the commission and signed under oath or affirmation by any person; or

(b) Upon receipt of a written referral of a possible violation of this part or other possible breach of the public trust from the Governor, the Department of Law Enforcement, a state attorney, or a United States Attorney which at least six members of the commission determine is sufficient to indicate a violation of this part or any other breach of the public trust.

Within 5 days after receipt of a complaint by the commission or a determination by at least six members of the commission that the referral received is deemed sufficient, a copy shall be transmitted to the alleged violator.

(2)(a) The complaint and records relating to the complaint or to any preliminary investigation held by the commission or its agents, by a Commission on Ethics and Public Trust established by any county defined in s. 125.011(1) or by any municipality defined in s. 165.031, or by any county or municipality that has established a local investigatory process to enforce more stringent standards of conduct and disclosure requirements as provided in s. 112.326 are confidential and exempt from s. 119.07(1) and s. 24(a), Art. I of the State Constitution.

(b) Written referrals and records relating to such referrals held by the commission or its agents, the Governor, the Department of Law Enforcement, or a state attorney, and records relating to any preliminary investigation of such referrals held by the commission or its agents, are confidential and exempt from s. 119.07(1) and s. 24(a), Art. I of the State Constitution.

(c) Any portion of a proceeding conducted by the commission, a Commission on Ethics and Public Trust, or a county or municipality that has established such local investigatory process, pursuant to a complaint or preliminary investigation, is exempt from s. 286.011, s. 24(b), Art. I of the State Constitution, and s. 120.525.

(d) Any portion of a proceeding of the commission in which a determination regarding a referral is discussed or acted upon is exempt from s. 286.011 and s. 24(b), Art. I of the State Constitution, and s. 120.525.

(e) The exemptions in paragraphs (a)-(d) apply until:

1. The complaint is dismissed as legally insufficient;
2. The alleged violator requests in writing that such records and proceedings be made public;
3. The commission determines that it will not investigate the referral; or
4. The commission, a Commission on Ethics and Public Trust, or a county or municipality that has established such local investigatory process determines, based on such investigation, whether probable cause exists to believe that a violation has occurred.

(f) A complaint or referral under this part against a candidate in any general, special, or primary election may not be filed nor may any intention of filing such a complaint or referral be disclosed on the day of any such election or within the 30 days immediately preceding the date of the election, unless the complaint or referral is based upon personal information or information other than hearsay.

(g) This subsection is subject to the Open Government Sunset Review Act in accordance with s. 119.15 and shall stand repealed on October 2, 2018, unless reviewed and saved from repeal through reenactment by the Legislature.

(3) A preliminary investigation shall be undertaken by the commission of each legally sufficient complaint or referral over which the commission has jurisdiction to determine whether there is probable cause to believe that a violation has occurred. If, upon completion of the preliminary investigation, the commission finds no probable cause to believe that this part has been violated or that any other breach of the public trust has been committed, the commission shall dismiss the complaint or referral with the issuance of a public report to the complainant and the alleged violator, stating with particularity its reasons for dismissal. At that time, the complaint or referral and all materials relating to the complaint or referral shall become a matter of public record. If the commission finds from the preliminary investigation probable cause to believe that this part has been violated or that any other breach of the public trust has been committed, it shall so notify the complainant and the alleged violator in writing. Such notification and all documents made or received in the disposition of the complaint or referral shall then become public records. Upon request submitted to the commission in writing, any person who the commission finds probable cause to believe has violated any provision of this part or has committed any other breach of the public trust shall be entitled to a public hearing. Such person shall be deemed to have waived the right to a public hearing if the request is not received within 14 days following the mailing of the probable cause notification required by this subsection. However, the commission may on its own motion, require a public hearing, may conduct such further investigation as it deems necessary, and may enter into such stipulations and settlements as it finds to be just and in the best interest of the state. The commission is without jurisdiction to, and no respondent may voluntarily or involuntarily, enter into a stipulation or settlement which imposes any penalty, including, but not limited to, a sanction or admonition or any other penalty contained in s. 112.317. Penalties shall be imposed only by the appropriate disciplinary authority as designated in this section.

(4) If, in cases pertaining to members of the Legislature, upon completion of a full and final investigation by the commission, the commission finds that there has been a violation of this part or of any provision of s. 8, Art. II of the State Constitution, the commission shall forward a copy of the complaint or referral and its findings by certified mail to the President of the Senate or the

Speaker of the House of Representatives, whichever is applicable, who shall refer the complaint or referral to the appropriate committee for investigation and action which shall be governed by the rules of its respective house. It is the duty of the committee to report its final action upon the matter to the commission within 90 days of the date of transmittal to the respective house. Upon request of the committee, the commission shall submit a recommendation as to what penalty, if any, should be imposed. In the case of a member of the Legislature, the house in which the member serves has the power to invoke the penalty provisions of this part.

(5) If, in cases against impeachable officers, upon completion of a full and final investigation by the commission, the commission finds that there has been a violation of this part or of any provision of s. 8, Art. II of the State Constitution, and the commission finds that the violation may constitute grounds for impeachment, the commission shall forward a copy of the complaint or referral and its findings by certified mail to the Speaker of the House of Representatives, who shall refer the complaint or referral to the appropriate committee for investigation and action which shall be governed by the rules of the House of Representatives. It is the duty of the committee to report its final action upon the matter to the commission within 90 days of the date of transmittal.

(6) If the commission finds that there has been a violation of this part or of any provision of s. 8, Art. II of the State Constitution by an impeachable officer other than the Governor, and the commission recommends public censure and reprimand, forfeiture of a portion of the officer's salary, a civil penalty, or restitution, the commission shall report its findings and recommendation of disciplinary action to the Governor, who has the power to invoke the penalty provisions of this part.

(7) If the commission finds that there has been a violation of this part or of any provision of s. 8, Art. II of the State Constitution by the Governor, and the commission recommends public censure and reprimand, forfeiture of a portion of the Governor's salary, a civil penalty, or restitution, the commission shall report its findings and recommendation of disciplinary action to the Attorney General, who shall have the power to invoke the penalty provisions of this part.

(8) If, in cases other than complaints or referrals against impeachable officers or members of the Legislature, upon completion of a full and final investigation by the commission, the commission finds that there has been a violation of this part or of s. 8, Art. II of the State Constitution, it is the duty of the commission to report its findings and recommend appropriate action to the proper disciplinary official or body as follows, and such official or body has the power to invoke the penalty provisions of this part, including the power to order the appropriate elections official to remove a candidate from the ballot for a violation of s. 112.3145 or s. 8(a) and (i), Art. II of the State Constitution:

(a) The President of the Senate and the Speaker of the House of Representatives, jointly, in any case concerning the Public Counsel, members of the Public Service Commission, members of the Public Service Commission Nominating Council, the Auditor General, or the director of the Office of Program Policy Analysis and Government Accountability.

(b) The Supreme Court, in any case concerning an employee of the judicial branch.

(c) The President of the Senate, in any case concerning an employee of the Senate; the Speaker of the House of Representatives, in any case concerning an employee of the House of Representatives; or the President and the Speaker, jointly, in any case concerning an employee of a committee of the Legislature whose members are appointed solely by the President and the Speaker or in any case concerning an employee of the Public Counsel, Public Service

Commission, Auditor General, or Office of Program Policy Analysis and Government Accountability.

(d) Except as otherwise provided by this part, the Governor, in the case of any other public officer, public employee, former public officer or public employee, candidate or former candidate, or person who is not a public officer or employee, other than lobbyists and lobbying firms under s. 112.3215 for violations of s. 112.3215.

(e) The President of the Senate or the Speaker of the House of Representatives, whichever is applicable, in any case concerning a former member of the Legislature who has violated a provision applicable to former members or whose violation occurred while a member of the Legislature.

(9) In addition to reporting its findings to the proper disciplinary body or official, the commission shall report these findings to the state attorney or any other appropriate official or agency having authority to initiate prosecution when violation of criminal law is indicated.

(10) Notwithstanding the foregoing procedures of this section, a sworn complaint against any member or employee of the Commission on Ethics for violation of this part or of s. 8, Art. II of the State Constitution shall be filed with the President of the Senate and the Speaker of the House of Representatives. Each presiding officer shall, after determining that there are sufficient grounds for review, appoint three members of their respective bodies to a special joint committee who shall investigate the complaint. The members shall elect a chair from among their number. If the special joint committee finds insufficient evidence to establish probable cause to believe a violation of this part or of s. 8, Art. II of the State Constitution has occurred, it shall dismiss the complaint. If, upon completion of its preliminary investigation, the committee finds sufficient evidence to establish probable cause to believe a violation has occurred, the chair thereof shall transmit such findings to the Governor who shall convene a meeting of the Governor, the President of the Senate, the Speaker of the House of Representatives, and the Chief Justice of the Supreme Court to take such final action on the complaint as they shall deem appropriate, consistent with the penalty provisions of this part. Upon request of a majority of the Governor, the President of the Senate, the Speaker of the House of Representatives, and the Chief Justice of the Supreme Court, the special joint committee shall submit a recommendation as to what penalty, if any, should be imposed.

(11)(a) Notwithstanding subsections (1)-(8), the commission may dismiss any complaint or referral at any stage of disposition if it determines that the violation that is alleged or has occurred is a de minimis violation attributable to inadvertent or unintentional error. In determining whether a violation was de minimis, the commission shall consider whether the interests of the public were protected despite the violation. This subsection does not apply to complaints or referrals pursuant to ss. 112.3144 and 112.3145.

(b) For the purposes of this subsection, a de minimis violation is any violation that is unintentional and not material in nature.

(12) Notwithstanding the provisions of subsections (1)-(8), the commission may, at its discretion, dismiss any complaint or referral at any stage of disposition should it determine that the public interest would not be served by proceeding further, in which case the commission shall issue a public report stating with particularity its reasons for the dismissal.

History.—s. 2, ch. 74-176; s. 5, ch. 75-199; s. 3, ch. 83-282; s. 30, ch. 90-360; s. 14, ch. 91-85; s. 11, ch. 94-277; s. 1417, ch. 95-147; s. 2, ch. 95-354; s. 4, ch. 96-311; s. 3, ch. 97-293; s. 14, ch. 2000-151; s. 17, ch. 2000-331; s. 30, ch. 2001-266; s. 1, ch. 2002-186; s. 1, ch. 2005-186; s. 17,

ch. 2008-4; s. 3, ch. 2009-126; s. 1, ch. 2010-116; s. 1, ch. 2010-130; s. 18, ch. 2011-34; s. 17, ch. 2013-36; s. 1, ch. 2013-38; s. 18, ch. 2014-17.

112.3241 Judicial review.—Any final action by the commission taken pursuant to this part shall be subject to review in a district court of appeal upon the petition of the party against whom an adverse opinion, finding, or recommendation is made.

History.—s. 6, ch. 75-199; s. 4, ch. 84-318.

112.3251 Citizen support and direct-support organizations; standards of conduct.—A citizen support or direct-support organization created or authorized pursuant to law must adopt its own ethics code. The ethics code must contain the standards of conduct and disclosures required under ss. 112.313 and 112.3143(2), respectively. However, an ethics code adopted pursuant to this section is not required to contain the standards of conduct specified in s. 112.313(3) or (7). The citizen support or direct-support organization may adopt additional or more stringent standards of conduct and disclosure requirements if those standards of conduct and disclosure requirements do not otherwise conflict with this part. The ethics code must be conspicuously posted on the citizen support or direct-support organization's website.

History.—s. 5, ch. 2014-183.

112.326 Additional requirements by political subdivisions and agencies not prohibited.—Nothing in this act shall prohibit the governing body of any political subdivision, by ordinance, or agency, by rule, from imposing upon its own officers and employees additional or more stringent standards of conduct and disclosure requirements than those specified in this part, provided that those standards of conduct and disclosure requirements do not otherwise conflict with the provisions of this part.

History.—s. 5, ch. 75-196; s. 12, ch. 94-277.

112.3261 Lobbying before water management districts; registration and reporting.—

(1) As used in this section, the term:

(a) “District” means a water management district created in s. 373.069 and operating under the authority of chapter 373.

(b) “Lobbies” means seeking, on behalf of another person, to influence a district with respect to a decision of the district in an area of policy or procurement or an attempt to obtain the goodwill of a district official or employee. The term “lobbies” shall be interpreted and applied consistently with the rules of the commission implementing s. 112.3215.

(c) “Lobbyist” has the same meaning as provided in s. 112.3215.

(d) “Principal” has the same meaning as provided in s. 112.3215.

(2) A person may not lobby a district until such person has registered as a lobbyist with that district. Such registration shall be due upon initially being retained to lobby and is renewable on a calendar-year basis thereafter. Upon registration, the person shall provide a statement signed by the principal or principal's representative stating that the registrant is authorized to represent the principal. The principal shall also identify and designate its main business on the statement authorizing that lobbyist pursuant to a classification system approved by the district. Any changes to the information required by this section must be disclosed within 15 days by filing a new registration form. The registration form shall require each lobbyist to disclose, under oath, the following:

- (a) The lobbyist's name and business address.
- (b) The name and business address of each principal represented.
- (c) The existence of any direct or indirect business association, partnership, or financial relationship with any officer or employee of a district with which he or she lobbies or intends to lobby.
- (d) In lieu of creating its own lobbyist registration forms, a district may accept a completed legislative branch or executive branch lobbyist registration form.
- (3) A district shall make lobbyist registrations available to the public. If a district maintains a website, a database of currently registered lobbyists and principals must be available on the district's website.
- (4) A lobbyist shall promptly send a written statement to the district canceling the registration for a principal upon termination of the lobbyist's representation of that principal. A district may remove the name of a lobbyist from the list of registered lobbyists if the principal notifies the district that a person is no longer authorized to represent that principal.
- (5) A district may establish an annual lobbyist registration fee, not to exceed \$40, for each principal represented. The district may use registration fees only to administer this section.
- (6) A district shall be diligent to ascertain whether persons required to register pursuant to this section have complied. A district may not knowingly authorize a person who is not registered pursuant to this section to lobby the district.
- (7) Upon receipt of a sworn complaint alleging that a lobbyist or principal has failed to register with a district or has knowingly submitted false information in a report or registration required under this section, the commission shall investigate a lobbyist or principal pursuant to the procedures established under s. 112.324. The commission shall provide the Governor with a report of its findings and recommendations in any investigation conducted pursuant to this subsection. The Governor is authorized to enforce the commission's findings and recommendations.
- (8) Water management districts may adopt rules to establish procedures to govern the registration of lobbyists, including the adoption of forms and the establishment of a lobbyist registration fee.

History.—s. 6, ch. 2014-183.

FORM 1**STATEMENT OF
FINANCIAL INTERESTS****2015**Please print or type your name, mailing
address, agency name, and position below:**FOR OFFICE USE ONLY:**

LAST NAME -- FIRST NAME -- MIDDLE NAME :

MAILING ADDRESS :

CITY :

ZIP :

COUNTY :

NAME OF AGENCY :

NAME OF OFFICE OR POSITION HELD OR SOUGHT :

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

CHECK ONLY IF ☐ CANDIDATE OR ☐ NEW EMPLOYEE OR APPOINTEE****** BOTH PARTS OF THIS SECTION MUST BE COMPLETED ********DISCLOSURE PERIOD:**

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR THE PRECEDING TAX YEAR, WHETHER BASED ON A CALENDAR YEAR OR ON A FISCAL YEAR. PLEASE STATE BELOW WHETHER THIS STATEMENT IS FOR THE PRECEDING TAX YEAR ENDING EITHER (must check one):

☐ DECEMBER 31, 2015 OR ☐ SPECIFY TAX YEAR IF OTHER THAN THE CALENDAR YEAR: _____**MANNER OF CALCULATING REPORTABLE INTERESTS:**

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

☐ **COMPARATIVE (PERCENTAGE) THRESHOLDS** OR ☐ **DOLLAR VALUE THRESHOLDS****PART A -- PRIMARY SOURCES OF INCOME** [Major sources of income to the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY

PART B -- SECONDARY SOURCES OF INCOME

[Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

FILING INSTRUCTIONS for when
and where to file this form are
located at the bottom of page 2.**INSTRUCTIONS** on who must file
this form and how to fill it out
begin on page 3.

PART D — INTANGIBLE PERSONAL PROPERTY [Stocks, bonds, certificates of deposit, etc. - See instructions]

(If you have nothing to report, write "none" or "n/a")

TYPE OF INTANGIBLE

BUSINESS ENTITY TO WHICH THE PROPERTY RELATES

PART E — LIABILITIES [Major debts - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF CREDITOR

ADDRESS OF CREDITOR

PART F — INTERESTS IN SPECIFIED BUSINESSES [Ownership or positions in certain types of businesses - See instructions]

(If you have nothing to report, write "none" or "n/a")

BUSINESS ENTITY # 1

BUSINESS ENTITY # 2

NAME OF BUSINESS ENTITY

ADDRESS OF BUSINESS ENTITY

PRINCIPAL BUSINESS ACTIVITY

POSITION HELD WITH ENTITY

I OWN MORE THAN A 5% INTEREST IN THE BUSINESS

NATURE OF MY OWNERSHIP INTEREST

PART G — TRAININGFor **elected municipal officers** required to complete annual ethics training pursuant to section 112.3142, F.S.**I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.**IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☐**SIGNATURE OF FILER:**

Signature:

Date Signed:

CPA or ATTORNEY SIGNATURE ONLY

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature: _____

Date Signed: _____

FILING INSTRUCTIONS:**WHAT TO FILE:**After completing all parts of this form, **including signing and dating it**, send back only the first sheet (pages 1 and 2) for filing.**If you have nothing to report** in a particular section, you must write "none" or "n/a" in that section(s).**NOTE:****MULTIPLE FILING UNNECESSARY:**

A candidate who previously filed Form 1 because of another public position must file a copy of his or her Form 1 when qualifying. A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.

Facsimiles will not be accepted.**WHERE TO FILE:**

If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location.

Local officers/employees file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.)**State officers or specified state employees** file with the Commission on Ethics, P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303.**Candidates** file this form together with their qualifying papers.

To determine what category your position falls under, see page 3 of instructions.

WHEN TO FILE:**Initially**, each local officer/employee, state officer, and specified state employee must file **within 30 days** of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.**Candidates** must file at the same time they file their qualifying papers.**Thereafter**, file by July 1 following each calendar year in which they hold their positions.**Finally**, file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does **not** relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2015.

NOTICE

Annual Statements of Financial Interests are due July 1. If the annual form is not filed or postmarked by September 1, an automatic fine of \$25 for each day late will be imposed, up to a maximum penalty of \$1,500. Failure to file also can result in removal from public office or employment. [s. 112.3145, F.S.]

In addition, failure to make any required disclosure constitutes grounds for and may be punished by one or more of the following: disqualification from being on the ballot, impeachment, removal or suspension from office or employment, demotion, reduction in salary, reprimand, or a civil penalty not exceeding \$10,000. [s. 112.317, F.S.]

WHO MUST FILE FORM 1:

1) Elected public officials not serving in a political subdivision of the state and any person appointed to fill a vacancy in such office, unless required to file full disclosure on Form 6.

2) Appointed members of each board, commission, authority, or council having statewide jurisdiction, excluding members of solely advisory bodies, but including judicial nominating commission members; Directors of Enterprise Florida, Scripps Florida Funding Corporation, and Career Source Florida; and members of the Council on the Social Status of Black Men and Boys; the Executive Director, Governors, and senior managers of Citizens Property Insurance Corporation; Governors and senior managers of Florida Workers' Compensation Joint Underwriting Association; board members of the Northeast Fla. Regional Transportation Commission; members of the board of Triumph Gulf Coast, Inc.; members of the board of Florida Is For Veterans, Inc.; and members of the Technology Advisory Council within the Agency for State Technology.

3) The Commissioner of Education, members of the State Board of Education, the Board of Governors, and the local Boards of Trustees and Presidents of state universities.

4) Persons elected to office in any political subdivision (such as municipalities, counties, and special districts) and any person appointed to fill a vacancy in such office, unless required to file Form 6.

5) Appointed members of the following boards, councils, commissions, authorities, or other bodies of county, municipality, school district, independent special district, or other political subdivision: the governing body of the subdivision; community college or junior college district boards of trustees; boards having the power to enforce local code provisions; boards of adjustment; community redevelopment agencies; planning or zoning boards having the power to recommend, create, or modify land planning or zoning within a political subdivision, except for citizen advisory committees, technical coordinating committees, and similar groups who only have the power to make recommendations to planning or zoning boards; pension or retirement boards empowered to invest pension or retirement funds or determine entitlement to or amount of pensions or other retirement benefits.

6) Any appointed member of a local government board who is required to file a statement of financial interests by the appointing authority or the enabling legislation, ordinance, or resolution creating the board.

7) Persons holding any of these positions in local government: mayor; county or city manager; chief administrative employee or finance director of a county, municipality, or other political subdivision; county or

municipal attorney; chief county or municipal building inspector; county or municipal water resources coordinator; county or municipal pollution control director; county or municipal environmental control director; county or municipal administrator with power to grant or deny a land development permit; chief of police; fire chief; municipal clerk; appointed district school superintendent; community college president; district medical examiner; purchasing agent (regardless of title) having the authority to make any purchase exceeding \$20,000 for the local governmental unit.

8) Officers and employees of entities serving as chief administrative officer of a political subdivision.

9) Members of governing boards of charter schools operated by a city or other public entity.

10) Employees in the office of the Governor or of a Cabinet member who are exempt from the Career Service System, excluding secretarial, clerical, and similar positions.

11) The following positions in each state department, commission, board, or council: Secretary, Assistant or Deputy Secretary, Executive Director, Assistant or Deputy Executive Director, and anyone having the power normally conferred upon such persons, regardless of title.

12) The following positions in each state department or division: Director, Assistant or Deputy Director, Bureau Chief, Assistant Bureau Chief, and any person having the power normally conferred upon such persons, regardless of title.

13) Assistant State Attorneys, Assistant Public Defenders, criminal conflict and civil regional counsel, and assistant criminal conflict and civil regional counsel, Public Counsel, full-time state employees serving as counsel or assistant counsel to a state agency, administrative law judges, and hearing officers.

14) The Superintendent or Director of a state mental health institute established for training and research in the mental health field, or any major state institution or facility established for corrections, training, treatment, or rehabilitation.

15) State agency Business Managers, Finance and Accounting Directors, Personnel Officers, Grant Coordinators, and purchasing agents (regardless of title) with power to make a purchase exceeding \$20,000.

16) The following positions in legislative branch agencies: each employee (other than those employed in maintenance, clerical, secretarial, or similar positions and legislative assistants exempted by the presiding officer of their house); and each employee of the Commission on Ethics.

INSTRUCTIONS FOR COMPLETING FORM 1:

INTRODUCTORY INFORMATION (Top of Form): If your name, mailing address, public agency, and position are already printed on the form, you do not need to provide this information unless it should be changed. To change any of this information, write the correct information on the form, and contact your agency's financial disclosure coordinator. You can find your coordinator on the Commission on Ethics website: www.ethics.state.fl.us.

NAME OF AGENCY: The name of the governmental unit which you serve or served, by which you are or were employed, or for which you are a candidate.

OFFICE OR POSITION HELD OR SOUGHT: The title of the office or position you hold, are seeking, or held during the disclosure period even if you have since left that position. If you are a candidate for office or are a new employee or appointee, check the appropriate box.

PUBLIC RECORD: The disclosure form and everything attached to it is a public record. Your Social Security Number is not required and you should redact it from any documents you file. If you are an active or former officer or employee listed in Section 119.071, F.S., whose home address is exempt from disclosure, the Commission will maintain that confidentiality if you submit a written request.

DISCLOSURE PERIOD: The tax year for most individuals is the calendar year (January 1 through December 31). If that is the case for you, then your financial interests should be reported for the calendar year 2015; check that box. If you file your IRS tax return based on a tax year that is not the calendar year, you should specify the dates of your tax year in this portion of the form and check the appropriate box. This is the "disclosure period" for your report.

MANNER OF CALCULATING REPORTABLE INTEREST

Filers have the option of reporting based on either thresholds that are comparative (usually, based on percentage values) or thresholds that are based on absolute dollar values. The instructions on the following pages specifically describe the different thresholds. Check the box that reflects the choice you have made. You must use the type of threshold you have chosen for each part of the form. In other words, if you choose to report based on absolute dollar value thresholds, you cannot use a percentage threshold on any part of the form.

IF YOU HAVE CHOSEN DOLLAR VALUE THRESHOLDS THE FOLLOWING INSTRUCTIONS APPLY

PART A — PRIMARY SOURCES OF INCOME

[Required by s. 112.3145(3)(b)1, F.S.]

Part A is intended to require the disclosure of your principal sources of income during the disclosure period. You do not have to disclose the amount of income received, and you need not list your public salary from serving in the position(s) which requires you to file this form. The income of your spouse need not be disclosed; however, if there is joint income to you and your spouse from property you own jointly (such as interest or dividends from a bank account or stocks), you should disclose the source of that income if it exceeded the threshold.

Please list in this part of the form the name, address, and principal business activity of each source of your income which exceeded \$2,500 of gross income received by you in your own name or by any other person for your use or benefit.

"Gross income" means the same as it does for income tax purposes, even if the income is not actually taxable, such as interest on tax-free bonds. Examples include: compensation for services, income from business, gains from property dealings, interest, rents, dividends, pensions, IRA distributions, social security, distributive share of partnership gross income, and alimony, but not child support.

Examples:

- If you were employed by a company that manufactures computers and received more than \$2,500, list the name of the company, its address, and its principal business activity (computer manufacturing).
- If you were a partner in a law firm and your distributive share of partnership gross income exceeded \$2,500, list the name of the firm, its address, and its principal business activity (practice of law).
- If you were the sole proprietor of a retail gift business and your gross income from the business exceeded \$2,500, list the name of the business, its address, and its principal business activity (retail gift sales).
- If you received income from investments in stocks and bonds, list each individual company from which you derived more than \$2,500. Do not aggregate all of your investment income.
- If more than \$2,500 of your gross income was gain from the sale of property (not just the selling price), list as a source of income the purchaser's name, address and principal business activity. If the purchaser's identity is unknown, such as where securities listed on an exchange are sold through a brokerage firm, the source of income should be listed as "sale of (name of company) stock," for example.
- If more than \$2,500 of your gross income was in the form of interest from one particular financial institution (aggregating interest from all CD's, accounts, etc., at that institution), list the name of the institution, its address, and its principal business activity.

PART B — SECONDARY SOURCES OF INCOME

[Required by s. 112.3145(3)(b)2, F.S.]

This part is intended to require the disclosure of major customers, clients, and other sources of income to businesses in which you own an interest. It is not for reporting income from second jobs. That kind of income should be reported in Part A "Primary Sources of Income," if it meets the reporting threshold. You will not have anything to report unless, during the disclosure period:

- (1) You owned (either directly or indirectly in the form of an equitable or beneficial interest) more than 5% of the total assets or capital stock of

a business entity (a corporation, partnership, LLC, limited partnership, proprietorship, joint venture, trust, firm, etc., doing business in Florida); **and,**

- (2) You received more than \$5,000 of your gross income during the disclosure period from that business entity.

If your interests and gross income exceeded these thresholds, then for that business entity you must list every source of income to the business entity which exceeded 10% of the business entity's gross income (computed on the basis of the business entity's most recently completed fiscal year), the source's address, and the source's principal business activity.

Examples:

- You are the sole proprietor of a dry cleaning business, from which you received more than \$5,000. If only one customer, a uniform rental company, provided more than 10% of your dry cleaning business, you must list the name of the uniform rental company, its address, and its principal business activity (uniform rentals).
- You are a 20% partner in a partnership that owns a shopping mall and your partnership income exceeded the above thresholds. List each tenant of the mall that provided more than 10% of the partnership's gross income and the tenant's address and principal business activity.

PART C — REAL PROPERTY

[Required by s. 112.3145(3)(b)3, F.S.]

In this part, list the location or description of all real property in Florida in which you owned directly or indirectly at any time during the disclosure period in excess of 5% of the property's value. You are not required to list your residences. You should list any vacation homes if you derive income from them.

Indirect ownership includes situations where you are a beneficiary of a trust that owns the property, as well as situations where you own more than 5% of a partnership or corporation that owns the property. The value of the property may be determined by the most recently assessed value for tax purposes, in the absence of a more current appraisal.

The location or description of the property should be sufficient to enable anyone who looks at the form to identify the property. A street address should be used, if one exists.

PART D — INTANGIBLE PERSONAL PROPERTY

[Required by s. 112.3145(3)(b)3, F.S.]

Describe any intangible personal property that, at any time during the disclosure period, was worth more than \$10,000 and state the business entity to which the property related. Intangible personal property includes things such as cash on hand, stocks, bonds, certificates of deposit, vehicle leases, interests in businesses, beneficial interests in trusts, money owed you, Deferred Retirement Option Program (DROP) accounts, the Florida Prepaid College Plan, and bank accounts. Intangible personal property also includes investment products held in IRAs, brokerage accounts, and the Florida College Investment Plan. Note that the product contained in a brokerage account, IRA, or the Florida College Investment Plan is your asset—not the account or plan itself. Things like automobiles and houses you own, jewelry, and paintings are not intangible property. Intangibles relating to the same business entity may be aggregated; for example, CDs and savings accounts with the same bank. Property owned as tenants by the entirety or as joint tenants with right of survivorship should be valued at 100%. The value of a leased vehicle is the vehicle's present value minus the lease residual (a number found on the lease document).

PART E — LIABILITIES

[Required by s. 112.3145(3)(b)4, F.S.]

List the name and address of each creditor to whom you owed more than \$10,000 at any time during the disclosure period. The amount of the liability of a vehicle lease is the sum of any past-due payments and all unpaid prospective lease payments. You are not required to list the amount of any debt. You do not have to disclose credit card and retail installment accounts, taxes owed (unless reduced to a judgment), indebtedness on a life insurance policy owed to the company of issuance, or contingent liabilities. A "contingent liability" is one that will become an actual liability only when one or more future events occur or fail to occur, such as where you are liable only as a guarantor, surety, or endorser on a promissory note. If you are a "co-maker" and are jointly liable or jointly and severally liable, then it is not a contingent liability.

PART F — INTERESTS IN SPECIFIED BUSINESSES

[Required by s. 112.3145(5), F.S.]

The types of businesses covered in this disclosure include: state and federally chartered banks; state and federal savings and loan associations; cemetery companies; insurance companies; mortgage companies; credit unions; small loan companies; alcoholic beverage licensees; pari-mutuel wagering companies; utility companies, entities controlled by the Public Service Commission; and entities granted a franchise to operate by either a city or a county government.

Disclose in this part the fact that you owned during the disclosure period an interest in, or held any of certain positions with the types of businesses listed above. You must make this disclosure if you own or owned (either directly or indirectly in the form of an equitable or beneficial interest) at any time during the disclosure period more than 5% of the total assets or capital stock of one of the types of business entities listed above. You also must complete this part of the form for each of these types of businesses for which you are, or were at any time during the disclosure period, an officer, director, partner, proprietor, or agent (other than a resident agent solely for service of process).

If you have or held such a position or ownership interest in one of these types of businesses, list the name of the business, its address and principal business activity, and the position held with the business (if any). If you own(ed) more than a 5% interest in the business, indicate that fact and describe the nature of your interest.

PART G — TRAINING CERTIFICATION

[Required by s. 112.3142, F.S.]

If you are a Constitutional or elected municipal officer whose term began before March 31 of the year for which you are filing, you are required to complete four hours of ethics training which addresses Article II, Section 8 of the Florida Constitution, the Code of Ethics for Public Officers and Employees, and the public records and open meetings laws of the state. You are required to certify on this form that you have taken such training.

(End of Dollar Value Thresholds Instructions.)

IF YOU HAVE CHOSEN COMPARATIVE (PERCENTAGE) THRESHOLDS THE FOLLOWING INSTRUCTIONS APPLY

PART A — PRIMARY SOURCES OF INCOME

[Required by s. 112.3145(3)(a)1, F.S.]

Part A is intended to require the disclosure of your principal sources of income during the disclosure period. You do not have to disclose the amount of income received, and you need not list your public salary received from serving in the position(s) which requires you to file this form, but this amount should be included when calculating your gross income for the disclosure period. The income of your spouse need not be disclosed; however, if there is joint income to you and your spouse from property you own jointly (such as interest or dividends from a bank account or stocks), you should include all of that income when calculating your gross income and disclose the source of that income if it exceeded the threshold.

Please list in this part of the form the name, address, and principal business activity of each source of your income which exceeded 5% of the gross income received by you in your own name or by any other person for your benefit or use during the disclosure period.

"Gross income" means the same as it does for income tax purposes, even if the income is not actually taxable, such as interest on tax-free bonds. Examples include: compensation for services, income from business, gains from property dealings, interest, rents, dividends, pensions, IRA distributions, social security, distributive share of partnership gross income, and alimony, but not child support.

Examples:

- If you were employed by a company that manufactures computers and received more than 5% of your gross income from the company, list the name of the company, its address, and its principal business activity (computer manufacturing).
- If you were a partner in a law firm and your distributive share of partnership gross income exceeded 5% of your gross income, then list the name of the firm, its address, and its principal business activity (practice of law).
- If you were the sole proprietor of a retail gift business and your gross income from the business exceeded 5% of your total gross income, list the name of the business, its address, and its principal business activity (retail gift sales).
- If you received income from investments in stocks and bonds, list each individual company from which you derived

more than 5% of your gross income. Do not aggregate all of your investment income.

— If more than 5% of your gross income was gain from the sale of property (not just the selling price), list as a source of income the purchaser's name, address, and principal business activity. If the purchaser's identity is unknown, such as where securities listed on an exchange are sold through a brokerage firm, the source of income should be listed as "sale of (name of company) stock," for example.

— If more than 5% of your gross income was in the form of interest from one particular financial institution (aggregating interest from all CD's, accounts, etc., at that institution), list the name of the institution, its address, and its principal business activity.

PART B — SECONDARY SOURCES OF INCOME

[Required by s. 112.3145(3)(a)2, F.S.]

This part is intended to require the disclosure of major customers, clients, and other sources of income to businesses in which you own an interest. It is not for reporting income from second jobs. That kind of income should be reported in Part A, "Primary Sources of Income," if it meets the reporting threshold. You will **not** have anything to report **unless** during the disclosure period:

- (1) You owned (either directly or indirectly in the form of an equitable or beneficial interest) more than 5% of the total assets or capital stock of a business entity (a corporation, partnership, LLC, limited partnership, proprietorship, joint venture, trust, firm, etc., doing business in Florida); **and**,
- (2) You received more than 10% of your gross income from that business entity; **and**,
- (3) You received more than \$1,500 in gross income from that business entity.

If your interests and gross income exceeded these thresholds, then for that business entity you must list every source of income to the business entity which exceeded 10% of the business entity's gross income (computed on the basis of the business entity's most recently completed fiscal year), the source's address, and the source's principal business activity.

Examples:

— You are the sole proprietor of a dry cleaning business, from which you received more than 10% of your gross income—an amount that was more than \$1,500. If only one customer, a uniform rental company, provided more than 10% of your dry cleaning business, you must list the name of the uniform rental company, its address, and its principal business activity (uniform rentals).

— You are a 20% partner in a partnership that owns a shopping mall and your partnership income exceeded the thresholds listed above. You should list each tenant of the mall that provided more than 10% of the partnership's gross income, and the tenant's address and principal business activity.

PART C — REAL PROPERTY

[Required by s. 112.3145(3)(a)3, F.S.]

In this part, list the location or description of all real property in Florida in which you owned directly or indirectly at any time during the disclosure period in excess of 5% of the property's value. You are not required to list your residences. You should list any vacation homes, if you derive income from them.

Indirect ownership includes situations where you are a beneficiary of a trust that owns the property, as well as situations where you own more than 5% of a partnership or corporation that owns the property. The value of the property may be determined by the most recently assessed value for tax purposes, in the absence of a more current appraisal.

The location or description of the property should be sufficient to enable anyone who looks at the form to identify the property. A street address should be used, if one exists.

PART D — INTANGIBLE PERSONAL PROPERTY

[Required by s. 112.3145(3)(a)3, F.S.]

Describe any intangible personal property that, at any time during the disclosure period, was worth more than 10% of your total assets, and state the business entity to which the property related. Intangible personal property includes things such as cash on hand, stocks, bonds, certificates of deposit, vehicle leases, interests in businesses, beneficial interests in trusts, money owed you, Deferred Retirement Option Program (DROP) accounts, the Florida Prepaid College Plan, and bank accounts. Intangible personal property also includes investment products held in IRAs, brokerage accounts, and the Florida College Investment Plan. Note that the product *contained* in a brokerage account, IRA, or the Florida College Investment Plan is your asset—not the account or plan itself. Things like automobiles and houses you own, jewelry, and paintings are not intangible property. Intangibles relating to the same business entity may be aggregated; for example, CD's and savings accounts with the same bank.

Calculations: To determine whether the intangible property exceeds 10% of your total assets, total the fair market value of all of your assets (including real property, intangible property, and tangible personal property such as jewelry, furniture, etc.). When making this calculation, do not subtract any liabilities (debts) that may relate to the property. Multiply the total figure by 10% to arrive at the disclosure threshold. List only the intangibles that exceed this threshold amount. The value of a leased vehicle is the vehicle's present value minus the lease residual (a number which can be found on the lease document). Property that is only jointly owned property should be valued according to the percentage of your joint ownership. Property owned as tenants by the entirety or as joint tenants with right of survivorship should be valued at 100%. None of your calculations or the value of the property have to be disclosed on the form.

Example: You own 50% of the stock of a small corporation that is worth \$100,000, the estimated fair market value of your home and other property (bank accounts, automobile, furniture, etc.) is \$200,000. As your total assets are worth \$250,000, you must disclose intangibles worth over \$25,000. Since the value of the stock exceeds this threshold, you should list "stock" and the name of the corporation. If your accounts with a particular bank exceed \$25,000, you should list "bank accounts" and bank's name.

PART E — LIABILITIES

[Required by s. 112.3145(3)(b)4, F.S.]

List the name and address of each creditor to whom you owed any amount that, at any time during the disclosure period, exceeded your net worth. You are not required to list the amount of any debt or your net worth. You do not have to disclose: credit card and retail installment accounts, taxes owed (unless reduced to a judgment), indebtedness on a life insurance policy owed to the company of issuance, or contingent liabilities. A "contingent liability" is one that will become an actual liability only when one or more future events occur or fail to occur, such as where you are liable only as a guarantor, surety, or endorser on a promissory note. If you are a "co-maker" and are jointly liable or jointly and severally liable, it is not a contingent liability.

Calculations: To determine whether the debt exceeds your net worth, total all of your liabilities (including promissory notes, mortgages, credit card debts, judgments against you, etc.). The amount of the liability of a vehicle lease is the sum of any past-due payments and all unpaid prospective lease payments. Subtract the sum total of your liabilities from the value of all your assets as calculated above for Part D. This is your "net worth." List each creditor to whom your debt exceeded this amount unless it is one of the types of indebtedness listed in the paragraph above (credit card and retail installment accounts, etc.). Joint liabilities with others for which you are "jointly and severally liable," meaning that you may be liable for either your part or the whole of the obligation, should be included in your calculations at 100% of the amount owed.

Example: You owe \$15,000 to a bank for student loans, \$5,000 for credit card debts, and \$60,000 (with spouse) to a savings and loan for a home mortgage. Your home (owned by you and your spouse) is worth \$80,000 and your other property is worth \$20,000. Since your net worth is \$20,000 (\$100,000 minus \$80,000), you must report only the name and address of the savings and loan.

PART F — INTERESTS IN SPECIFIED BUSINESSES

[Required by s. 112.3145, F.S.]

The types of businesses covered in this disclosure include: state and federally chartered banks; state and federal savings and loan associations; cemetery companies; insurance companies; mortgage companies; credit unions; small loan companies; alcoholic beverage licensees; pari-mutuel wagering companies; utility companies, entities controlled by the Public Service Commission; and entities granted a franchise to operate by either a city or a county government.

Disclose in this part the fact that you owned during the disclosure period an interest in, or held any of certain positions with, the types of businesses listed above. You are required to make this disclosure if you own or owned (either directly or indirectly in the form of an equitable or beneficial interest) at any time during the disclosure period more than 5% of the total assets or capital stock of one of the types of business entities listed above. You also must complete this part of the form for each of these types of businesses for which you are, or were at any time during the disclosure period, an officer, director, partner, proprietor, or agent (other than a resident agent solely for service of process).

If you have or held such a position or ownership interest in one of these types of businesses, list the name of the business, its address and principal business activity, and the position held with the business (if any). If you own(ed) more than a 5% interest in the business, indicate that fact and describe the nature of your interest.

PART G — TRAINING CERTIFICATION

[Required by s. 112.3142, F.S.]

If you are a Constitutional or elected municipal officer whose term began before March 31 of the year for which you are filing, you are required to complete four hours of ethics training which addresses Article II, Section 8 of the Florida Constitution, the Code of Ethics for Public Officers and Employees, and the public records and open meetings laws of the state. You are required to certify on this form that you have taken such training.

(End of Percentage Thresholds Instructions.)

CENTRAL FLORIDA EXPRESSWAY AUTHORITY GOVERNMENT IN THE SUNSHINE

Caveat: This is a very general overview. Legal issues involving Florida's Government in the Sunshine Law, Public Record Laws, and Ethics Laws are complex. The advice of an attorney should be sought for their application to particular circumstances.

OPEN MEETINGS (Chapter 286, Florida Statutes)

All meetings at which public business is discussed or transacted shall be duly noticed and open to the public.ⁱ Minutes must be taken, which must include a record of all voting.ⁱⁱ

YOU CANNOT: Discuss with any other member any item that is under consideration by CFX, except at a duly noticed public meeting.

Send emails that solicit comments from other members.ⁱⁱⁱ

YOU CAN: Discuss other matters with other members at any time.

PUBLIC RECORDS (Chapter 119, Florida Statutes)

All public records made or received in connection with official business, except those that are specifically exempted by statute, are public records and must be available for inspection and copying by any person at a reasonable place and time.^{iv} A public record is defined very broadly and includes all materials made or received in connection with official business regardless of form.^v Electronic mail falls within the definition of public record, and any e-mail sent or received relating to official business must be made available to the public if requested.

ETHICS (Chapter 112, Part III, Florida Statutes^{vi} and CFX's Ethics Policy)

The ethics laws include the following restrictions:

- Solicitation or acceptance of gifts to influence official action; unlawful compensation to influence official action; misuse of public position; or use of information not available to the public generally for personal pecuniary gain for themselves or anyone else.
- Certain restrictions on business and contractual relationships apply to both members and their relatives, such as doing business with your agency.^{vii}
- Voting Conflicts of Interest: Persons present at a meeting are required to vote, unless there is, or appears to be, a possible conflict of interest, in which case the member may abstain from voting.^{viii} A voting conflict occurs when the measure being voted on inures to the private gain or loss of the member or a relative or business associate of the member. The member must disclose the conflict prior to participating in discussion or voting on the matter, or if unknown at the time, as soon as possible. The member must file Commission on Ethics Form 8B with the recording secretary within fifteen days of the vote.^{ix}

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- ⁱ Article 1, Section 24(b), Florida Constitution; Section 286.011, Florida Statutes.
- ⁱⁱ Sections 286.011 (2) and 286.012, Florida Statutes.
- ⁱⁱⁱ Attorney General Informal Opinion, October 31, 2000.
- ^{iv} Article I, Section 24(a), Florida Constitution; Section 119.07, Florida Statutes.
- ^v Section 119.011(12), Florida Statutes.
- ^{vi} For more information, visit <http://www.ethics.state.fl.us/>.
- ^{vii} Sections 112.313(3), (7) & (12), Florida Statutes.
- ^{viii} Sections 112.311, 112.313, 112.3143 & 286.012, Florida Statutes.
- ^{ix} CFX's Ethics Policy, § 6-3.03; Section 112.3143, Florida Statutes.

FINAL STATEMENT OF FINANCIAL INTERESTS

2016

(TO BE FILED WITHIN 60 DAYS OF LEAVING PUBLIC OFFICE OR EMPLOYMENT)

LAST NAME — FIRST NAME — MIDDLE NAME:

NAME OF REPORTING PERSON'S AGENCY:

MAILING ADDRESS:

CHECK ONE OF THE FOLLOWING (see "Who Must File" on page 3):

- ☐ LOCAL OFFICER ☐ STATE OFFICER
☐ SPECIFIED STATE EMPLOYEE

CITY:

ZIP:

COUNTY:

LIST OFFICE OR POSITION HELD: _____

*****BOTH PARTS OF THIS SECTION MUST BE COMPLETED*******DISCLOSURE PERIOD:**

THIS STATEMENT REFLECTS MY FINANCIAL INTERESTS FOR THE PERIOD BETWEEN JANUARY 1, 2016 AND THE LAST DATE I HELD THE PUBLIC OFFICE OR EMPLOYMENT DESCRIBED ABOVE, WHICH DATE WAS _____, 2016. (Date must be prior to 12/31/16)

MANNER OF CALCULATING REPORTABLE INTERESTS:FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). PLEASE STATE BELOW WHETHER THIS STATEMENT REFLECTS EITHER (**must check one**):

☐ **COMPARATIVE (PERCENTAGE) THRESHOLDS** OR ☐ **DOLLAR VALUE THRESHOLDS**

PART A -- PRIMARY SOURCES OF INCOME [Major sources of income to the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY

PART B -- SECONDARY SOURCES OF INCOME

[Major customers, clients, and other sources of income to businesses owned by reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

FILING INSTRUCTIONS for when
and where to file this form are
located at the bottom of page 2.

INSTRUCTIONS on who must file
this form and how to fill it out
begin on page 3 of this packet.

PART D — INTANGIBLE PERSONAL PROPERTY [Stocks, bonds, certificates of deposit, etc. - See instructions]
(If you have nothing to report, write "none" or "n/a")

TYPE OF INTANGIBLE	BUSINESS ENTITY TO WHICH THE PROPERTY RELATES

PART E — LIABILITIES [Major debts - See instructions]
(If you have nothing to report, write "none" or "n/a")

NAME OF CREDITOR	ADDRESS OF CREDITOR

PART F — INTERESTS IN SPECIFIED BUSINESSES [Ownership or positions in certain types of businesses - See instructions]
(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2
ADDRESS OF BUSINESS ENTITY		
PRINCIPAL BUSINESS ACTIVITY		
POSITION HELD WITH ENTITY		
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS		
NATURE OF MY OWNERSHIP INTEREST		

IF ANY OF PARTS A THROUGH F ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☐

SIGNATURE OF FILER:

Signature:

Date Signed:

CPA or ATTORNEY SIGNATURE ONLY

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature _____

Date Signed _____

FILING INSTRUCTIONS:

WHAT TO FILE:

After completing all parts of this form on pages 1 and 2, including signing and dating it, send back only pages 1 and 2 for filing (you need not return any of the instruction pages). **Facsimiles will not be accepted.**

WHEN TO FILE:

At the end of office or employment each local officer, state officer, and specified state employee is required to file a final disclosure form (Form 1F) within 60 days of leaving office or employment, unless he or she takes another position within the 60-day period that requires filing financial disclosure on Form 1 or Form 6.

WHERE TO FILE:

Local officers: file with the Supervisor of Elections of the county in which you permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.)

State officers or specified state employees: file with the Commission on Ethics, P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Road, Building E, Suite 200, Tallahassee, Florida 32303.

To determine what category your position falls under, see the "Who Must File" Instructions on page 3.

NOTE:

If you are leaving office or employment during the first half of 2016, you may not have filed Form 1 for 2015. In that case, this is not the last form you will file. Form 1F covers January 1, 2016, through your last day of office or employment. You will be required to file Form 1 for 2015 by July 1, 2016, and risk being fined if you do not file Form 1 by the filing deadline, even if you have already filed the CE Form 1F.

WHO MUST FILE FORM 1F, Final Statement of Financial Interests:

All persons who fall within the categories of "state officers," "local officers," and "specified state employees" are required to file Form 1F within 60 days of leaving that position unless they take another position within the 60-day period that requires filing either Form 1 or Form 6. Positions within these categories are listed below. Persons required to file full financial disclosure (Form 6 -- see that form for a list of persons who are required to file it) should file Form 6 F rather than Form 1 F as their final financial disclosure.

1) Elected public officials not serving in a political subdivision of the state and any person appointed to fill a vacancy in such office, unless required to file full disclosure on Form 6.

2) Appointed members of each board, commission, authority, or council having statewide jurisdiction, excluding members of solely advisory bodies, but including judicial nominating commission members; Directors of Enterprise Florida, Scripps Florida Funding Corporation, and Career Source Florida; and members of the Council on the Social Status of Black Men and Boys; the Executive Director, Governors, and senior managers of Citizens Property Insurance Corporation; Governors and senior managers of Florida Workers' Compensation Joint Underwriting Association; board members of the Northeast Fla. Regional Transportation Commission; members of the board of Triumph Gulf Coast, Inc.; members of the board of Florida Is For Veterans, Inc.; and members of the Technology Advisory Council within the Agency for State Technology.

3) The Commissioner of Education, members of the State Board of Education, the Board of Governors, and the local Boards of Trustees and Presidents of state universities.

4) Persons elected to office in any political subdivision (such as municipalities, counties, and special districts) and any person appointed to fill a vacancy in such office, unless required to file Form 6.

5) Appointed members of the following boards, councils, commissions, authorities, or other bodies of county, municipality, school district, independent special district, or other political subdivision: the governing body of the subdivision; community college or junior college district boards of trustees; boards having the power to enforce local code provisions; boards of adjustment; community redevelopment agencies; planning or zoning boards having the power to recommend, create, or modify land planning or zoning within a political subdivision, except for citizen advisory committees, technical coordinating committees, and similar groups who only have the power to make recommendations to planning or zoning boards; pension or retirement boards empowered to invest pension or retirement funds or determine entitlement to or amount of pensions or other retirement benefits.

6) Any appointed member of a local government board who is required to file a statement of financial interests by the appointing authority or the enabling legislation, ordinance, or resolution creating the board.

7) Persons holding any of these positions in local government: mayor; county or city manager; chief administrative employee or finance director of a county, municipality, or other political subdivision; county or

municipal attorney; chief county or municipal building inspector; county or municipal water resources coordinator; county or municipal pollution control director; county or municipal environmental control director; county or municipal administrator with power to grant or deny a land development permit; chief of police; fire chief; municipal clerk; appointed district school superintendent; community college president; district medical examiner; purchasing agent (regardless of title) having the authority to make any purchase exceeding \$20,000 for the local governmental unit.

8) Officers and employees of entities serving as chief administrative officer of a political subdivision.

9) Members of governing boards of charter schools operated by a city or other public entity.

10) Employees in the office of the Governor or of a Cabinet member who are exempt from the Career Service System, excluding secretarial, clerical, and similar positions.

11) The following positions in each state department, commission, board, or council: Secretary, Assistant or Deputy Secretary, Executive Director, Assistant or Deputy Executive Director, and anyone having the power normally conferred upon such persons, regardless of title.

12) The following positions in each state department or division: Director, Assistant or Deputy Director, Bureau Chief, Assistant Bureau Chief, and any person having the power normally conferred upon such persons, regardless of title.

13) Assistant State Attorneys, Assistant Public Defenders, criminal conflict and civil regional counsel, and assistant criminal conflict and civil regional counsel, Public Counsel, full-time state employees serving as counsel or assistant counsel to a state agency, administrative law judges, and hearing officers.

14) The Superintendent or Director of a state mental health institute established for training and research in the mental health field, or any major state institution or facility established for corrections, training, treatment, or rehabilitation.

15) State agency Business Managers, Finance and Accounting Directors, Personnel Officers, Grant Coordinators, and purchasing agents (regardless of title) with power to make a purchase exceeding \$20,000.

16) The following positions in legislative branch agencies: each employee (other than those employed in maintenance, clerical, secretarial, or similar positions and legislative assistants exempted by the presiding officer of their house); and each employee of the Commission on Ethics.

INSTRUCTIONS FOR COMPLETING FORM 1F:

INTRODUCTORY INFORMATION (Top of Form):

NAME OF AGENCY: The name of the governmental unit which you served or by which you were employed.

OFFICE OR POSITION HELD OR SOUGHT: The title of the office or position you held during the disclosure period.

DISCLOSURE PERIOD: This statement reflects your financial interests for the period between January 1 and the last day of your public office or employment in 2016. Please write the last day of your office or employment in this part of the form. This date should be prior to December 31, 2016. The Form 1F cannot be used to report financial interests for

a period covering the entire 2016 calendar year, nor should this form be used to report your financial interests for a period beyond 2016.

PUBLIC RECORD: The disclosure form and everything attached to it is a public record. Your Social Security Number is not required and you should redact it from any documents you file. If you are an active or former officer or employee listed in Section 119.071, F.S., whose home address is exempt from disclosure, the Commission will maintain that confidentiality if you submit a written request.

MANNER OF CALCULATING REPORTABLE INTEREST

As noted on the form, filers have the option of reporting based on either thresholds that are comparative (usually, based on percentage values) or thresholds that are based on absolute dollar values. The instructions on the following pages specifically describe the different thresholds. Check the box that reflects the choice you have made. You must use the type of threshold you have chosen for each part of the form. In other words, if you choose to report based on absolute dollar value thresholds, you cannot use a percentage threshold on any part of the form.

IF YOU HAVE CHOSEN DOLLAR VALUE THRESHOLDS THE FOLLOWING INSTRUCTIONS APPLY

PART A — PRIMARY SOURCES OF INCOME

[Required by s. 112.3145(3)(b)1, F.S.]

Part A is intended to require the disclosure of your principal sources of income during the disclosure period. You do not have to disclose the amount of income received, and you need not list your public salary from serving in the position(s) which requires you to file this form. The income of your spouse need not be disclosed; however, if there is joint income to you and your spouse from property you own jointly (such as interest or dividends from a bank account or stocks), you should disclose the source of that income if it exceeded the threshold.

Please list in this part of the form the name, address, and principal business activity of each source of your income which exceeded \$2,500 of gross income received by you in your own name or by any other person for your use or benefit.

"Gross income" means the same as it does for income tax purposes, even if the income is not actually taxable, such as interest on tax-free bonds. Examples include: compensation for services, income from business, gains from property dealings, interest, rents, dividends, pensions, IRA distributions, social security, distributive share of partnership gross income, and alimony, but not child support.

Examples:

- If you were employed by a company that manufactures computers and received more than \$2,500, list the name of the company, its address, and its principal business activity (computer manufacturing).
- If you were a partner in a law firm and your distributive share of partnership gross income exceeded \$2,500, list the name of the firm, its address, and its principal business activity (practice of law).
- If you were the sole proprietor of a retail gift business and your gross income from the business exceeded \$2,500, list the name of the business, its address, and its principal business activity (retail gift sales).
- If you received income from investments in stocks and bonds, list each individual company from which you derived more than \$2,500. Do not aggregate all of your investment income.
- If more than \$2,500 of your gross income was gain from the sale of property (not just the selling price), list as a source of income the purchaser's name, address, and principal business activity. If the purchaser's identity is unknown, such as where securities listed on an exchange are sold through a brokerage firm, the source of income should be listed as "sale of (name of company) stock," for example.
- If more than \$2,500 of your gross income was in the form of interest from one particular financial institution (aggregating interest from all CD's, accounts, etc., at that institution), list the name of the institution, its address, and its principal business activity.

PART B — SECONDARY SOURCES OF INCOME

[Required by s. 112.3145(3)(b)2, F.S.]

This part is intended to require the disclosure of major customers, clients, and other sources of income to businesses in which you own an interest. It is not for reporting income from second jobs. That kind of income should be reported in Part A "Primary Sources of Income," if it meets the reporting threshold. You will not have anything to report unless, during the disclosure period:

- (1) You owned (either directly or indirectly in the form of an equitable or beneficial interest) during the disclosure period more than 5% of the total assets or capital stock of a business entity (a corporation, partnership, LLC, limited partnership, proprietorship, joint venture,

trust, firm, etc., doing business in Florida); **and,**

- (2) You received more than \$5,000 of your gross income during the disclosure period from that business entity.

If your interests and gross income exceeded these thresholds, then for that business entity you must list every source of income to the business entity which exceeded 10% of the business entity's gross income (computed on the basis of the business entity's most recently completed fiscal year), the source's address, and the source's principal business activity.

Examples:

- You are the sole proprietor of a dry cleaning business, from which you received more than \$5,000. If only one customer, a uniform rental company, provided more than 10% of your dry cleaning business, you must list the name of the uniform rental company, its address, and its principal business activity (uniform rentals).
- You are a 20% partner in a partnership that owns a shopping mall and your partnership income exceeded the above thresholds. List each tenant of the mall that provided more than 10% of the partnership's gross income and the tenant's address and principal business activity.

PART C — REAL PROPERTY

[Required by s. 112.3145(3)(b)3, F.S.]

In this part, list the location or description of all real property in Florida in which you owned directly or indirectly at any time during the disclosure period in excess of 5% of the property's value. You are not required to list your residences. You should list any vacation homes if you derive income from them.

Indirect ownership includes situations where you are a beneficiary of a trust that owns the property, as well as situations where you own more than 5% of a partnership or corporation that owns the property. The value of the property may be determined by the most recently assessed value for tax purposes, in the absence of a more current appraisal.

The location or description of the property should be sufficient to enable anyone who looks at the form to identify the property. A street address should be used, if one exists.

PART D — INTANGIBLE PERSONAL PROPERTY

[Required by s. 112.3145(3)(b)3, F.S.]

Describe any intangible personal property that, at any time during the disclosure period, was worth more than \$10,000 and state the business entity to which the property related. Intangible personal property includes things such as cash on hand, stocks, bonds, certificates of deposit, vehicle leases, interests in businesses, beneficial interests in trusts, money owed you, Deferred Retirement Option Program (DROP) accounts, the Florida Prepaid College Plan, and bank accounts. Intangible personal property also includes investment products held in IRAs, brokerage accounts, and the Florida College Investment Plan. Note that the product contained in a brokerage account, IRA, or the Florida College Investment Plan is your asset—not the account or plan itself. Things like automobiles and houses you own, jewelry, and paintings are not intangible property. Intangibles relating to the same business entity may be aggregated; for example, CDs and savings accounts with the same bank. Property owned as tenants by the entirety or as joint tenants with right of survivorship should be valued at 100%. The value of a leased vehicle is the vehicle's present value minus the lease residual (a number found on the lease document).

PART E — LIABILITIES

[Required by s. 112.3145(3)(b)4, F.S.]

List the name and address of each creditor to whom you owed more than \$10,000 at any time during the disclosure period. The amount of the liability of a vehicle lease is the sum of any past-due payments and all unpaid prospective lease payments. You are not required to list the amount of any debt. You do not have to disclose credit card and retail installment accounts, taxes owed (unless reduced to a judgment), indebtedness on a life insurance policy owed to the company of issuance, or contingent liabilities. A "contingent liability" is one that will become an actual liability only when one or more future events occur or fail to occur, such as where you are liable only as a guarantor, surety, or endorser on a promissory note. If you are a "co-maker" and are jointly liable or jointly and severally liable, then it is not a contingent liability.

PART F — INTERESTS IN SPECIFIED BUSINESSES

[Required by s. 112.3145(5), F.S.]

The types of businesses covered in this disclosure include: state and federally chartered banks; state and federal savings and loan associations; cemetery companies; insurance companies; mortgage companies; credit unions; small loan companies; alcoholic beverage licensees; pari-mutuel wagering companies; utility companies, entities controlled by the Public Service Commission; and entities granted a franchise to operate by either a city or a county government.

Disclose in this part of the form the fact that you owned during the disclosure period an interest in, or held any of certain positions with, the types of businesses listed above. You must make this disclosure if you own or owned (either directly or indirectly in the form of an equitable or beneficial interest) at any time during the disclosure period more than 5% of the total assets or capital stock of one of the types of business entities listed above. You also must complete this part of the form for each of these types of businesses for which you are, or were at any time during the disclosure period, an officer, director, partner, proprietor, or agent (other than a resident agent solely for service of process).

If you have or held such a position or ownership interest in one of these types of businesses, list the name of the business, its address and principal business activity, and the position held with the business (if any). If you own(ed) more than a 5% interest in the business, indicate that fact and describe the nature of your interest.

(End of Dollar Value Thresholds Instructions.)

IF YOU HAVE CHOSEN COMPARATIVE (PERCENTAGE) THRESHOLDS THE FOLLOWING INSTRUCTIONS APPLY

PART A — PRIMARY SOURCES OF INCOME

[Required by s. 112.3145(3)(a)1, F.S.]

Part A is intended to require the disclosure of your principal sources of income during the disclosure period. You do not have to disclose the amount of income received, and you need not list your public salary received from serving in the position(s) which requires you to file this form, but this amount should be included when calculating your gross income for the disclosure period. The income of your spouse need not be disclosed; however, if there is joint income to you and your spouse from property you own jointly (such as interest or dividends from a bank account or stocks), you should include all of that income when calculating your gross income and disclose the source of that income if it exceeded the threshold.

Please list in this part of the form the name, address, and principal business activity of each source of your income which exceeded 5% of the gross income received by you in your own name or by any other person for your benefit or use during the disclosure period.

"Gross income" means the same as it does for income tax purposes, even if the income is not actually taxable, such as interest on tax-free bonds. Examples include: compensation for services, income from business, gains from property dealings, interest, rents, dividends, pensions, IRA distributions, social security, distributive share of partnership gross income, and alimony, but not child support.

Examples:

— If you were employed by a company that manufactures computers and received more than 5% of your gross income from the company, list the name of the company, its address, and its principal business activity (computer manufacturing).

— If you were a partner in a law firm and your distributive share of partnership gross income exceeded 5% of your gross income, list the name of the firm, its address, and its principal business activity (practice of law).

— If you were the sole proprietor of a retail gift business and your gross income from the business exceeded 5% of your total gross income, list the name of the business, its address, and its principal business activity (retail gift sales).

— If you received income from investments in stocks and bonds, you list each individual company from which you derived more than 5% of your gross income. Do not aggregate all of your investment income.

— If more than 5% of your gross income was gain from the sale

of property (not just the selling price), list as a source of income the purchaser's name, address, and principal business activity. If the purchaser's identity is unknown, such as where securities listed on an exchange are sold through a brokerage firm, the source of income should be listed as "sale of (name of company) stock," for example.

— If more than 5% of your gross income was in the form of interest from one particular financial institution (aggregating interest from all CD's, accounts, etc., at that institution), list the name of the institution, its address, and its principal business activity.

PART B — SECONDARY SOURCES OF INCOME

[Required by s. 112.3145(3)(a)2, F.S.]

This part is intended to require the disclosure of major customers, clients, and other sources of income to businesses in which you own an interest. It is not for reporting income from second jobs. That kind of income should be reported in Part A, "Primary Sources of Income," if it meets the reporting threshold. You will **not** have anything to report **unless** during the disclosure period:

(1) You owned (either directly or indirectly in the form of an equitable or beneficial interest) more than 5% of the total assets or capital stock of a business entity (a corporation, partnership, LLC, limited partnership, proprietorship, joint venture, trust, firm, etc., doing business in Florida); **and**,

(2) You received more than 10% of your gross income from that business entity; **and**,

(3) You received more than \$1,500 in gross income from that business entity.

If your interests and gross income exceeded these thresholds, then for that business entity you must list every source of income to the business entity which exceeded 10% of the business entity's gross income (computed on the basis of the business entity's most recently completed fiscal year), the source's address, and the source's principal business activity.

Examples:

— You are the sole proprietor of a dry cleaning business, from which you received more than 10% of your gross income—an amount that was more than \$1,500. If only one customer, a uniform rental company, provided more than 10% of your dry cleaning business, you must list the name of the uniform rental company, its address, and its principal business activity (uniform rentals).

— You are a 20% partner in a partnership that owns a shopping mall and your partnership income exceeded the thresholds listed above. You should list each tenant of the mall that provided more than 10% of the partnership's gross income, and the tenant's address and principal business activity.

PART C — REAL PROPERTY

[Required by s. 112.3145(3)(a)3, F.S.]

In this part, list the location or description of all real property in Florida in which you owned directly or indirectly at any time during the disclosure period in excess of 5% of the property's value. You are not required to list your residences. You should list any vacation homes, if you derive income from them.

Indirect ownership includes situations where you are a beneficiary of a trust that owns the property, as well as situations where you own more than 5% of a partnership or corporation that owns the property. The value of the property may be determined by the most recently assessed value for tax purposes, in the absence of a more current appraisal.

The location or description of the property should be sufficient to enable anyone who looks at the form to identify the property. A street address should be used, if one exists.

PART D — INTANGIBLE PERSONAL PROPERTY

[Required by s. 112.3145(3)(a)3, F.S.]

Describe any intangible personal property that, at any time during the disclosure period, was worth more than 10% of your total assets, and state the business entity to which the property related. Intangible personal property includes things such as cash on hand, stocks, bonds, certificates of deposit, vehicle leases, interests in businesses, beneficial interests in trusts, money owed you, Deferred Retirement Option Program (DROP) accounts, the Florida Prepaid College Plan, and bank accounts. Intangible personal property also includes investment products held in IRAs, brokerage accounts, and the Florida College Investment Plan. Note that the product *contained* in a brokerage account, IRA, or the Florida College Investment Plan is your asset—not the account or plan itself. Things like automobiles and houses you own, jewelry, and paintings are not intangible property. Intangibles relating to the same business entity may be aggregated; for example, CD's and savings accounts with the same bank.

Calculations: To determine whether the intangible property exceeds 10% of your total assets, total the fair market value of all of your assets (including real property, intangible property, and tangible personal property such as jewelry, furniture, etc.). When making this calculation, do not subtract any liabilities (debts) that may relate to the property. Multiply the total figure by 10% to arrive at the disclosure threshold. List only the intangibles that exceed this threshold amount. The value of a leased vehicle is the vehicle's present value minus the lease residual (a number which can be found on the lease document). Property that is only jointly owned property should be valued according to the percentage of your joint ownership. Property owned as tenants by the entirety or as joint tenants with right of survivorship should be valued at 100%. None of your calculations or the value of the property have to be disclosed on the form.

Example: You own 50% of the stock of a small corporation that is worth \$100,000, the estimated fair market value of your home and other property (bank accounts, automobile, furniture, etc.) is \$200,000. As your total assets are worth \$250,000, you must disclose intangibles worth over \$25,000. Since the value of the stock exceeds this threshold, you should list "stock" and the name of the corporation. If your accounts with a particular bank exceed \$25,000, you should list "bank accounts" and bank's name.

PART E — LIABILITIES

[Required by s. 112.3145(3)(b)4, F.S.]

List the name and address of each creditor to whom you owed any amount that, at any time during the disclosure period, exceeded your net worth. You are not required to list the amount of any debt or your net worth. You do not have to disclose: credit card and retail installment accounts, taxes owed (unless reduced to a judgment), indebtedness on a life insurance policy owed to the company of issuance, or contingent liabilities. A "contingent liability" is one that will become an actual liability only when one or more future events occur or fail to occur, such as where you are liable only as a guarantor, surety, or endorser on a promissory note. If you are a "co-maker" and are jointly liable or jointly and severally liable, it is not a contingent liability.

Calculations: To determine whether the debt exceeds your net worth, total all of your liabilities (including promissory notes, mortgages, credit card debts, judgments against you, etc.). The amount of the liability of a vehicle lease is the sum of any past-due payments and all unpaid prospective lease payments. Subtract the sum total of your liabilities from the value of all your assets as calculated above for Part D. This is your "net worth." List each creditor to whom your debt exceeded this amount unless it is one of the types of indebtedness listed in the paragraph above (credit card and retail installment accounts, etc.). Joint liabilities with others for which you are "jointly and severally liable," meaning that you may be liable for either your part or the whole of the obligation, should be included in your calculations at 100% of the amount owed.

Example: You owe \$15,000 to a bank for student loans, \$5,000 for credit card debts, and \$60,000 (with spouse) to a savings and loan for a home mortgage. Your home (owned by you and your spouse) is worth \$80,000 and your other property is worth \$20,000. Since your net worth is \$20,000 (\$100,000 minus \$80,000), you must report only the name and address of the savings and loan.

PART F — INTERESTS IN SPECIFIED BUSINESSES

[Required by s. 112.3145, F.S.]

The types of businesses covered in this disclosure include: state and federally chartered banks; state and federal savings and loan associations; cemetery companies; insurance companies; mortgage companies; credit unions; small loan companies; alcoholic beverage licensees; pari-mutuel wagering companies; utility companies, entities controlled by the Public Service Commission; and entities granted a franchise to operate by either a city or a county government.

Disclose in this part the fact that you owned during the disclosure period an interest in, or held any of certain positions with, the types of businesses listed above. You must make this disclosure if you own or owned (either directly or indirectly in the form of an equitable or beneficial interest) at any time during the disclosure period more than 5% of the total assets or capital stock of one of the types of business entities listed above. You also must complete this part of the form for each of these types of businesses for which you are, or were at any time during the disclosure period, an officer, director, partner, proprietor, or agent (other than a resident agent solely for service of process).

If you have or held such a position or ownership interest in one of these types of businesses, list the name of the business, its address and principal business activity, and the position held with the business (if any). If you own(ed) more than a 5% interest in the business, indicate that fact and describe the nature of your interest.

(End of Percentage Thresholds Instructions.)

FORM 1X AMENDMENT TO STATEMENT OF FINANCIAL INTERESTS

LAST NAME - FIRST NAME - MIDDLE NAME
(Same as on original Form 1):

MAILING ADDRESS:

CITY: ZIP: COUNTY:

◆ THIS FORM AMENDS THE (Choose one)

☐ FORM 1 I FILED FOR THE YEAR: _____

☐ FORM 1F I FILED FOR THE PERIOD
_____ THROUGH _____

◆ DURING THAT YEAR, I HELD, OR WAS A CANDIDATE FOR, THE
POSITION OF: _____

◆ WITH THIS GOVERNMENTAL AGENCY: _____

MANNER OF CALCULATING REPORTABLE INTERESTS:

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (**must check one**):

☐ COMPARATIVE (PERCENTAGE) THRESHOLDS

OR

☐ DOLLAR VALUE THRESHOLDS

PART A -- PRIMARY SOURCES OF INCOME [Major sources of income to the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY

PART B -- SECONDARY SOURCES OF INCOME

[Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS'S INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")

PART D -- INTANGIBLE PERSONAL PROPERTY [Stocks, bonds, certificates of deposit, etc. - See instructions] (If you have nothing to report, write "none" or "n/a")

TYPE OF INTANGIBLE	BUSINESS ENTITY TO WHICH THE PROPERTY RELATES

PART E — LIABILITIES [Major debts - See instructions]
(If you have nothing to report, write "none" or "n/a")

NAME OF CREDITOR

ADDRESS OF CREDITOR

PART F — INTERESTS IN SPECIFIED BUSINESSES [Ownership or positions in certain types of businesses - See instructions]
(If you have nothing to report, write "none" or "n/a")

BUSINESS ENTITY # 1

BUSINESS ENTITY # 2

NAME OF BUSINESS ENTITY

ADDRESS OF BUSINESS ENTITY

PRINCIPAL BUSINESS ACTIVITY

POSITION HELD WITH ENTITY

I OWN MORE THAN A 5% INTEREST IN THE BUSINESS

NATURE OF MY OWNERSHIP INTEREST

PART G — TRAINING

For **elected municipal officers** required to complete annual ethics training pursuant to section 112.3142, F.S.

☐ **I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.**

PART H — EXPLANATION OF CHANGES

IF ANY OF PARTS A THROUGH H ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☐

SIGNATURE OF FILER:

Signature:

Date Signed:

CPA or ATTORNEY SIGNATURE ONLY

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature _____

Date Signed _____

FILING INSTRUCTIONS:

WHERE TO FILE:

Return the form to the location where you filed the Form 1 or 1F that you are seeking to amend.

Local officers should have filed with the Supervisor of Elections of the county in which they permanently resided. (If you did not permanently reside in Florida, then with the Supervisor of the county where your agency had its headquarters.)

State officers or specified state employees' forms should be filed with the Commission on Ethics, P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303.

Candidates should have filed their Form 1 together with their qualifying papers.

QUESTIONS:

About this form or the ethics laws may be addressed to the Commission on Ethics, Post Office Drawer 15709, Tallahassee, Florida 32317-5709; physical address: 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303; telephone (850) 488-7864.

INSTRUCTIONS FOR COMPLETING FORM 1X:

INTRODUCTORY INFORMATION (Top of Form):

NAME, DISCLOSURE PERIOD, NAME OF POSITION, and NAME OF AGENCY: Use the same information as on the original Form 1 or 1F you are seeking to amend.

MAILING ADDRESS: Use your current mailing address.

PUBLIC RECORD: The disclosure form and everything attached to it is a public record. Your Social Security Number is not required and you should redact it from any documents you file. If you are an active or former officer or employee listed in Section 119.071, F.S., whose home address is exempt from disclosure, the Commission will maintain that confidentiality if you submit a written request.

MANNER OF CALCULATING REPORTABLE INTERESTS: Check the box that corresponds to the type of thresholds you used for the original Form 1 or 1F you are seeking to amend.

PARTS A through G:

Use these sections of the form to report the new information you believe should have been reported on your original Form 1 or 1F, continuing on a separate sheet if necessary. Instructions for individual sections are found on pages 3-5, attached.

PART H:

Use this section of the form to explain the changes you are making in your original Form 1 or 1F.

WHO MUST FILE FORM 1, Statement of Financial Interests:

All persons who fall within the categories of "state officers," "local officers," "specified state employees," as well as candidates for elective local office, are required to file Form 1. Positions within these categories are described, generally, below; the categories are specifically found in Section 112.3145, F.S. Persons required to file full and public financial disclosure (Form 6) and officers of the judicial branch do not file Form 1 (see Form 6 for a list of persons who must file that form).

STATE OFFICERS include the following state officials: (1) persons holding elective State office, unless required to file full disclosure on Form 6; (2) appointed members of boards, commissions, etc. having statewide jurisdiction, excluding members of solely advisory bodies; and (3) certain State university system personnel.

LOCAL OFFICERS include the following local government positions: (1) persons holding elective office in any political subdivision (such as municipalities, counties, and special districts), unless required to file full disclosure on Form 6; (2) appointed members of certain boards, councils, commissions, authorities, and other bodies of counties, municipalities, school districts, independent special districts, and other political subdivisions; and (3) persons holding certain appointive positions or employment positions in local government.

SPECIFIED STATE EMPLOYEES include a number of state positions in the executive, legislative, and judicial branches.

MANNER OF CALCULATING REPORTABLE INTEREST

As noted on the form, filers have the option of reporting based on either thresholds that are comparative (usually, based on percentage values) or thresholds that are based on absolute dollar values. The instructions on the following pages specifically describe the different thresholds. Check the box that reflects the choice you have made. You must use the type of threshold you have chosen for each part of the form. In other words, if you choose to report based on absolute dollar value thresholds, you cannot use a percentage threshold on any part of the form.

IF YOU HAVE CHOSEN DOLLAR VALUE THRESHOLDS THE FOLLOWING INSTRUCTIONS APPLY

PART A — PRIMARY SOURCES OF INCOME

[Required by s. 112.3145(3)(b)1, F.S.]

Part A is intended to require the disclosure of your principal sources of income during the disclosure period. You do not have to disclose the amount of income received, and you need not list your public salary from serving in the position(s) which requires you to file this form. The income of your spouse need not be disclosed; however, if there is joint income to you and your spouse from property you own jointly (such as interest or dividends from a bank account or stocks), you should disclose the source of that income if it exceeded the threshold.

Please list in this part of the form the name, address, and principal business activity of each source of your income which exceeded \$2,500 of gross income received by you in your own name or by any other person for your use or benefit.

"Gross income" means the same as it does for income tax purposes, even if the income is not actually taxable, such as interest on tax-free bonds. Examples include: compensation for services, income from business, gains from property dealings, interest, rents, dividends, pensions, IRA distributions, social security, distributive share of partnership gross income, and alimony, but not child support.

Examples:

— If you were employed by a company that manufactures computers and received more than \$2,500, list the name of the company, its address, and its principal business activity (computer manufacturing).

— If you were a partner in a law firm and your distributive share of partnership gross income exceeded \$2,500, list the name of the firm, its address, and its principal business activity (practice of law).

— If you were the sole proprietor of a retail gift business and your gross income from the business exceeded \$2,500, list the name of the business, its address, and its principal business activity (retail gift sales).

— If you received income from investments in stocks and bonds, list each individual company from which you derived more than \$2,500. Do not aggregate all of your investment income.

— If more than \$2,500 of your gross income was gain from the sale of property (not just the selling price), list as a source of income the purchaser's name, address, and principal business activity. If the purchaser's identity is unknown, such as where securities listed on an exchange are sold through a brokerage firm, the source of income should be listed as "sale of (name of company) stock," for example.

— If more than \$2,500 of your gross income was in the form of interest from one particular financial institution (aggregating interest from all CD's, accounts, etc., at that institution), list the name of the institution, its address, and its principal business activity.

PART B — SECONDARY SOURCES OF INCOME

[Required by s. 112.3145(3)(b)2, F.S.]

This part is intended to require the disclosure of major customers, clients, and other sources of income to businesses in which you own an interest. It is not for reporting income from second jobs. That kind of income should be reported in Part A "Primary Sources of Income," if it meets the reporting threshold. You will not have anything to report unless, during the disclosure period:

(1) You owned (either directly or indirectly in the form of an equitable or beneficial interest) more than 5% of the total assets or capital stock of a business entity (a corporation, partnership, LLC, limited partnership, proprietorship, joint venture, trust,

firm, etc., doing business in Florida); and,

(2) You received more than \$5,000 of your gross income during the disclosure period from that business entity.

If your interests and gross income exceeded these thresholds, then for that business entity you must list every source of income to the business entity which exceeded 10% of the business entity's gross income (computed on the basis of the business entity's most recently completed fiscal year), the source's address, and the source's principal business activity.

Examples:

— You are the sole proprietor of a dry cleaning business, from which you received more than \$5,000. If only one customer, a uniform rental company, provided more than 10% of your dry cleaning business, you must list the name of the uniform rental company, its address, and its principal business activity (uniform rentals).

— You are a 20% partner in a partnership that owns a shopping mall and your partnership income exceeded the above thresholds. List each tenant of the mall that provided more than 10% of the partnership's gross income and the tenant's address and principal business activity.

PART C — REAL PROPERTY

[Required by s. 112.3145(3)(b)3, F.S.]

In this part, list the location or description of all real property in Florida in which you owned directly or indirectly at any time during the disclosure period in excess of 5% of the property's value. You are not required to list your residences. You should list any vacation homes if you derive income from them.

Indirect ownership includes situations where you are a beneficiary of a trust that owns the property, as well as situations where you own more than 5% of a partnership or corporation that owns the property. The value of the property may be determined by the most recently assessed value for tax purposes, in the absence of a more current appraisal.

The location or description of the property should be sufficient to enable anyone who looks at the form to identify the property. A street address should be used, if one exists.

PART D — INTANGIBLE PERSONAL PROPERTY

[Required by s. 112.3145(3)(b)3, F.S.]

Describe any intangible personal property that, at any time during the disclosure period, was worth more than \$10,000 and state the business entity to which the property related. Intangible personal property includes things such as cash on hand, stocks, bonds, certificates of deposit, vehicle leases, interests in businesses, beneficial interests in trusts, money owed you, Deferred Retirement Option Program (DROP) accounts, the Florida Prepaid College Plan, and bank accounts. Intangible personal property also includes investment products held in IRAs, brokerage accounts, and the Florida College Investment Plan. Note that the product contained in a brokerage account, IRA, or the Florida College Investment Plan is your asset—not the account or plan itself. Things like automobiles and houses you own, jewelry, and paintings are not intangible property. Intangibles relating to the same business entity may be aggregated; for example, CDs and savings accounts with the same bank. Property owned as tenants by the entirety or as joint tenants with right of survivorship should be valued at 100%. The value of a leased vehicle is the vehicle's present value minus the lease residual (a number found on the lease document).

PART E — LIABILITIES

[Required by s. 112.3145(3)(b)4, F.S.]

List the name and address of each creditor to whom you owed more than \$10,000 at any time during the disclosure period. The amount of the liability of a vehicle lease is the sum of any past-due payments and all unpaid prospective lease payments. You are not required to list the amount of any debt. You do not have to disclose credit card and retail installment accounts, taxes owed (unless reduced to a judgment), indebtedness on a life insurance policy owed to the company of issuance, or contingent liabilities. A "contingent liability" is one that will become an actual liability only when one or more future events occur or fail to occur, such as where you are liable only as a guarantor, surety, or endorser on a promissory note. If you are a "co-maker" and are jointly liable or jointly and severally liable, then it is not a contingent liability.

PART F — INTERESTS IN SPECIFIED BUSINESSES

[Required by s. 112.3145(5), F.S.]

The types of businesses covered in this disclosure include: state and federally chartered banks; state and federal savings and loan associations; cemetery companies; insurance companies; mortgage companies; credit unions; small loan companies; alcoholic beverage licensees; pari-mutuel wagering companies; utility companies, entities controlled by the Public Service Commission; and entities granted a franchise to operate by either a city or a county government.

Disclose in this part the fact that you owned during the disclosure period an interest in, or held any of certain positions with, the types of businesses listed above. You must make this disclosure if you own or owned (either directly or indirectly in the form of an equitable or beneficial interest) at any time during the disclosure period more than 5% of the total assets or capital stock of one of the types of business entities listed above. You also must complete this part of the form for each of these types of businesses for which you are, or were at any time during the disclosure period, an officer, director, partner, proprietor, or agent (other than a resident agent solely for service of process).

If you have or held such a position or ownership interest in one of these types of businesses, list the name of the business, its address and principal business activity, and the position held with the business (if any). If you own(ed) more than a 5% interest in the business, indicate that fact and describe the nature of your interest.

PART G — TRAINING CERTIFICATION

[Required by s. 112.3142, F.S.]

If you are an Constitutional or elected municipal officer whose term began before March 31 of the year for which you are filing, you are required to complete four hours of ethics training which addresses Article II, Section 8 of the Florida Constitution, the Code of Ethics for Public Officers and Employees, and the public records and open meetings laws of the state. You are required to certify on this form that you have taken such training.

(End of Dollar Value Thresholds Instructions.)

IF YOU HAVE CHOSEN COMPARATIVE (PERCENTAGE) THRESHOLDS THE FOLLOWING INSTRUCTIONS APPLY

PART A — PRIMARY SOURCES OF INCOME

[Required by s. 112.3145(3)(a)1, F.S.]

Part A is intended to require the disclosure of your principal sources of income during the disclosure period. You do not have to disclose the amount of income received, and you need not list your public salary received from serving in the position(s) which requires you to file this form, but this amount should be included when calculating your gross income for the disclosure period. The income of your spouse need not be disclosed; however, if there is joint income to you and your spouse from property you own jointly (such as interest or dividends from a bank account or stocks), you should include all of that income when calculating your gross income and disclose the source of that income if it exceeded the threshold.

Please list in this part of the form the name, address, and principal business activity of each source of your income which exceeded 5% of the gross income received by you in your own name or by any other person for your benefit or use during the disclosure period.

"Gross income" means the same as it does for income tax purposes, even if the income is not actually taxable, such as interest on tax-free bonds. Examples include: compensation for services, income from business, gains from property dealings, interest, rents, dividends, pensions, IRA distributions, social security, distributive share of partnership gross income, and alimony, but not child support.

Examples:

- If you were employed by a company that manufactures computers and received more than 5% of your gross income from the company, list the name of the company, its address, and its principal business activity (computer manufacturing).
- If you were a partner in a law firm and your distributive share of partnership gross income exceeded 5% of your gross income, list the name of the firm, its address, and its principal business activity (practice of law).
- If you were the sole proprietor of a retail gift business and your gross income from the business exceeded 5% of your total gross income, list the name of the business, its address, and its principal business activity (retail gift sales).
- If you received income from investments in stocks and bonds,

list each individual company from which you derived more than 5% of your gross income. Do not aggregate all of your investment income.

— If more than 5% of your gross income was gain from the sale of property (not just the selling price), then list as a source of income the purchaser's name, address, and principal business activity. If the purchaser's identity is unknown, such as where securities listed on an exchange are sold through a brokerage firm, the source of income should be listed as "sale of (name of company) stock," for example.

— If more than 5% of your gross income was in the form of interest from one particular financial institution (aggregating interest from all CD's, accounts, etc., at that institution), list the name of the institution, its address, and its principal business activity.

PART B — SECONDARY SOURCES OF INCOME

[Required by s. 112.3145(3)(a)2, F.S.]

This part is intended to require the disclosure of major customers, clients, and other sources of income to businesses in which you own an interest. It is not for reporting income from second jobs. That kind of income should be reported in Part A, "Primary Sources of Income," if it meets the reporting threshold. You will **not** have anything to report **unless** during the disclosure period:

- (1) You owned (either directly or indirectly in the form of an equitable or beneficial interest) more than 5% of the total assets or capital stock of a business entity (a corporation, partnership, LLC, limited partnership, proprietorship, joint venture, trust, firm, etc., doing business in Florida); **and**
- (2) You received more than 10% of your gross income from that business entity; **and**
- (3) You received more than \$1,500 in gross income from that business entity.

If your interests and gross income exceeded these thresholds, then for that business entity you must list every source of income to the business entity which exceeded 10% of the business entity's gross income (computed on the basis of the business entity's most recently completed fiscal year), the source's address, and the source's principal business activity.

Examples:

— You are the sole proprietor of a dry cleaning business, from which you received more than 10% of your gross income—an amount that was more than \$1,500. If only one customer, a uniform rental company, provided more than 10% of your dry cleaning business, you must list the name of the uniform rental company, its address, and its principal business activity (uniform rentals).

— You are a 20% partner in a partnership that owns a shopping mall and your partnership income exceeded the thresholds listed above. You should list each tenant of the mall that provided more than 10% of the partnership's gross income, and the tenant's address and principal business activity.

PART C — REAL PROPERTY

[Required by s. 112.3145(3)(a)3, F.S.]

In this part, list the location or description of all real property in Florida in which you owned directly or indirectly at any time during the disclosure period in excess of 5% of the property's value. You are not required to list your residences. You should list any vacation homes, if you derive income from them.

Indirect ownership includes situations where you are a beneficiary of a trust that owns the property, as well as situations where you own more than 5% of a partnership or corporation that owns the property. The value of the property may be determined by the most recently assessed value for tax purposes, in the absence of a more current appraisal.

The location or description of the property should be sufficient to enable anyone who looks at the form to identify the property. A street address should be used, if one exists.

PART D — INTANGIBLE PERSONAL PROPERTY

[Required by s. 112.3145(3)(a)3, F.S.]

Describe any intangible personal property that, at any time during the disclosure period, was worth more than 10% of your total assets, and state the business entity to which the property related. Intangible personal property includes things such as cash on hand, stocks, bonds, certificates of deposit, vehicle leases, interests in businesses, beneficial interests in trusts, money owed you, Deferred Retirement Option Program (DROP) accounts, the Florida Prepaid College Plan, and bank accounts. Intangible personal property also includes investment products held in IRAs, brokerage accounts, and the Florida College Investment Plan. Note that the product contained in a brokerage account, IRA, or the Florida College Investment Plan is your asset—not the account or plan itself. Things like automobiles and houses you own, jewelry, and paintings are not intangible property. Intangibles relating to the same business entity may be aggregated; for example, CD's and savings accounts with the same bank.

Calculations: To determine whether the intangible property exceeds 10% of your total assets, total the fair market value of all of your assets (including real property, intangible property, and tangible personal property such as jewelry, furniture, etc.). When making this calculation, do not subtract any liabilities (debts) that may relate to the property. Multiply the total figure by 10% to arrive at the disclosure threshold. List only the intangibles that exceed this threshold amount. The value of a leased vehicle is the vehicle's present value minus the lease residual (a number which can be found on the lease document). Property that is only jointly owned property should be valued according to the percentage of your joint ownership. Property owned as tenants by the entirety or as joint tenants with right of survivorship should be valued at 100%. None of your calculations or the value of the property have to be disclosed on the form.

Example: You own 50% of the stock of a small corporation that is worth \$100,000, the estimated fair market value of your home and other property (bank accounts, automobile, furniture, etc.) is \$200,000. As your total assets are worth \$250,000, you must disclose intangibles worth over \$25,000. Since the value of the stock exceeds this threshold, you should list "stock" and the name of the corporation. If your accounts with a particular bank exceed \$25,000, you should list "bank accounts" and bank's name.

PART E — LIABILITIES

[Required by s. 112.3145(3)(b)4, F.S.]

List the name and address of each creditor to whom you owed any amount that, at any time during the disclosure period, exceeded your net worth. You are not required to list the amount of any debt or your net worth. You do not have to disclose: credit card and retail installment accounts, taxes owed (unless reduced to a judgment), indebtedness on a life insurance policy owed to the company of issuance, or contingent liabilities. A "contingent liability" is one that will become an actual liability only when one or more future events occur or fail to occur, such as where you are liable only as a guarantor, surety, or endorser on a promissory note. If you are a "co-maker" and are jointly liable or jointly and severally liable, it is not a contingent liability.

Calculations: To determine whether the debt exceeds your net worth, total all of your liabilities (including promissory notes, mortgages, credit card debts, judgments against you, etc.). The amount of the liability of a vehicle lease is the sum of any past-due payments and all unpaid prospective lease payments. Subtract the sum total of your liabilities from the value of all your assets as calculated above for Part D. This is your "net worth." List each creditor to whom your debt exceeded this amount unless it is one of the types of indebtedness listed in the paragraph above (credit card and retail installment accounts, etc.). Joint liabilities with others for which you are "jointly and severally liable," meaning that you may be liable for either your part or the whole of the obligation, should be included in your calculations at 100% of the amount owed.

Example: You owe \$15,000 to a bank for student loans, \$5,000 for credit card debts, and \$60,000 (with spouse) to a savings and loan for a home mortgage. Your home (owned by you and your spouse) is worth \$80,000 and your other property is worth \$20,000. Since your net worth is \$20,000 (\$100,000 minus \$80,000), you must report only the name and address of the savings and loan.

PART F — INTERESTS IN SPECIFIED BUSINESSES

[Required by s. 112.3145, F.S.]

The types of businesses covered in this disclosure include: state and federally chartered banks; state and federal savings and loan associations; cemetery companies; insurance companies; mortgage companies; credit unions; small loan companies; alcoholic beverage licensees; pari-mutuel wagering companies, utility companies, entities controlled by the Public Service Commission; and entities granted a franchise to operate by either a city or a county government.

Disclose in this part the fact that you owned during the disclosure period an interest in, or held any of certain positions with, the types of businesses listed above. You are required to make this disclosure if you own or owned (either directly or indirectly in the form of an equitable or beneficial interest) at any time during the disclosure period more than 5% of the total assets or capital stock of one of the types of business entities listed above. You also must complete this part of the form for each of these types of businesses for which you are, or were at any time during the disclosure period, an officer, director, partner, proprietor, or agent (other than a resident agent solely for service of process).

If you have or held such a position or ownership interest in one of these types of businesses, list the name of the business, its address and principal business activity, and the position held with the business (if any). If you own(ed) more than a 5% interest in the business, indicate that fact and describe the nature of your interest.

PART G — TRAINING CERTIFICATION

[Required by s. 112.3142, F.S.]

If you are a Constitutional or elected municipal officer whose term began before March 31 of the year for which you are filing, you are required to complete four hours of ethics training which addresses Article II, Section 8 of the Florida Constitution, the Code of Ethics for Public Officers and Employees, and the public records and open meetings laws of the state. You are required to certify on this form that you have taken such training.

(End of Percentage Thresholds Instructions.)

FORM 8B MEMORANDUM OF VOTING CONFLICT FOR COUNTY, MUNICIPAL, AND OTHER LOCAL PUBLIC OFFICERS

LAST NAME—FIRST NAME—MIDDLE NAME	NAME OF BOARD, COUNCIL, COMMISSION, AUTHORITY, OR COMMITTEE
MAILING ADDRESS	THE BOARD, COUNCIL, COMMISSION, AUTHORITY OR COMMITTEE ON WHICH I SERVE IS A UNIT OF:
CITY COUNTY	<input type="checkbox"/> CITY <input type="checkbox"/> COUNTY <input type="checkbox"/> OTHER LOCAL AGENCY
DATE ON WHICH VOTE OCCURRED	NAME OF POLITICAL SUBDIVISION:
	MY POSITION IS: <input type="checkbox"/> ELECTIVE <input type="checkbox"/> APPOINTIVE

WHO MUST FILE FORM 8B

This form is for use by any person serving at the county, city, or other local level of government on an appointed or elected board, council, commission, authority, or committee. It applies to members of advisory and non-advisory bodies who are presented with a voting conflict of interest under Section 112.3143, Florida Statutes.

Your responsibilities under the law when faced with voting on a measure in which you have a conflict of interest will vary greatly depending on whether you hold an elective or appointive position. For this reason, please pay close attention to the instructions on this form before completing and filing the form.

INSTRUCTIONS FOR COMPLIANCE WITH SECTION 112.3143, FLORIDA STATUTES

A person holding elective or appointive county, municipal, or other local public office **MUST ABSTAIN** from voting on a measure which would inure to his or her special private gain or loss. Each elected or appointed local officer also **MUST ABSTAIN** from knowingly voting on a measure which would inure to the special gain or loss of a principal (other than a government agency) by whom he or she is retained (including the parent, subsidiary, or sibling organization of a principal by which he or she is retained); to the special private gain or loss of a relative; or to the special private gain or loss of a business associate. Commissioners of community redevelopment agencies (CRAs) under Sec. 163.356 or 163.357, F.S., and officers of independent special tax districts elected on a one-acre, one-vote basis are not prohibited from voting in that capacity.

For purposes of this law, a "relative" includes only the officer's father, mother, son, daughter, husband, wife, brother, sister, father-in-law, mother-in-law, son-in-law, and daughter-in-law. A "business associate" means any person or entity engaged in or carrying on a business enterprise with the officer as a partner, joint venturer, coowner of property, or corporate shareholder (where the shares of the corporation are not listed on any national or regional stock exchange).

* * * * *

ELECTED OFFICERS:

In addition to abstaining from voting in the situations described above, you must disclose the conflict:

PRIOR TO THE VOTE BEING TAKEN by publicly stating to the assembly the nature of your interest in the measure on which you are abstaining from voting; *and*

WITHIN 15 DAYS AFTER THE VOTE OCCURS by completing and filing this form with the person responsible for recording the minutes of the meeting, who should incorporate the form in the minutes.

* * * * *

APPOINTED OFFICERS:

Although you must abstain from voting in the situations described above, you are not prohibited by Section 112.3143 from otherwise participating in these matters. However, you must disclose the nature of the conflict before making any attempt to influence the decision, whether orally or in writing and whether made by you or at your direction.

IF YOU INTEND TO MAKE ANY ATTEMPT TO INFLUENCE THE DECISION PRIOR TO THE MEETING AT WHICH THE VOTE WILL BE TAKEN:

- You must complete and file this form (before making any attempt to influence the decision) with the person responsible for recording the minutes of the meeting, who will incorporate the form in the minutes. (Continued on page 2)

APPOINTED OFFICERS (continued)

- A copy of the form must be provided immediately to the other members of the agency.
- The form must be read publicly at the next meeting after the form is filed.

IF YOU MAKE NO ATTEMPT TO INFLUENCE THE DECISION EXCEPT BY DISCUSSION AT THE MEETING:

- You must disclose orally the nature of your conflict in the measure before participating.
- You must complete the form and file it within 15 days after the vote occurs with the person responsible for recording the minutes of the meeting, who must incorporate the form in the minutes. A copy of the form must be provided immediately to the other members of the agency, and the form must be read publicly at the next meeting after the form is filed.

DISCLOSURE OF LOCAL OFFICER'S INTEREST

I, _____, hereby disclose that on _____, 20 ____ :

(a) A measure came or will come before my agency which (check one or more)

- ☐ inured to my special private gain or loss;
- ☐ inured to the special gain or loss of my business associate, _____ ;
- ☐ inured to the special gain or loss of my relative, _____ ;
- ☐ inured to the special gain or loss of _____ , by
whom I am retained; or
- ☐ inured to the special gain or loss of _____ , which
is the parent subsidiary, or sibling organization or subsidiary of a principal which has retained me.

(b) The measure before my agency and the nature of my conflicting interest in the measure is as follows:

If disclosure of specific information would violate confidentiality or privilege pursuant to law or rules governing attorneys, a public officer, who is also an attorney, may comply with the disclosure requirements of this section by disclosing the nature of the interest in such a way as to provide the public with notice of the conflict.

Date Filed

Signature

NOTICE: UNDER PROVISIONS OF FLORIDA STATUTES §112.317, A FAILURE TO MAKE ANY REQUIRED DISCLOSURE CONSTITUTES GROUNDS FOR AND MAY BE PUNISHED BY ONE OR MORE OF THE FOLLOWING: IMPEACHMENT, REMOVAL OR SUSPENSION FROM OFFICE OR EMPLOYMENT, DEMOTION, REDUCTION IN SALARY, REPRIMAND, OR A CIVIL PENALTY NOT TO EXCEED \$10,000.

Form 9**QUARTERLY GIFT DISCLOSURE
(GIFTS OVER \$100)**

LAST NAME -- FIRST NAME -- MIDDLE NAME:			NAME OF AGENCY:	
MAILING ADDRESS:			OFFICE OR POSITION HELD:	
CITY:	ZIP:	COUNTY:	FOR QUARTER ENDING (CHECK ONE): <input type="checkbox"/> MARCH <input type="checkbox"/> JUNE <input type="checkbox"/> SEPTEMBER <input type="checkbox"/> DECEMBER YEAR 20__	

PART A — STATEMENT OF GIFTS

Please list below each gift, the value of which you believe to exceed \$100, accepted by you during the calendar quarter for which this statement is being filed. You are required to describe the gift and state the monetary value of the gift, the name and address of the person making the gift, and the date(s) the gift was received. If any of these facts, other than the gift description, are unknown or not applicable, you should so state on the form. As explained more fully in the instructions on the reverse side of the form, you are not required to disclose gifts from relatives or certain other gifts. **You are not required to file this statement for any calendar quarter during which you did not receive a reportable gift.**

DATE RECEIVED	DESCRIPTION OF GIFT	MONETARY VALUE	NAME OF PERSON MAKING THE GIFT	ADDRESS OF PERSON MAKING THE GIFT

☐ **CHECK HERE IF CONTINUED ON SEPARATE SHEET**

PART B — RECEIPT PROVIDED BY PERSON MAKING THE GIFT

If any receipt for a gift listed above was provided to you by the person making the gift, you are required to attach a copy of that receipt to this form. You may attach an explanation of any differences between the information disclosed on this form and the information on the receipt.

☐ **CHECK HERE IF A RECEIPT IS ATTACHED TO THIS FORM**

PART C — OATH

I, the person whose name appears at the beginning of this form, do depone on oath or affirmation and say that the information disclosed herein and on any attachments made by me constitutes a true accurate, and total listing of all gifts required to be reported by Section 112.3148, Florida Statutes. _____ SIGNATURE OF REPORTING OFFICIAL	STATE OF FLORIDA COUNTY OF _____ Sworn to (or affirmed) and subscribed before me this _____ day of _____, 20____ by _____ _____ (Signature of Notary Public-State of Florida) _____ (Print, Type, or Stamp Commissioned Name of Notary Public) Personally Known _____ OR Produced Identification Type of Identification Produced _____
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PART D — FILING INSTRUCTIONS

This form, when duly signed and notarized, must be filed with the Commission on Ethics, P.O. Drawer 15709, Tallahassee, Florida 32317-5709; physical address: 325 John Knox Road, Building E, Suite 200, Tallahassee, Florida 32303. The form must be filed no later than the last day of the calendar quarter that follows the calendar quarter for which this form is filed (For example, if a gift is received in March, it should be disclosed by June 30.)

PART E — INSTRUCTIONS

WHO MUST FILE THIS FORM?

- Any individual, including a candidate upon qualifying, who is required by law to file full and public disclosure of his financial interests on Commission on Ethics Form 6, except Judges. (See Form 6 for a list of persons required to file that form.)
- Any individual, including a candidate upon qualifying, who is required by law to file a statement of financial interests on Commission on Ethics Form 1. (See Form 1 for a list of persons required to file that form.)
- Any procurement employee of the executive branch or judicial branch of state government. This includes any employee of an officer, department, board, commission, council, or agency of the executive branch or judicial branch of state government who has participated in the preceding 12 months through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, or auditing or in any other advisory capacity in the procurement of contractual services or commodities as defined in s. 287.012, F.S., if the cost of such services or commodities exceeds or is expected to exceed \$10,000 in any fiscal year.

NOTE: Gifts that formerly were allowed under Section 112.3148, F.S., now may be prohibited under Sections 11.045, 112.3215, and 112.31485, F.S.

WHAT GIFTS ARE REPORTABLE?

- Any gift (as defined below) you received which you believe to be in excess of \$100 in value, **EXCEPT**:
 - Gifts from the following RELATIVES: father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, half sister, grandparent, great grandparent, grandchild, great grandchild, step grandparent, step great grandparent, step grandchild, step great grandchild, a person who is engaged to be married to you or who otherwise holds himself or herself out as or is generally known as the person whom you intend to marry or with whom you intend to form a household, or any other natural person having the same legal residence as you.
 - Gifts which you are prohibited from accepting by Sections 112.313(4) and 112.3148(4), Florida Statutes. These include any gift which you know or, with the exercise of reasonable care, should know was given to influence a vote or other action in which you are expected to participate in your official capacity; it also includes a gift worth over \$100 from a political committee or committee of continuous existence under the elections law, from a lobbyist who lobbies your agency or who lobbied your agency within the past 12 months, or from a partner, firm, employer, or principal of such a lobbyist.
 - Gifts worth over \$100 for which there is a public purpose, given to you by an entity of the legislative or judicial branch, a department or commission of the executive branch, a water management district created pursuant to s. 373.069, South Florida Regional Transportation Authority, the Technological Research and Development Authority, a county, a municipality, an airport authority, or a school board; or a gift worth over \$100 given to you by a direct-support organization specifically authorized by law to support the governmental agency of which you are an officer or employee. These gifts must be disclosed on Form 10.
- A "gift" is defined to mean that which is accepted by you or by another in your behalf, or that which is paid or given to another for or on behalf of you, directly, indirectly, or in trust for your benefit or by any other means, for which equal or greater consideration is not given within 90 days after receipt of the gift. A "gift" includes real property; the use of real property; tangible or intangible personal property; the use of tangible or intangible personal property; a preferential rate or terms on a debt, loan, goods, or services, which rate is below the customary rate and is not either a government rate available to all other similarly situated government employees or officials or a rate which is available to similarly situated members of the public by virtue of occupation, affiliation, age, religion, sex, or national origin; forgiveness of an indebtedness; transportation (unless provided to you by an agency in relation to officially approved governmental business), lodging, or parking; food or beverage; membership dues; entrance fees,

admission fees or tickets to events, performances, or facilities; plants flowers, or floral arrangements; services provided by persons pursuant to a professional license or certificate; other personal services for which a fee is normally charged by the person providing the services; and any other similar service or thing having an attributable value and not already described.

- The following are **NOT** reportable as gifts on this form: salary, benefits, services, fees, commissions, gifts, or expenses associated primarily with your employment, business, or service as an officer or director of a corporation or organization; contributions or expenditures reported pursuant to the election laws, campaign-related personal services provided without compensation by individuals volunteering their time, or any other contribution or expenditure by a political party; an honorarium or an expense related to an honorarium event paid to you or your spouse; an award, plaque, certificate, or similar personalized item given in recognition of your public, civic, charitable, or professional service; an honorary membership in a service or fraternal organization presented merely as a courtesy by such organization; the use of a governmental agency's public facility or public property for a public purpose. Also exempted are some gifts from state, regional, and national organizations that promote the exchange of ideas between, or the professional development of, governmental officials or employees.

HOW DO I DETERMINE THE VALUE OF A GIFT?

- The value of a gift provided to you is determined using the actual cost to the donor, and, with respect to personal services provided by the donor, the reasonable and customary charge regularly charged for such service in the community in which the service is provided. Taxes and gratuities are not included in valuing a gift. If additional expenses are required as a condition precedent to the donor's eligibility to purchase or provide a gift and the expenses are primarily for the benefit of the donor or are of a charitable nature, the expenses are not included in determining the value of the gift.
- Compensation provided by you to the donor within 90 days of receiving the gift shall be deducted from the value of the gift in determining the value of the gift.
- If the actual gift value attributable to individual participants at an event cannot be determined, the total costs should be prorated among all invited persons. A gift given to several persons may be attributed among all of them on a pro rata basis. Food, beverages, entertainment, etc., provided at a function for more than ten people should be valued by dividing the total costs by the number of persons invited, unless the items are purchased on a per-person basis, in which case the per-person cost should be used.
- Transportation should be valued on a round-trip basis unless only one-way transportation is provided. Round-trip transportation expenses should be considered a single gift. Transportation provided in a private conveyance should be given the same value as transportation provided in a comparable commercial conveyance.
- Lodging provided on consecutive days should be considered a single gift. Lodging in a private residence should be valued at \$44 per night.
- Food and beverages consumed at a single sitting or event are a single gift valued for that sitting or meal. Other food and beverages provided on a calendar day are considered a single gift, with the total value of all food and beverages provided on that date being the value of the gift.
- Membership dues paid to the same organization during any 12-month period are considered a single gift.
- Entrance fees, admission fees, or tickets are valued on the face value of the ticket or fee, or on a daily or per event basis, whichever is greater. If an admission ticket is given by a charitable organization, its value does not include the portion of the cost that represents a contribution to that charity.
- Except as otherwise provided, a gift should be valued on a per occurrence basis.

FOR MORE INFORMATION

The gift disclosures made on this form are required by Sec. 112.3148, Florida Statutes. Questions may be addressed to the Commission on Ethics, P.O. Drawer 15709, Tallahassee, Florida 32317-5709 or by calling (850) 488-7864; information is provided at: www.ethics.state.fl.us.



CENTRAL FLORIDA EXPRESSWAY AUTHORITY

OVERVIEW OF THE FLORIDA CODE OF ETHICS



SOURCES OF ETHICAL OBLIGATIONS

The ethical obligations of public officers and employees arise in the Florida Constitution and the Florida Statutes and include:

- The Florida Code of Ethics for Public Officers and Employees
- Public Records
- Public Meetings (Sunshine Law)
- Liability for a breach of the public trust for private gain
- Forfeiture of rights and privileges under a public retirement system or pension plan

Authority: Chapters 112, 119, 286, Fla. Stat.;
Art. II, Sec. 8(c) & (d), Fla. Const.



CONDUCT PROHIBITTED BY THE FLORIDA CODE OF ETHICS

YOU CANNOT:

- 1. Solicit or accept gifts based upon any understanding that you would be influenced.**
- 2. Corruptly use your position or any property or resource.**
- 3. Hold employment or have contractual relationships with any business entity or agency which is subject to regulation or doing business with CFX, subject to certain exemptions.**
- 4. Disclose or use certain information for your personal gain or benefit or for the personal gain or benefit of others.**
- 5. Do business with CFX or allow an entity in which you have a material interest to do business with CFX.**
- 6. Accept compensation or thing of value that was given to influence.**

Authority: Sec. 112.313, Fla. Stat.



1. BEWARE OF GIFTS INTENDED TO INFLUENCE YOU

- DO NOT “solicit or accept anything of value to the recipient, including a gift, loan, reward, promise of future employment, favor, or service, based upon any understanding that the vote, official action, or judgment of the Public Officer, employee, . . . or candidate would be influenced thereby”

NOTE: There is no monetary threshold.

Authority: Sec. 112.313(2), Fla. Stat.



2. DO NOT MISUSE YOUR POSITION

- You cannot corruptly use or attempt to use your official position or any property or resource which may be within your trust, or perform your official duties, to secure a special privilege, benefit, or exemption for you or others.
- “Corruptly” is defined as “done with a wrongful intent and for the purpose of obtaining ... any benefit resulting from some act or omission of a public servant which is inconsistent with the proper performance of his public duties.”
- The benefit does not have to be economic.

Authority: Sec. 112.313(6) & (7), Fla. Stat.



EXAMPLE: USE OF STATIONARY

FACTS: A City commissioner used city stationery to promote symposium for which he received compensation.

QUESTION: Did he violate the statute proscribing misuse of position?

ANSWER: Yes.

Authority: § 112.313(6), Fla. Stat.;

Gordon v. State Com'n on Ethics, 609 So. 2d 125 (Fla. 4th DCA 1992)



3. BEWARE OF CONFLICTING RELATIONSHIPS

- No CFX officer or employee shall have or hold any employment or contractual relationship with any business entity or any agency which is subject to the regulation of, or is doing business with, CFX
- No CFX officer or employee shall have or hold any employment or contractual relationship that will create a continuing or frequently recurring conflict between his or her private interests and the performance of his or her public duties or that would impede the full and faithful discharge of his or her public duties. . . .

Authority: Sec. 112.313(7)(a), Fla. Stat.



5. YOU, YOUR SPOUSE, OR YOUR CHILD CANNOT DO BUSINESS WITH CFX

No CFX purchasing agent or public officer acting in his/her official capacity, shall either directly or indirectly purchase, rent, or lease any realty, goods, or services for CFX from:

- any business entity of which the officer or employee or the officer's or employee's spouse or child is an officer, partner, director, or proprietor, or
- in which such officer or employee or the officer's or employee's spouse or child, or any combination of them, has a material interest.
- “Material” is defined as “direct or indirect ownership of more than 5% of the total assets or capital stock of any business entity.
- No public officer or employee, acting in a private capacity, shall rent, lease, or sell any realty, goods, or services to CFX.

Authority: Sec. 112.313(3) and 112.312(15), Fla. Stat.



YOU CAN DO BUSINESS WITH CFX IF AN EXEMPTION APPLIES

Exemptions to the prohibition against doing business with CFX include:

- **Business awarded under a system of sealed, competitive bidding to the lowest or best bidder provided that you, your spouse or child did not participate or influence and the official filed a disclosure statement**
- **The purchase or sale is for legal advertising in a newspaper, for any utilities service, or for passage on a common carrier**
- **Emergency purchases or contracts**
- **The business entity involved is the only source of supply and there is full disclosure by you**
- **The total amount of the transactions in the aggregate between the business entity and CFX does not exceed \$500 per calendar year**
- **Persons serving on an advisory board if the governing body waives the requirement by 2/3 vote**

Authority: Sec. 112.313(5) & (12), Fla. Stat.



6. DO NOT ACCEPT COMPENSATION THAT WAS GIVEN TO INFLUENCE YOU

No CFX officer or employee or his/her spouse or minor child shall, at any time, accept any compensation, payment, or thing of value when:

- You know, or
- with the exercise of reasonable care, should know,
- that it was given to influence a vote or other action in which you were was expected to participate in your official capacity

Authority: Sec. 112.313(4), Fla. Stat.



REPORTING INDIVIDUALS OR STATE PROCUREMENT EMPLOYEES (RIPEs)

RIPEs are subject to additional regulations.

- A “reporting individual” is any individual who is required by law to file full or limited public disclosure of his or her financial interests, including candidates.
- A “procurement employee” is any employee of the executive branch or judicial branch of state government who has participated in the preceding 12 months through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, or auditing or in any other advisory capacity in the procurement of contractual services or commodities as defined in s. 287.012, if the cost of such services or commodities exceeds or is expected to exceed \$10,000 in any fiscal year.

Authority: Sec. 112.3148, 112.3145, Fla. Stat.;
Art. II, Sec. 8, Fla. Const.



RIPEs CANNOT SOLICIT ANY GIFT FROM VENDORS, ETC.

A RIPE CANNOT:

- **Solicit any gift from a vendor, a political committee, a lobbyist, or the partner, firm employer, or principal of such lobbyist, for the personal benefit of:**
 - **the RIPE,**
 - **another RIPE, or**
 - **any member of the immediate family**
- **Solicit or accept ANY gift from a political committee (either directly or indirectly to immediate family members)**

Authority: Sec. 112.3148 and 112.31485, Fla. Stat.



RIPEs CANNOT ACCEPT GIFTS IN EXCESS OF \$100.

A RIPE CANNOT accept

- directly or indirectly, a gift from a vendor, a political committee or lobbyist, or
- directly or indirectly on behalf of the partner, firm, employer, or principal of a lobbyist,
- if he or she knows or reasonably believes that the gift has a value in excess of \$100

A RIPE CANNOT accept

- ANY gift from a political committee (either directly or indirectly to immediate family members).

Authority: Sec. 112.3148 and 112.31485, Fla. Stat.



WHAT IS A GIFT?

A “Gift” is defined to mean:

- **that which is accepted by a donee or by another on the donee's behalf, or**
- **that which is paid or given to another for or on behalf of a donee,**
- **directly, indirectly, or in trust for the donee's benefit or by any other means,**
- **for which equal or greater consideration is not given within 90 days.**

Authority: Sec. 112.312(12)(a), Fla. Stat.



EXAMPLES OF GIFTS

- Real property or the use of real property
- Tangible or intangible personal property, or the use thereof
- A preferential rate or terms on a debt, loan, goods, or services, which rate is below the customary rate and is not available to all other similarly situated government employees or officials or similarly situated members of the public
- Forgiveness of an indebtedness
- Transportation, lodging, or parking
- Food or beverage
- Membership dues, entrance fees, admission fees, or tickets to events, performances, or facilities
- Plants, flowers, or floral arrangements
- Services or other personal services for which a fee is normally charged
- Anything having a value not already provided for in this section

Authority: Sec. 112.312(12)(a), Fla. Stat.



A GIFT DOES NOT INCLUDE:

- **Salary, benefits, services, fees, commissions, gifts, or expenses associated primarily with your employment, business, or service as an officer of a corporation or organization**
- **Except as provided in s. 112.31485, contributions or expenditures reported pursuant to chapter 106, contributions or expenditures reported pursuant to federal election law, campaign-related personal services provided without compensation by individuals volunteering their time, or any other contribution or expenditure by a political party or affiliated party committee**
- **An honorarium or expense related to an honorarium event paid to a person or his/her spouse**
- **An award, plaque, or certificate given in recognition of the donee's public, civic, charitable, or professional service**
- **An honorary membership in a service or fraternal organization presented merely as a courtesy by such organization**



REPORTING OF GIFTS OVER \$100

- **RIPEs need to report gifts in excess of \$100 for which compensation was not provided within 90 days to the Commission on Ethics.**
- **The report must be filed not later than the last day of each calendar quarter.**

Authority: Sec. 112.3148(8) & (5), Fla. Stat.



VENDORS, LOBBYISTS, ETC., MUST REPORT GIFTS OVER \$25.

CFX Vendors, political committees, and lobbyists who lobby CFX (or a partner, firm, principal, or employer of a lobbyist):

- **CANNOT** give, either directly or indirectly, a gift in excess of \$100 to a RIPE or any other person on his or her behalf, unless the gift is intended to be transferred to a governmental entity or a charitable organization.
- If they make, or direct another to make, a gift having a value in excess of \$25, but less than \$100, they **MUST** file a report each quarter with the Commission on Ethics.
- A violation is a noncriminal infraction, punishable by a fine of not more than \$5,000 and by a prohibition on lobbying the CFX, for a period of not more than 24 months.

Authority: Sec. 112.3148(5), (9) & 112.31485, Fla. Stat.



QUESTION: WHAT CAN HAPPEN TO A VENDOR WHO IS GIVES TO MUCH?

SCENARIO:

- A Vendor, through his company and employees, provided gifts to municipal employees with whom the defendant's company was doing business.
- These gifts included an \$8,500 Breitling watch, various hotel accommodations, NASCAR race tickets, a seven-night cruise, and gift cards valued at \$100 and \$500.

ISSUE: Did the Vendor violate the Florida Code of Ethics?

ANSWER: Yes. The Vendor was convicted on 14 counts of unlawful compensation or reward for official behavior, in connection with his alleged provision of gifts to municipal employees with whom defendant's company was doing business.

Authority: *Czajkowski v. State*, 178 So. 3d 498, 500 (Fla. 4th DCA 2015).



CAN A RIPE EXCHANGE GIFTS WITH A FRIEND WHOSE FIRM IS A VENDOR?

SCENARIO: A School Board member asked whether she is subject to any prohibitions or disclosure requirements if she travels and exchanges gifts with her boyfriend who is a partner at a law firm that provides bond counsel to the School District.

ISSUE: Are the gift restrictions applicable to a School Board member and her boyfriend?

- **ANSWER:** Yes. The boyfriend's law firm is a vendor and a lobbyist. Because the School Board member is a RIPE, she cannot accept gifts in excess of \$100 from a partner of a lobbyist.
- If she travels with her boyfriend, the boyfriend may not pay a larger-than-equal share of the travel expenses, if that benefit is more than \$100.
- The School Board member can provide compensation within 90 days to pay for the gift to reduce its value to \$100 or less.
- If the value of the gift is over \$25, the donor must disclose the gift.

Authority: COE 16-01 dated January 27, 2016



EMPLOYMENT RESTRICTIONS

A CFX Board Member or the Executive Director may not:

- **Personally represent another person or entity for compensation before CFX for a period of 2 years following vacation of his or her position.**
- **After retirement or termination,**
 - **have an employment or contractual relationship with a business entity other than an agency as defined in section 112.312,**
 - **in connection with a contract in which the Member or the Executive Director personally substantially participated in through decision, approval, disapproval, recommendation, rendering of advice, or investigation while he or she was a member or employee of CFX.**

Authority: Fla. Stat., Sec. 348.753(6)



ANTI-NEPOTISM LAW

- A CFX Public Official or employee may not appoint, employ, promote, or advance, or advocate for appointment, employment, promotion, or advancement,
 - in or to a position in CFX
 - any individual who is a “relative.”
- “Relative” includes a father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, or half sister.
- If a Public Official advocates the appointment or advancement of a relative, the relative may not be appointed or advanced

Authority: Sec. 112.3135(2)(a) & 112.3135(1)(d), Fla. Stat.



FOR A PUBLIC OFFICER, PUNISHMENT INCLUDES:

- 1. Impeachment**
- 2. Removal from office**
- 3. Suspension from office**
- 4. Public censure and reprimand**
- 5. Forfeiture of no more than one-third of his or her salary per month for no more than 12 months**
- 6. A civil penalty not to exceed \$10,000**
- 7. Restitution of any pecuniary benefits received**
- 8. Applicable criminal or civil penalties**

Authority: Sec. 112.317, Fla. Stat.



FOR AN EMPLOYEE, PUNISHMENT INCLUDES:

- 1. Dismissal from employment**
- 2. Suspension from employment for up to 90 days without pay**
- 3. Demotion**
- 4. Reduction in salary**
- 5. Forfeiture of up to one-third salary per month for up to 12 months**
- 6. A civil penalty up to \$10,000**
- 7. Restitution of any pecuniary benefits received because of the violation committed**
- 8. Public censure and reprimand**
- 9. Applicable criminal or civil penalties**

Authority: Sec. 112.317, Fla. Stat.



ADDITIONAL TRAINING MATERIAL

**Additional training material is available on the website maintained by the
Florida Commission on Ethics at:**

<http://www.ethics.state.fl.us/Training/Training.aspx>



TO REPORT A POSSIBLE VIOLATION

- **Contact the Ethics Officer**

OR

- **Call the Ethics Hotline at 888-226-6043**
 - The call is anonymous
 - The Call goes to a third-party company called The Network
 - This service is available 24 hours a day, 7 days a week



CERTIFICATE OF COMPLETION

Congratulations!

**You have now completed CFX's training on the Florida Code of Ethics for
Public Officers and employees.**

CENTRAL FLORIDA EXPRESSWAY AUTHORITY

FORM 10**ANNUAL DISCLOSURE OF GIFTS FROM GOVERNMENTAL ENTITIES AND DIRECT SUPPORT ORGANIZATIONS AND HONORARIUM EVENT RELATED EXPENSES**

LAST NAME -- FIRST NAME -- MIDDLE NAME:

THIS STATEMENT REFLECTS GIFTS AND HONORARIUM EVENT RELATED EXPENSES RECEIVED DURING CALENDAR YEAR 20____.

DO NOT FILE THIS FORM IF YOU HAVE NOTHING TO REPORT ON IT.

MAILING ADDRESS:

NAME OF AGENCY:

CITY:

ZIP:

COUNTY:

OFFICE OR POSITION HELD:

PART A -- GIFTS (HAVING A PUBLIC PURPOSE) FROM GOVERNMENTAL ENTITIES

NAME OF PERSON PROVIDING GIFT(S)	TOTAL VALUE OF GIFTS FROM THAT PERSON	DESCRIPTION OF INDIVIDUAL GIFTS	DATE EACH GIFT RECEIVED

PART B -- GIFTS FROM DIRECT SUPPORT ORGANIZATIONS

NAME OF PERSON PROVIDING GIFT(S)	TOTAL VALUE OF GIFTS FROM THAT PERSON	DESCRIPTION OF INDIVIDUAL GIFTS	DATE EACH GIFT RECEIVED

PART C -- HONORARIUM EVENT RELATED EXPENSES

	EVENT #1	EVENT #2	INSTRUCTIONS on who must file this form and how to fill it out are on the reverse side. FILING INSTRUCTIONS for when and where to file this form are located on the reverse side.
NAME OF PERSON PAYING EXPENSES			
ADDRESS OF PERSON			
AFFILIATION OF PERSON			
AMOUNT OF HONORARIUM EXPENSES			
DATE(S) OF THE EVENT			
DESCRIPTION OF EXPENSES PAID EACH DAY			
TOTAL VALUE OF EXPENSES FOR THE EVENT			

IF ANY OF PARTS A THROUGH C ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☐

REMEMBER TO ATTACH COPIES OF **ALL** STATEMENTS PROVIDED TO YOU BY PERSONS AND ENTITIES PROVIDING OR PAYING FOR THE GIFTS AND HONORARIUM EVENT RELATED EXPENSES DISCLOSED ON THIS FORM. YOU MUST DISCLOSE ALL OF THESE KINDS OF GIFTS AND EXPENSES EVEN THOUGH YOU DID NOT RECEIVE A STATEMENT OR REPORT FROM THE PERSON OR ENTITY PROVIDING THEM. YOU MAY EXPLAIN ANY DIFFERENCES BETWEEN THE ATTACHED REPORTS AND STATEMENTS AND THE INFORMATION PROVIDED ON THIS FORM BY ATTACHING AN EXPLANATION TO THE FORM.

SIGNATURE:**DATE SIGNED:**

INSTRUCTIONS FOR COMPLETING AND FILING FORM 10:

WHEN AND WHERE TO FILE: By July 1 of the year following the year covered by this form. Persons who file Form 1 or Form 6 should file this form with their Form 1 or Form 6. State procurement employees (see definition below) file this form with the Commission on Ethics, P.O. Drawer 15709, Tallahassee, Florida 32317-5709; physical address: 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303. This form need not be filed unless a reportable gift or expense was received during the time you held public office or employment.

WHO MUST FILE FORM 10: All persons who are required to file Form 1, Statement of Financial Interests, and all persons who file Form 6, Full and Public Disclosure of Financial Interests, including candidates (comprehensive lists are part of each of those forms) **except judges**. In addition, state "procurement employees" are required to file Form 10, as well as former reporting individuals and procurement employees who left office or employment during the calendar year covered by the report. You are a "procurement employee" if you:

(1) Are an employee of an office, department, board, commission, or council of the executive or judicial branches of state government; and

(2) Have participated in the preceding 12 months through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, or auditing or in any other advisory capacity in the procurement of contractual services or commodities as defined in s. 287.012, F.S., if the cost of such services or commodities exceeds or is expected to exceed \$10,000 in any fiscal year.

INTRODUCTORY INFORMATION(At the top of the form):

CALENDAR YEAR: Write the year covered by this form.

NAME OF AGENCY: This should be the name of the governmental unit which you serve or served, sought election to, or by which you are or were employed. For example, "City of Tallahassee," "Florida Senate," or "Department of Transportation."

OFFICE OR POSITION HELD: Use the title of the office or position you hold, sought, or held during the year covered by this form (in some cases you may not hold that position now, but you still would be required to file to disclose your interests during the last year you held that position) For example, "City Council Member," "Member," "Purchasing Agent," or "Bureau Chief."

MAILING ADDRESS: Write your current mailing address here. If you are an active or former officer or employee listed in Section 119.071(4)(d), F.S., whose home address is exempt from disclosure, the Commission is required to maintain the confidentiality of your home address *if you submit a written request for confidentiality*. Persons listed in Section 119.071(4)(d), F.S. should provide an address other than their home address, if possible.

PART A — GIFTS FROM GOVERNMENTAL ENTITIES

[Required by Sec. 112.3148, Fla. Stat.]

Entities of the legislative or judicial branches, departments and commissions of the executive branch, counties, municipalities, airport authorities, school boards, water management districts created by 373.069, F.S., and the South Florida Regional Transportation Authority may give, either directly or indirectly, a gift worth over \$100 to persons who file Form 1 or Form 6 or to state procurement employees **if** a public purpose can be shown for the gift. Part A should be used to list such gifts. Under the law, these governmental entities are required to provide you with a statement concerning these gifts by March 1; attach this statement to Form 10.

PART B — GIFTS FROM DIRECT SUPPORT ORGANIZATIONS

[Sec. 112.3148, Fla. Stat.]

Direct support organizations specifically authorized by law to support a governmental entity may give a gift worth over \$100 to a person who files Form 1 or Form 6 or to a state procurement employee **if** the person or employee is an officer or employee of that governmental entity. Part B should be used to list such gifts. Under the law, these direct support organizations are required to provide you with a statement concerning these gifts by March 1; attach this statement to Form 10.

PART C — HONORARIUM EVENT RELATED EXPENSES

[Required by Sec. 112.3149, Fla. Stat.]

Reporting individuals who file Form 1 and Form 6 and state procurement employees are prohibited from accepting an honorarium (a payment in exchange for a speech, oral presentation, writing, and the like) from a political committee or committee of continuous existence, from a lobbyist who lobbies them or their public agency (or has done so within the previous 12 months), and from the employer, principal, partner, or firm of such a lobbyist. However, these persons and entities may pay or provide a reporting individual or procurement employee and his or her spouse for actual and reasonable transportation, lodging, event or meeting registration fee, and food and beverage expenses related to an event at which a speech, presentation, or writing will be made by the public officer or employee. Part C should be used to describe these honorarium event related expenses. Under the law, the persons or entities paying for or providing such expenses are required to provide you with a statement concerning them within 60 days of the honorarium event; attach this statement to Form 10.

NOTE

Gifts that formerly were allowed under Sections 112.3148 and 112.3149, F.S., now may be prohibited under Sections 11.045, 112.3215, and 112.31485, F.S.

FOR MORE INFORMATION

Questions about this form or the ethics laws may be addressed to the Commission on Ethics, Post Office Drawer 15709, Tallahassee, Florida 32317-5709; telephone (850) 488-7864; information is also provided at: www.ethics.state.fl.us.

NOTICE: Under provisions of Sec. 112.317, Fla. Stat., a failure to make any required disclosure constitutes grounds for and may be punished by one or more of the following: impeachment, removal or suspension from office or employment, demotion, reduction in salary, reprimand or a fine up to \$10,000.



CENTRAL FLORIDA EXPRESSWAY AUTHORITY

REVIEW OF THE CFX CODE OF ETHICS



OVERVIEW OF THE CODE OF ETHICS ADOPTED BY CFX

- The CFX Code of Ethics adopts the following Florida Statutes:
 - The Florida Code of Ethics (Chapter 112, Part III)
 - The Statutory Disclosures and Restrictions specific to CFX (Sec. 348.753)
 - The Regulation of Political Activity (Sec. 104.31)
- The CFX Code of Ethics creates an entirely new provision:
 - requiring a 2-year look back for disclosures of business relationships, and
 - allowing one to abstain from voting due to apparent conflict of interest.



GOAL OF THIS PRESENTATION

The purpose of this training presentation is to review:

- 1. The Disclosure Requirements and Restrictions specific to CFX (Sec. 348.753, Fla. Stat.)**
- 2. The Regulation of Political Activity (Sec. 104.31, Fla. Stat.)**
- 3. The provision unique to CFX requiring disclosure of business associates in the previous 2-year period and allowing abstention from voting due to an apparent conflict of interest (CFX Code of Ethics, Sec. 6-6.01)**

A review of the Florida Code of Ethics, codified in Chapter 112, Part III, Florida Statutes, is covered separately.



WHAT ARE THE CFX-SPECIFIC DISCLOSURE REQUIREMENTS, RESTRICTIONS & OTHER OBLIGATIONS?

1. Disclosure Requirements
2. Post-employment restrictions for Board members and the Executive Director
3. Restriction on employees and contractors
4. Review and Updates of the CFX Ethics Policy
5. Training
6. Violations

Authority: Sec. 348.753, Fla. Stat.



1. CFX DISCLOSURE REQUIREMENTS

- Who does this apply to?
 - CFX Board members
 - CFX employees
 - Consultants who hold positions that may influence authority
- What is required?
 - *Annual*/Disclosures of:
 - relationships which afford a current or future financial benefit to you, your relative, or your business associates
 - relatives who are Lobbyists
 - interests in real property within a one half-mile radius of a CFX project held by you, your relatives, principals, clients, or business associates
- What is prohibited?
 - Engaging in any relationship that may adversely affect one's judgment in carrying out CFX business

Authority: Sec. 348.753(8), Fla. Stat.



WHO IS A RELATIVE?

- **Immediate Family:**
 - Husband, Wife, Father, Mother, Son, Daughter, Brother, Sister, Uncle, Aunt, First Cousin, Nephew, Niece
- **In-Laws:**
 - Father-in-Law, Mother-in-Law, Son-in-Law, Daughter-in-Law, Brother-in-Law, Sister-in-Law
- **Steps:**
 - Stepfather, Stepmother, Stepson, Stepdaughter, Stepbrother, Stepsister
- **Halfs:**
 - Half Brother, Half Sister
- **Grands and Greats:**
 - Grandparent, Great Grandparent, Grandchild, Great Grandchild, Step Grandparent, Step Great Grandparent, Step Grandchild, Step Great Grandchild
- **Fiancé**
- **Roommates or Same Legal Residence**

Authority: Sec. 112.312(21), Fla. Stat.



2. POST-EMPLOYMENT RESTRICTIONS FOR BOARD MEMBERS & THE EXECUTIVE DIRECTOR

A CFX Board member or the Executive Director may not:

- Personally represent another person or entity for compensation before CFX for a period of 2 years following vacation of his or her position
- After retirement or termination:
 - Have an employment or contractual relationship with a business entity other than an agency as defined in Sec. 112.312, in connection with a contract in which the member or the Executive Director personally substantially participated in through decision, approval, disapproval, recommendation, rendering of advice, or investigation while he or she was a member or employee of CFX

Authority: Sec. 348.753(6), Fla. Stat.



3. RESTRICTIONS ON EMPLOYEES & CONTRACTORS

CFX employees and consultants cannot serve on the Board while:

- employed by CFX or
- under contract with CFX

Authority: Sec. 348.753(11), Fla. Stat.



4. REVIEW & UPDATES OF THE CFX ETHICS POLICY

The CFX Code of Ethics shall be reviewed and updated by the Ethics Officer and presented for Board approval at a minimum of once every 2 years.

Authority: Sec. 348.753(12), Fla. Stat.



5. TRAINING

- New Board members shall be provided with an orientation on all relevant CFX matters, including a detailed briefing on the Code of Ethics.
- Employees shall be adequately informed and trained on the Code of Ethics and shall continually participate in ongoing ethics education.

Authority: Sec. 348.753(13), Fla. Stat.; CFX Code 6-5.022



6. HOW ARE VIOLATIONS PUNISHED?

Violations of the:

- post-employment restrictions,
- disclosure requirements, and
- restrictions on employment and contracts

are punishable in accordance with Sec. 112.317, Fla. Stat.

Authority: Sec. 348.753(15), Fla. Stat.



WHAT TYPES OF PUNISHMENT DOES SEC. 112.317 ALLOW?

For Public Officers, punishment includes:

- 1. Impeachment**
- 2. Removal from office**
- 3. Suspension from office**
- 4. Public censure and reprimand**
- 5. Forfeiture of no more than one-third of his or her salary per month for no more than 12 months**
- 6. A civil penalty not to exceed \$10,000**
- 7. Restitution of any pecuniary benefits received**
- 8. Applicable criminal or civil penalties**

Authority: Sec. 112.317, Fla. Stat.



WHAT TYPES OF PENALTIES MAY BE IMPOSED ON AN EMPLOYEE?

1. Dismissal from employment
2. Suspension from employment for up to 90 days without pay
3. Demotion
4. Reduction in salary
5. Forfeiture of up to one-third salary per month for up to 12 months
6. A civil penalty up to \$10,000
7. Restitution of any pecuniary benefits received because of the violation committed
8. Public censure and reprimand
9. Applicable criminal or civil penalties

Authority: Sec. 112.317, Fla. Stat.



CERTAIN TYPES OF POLITICAL ACTIVITIES ARE PROHIBITED

Per Section 104.31, Florida Statutes, an officer or employee is prohibited from:

- **Using his/her official authority or influence for the purpose of:**
 - interfering with an election or a nomination of office or
 - coercing or influencing another person's vote
- **Directly or indirectly coercing or attempting to coerce, command, or advise any other officer or employee:**
 - To pay, lend, or contribute any part of his or her salary, or any money, or anything else of value . . . for political purposes
 - As to where he or she might purchase commodities
 - Interfering in any other way with the personal right of said officer or employee
- **Participating in any political campaign for an elective office while on duty.
(Applies to employees)**



SOME TYPES OF POLITICAL ACTIVITIES ARE PERMISSIBLE

Section 104.31 does not prohibit:

- **A public employee from suggesting to another employee in a non-coercive manner that he or she may voluntarily contribute to a fund which is administered by a party, committee, organization, agency, person, labor union or other employee organization for political purposes.**
- **A public employee from expressing his or her opinions on any candidate or issue or from participating in any political campaign:**
 - **during the employee's off-duty hours.**
 - **so long as such activities are not in conflict with the provisions of Section 104.31(1) or Section 110.233.**
 - **Section 110.233 prohibits favoritism or discrimination because of political opinions or affiliation, among other things.**



WHAT MISTAKES HAVE OTHERS MADE?

- **ALLEGATIONS:** A police lieutenant (Wills) allegedly asked employees under his supervision to attend a political rally for Ric Bradshaw, a candidate for Palm Beach County Sheriff.
- He also allegedly threatened a police officer because the officer had, a week earlier, made a comment about joining a group of Bradshaw's opponents at a rally.
- **CHARGE:** The Florida Elections Commission charged Wills "used his official authority or influence for the purpose of attempting to coerce or influence another person's vote or affecting the results of an election" in violation of Section 104.31(1)(a), Florida Statutes.
- **RESULT:** Ultimately, the charges were dismissed.

Authority: Wills v. FEC, 955 So. 2d 51 (Fla. 1st DCA 2007)



CFX'S UNIQUE 2-YEAR LOOK BACK DISCLOSURE REQUIREMENT

- A Board member should disclose the existence of a business associate when a Board member is:
 - a business associate with any person bringing a matter before the CFX Board or
 - when a matter before the CFX Board will benefit any person with whom the Board member knowingly was a business associate in the previous 2-year period.
- The disclosure should be made prior to voting.

Authority: CFX Code of Ethics, Part 6



ABSTENTION FROM VOTING

- A Board member may abstain from voting based upon an Appearance or Perception of Conflict.
- To do so, the Board member should:
 - publicly state the nature of the interest in the matter
 - within 15 days from the vote, file a Memorandum of Voting Conflict on Ethics Form 8B, which will be incorporated into the minutes of the meeting

NOTE: Section 286.012 prohibits abstention unless, there is, or appears to be, a possible conflict of interest under Sections 112.311, 112.313, 112.3143, or additional or more stringent standards of conduct, if any, adopted pursuant to Section 112.326.



WHAT IF THE CFX CODE OF ETHICS AND THE STATUTES ARE DIFFERENT?

- In the event of a difference between the Florida Code of Ethics and the CFX Code of Ethics, the more restrictive provisions shall control.



HOW DO I REPORT A POSSIBLE VIOLATION?

- **Contact the Ethics Officer**

OR

- **Call the Ethics Hotline at 888-226-6043**
 - The call is anonymous
 - The call goes to a third-party company called The Network
 - This service is available 24 hours a day, 7 days a week



QUESTIONS?

- Any Public Official or employee may seek an advisory opinion from the Ethics Officer:

Joe Passiatore, General Counsel
(407) 690-5381

CAUTION: An advisory opinion does not insulate a person against the application of Fla. Stat. or of any other applicable code of ethics



WHERE CAN I FIND CFX'S CODE OF ETHICS?

The Code of Ethics is posted on CFX's website at:

<https://www.cfxway.com/Portals/0/docs/CFX%20Ethics%20Policy.pdf>



CERTIFICATE OF COMPLETION

Congratulations!

You have now completed training on CFX's Code of Ethics.

CENTRAL FLORIDA EXPRESSWAY AUTHORITY



CENTRAL FLORIDA EXPRESSWAY AUTHORITY

REVIEW OF THE GOVERNMENT IN THE SUNSHINE LAW



THE PUBLIC HAS A RIGHT TO ATTEND PUBLIC MEETINGS

- The public has a constitutional right of access to meetings of collegial public bodies.
- The law applies to any gathering of two or more members of the same board or committee.
- The law applies to members-elect even though they have not yet taken office.

Authority: Art. 1, Sec. 24, Fla. Const.; Ch. 286, Fla. Stat.



THE THREE REQUIREMENTS

The Sunshine Law has 3 basic requirements:

1. Meetings must be open to the public
2. Reasonable notice of such meetings must be given
3. Minutes of the meetings must be taken and promptly recorded

Authority: Fla. Const., Art. 1, Sec. 24; Ch. 286, Fla. Stat.



WHAT BODIES NEED TO MEET IN THE SUNSHINE?

- CFX Board
- CFX Committees
- Other groups that have decision-making authority
- Caveat: The Sunshine Law allows closed sessions in certain situations.



WHAT BODIES DO NOT NEED TO COMPLY WITH THE SUNSHINE LAW?

- Fact-Finding Committees
- Staff Committees responsible for advising and informing the decision-maker through fact-finding consultations

Authority: *Silver Express Co. v. District Board*, 691 So. 2d 1099 (Fla. 3d DCA 1997);
Sarasota Citizens for a Responsible Gov't v. Sarasota, 48 So. 3d 755 (Fla. 2010).



EXAMPLE: CAN THE BOARD TAKE A BUS TOUR WITHOUT COMPLYING WITH THE SUNSHINE LAW?

FACTS: The Seminole County School Board took a fact-finding bus tour in connection with redistricting without complying with the Sunshine Law.

ANSWER: No. The Sunshine Law was violated.

REASON: The fact-finding exception does not apply to the ultimate decision-making body.

Authority: *Finch v. Seminole County School Board*, 995 So. 2d 1068
(Fla. 5th DCA 2008)



MEETINGS MUST BE IN PUBLIC

This means that two or more Board members may not take action on or engage in private discussions of Board business via:

- written correspondence
- e-mails, text messages, or other electronic communications
- private telephone conversions
- Facebook pages resulting in an exchange of matters that may come before the Board

NOTE: The practice of distributing position papers to the other members prior to discussion at a public meeting is not a violation if it does not solicit comments, but it is discouraged.



REMOTE APPEARANCES REQUIRES A PHYSICAL QUORUM

Where a quorum is physically present, the participation of an absent member by telephone or other interactive technology is permissible when:

- **such absence is due to extraordinary circumstances such as illness, or**
- **as determined in the good judgment of the Board**

CFX's Rules of Procedure allow a Board member to appear by telephone:

- **due to scheduling conflicts or illness**
- **but not more than 3 meetings per calendar year**

Authority: AGO 03-41; 94-55; 92-44; 02-82; CFX Rules 1-1.010



THE SUNSHINE LAW MAY APPLY TO A SINGLE INDIVIDUAL

The Sunshine Law may apply:

- If a Board has delegated its decision-making function to a single individual, or
- If the individual has the authority to reject certain options or to rank proposals.

The Sunshine Law does not apply:

- If the individual is authorized only to gather information or function as a fact-finder, or
- If the individual is vested by law with the authority to take action, rather than by the Board.



WATCH OUT FOR INDIVIDUALS WHO MAY BE ACTING AS INTERMEDIARIES

- **A Board member may call upon staff members for factual information and advice without being subject to the Sunshine Law.**
- **A Board member can express his or her views or voting intent to a reporter so long as the reporter is not being used as an intermediary.**
- **TIP: REFRAIN FROM ASKING OR DISCLOSING THE OPINIONS OF OTHER MEMBERS OF THE BOARD OR COMMITTEE.**

Authority: AGO 81-42; 75-59



WHAT ACTIVITIES FALL OUTSIDE OF THE SUNSHINE LAW?

The Sunshine Law does not apply to:

- Members of different boards
- Meetings between a Board member and his alternate (AGO 88-45)
- A candidates' panel discussion in which several incumbent Board members speak about issues, so long as they do not discuss issues coming before the Board
- A social gathering so long as there is no discussion about matters coming before the Board



CAN TWO BOARD MEMBERS GO TO A MEETING OF ANOTHER PUBLIC BOARD?

- It depends.
- Board members may attend a public meeting of another public body and express their opinions, even though other Board members may be in attendance, provided there is no discussion or debate between the Board members.
- If two or more Board members attend a public meeting of another public body and intend to participate, then it is advisable to note their attendance in the meeting notice.

Authority: AGO 99-55; AGO 00-68; AGO 98-79



THE SUNSHINE LAW REQUIRES REASONABLE NOTICE

Reasonable public notice is required for all public meetings disclosing:

- The time and place, and
- The Agenda or, if not available, a statement of the general subject matter.
- The Board can discuss matters that are not on the agenda, but should postpone formal action.
- For regularly scheduled meetings, provide 7 days notice.
- For special or emergency meetings, provide 72 hours notice (but not less than 24 hours notice).



THE LOCATION SHOULD NOT RESTRICT ACCESS

- Don't use facilities that discriminate or that restrict access.
- Avoid places that create a chilling effect on public attendance (such as restaurants requiring food service).
- Make sure the size of the facility is adequate.
- Make sure that the discussion is audible.
- For out-of-town meetings, balance the need for that location with the need to provide the public with a reasonable opportunity to attend.



PUBLIC PARTICIPATION

- The public has a right to a reasonable opportunity to be heard.
- The opportunity to be heard is subject to the rules or policies adopted by the Board including:
 1. Guidelines on the amount of time
 2. Forms to request an opportunity to speak
 3. Designation of a specified period of time for public comment
- The Board may maintain orderly conduct and proper decorum.

Authority: Sec. 286.0114(2), Fla. Stat.



BALLOTS

- **Secret ballots are prohibited.**
- **Written ballots are permitted if the votes are announced at a public meeting.**



CONSEQUENCES FOR FAILURE TO COMPLY WITH THE SUNSHINE LAW

- Criminal penalties: A knowing violation is a second degree misdemeanor (60 days in jail and/or \$500 fine)
- Removal from office
- Noncriminal infraction with a fine up to \$500
- Imposition of reasonable attorney's fees
- Actions for injunctive or declaratory relief
- Action taken in violation of the Sunshine law is void *ab initio*



ADVISORY OPINIONS

- Any Public Official or employee may seek an advisory opinion from the Ethics Officer:
Joe Passiatore, General Counsel
(407) 690-5381

CAUTION: An advisory opinion does not insulate a person against the application of Florida Statutes or of any other applicable code of ethics.



HOW DO I REPORT A POSSIBLE VIOLATION?

- **Contact the Ethics Office**

OR

- **Call the Ethics Hotline at 888-226-6043**
 - The call is anonymous
 - The Call goes to a third-party company called The Network
 - This service is available 24 hours a day, 7 days a week



CERTIFICATE OF COMPLETION

Congratulations!

You have now completed CFX's training on the Government in the Sunshine Law.

CENTRAL FLORIDA EXPRESSWAY AUTHORITY

CENTRAL FLORIDA EXPRESSWAY AUTHORITY



REVIEW OF THE PUBLIC RECORDS ACT



WHAT IS THE PUBLIC RECORDS ACT?

- The public has a constitutional right of access to the records of state and local governments as well as private entities acting on their behalf.
- The right of access applies to all materials made or received by an agency in connection with the transaction of official business which are used to perpetuate, communicate, or formalized knowledge, except for records that fall within a statutory exemption or are confidential.

Authority: Art. I, Sec. 24, Fla. Const.; Ch. 119, Fla. Stat.



WHAT IS REQUIRED BY THE PUBLIC RECORDS ACT?

- Any person who has custody of a public record shall permit the record to be inspected and copied by any person desiring to do so:
 - at any reasonable time,
 - under reasonable conditions,
 - under supervision by the custodian of the public records.
- The custodian is required to furnish a copy or a certified copy upon payment of the prescribed fee.
- An automatic delay in the production of public records requests is impermissible.

Authority: Sec. 119.07(1)(a) & (4), Fla. Stat.



WHAT IS NOT REQUIRED BY THE PUBLIC RECORDS ACT?

The requestor is not required to:

- explain the purpose for a public records request
- submit a public records request in writing
 - (The custodian may complete the appropriate form.)

The custodian is not required to:

- give out information from the records
- create new records to accommodate a request for information
- respond to a standing request



WHAT ARE PUBLIC RECORDS?

“Public records” are:

- All documents, papers, letters, maps, books, tapes, photographs, films, sound recordings, data processing software, or other material,
- regardless of the physical form, characteristics, or means of transmission,
- made or received pursuant to law or ordinance or in connection with the transaction of official business by any agency.

Authority: Sec. 119.011(12), Fla. Stat.



WHAT ARE SOME EXAMPLES OF PUBLIC RECORDS?

Public records include:

- E-mails
- Reports, Calendars, and files stored in a computer
- Facebook pages
- Drafts circulated for comments
- Text messages, instant messages, PINS, SMS, and MMS
- Billing documents related to the use of government cell phones

Authority: *New Smyrna Beach*, No. 2009-20218-CINS (Fla. 7th Cir. Ct. April 8, 2010)



WHAT ITEMS DO NOT CONSTITUTE PUBLIC RECORDS?

- Preliminary drafts or notes prepared for personal use are mere precursors to public records.
- Machine-readable intermediate files that communicate information within the computer itself and are unintelligible except to the computer, are treated like personal notes.
- Personal e-mails may not be public records even on government computers.

Authority: *State v. Clearwater*, 863 So. 2d 149 (Fla. 2003)



ARE PRIVATE ENTITIES SUBJECT TO THE PUBLIC RECORDS ACT?

They can be if they are acting on behalf of a public agency, such as:

- 1. When the public entity delegates a statutorily authorized function to a private entity, or**
- 2. When the totality of factors shows a significant level of involvement so as to subject the private entity to the Public Records Act.**
- 3. Mere contracting or receiving public funds is not dispositive.**



WHEN IS A PRIVATE ENTITY ACTING FOR A PUBLIC AGENCY?

Factors to be considered include:

- The level of public funding
- Commingling of funds
- Whether the activity was conducted on publicly-owned property
- Whether the contracted services are an integral part of the agency's chosen decision-making process
- Whether the private entity is performing a governmental function
- The extent of the agency's involvement with, regulation of, or control over the private entity
- Whether the private entity was created by the agency
- Whether the agency has a substantial financial interest over the private entity
- For whose benefit the private entity is functioning

Authority: *Wells v. Aramark*, 888 So. 2d 134 (Fla. 4th DCA 2004)



CONTRACT REQUIREMENTS

- Every contract should contain a provision requiring the “contractor” to comply with the Public Records laws, including retention and public access requirements.
 - The term “contractor” is defined as those entities that act on behalf of a public agency.
- Every contract should contain a provision allowing unilateral cancellation by the agency for the contractor’s refusal to allow public access

Authority: Sec. 119.0701, Fla. Stat.; Sec. 287.058(2), Fla. Stat.



WHAT TYPE OF RECORDS ARE CONFIDENTIAL OR EXEMPT?

Some public records cannot be disclosed to the public because they include sensitive or confidential information, such as:

- Information revealing surveillance techniques, procedures or personnel
- Information pertaining to the security systems for property owned or leased
- Risk analysis information relative to security threats
- Internal policies and procedures to assure the security of data and information technology resources
- Social security numbers
- Personal information contained in a motor vehicle record
- This is not a comprehensive list of exemptions

Authority: Sec. 119.07(2)(b) & (d), Fla. Stat.; USC Sec. 2725; 282.318(4), 119.071(3)(b)1, Fla. Stat.



HOW SHOULD REQUESTS FOR EXEMPT RECORDS BE HANDLED?

- An agency must state the basis for its refusal to release an exempt record, including the statutory citation.
- upon request, the custodian must state in writing and with particularity the reasons for the conclusion that the record is exempt or confidential.



WHAT FEES MAY BE IMPOSED?

- 15 cents per one-sided copy
- 20 cents per two-sided copy that are 8-1/2" x 14" or less in size
- \$1 to certify a copy
- The actual cost of duplication for other copies
- A service fee may be charged if the nature or volume of public records to be inspected or copied requires the extensive use of information technology resources or extensive clerical or supervisory assistance or both



WHAT ARE THE RETENTION REQUIREMENTS?

- The Division of Library Services is required to adopt rules establishing retention schedules and a disposal process for public records
- The schedules are available at <http://dlis.dos.state.fl.us/barm/genschedules/GS1-SL.pdf>
- A public record may only be destroyed or disposed of in accordance with the retention schedules.
- Certain records must be maintained permanently.
- After the retention period, public records that are no longer needed must be systematically disposed.

Authority: Sec. 119.021(2)(a), Fla. Stat.



WHAT ARE THE CONSEQUENCES OF A VIOLATION?

Penalties include:

- **Suspension and removal or impeachment**
- **Criminal penalties**
 - A knowing violation may result in a criminal penalty of one year in prison or \$1,000 fine or both
- **Noncriminal infraction**
 - Punishable by a fine of up to \$500

Authority: Sec. 119.10(1), Fla. Stat.



WHAT SHOULD YOU DO IF YOU HAVE A QUESTION?

- Any Public Official or employee may seek an advisory opinion from the Ethics Officer:
Joe Passiatore, General Counsel
(407) 690-5381

CAUTION: An advisory opinion does not insulate a person against the application of Florida Statutes or of any other applicable code of ethics.



HOW DO I REPORT A POSSIBLE VIOLATION?

- **Contact the Ethics Officer**

OR

- **Call the Ethics Hotline at 888-226-6043**
 - The call is anonymous
 - The Call goes to a third-party company called The Network
 - This service is available 24 hours a day, 7 days a week



CERTIFICATE OF COMPLETION

Congratulations!

You have now completed training on the Public Records Act.

CENTRAL FLORIDA EXPRESSWAY AUTHORITY

**CENTRAL FLORIDA EXPRESSWAY AUTHORITY
POTENTIAL CONFLICT DISCLOSURE FORM
(Filing Deadline: July 1, 2016)**

Name and Title: _____

Company: _____

Address: _____

Phone Number: _____ Email: _____

Relationship to CFX: ___ Board or Committee Member ___ Employee ___ Consultant

Actual or Prospective Projects: Wekiva Parkway (SR 429), SR 414 Marden Road Interchange, SR 417/SR 528 Interchange Improvements, SR 408 PD&E Study

	Question	Yes	No
1.	Disclosure of Relationships (Refer to Section 348.753(8))		
	Do you have any relationship which affords a current or future financial benefit to you or to your "Relative" or "Business Associate" and which a reasonable person would conclude has the potential to create a prohibited conflict of interest? If yes, check the applicable relationships below and provide full names, addresses, and relationships on page 4 or a separate page.		<input type="checkbox"/>
1A	Self	<input type="checkbox"/>	
1B	<p>"Relative," as defined in Section 112.312(21), Fla. Stat., means any individual related to you as:</p> <ul style="list-style-type: none"> • Father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife • In-Laws: father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law • Steps and Halves: stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half-brother, half-sister • Grands: grandparent, great grandparent, grandchild, great grandchild, step grandparent, step great grandparent, step grandchild, step great grandchild, • Significant Others: Person who is engaged to be married to you or who otherwise holds himself/ herself out as or is known as the person whom you intend to marry or with whom you intend to form a household • Roommates: Any other person with the same legal residence as you 	<input type="checkbox"/>	

Name/Company: _____

	Question	Yes	No
1C	<p>“Business Associate,” as defined in Section 112.312(4), Fla. Stat., and CFX Code of Ethics, Section 6-1.01, means:</p> <ul style="list-style-type: none"> • any person or entity engaged in or carrying on a business enterprise with you as a partner, joint venture, corporate shareholder where the shares of such corporation are not listed on any national or regional stock exchange, • co-owner of property, • any person or entity engaged in or carrying on any contractual relationship with a CFX Board member as a principal, partner, joint venture, corporate shareholder where the shares of such corporation are not listed on any national or regional stock exchange, • any person or entity engaged in or carrying on a business enterprise, any contractual relationship, employment relationship or otherwise engaging in common investment with a CFX Board member as a principal, partner, member, shareholder, owner, co-owner, joint venture partner, or other investor, whether directly or indirectly, whether through a Business Entity or through interlocking Parent Entities, Subsidiary Entities, or other business or investment scheme, structure, or venture of any nature. 	<input type="checkbox"/>	
1D	Other (explain) _____	<input type="checkbox"/>	
2.	<p>Disclosure of Lobbyists (Refer to Section 348.753(8), Fla. Stat.)</p> <p>Do you have a “Relative” who is a “Registered Lobbyist”? “Registered Lobbyist,” as defined in CFX Code of Ethics, Part 1, shall mean any person who shall engage in lobbying for compensation for (1) an entity other than his or her employer; or (2) for any entity including his or her employer if a principal function of his or her position is lobbying or governmental relations; and (3) is registered with any local jurisdiction represented on CFX’s Board. If yes, list the full names and addresses of the lobbyist and the lobbyist’s clients on page 4 or a separate page.</p>	<input type="checkbox"/>	<input type="checkbox"/>
3.	<p>Disclosure of Property Interests within a Project (Section 348.753(8), Fla. Stat.)</p> <p>Do you or any of your “Relatives” (see 1B above), principals, clients, or “Business Associates” (see 1C above) have any interest in real property located within any actual or prospective CFX project? The actual or prospective CFX projects are those listed on page 1. The corridor maps and lists reflecting the ownership of all real property within the disclosure areas, or alignment maps with lists of associated owners, are attached. If yes, check the applicable relationship types and disclose full names and addresses and identify the real property on page 4 or a separate page.</p>		<input type="checkbox"/>
3A	Self	<input type="checkbox"/>	
3B	“Relative” (see 1B above)	<input type="checkbox"/>	
3C	Principal or Client	<input type="checkbox"/>	
3D	“Business Associate” (see 1C above)	<input type="checkbox"/>	
3E	Other (explain) _____	<input type="checkbox"/>	

Name/Company: _____

	Question	Yes	No
4.	Disclosure of Property Interests Within a One-Half Mile Radius of a Project (but Outside of a Project) (Refer to Section 348.753(8), Fla. Stat.) Do you or any of your “Relatives” (see 1B above), principals, clients, or “Business Associates” (see 1C above) have any interest in real property located within a one-half mile radius of any actual or prospective CFX project, but outside of any actual or prospective CFX project? If yes, check the applicable relationship types and disclose the full names and addresses and identify the real property on page 4 or a separate page.		<input type="checkbox"/>
4A	Self	<input type="checkbox"/>	
4B	“Relative” (see 1B above)	<input type="checkbox"/>	
4C	Principal or Client	<input type="checkbox"/>	
4D	“Business Associate” (see 1C above)	<input type="checkbox"/>	
4E	Other (explain) _____	<input type="checkbox"/>	
5.	Solicitation or Acceptance of Gifts (See Section 112.313(2), Fla. Stat.) Have you solicited or accepted anything of value, including a gift, loan, reward, promise of future employment, favor, or service, based upon any understanding that your action or judgment regarding CFX business would be influenced thereby?	<input type="checkbox"/>	<input type="checkbox"/>
6.	Unauthorized Compensation (Refer to Section 112.313(4), Fla. Stat.) Have you or your spouse or minor child accepted any compensation, payment, or thing of value when you knew, or, with the exercise of reasonable care, should know, that it was given to influence your action regarding CFX business?	<input type="checkbox"/>	<input type="checkbox"/>
7.	Misuse of Position (Refer to Section 112.313(6), Fla. Stat.) Have you used or attempted to use your position with the CFX or any property or resource which may be within your trust, to secure a special privilege, benefit, or exemption for you or others?	<input type="checkbox"/>	<input type="checkbox"/>
8.	Conflicting Employment or Contractual Relationship (Refer to Section 112.313(7), Fla. Stat.) Do you have or hold any employment or contractual relationship with any business entity or any agency which is subject to the regulation of, or is doing business with, CFX, that will create a continuing or frequently recurring conflict between your private interests and the performance of your duties to CFX or that would impede the full and faithful discharge of your duties to CFX, subject to the exemptions set forth in Section 112.313(12) & (15), Florida Statutes?	<input type="checkbox"/>	<input type="checkbox"/>

Name/Company: _____

	Question	Yes	No
9.	If you are a Board Member, Committee Member, or Employee, answer 9A. If you are a Consultant, answer 9B.		
9A.	Certification of Completion of Training for Board Members, Committee Members, and Employees: <ul style="list-style-type: none"> • CFX Code of Ethics: Have you read the CFX Code of Ethics and the PowerPoint presentation on the CFX Code of Ethics and do you acknowledge that you understand the CFX Code of Ethics? The CFX Code of Ethics and PowerPoint presentation are available at CFXway.com. • Florida Code of Ethics: Have you completed training on the Florida Code of Ethics (codified in Chapter 112, Part III, Florida Statutes) and do you acknowledge that you understand the requirements? • Sunshine Law: Have you completed training on the Public Meetings (Sunshine Law) and do you acknowledge that you understand the requirements? • Public Records Act: Have you completed training on Public Records and do you acknowledge that you understand the requirements? <p>Training modules are available at CFXway.com and on the website maintained by the Florida Commission on Ethics at: http://www.ethics.state.fl.us/Training/</p>	<input type="checkbox"/>	<input type="checkbox"/>
9B.	Acknowledgement of Receipt and Review of the CFX Code of Ethics for Consultants: Have you read the CFX Code of Ethics and do you acknowledge that you understand the CFX Code of Ethics?	<input type="checkbox"/>	<input type="checkbox"/>

If you answered any of Questions numbered 1 through 8 in the affirmative, provide a detailed explanation below. Attach additional sheets as necessary.

I declare under penalty of perjury that the foregoing is true and correct.

Signature: _____ Date: _____

Name and Title: _____

Return this form by mail, email or fax to: Darleen Mazzillo, Executive Assistant
 Central Florida Expressway Authority
 4974 ORL Tower Road, Orlando, FL 32807
 Phone: 407-690-5310 Fax: 407-690-5034
 Email: Darleen.Mazzillo@CFXWay.com

Name/Company: _____

POTENTIAL CONFLICT DISCLOSURE FORM INSTRUCTIONS

I. OBJECTIVES

“It is essential to the proper conduct and operation of government that public officials be independent and impartial and that public office not be used for private gain other than the remuneration provided by law. The public interest, therefore, requires that the law protect against any conflict of interest and establish standards for the conduct of elected officials and government employees in situations where conflicts may exist.” § 112.311(1), Fla. Stat.

“Board members, employees, and consultants who hold positions that may influence authority decisions shall refrain from engaging in any relationship that may adversely affect their judgment in carrying out authority business.” § 348.753(8), Fla. Stat. To prevent such conflicts of interest and preserve the integrity and transparency of the Central Florida Expressway Authority (“CFX”) to the public, disclosures must be made annually.

II. TRAINING

The CFX Code of Ethics, the Florida Statutes, and self-training modules are available at CFXway.com and on the website maintained by the Florida Commission on Ethics at: <http://www.ethics.state.fl.us/Training/Training.aspx>.

III. WHEN TO FILE

Each Board Member, Committee Member, and employee shall complete and file the disclosure form upon appointment or hire, upon events that require disclosure, and annually, not later than July 1st.

Each Consultant shall complete and file the disclosure form at the following times: (a) prior to the execution of a contract; (b) upon the occurrence of an event that requires disclosure; and (c) annually, not later than July 1st.

IV. SPECIFIC INSTRUCTIONS FOR CONSULTANTS

Definition of “Consultant.” “Consultant” shall mean an individual who is rendering services to the Authority in the nature of time and effort rather than the furnishing of specific commodities. The term applies only to those professional services rendered by individuals who are independent consultants, providing services that may include, but are not limited to, evaluations, consultations, maintenance, financial, auditing, accounting, legal, engineering, management consulting, educational training programs, public relations, legislative advisors, planning, personnel, research and development studies or reports on the findings of consultants engaged thereunder, and professional, technical and social services. CFX Code of Ethics 6-1.01.

Submit One Form. Consultants that are not sole proprietorships or single-member corporations, should submit one composite disclosure form for the entire entity, rather than one disclosure form

Name/Company: _____

per officer or employee. The composite disclosure form should include all officers, directors, managers, or employees who “hold positions that may influence CFX decisions.” Individuals who fall within this category include: (a) all individuals who directly address the CFX Board, any CFX committee, the Executive Director, the Chief Financial Officer, or the Chief of Staff; and (b) the senior officer or officers of the Consultant.

Suggested Practices. Each Consultant shall consider having procedures in place to screen covered employees assigned to the CFX contract for potential personal conflicts of interest, including procedures to prevent personal conflicts of interest, to prohibit use of non-public information accessed through performance of the contract for personal gain, to inform covered employees of their ethical obligations, and to take appropriate disciplinary action in the event of a failure to comply.

V. COMPLIANCE REVIEW PROCESS

The Ethics Officer shall review all disclosure forms to determine if there is a prohibited conflict. If there is a conflict, the Ethics Officer shall determine if the conflict should be disclosed to the Board and whether the conflict may be waived. If the conflict is not waivable, then the person or entity may be disqualified or required to mitigate the conflict, the contract may be terminated, or the conflict may be escalated to the Florida Commission on Ethics. CFX reserves the right to pursue any other right, remedy, or recourse.

VI. PENALTIES FOR VIOLATION

Penalties for a violation of the Florida Code of Ethics, the Sunshine Law, the Public Records Act, and the CFX Code of Ethics are set forth in the CFX Code of Ethics, the Florida Statutes, or the Florida Code of Ethics.

As to Consultants, penalties for a violation include withholding payments until the violation is cured, reduction of payments, or termination of the contract for cause. If the Disclosure Form is submitted, but is incomplete, CFX has the right to withhold payments pending receipt of an explanation of such omissions or to terminate the contract for cause.

CITY OF ORLANDO'S REGISTERED LOBBYISTS (March 30, 2016)

For the current list, go to <http://www.cityoforlando.net/cityclerk/lobbyist-listings/>

Last Name	First Name	Representing
Adams	Leticia M.	Walt Disney Parks and Resorts, U.S.
Anderson	George Oscar	Vista East Consulting Services, LLC; NoPetro; Ashbritt Environmental, Inc.; American Traffic Solutions, Inc.; Baker Barrios Architects, Inc.; Aaron Zahn- BCR Environmental Corporation; Berman Property Management; CBC Holdings; Cigna Corporation; BMG Money; Discovery Education Services, Inc.; Maruti Transit Group; Paul F. Vincent & Wells Fargo Bank, N.A.; Walt Disney World; WaWa, Inc.; Walbridge; Community Champions; Trademark Metals Recycling, LLC; Orlando Pro Hockey Op, LP dba Orlando Solar Bears; Schneider Electric Buildings America, Inc.; Rural Metro Corporation; RP Funding, Inc.; Mansooreh Mollaghasemi, Ph.D -Productivity Apex, Inc.; Orlando City Soccer; Roger Chapin -Mears
Babington	Adam E.	Walt Disney Parks and Resorts, U.S.
Baker	Arthur J.R.	BankAtlantic Bancorp, Inc.; Orlando Health, Inc.; Orlando Magic, Ltd.; SED Development, LLC; United States Tennis Association, Inc. (a/k/a USTA); United States Tennis Professional Association Incorporated (a/k/a USPTA); Marc Bortz; 205 East Central Properties, LLC; City Centre Properties, LLC; One North Orange LLC; City Cab Company of Orlando, Inc.; Robert G. Nunziata; Nunziata Holdings, LLC
Batchelor	Dick J.	Colonial Life, Amscot Financial, Rural/Metro Ambulance
Besanceney	Brian	Walt Disney Parks and Resorts, U.S.
Bruce	Derek	Mt. Olive A.M.E. Church; Microsoft Corporation; SeaWorld Parks & Entertainment, Inc.; Ride Right, LLC
Carmody	Christopher L.	Centerplate Catering Corporation; Crown Castle USA, Inc.; Economic Development Commission of Mid-Florida, Inc., d/b/a Metro Orlando Economic Development Commission; MV Transportation, Inc. of California; Orlando Magic, Ltd.; Orlando City Soccer Club; Parsons Corporation; Reiss Engineering, Inc.; University of Central Florida
Christian	David	Florida Hospital

CITY OF ORLANDO'S REGISTERED LOBBYISTS (March 30, 2016)

For the current list, go to <http://www.cityoforlando.net/cityclerk/lobbyist-listings/>

Last Name	First Name	Representing
Cloud	Thomas A.	Campus Crusade for Christ, Inc.; Earl M. Crittenden, Jr., as Trustee; Lake Hart Partners II, LTD.; Publix Super Market, Inc.; West 50 Joint Venture; WGML Investments, Ltd., f/k/a BML Investments; Wycliffe Bible Translators, Inc.
Cohen	Kelly	NoPetro; Vista East Consulting Services, LLC; Ashbritt Environmental, Inc.; American Traffic Solutions, Inc.; Baker Barrios Architects, Inc.; Aaron Zahn-BCR Environmental Corporation; Berman Property Management; BMG Money; CBC Holdings; Cigna Corporation; Discovery Education Services, Inc.; Lake Nona; Maruti Transit Group; Roger Chapin - Mears Transportation Group; Orlando City Soccer; Mansoor Mollaghasemi, Ph.D-Productivity Apex, Inc.; RP Funding, Inc.; Rural Metro Corporation; Schneider Electric Buildings America, Inc.; Trademark Metals Recycling, LLC; Community Champions; Orlando Pro Hockey Op, LP dba Orlando Solar Bears; Vestcor; Walbridge; WaWa, Inc.; Walt Disney World; Lynn Jennings - WeCare TLC, LLC; Paul F. Vincent - Wells Fargo Bank, N.A.; Balfour Beatty; Copart
Craig	Kevin	Central Florida Hotel and Lodging Association
Dawson	Christopher T.	Orlando City Soccer Club; Natures Table Franchise Company; Economic Development Commission of Mid-Florida, Inc., d/b/a Metro Orlando Economic Development Commission; Centerplate Catering Corporation
Dymond	William T. Jr.	400 North Orange, LLC; Florida Citrus Sports Association, Inc.; Florida Citrus Sports Events, Inc.; Rida Development Corporation; Tangerine Sports Association, Inc.; Uber Technologies, Inc.; Lift Orlando, Inc.; ROC Seniors Housing & Medical Properties Fund; Orlando Orange County Convention Center d/b/a Visit Orlando
Evora	Orlando L.	I-Drive Investors, LLC; WaWa, Inc.
Fildes	Richard J.	Eola ACP, LLC; Florida Citrus Sports Association; Florida Citrus Sports Events, Inc.; Heistand, James R.; InvenTrust Properties Corp.; Orlando Sportsplex, Ltd.; Parkway Realty Services, LLC f/k/a Eola Capital, LLC; Picerne Development Corporation of Florida; Tangerine Sports Association, Inc.; Tishman Hotel Corporation; Xenia Hotels & Resorts, Inc.

CITY OF ORLANDO'S REGISTERED LOBBYISTS (March 30, 2016)

For the current list, go to <http://www.cityoforlando.net/cityclerk/lobbyist-listings/>

Last Name	First Name	Representing
Fitzgerald	Miranda	Al Kharj, LLC; Al Yamama, LLC; Arruda Pires Associates USA, Inc.; Attorneys' Title Insurance Fund, Inc.; Beachline North Residential, LLC; Beachline South Residential, LLC; Beltway Commerce Center, CD 93, Ltd.; Carlsbad Orlando, LLC; Carter-OCC Land Trust; GSSW OL, LC/ Radebaugh Properties, Inc.; Hughes, Inc.; Mockingbird Orlando, LLC; Narcoossee Acquisitions, LLC; Nemours Children's Hospital; Orlando Officenter, LLC; Panattoni Development Company; Principal Mutual Life Insurance Company; Radebaugh Properties, Inc.; Thomas W. Sabin; University of Central Florida; Vista East Consulting Services, LLC; Windrush-REO, LP; Robert A. Yeager, Trustee; Orlando NTC Partners; Darley, Hugh E. and Ashlie A.; Orlando Union
Florio, P.E.	John M.	Ashton Orlando Residential, LLC; Beazer Homes; Boggy Creek Improvement District; Camden Development, Inc.; DiVosta Homes, LP; Duke Realty Corporation; Finfrock; Flagler Development Company; Florida Hospital; Gaudreau, Inc.; Greater Orlando Aviation Authority; Greenway Park DRI, LLC; Greenway Improvement District; GSSW REO, L.C.; Hazeltine, LLC; Lake Nona Central, LLC; Lake Nona Estates, I, LLC; Lake Nona Golf & Country Club, LLC; Lake Nona Land Company, LLC; Lake Nona Laureate Park, LLC; Lake Nona Plaza, LLC; Lake Nona Research I, LLC; Lake Nona South Parcels, LLC; Landport Land Holding, LLC; Latham, Shuker, Eden & Beaudine; Little Diversified Architectural Consulting, Inc.; LMC Millenia Holdings, LLC; LN Towncenter I, LLC; LN Watermark, LLC; Mattamy Homes Corporation; Minto Communities, LLC; Myrtle Creek Improvement District; Narcoossee Land Holding Two, LLC; Narcoossee Land Holding Three, Inc.; Publix Super Markets, Inc.; Pulte Home Corporation; Radebaugh Properties, Inc.; Taurus Southern Investments, LLC; Tavistock Development, LLC; Unicorp National Development, Inc.; Universal Studios Florida; Vista
Francis	Thomas E.	Lowndes, Drosdick, Doster, Kantor & Reed, P.A.; Orlando Magic, Ltd.
Fraunfelder	Grant	Morgan Stanley & Co. LLC

CITY OF ORLANDO'S REGISTERED LOBBYISTS (March 30, 2016)

For the current list, go to <http://www.cityoforlando.net/cityclerk/lobbyist-listings/>

Last Name	First Name	Representing
Gonzalez	Jose M.	Florida East Coast Industries, LLC
Gorovitz	Aaron J.	7-Eleven, Inc.; Adler Group, Inc.; Avanti Investment Advisors, Inc.; Canyon Capital Realty Advisors; Chickasaw Holdings, LLC; Deshpande, Inc.; DRA Advisors; The Faine Group, Inc.; Alan Ginsburg; Hill Gray Seven, LLC; Landstar Development Group, LLC; Lincoln Mortgage Corp.; Park Square Enterprises, Inc.; Pulte Group, Inc.; RaceTrac Petroleum, Inc.; RMC Property Group; Shagbark Properties LLC; Southstate Management Corporation; Zom Development, Inc.;
Gray	J. Charles	American Maglev Technology of Florida, Inc.; Economic Development Commission of Mid-Florida, Inc., d/b/a Metro Orlando Economic Development Commission; Florida EMMI LLC; Natures Table Franchise Company; Orlando Magic, Ltd.; Woodward & Curran, Inc.
Grindstaff	Michael J.	Darden Corporation; FB Orlando Acquisition Company, LLC; Lennar Homes, LLC; Nephron Pharmaceuticals Corporation; Pollack Partners; Orlando Design Center, c/o Prime Retail Property Management, LLC; Sanford-Burnham Medical Research Institute, Inc.; Tim Committee; City Centre Properties, LLC; Urban Orlando Partners, LLC
Heekin, Jr.	James	Orlando Repertory Theatre, Inc.
Hipp	Kent L.	iHeartMedia, Inc., Clear Channel Outdoor, and Affiliated Entities (f/k/a Clear Channel Outdoor, Inc.)
Howard	J.W.	Morgan Stanley & Co. LLC
Huels	Jonathan P.	3117 South Orange, LLC; Atlantic Housing Group Partners, LLC; Atlantic Housing Partners, LLLC; Atlantic Housing Partners, LLLP; Chelsea Orlando Development Limited Partnership; Famlee Investment Company; Green Courte Partners, LLC; Jefferson Apartment Group; LeeVista, Inc.; Omninet Fountain LP; Sam's East, Inc.; Wal-Mart Stores, Inc.; Wal-Mart Stores East, L.P.; UBS Realty Investors, LLC
Illas	Francois	Florida East Coast Industries, LLC

CITY OF ORLANDO'S REGISTERED LOBBYISTS (March 30, 2016)

For the current list, go to <http://www.cityoforlando.net/cityclerk/lobbyist-listings/>

Last Name	First Name	Representing
Kantor, Esq.	Hal	Ace Orlando, LLC; Chelsea Orlando Development Limited Partnership; College Park Commons Condominium Association; Dr. Phillips Center for the Performing Arts, Inc. fka Orlando Performing Arts Center Corporation; Famlee Investment Company; Green Courte Partners, LLC; HAH, LLC; Jefferson Apartment Group; LeeVista, Inc.; Orlando Health; Orlando Magic, Ltd.; Owens, Renz & Lee Co., Inc. and Owens Realty Network, LLC d/b/a Owens Realty Services; PSA Constructors, Inc.; Wal-Mart Stores, Inc.
Kendig-Schrader	Julie P.	Murrell Legacy, LLC
Kittinger, Jr.	Fred R.	University of Central Florida
Lee	Gregory D.	BankAtlantic Bancorp, Inc.; Orlando Health, Inc.; Orlando Magic, Ltd.; SED Development, LLC; United States Tennis Association, Inc. (a/k/a USTA); United States Tennis Professional Association Incorporated (a/k/a USPTA); Marc Bortz; 205 East Central Properties, LLC; City Centre Properties, LLC; One North Orange LLC; City Cab Company of Orlando, Inc.; Robert G. Nunziata; Nunziata Holdings, LLC
Louis-Charles	Ayanna	Morgan Stanley & Co., LLC
Madden	Don	Microsoft Corporation; SeaWorld Parks & Entertainment, Inc.
Maldecki	Richard	Central Florida Hotel and Lodging Association
McGrath	Kirsten	Jefferies, LLC

CITY OF ORLANDO'S REGISTERED LOBBYISTS (March 30, 2016)

For the current list, go to <http://www.cityoforlando.net/cityclerk/lobbyist-listings/>

Last Name	First Name	Representing
McIntosh, Jr.	Donald W.	Ashton Orlando Residential, LLC; Beazer Homes; Boggy Creek Improvement District; Camden Development, Inc.; DiVosta Homes, LP; Duke Realty Corporation; Finfrock; Flagler Development Company; Florida Hospital; Gaudreau, Inc.; Greater Orlando Aviation Authority; Greenway Park DRI, LLC; Greenway Improvement District; GSSW REO, L.C.; Hazeltine, LLC; Lake Nona Central, LLC; Lake Nona Estates, I, LLC; Lake Nona Golf & Country Club, LLC; Lake Nona Land Company, LLC; Lake Nona Laureate Park, LLC; Lake Nona Plaza, LLC; Lake Nona Research I, LLC; Lake Nona South Parcels, LLC; Landport Land Holding, LLC; Latham, Shuker, Eden & Beaudine; Little Diversified Architectural Consulting, Inc.; LMC Millenia Holdings, LLC; LN Towncenter I, LLC; LN Watermark, LLC; Mattamy Homes Corporation; Minto Communities, LLC; Myrtle Creek Improvement District; Narcoossee Land Holding Two, LLC; Narcoossee Land Holding Three, Inc.; Publix Super Markets, Inc.; Pulte Home Corporation; Radebaugh Properties, Inc.; Taurus Southern Investments, LLC; Tavistock Development, LLC; Unicorp National Development, Inc.; Universal Studios Florida; Vista East Consulting Services, LLC
McReynolds	John L.	Universal City Development Partners LTD. (DBA Universal Orlando)
Mitchell	Kevin	Oracle America, Inc.
Nagahama	Guy K	Jefferies, LLC

CITY OF ORLANDO'S REGISTERED LOBBYISTS (March 30, 2016)

For the current list, go to <http://www.cityoforlando.net/cityclerk/lobbyist-listings/>

Last Name	First Name	Representing
Newton, P.E.	Jeffrey J.	Ashton Orlando Residential, LLC; Beazer Homes; Boggy Creek Improvement District; Camden Development, Inc.; DiVosta Homes, LP; Duke Realty Corporation; Finfrook; Flagler Development Company; Florida Hospital; Gaudreau, Inc.; Greater Orlando Aviation Authority; Greenway Park DRI, LLC; Greenway Improvement District; GSSW REO, L.C.; Hazeltine, LLC; Lake Nona Central, LLC; Lake Nona Estates, I, LLC; Lake Nona Golf & Country Club, LLC; Lake Nona Land Company, LLC; Lake Nona Laureate Park, LLC; Lake Nona Plaza, LLC; Lake Nona Research I, LLC; Lake Nona South Parcels, LLC; Landport Land Holding, LLC; Latham, Shuker, Eden & Beaudine; Little Diversified Architectural Consulting, Inc.; LMC Millenia Holdings, LLC; LN Towncenter I, LLC; LN Watermark, LLC; Mattamy Homes Corporation; Minto Communities, LLC; Myrtle Creek Improvement District; Narcoossee Land Holding Two, LLC; Narcoossee Land Holding Three, Inc.; Publix Super Markets, Inc.; Pulte Home Corporation; Radebaugh Properties, Inc.; Taurus Southern Investments, LLC; Tavistock Development, LLC; Unicorp National Development, Inc.; Universal Studios Florida; Vista East Consulting Services, LLC
Owen	Borron	Adventist Health System Sunbelt Healthcare Corp.; Adventist Health System/Sunbelt, Inc. d/b/a Florida Hospital
Patterson	Tamaa W.	Jefferies, LLC
Pope	Nicholas A.	400 North Orange, LLC; Compton Properties, LLLP; GCB Associates, Ltd.; Mabel Groves, LLLP; Pineloch Management Corporation; Puff 'N Stuff; Southern Properties, LLLP; Southside Shopping Centers, LLLP; Talk of the Town
Ring	Alexander	SEIU Florida Public Services Union
Roberts	Russell	Kolleen O.P. Cobb - Florida East Coast Industries LLC
Robinson	Richard	Adventist Health System Sunbelt Healthcare Corporation; Adventist Health System/Sunbelt, Inc. d/b/a Florida Hospital

CITY OF ORLANDO'S REGISTERED LOBBYISTS (March 30, 2016)

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Last Name	First Name	Representing
Ryan	Michael	Ferrari of Central Florida, Inc.; Island Hospitality Partners, LLC; Nemours Foundation; UCF Finance Corporation; University of Central Florida; University of Central Florida Foundation, Inc.
Searl	Jason W.	Accenture, LLC; Consolidated Mark Development, LLC; Digital Smart Signs, LLC; Go Green Charity Recyclers, LLC; Crown Castle USA, Inc.; GDC Properties, Inc.; Oates Creek, LLC; Central Florida Motor Sales, Inc. d/b/a Toyota of Orlando; Wendy's International, Inc.;
Setzer	Jonathan Alex	American Traffic Solutions, Inc.; Ashbritt Environmental, Inc.; Baker Barrios Architects, Inc.; Balfour Beatty; Berman Property Maintenance and Construction; BMG Money; Aaron Zahn -BCR Environmental Corporation; CBC Holdings; Cigna Corporation; Community Champions; Discovery Education Services, Inc.; Lake Nona; Maruti Transit Group; Roger Chapin - Mears Transportation Group; Orlando City Soccer; Orlando Pro Hockey Op, LP dba Orlando Solar Bears; Mansooreh Mollaghasemi, Ph.D - Productivity Apex, Inc.; RP Funding, Inc.; Rural Metro Corporation; Schneider Electric Buildings America, Inc.; Trademark Metals Recycling, LLC; Vestcor; Walt Disney World; WaWa, Inc.; Walbridge; Paul F. Vincent - Wells Fargo Bank, N.A.; NoPetro; Vista East Consulting Services, LLC
Sheppard, Jr.	Alan C.	Sears Holding Corp.; Murrell Legacy, LLC
Smoley	Sharon C.	Walt Disney Parks and Resorts, U.S.
Sullivan	Thomas R.	Tribridge Residential, LLC; Racetrac Petroleum, Inc., JEL Site Development, Inc.; Centerline Homes, Inc.; Watercrest
Swanson	Julia	Florida Hospital
Tobin	Myles L.	Kolleen O.P. Cobb
Van Smith	Jean	Florida Hospital
Wilkes	Thomas	American Maglev Technology of Florida, Inc.; Florida EMMI LLC;
Williams	Rawn	Jefferies, LLC

CITY OF ORLANDO'S REGISTERED LOBBYISTS (March 30, 2016)

For the current list, go to <http://www.cityoforlando.net/cityclerk/lobbyist-listings/>

Last Name	First Name	Representing
Wilson	M. Rebecca	Atlantic Housing Group Partners, LLC; Atlantic Housing Partners, LLLC; Atlantic Housing Partners, LLLP; Casto Southeast Realty LLC; CNL Commercial Real Estate; Famlee Investment Company; Jefferson Apartment Group; LeeVista, Inc.; Mill Creek Residential Trust; Pizzuti Park Lake, LLC; Presbyterian Retirement Communities, Inc.; Real Estate Inverlad Development, LLC; ROC Seniors Housing & Medical Properties Fund; Wal-Mart Stores, Inc.; Wal-Mart Stores East, L.P.; Zom Companies Cresecent Communities, LLC

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

Adams, Leticia

Date of Registration: 1/1/2016

Firm or Company: **Walt Disney Parks and Resorts, U.S.**

P.O. Box 10,000, Lake Buena Vista, Florida 32830-1000

407-828-5072

Principals Representing (1)

Ahlers, Mark F.

Date of Registration: 1/1/2016

Firm or Company: **Fishback, Dominick, Bennett, Ardaman, Ahlers, Langley & Geller LLP**

1947 Lee Road, Winter Park, Florida 32789

407-262-8400

Principals Representing (54)

Ardaman, Alan Kurt

Date of Registration: 1/1/2016

Firm or Company: **Fishback, Dominick, Bennett, Ardaman, Ahlers, Langley & Geller LLP**

1947 Lee Road, Winter Park, Florida 32789

407-262-8400

Principals Representing (55)

Argabright, Katherine

Date of Registration: 1/1/2016

Firm or Company: **Orange County Professional Fire Fighters**

6828 Hanging Moss Drive, Orlando, Florida 32807

407-380-2057

Principals Representing (1)

Avery-Smith, Ellen

Date of Registration: 1/1/2016

Firm or Company: **Rogers Towers, P.A.**

201 South Orange Avenue, Suite 720, Orlando, Florida 32801

407-567-1170

Principals Representing (3)

Ayers, Michael S.

Date of Registration: 1/1/2016

Firm or Company: **Sanford Burnham Prebys Medical Discovery Institute**

6400 Sanger Road, Orlando, Florida 32827

407-745-2184

Principals Representing (1)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

Babington, Adam E.

Date of Registration: 1/1/2016

Firm or Company: **Walt Disney Parks and Resorts, U.S.**

P.O. Box 10,000, Lake Buena Vista, Florida 32830-1000

407-828-5072

Principals Representing (1)

Baker, Arthur J.R.

Date of Registration: 1/1/2016

Firm or Company: **Baker & Hostetler, LLP**

200 South Orange Avenue, Suite 2300, Orlando, Florida 32802

407-649-3011

Principals Representing (10)

Batchelor, Dick J.

Date of Registration: 1/1/2016

Firm or Company: **Dick J. Batchelor Management Group**

201 South Orange Avenue, Orlando, Florida 32801

407-841-4311

Principals Representing (7)

Baxter, Christina

Date of Registration: 1/1/2016

Firm or Company: **Poulos & Bennett, LLC**

2602 E. Livingston Street, Orlando, Florida 32803

407-487-9594

Principals Representing (63)

Beckett, William A.

Date of Registration: 1/1/2016

Firm or Company: **Lowndes, Drosdick, Doster, Kantor & Reed, P.A.**

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-418-4600

Principals Representing (236)

Bennett, John F.

Date of Registration: 1/1/2016

Firm or Company: **Fishback, Dominick, Bennett, Ardaman, Ahlers, Langley & Geller LLP**

1947 Lee Road, Winter Park, Florida 32789

407-262-8400

Principals Representing (54)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

Bennett, R. Lance

Date of Registration: 1/1/2016

Firm or Company: **Poulos & Bennett, LLC**

2602 E. Livingston Street, Orlando, Florida 32803

407-467-9544

Principals Representing (57)

Besanceney, Brian

Date of Registration: 1/1/2016

Firm or Company: **Walt Disney Parks and Resorts, U.S.**

P.O. Box 10,000, Lake Buena Vista, Florida 32830-1000

407-828-5072

Principals Representing (1)

Bonifay, Cecelia

Date of Registration: 1/1/2016

Firm or Company: **Akerman, LLP**

420 South Orange Avenue, Suite 1200, Orlando, Florida 32801

407-419-8540

Principals Representing (3)

Brown, Ted R.

Date of Registration: 1/1/2016

Firm or Company: **Holland & Knight, LLP**

200 South Orange Avenue, Suite 2600, Orlando, Florida 32801

407-244-1125

Principals Representing (6)

Bruce, Derek

Date of Registration: 1/1/2016

Firm or Company: **Gunster, Yoakley & Stewart, P.A.,**

200 S. Orange Avenue, Suite 1400, Orlando, Florida 32801

407-648-5077

Principals Representing (7)

Brunner, Beth

Date of Registration: 1/1/2016

Firm or Company: **Florida College of Emergency Physicians**

3717 South Conway Road, Orlando, Florida 32812

407-281-7396

Principals Representing (2)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Carmody, Jr., Christopher L.](#)

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(119\)](#)

[Chipok, Paul H.](#)

Date of Registration: 1/1/2016

Date of Termination: 3/2/2016

Firm or Company: [Gray Robinson, P.A.](#)

1101 E 1st St, Sanford, Florida 32771

407-665-7242

[Principals Representing \(119\)](#)

[Christian, David](#)

Date of Registration: 1/1/2016

Firm or Company: [Florida Hospital](#)

2520 N. Orange Avenue, Orlando, Florida 32804

407-303-2850

[Principals Representing \(1\)](#)

[Cloud, Thomas A.](#)

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(119\)](#)

[Cohen, Kelly](#)

Date of Registration: 1/1/2016

Firm or Company: [Southern Strategy Group of Orlando](#)

28 W. Central Blvd., Suite 260, Orlando, Florida 32801

407-650-5052

[Principals Representing \(42\)](#)

[Cooper, Douglas](#)

Date of Registration: 1/1/2016

Firm or Company: [Orange County Professional Fire Fighters](#)

6828 Hanging Moss Drive, Orlando, Florida 32807

407-380-2057

[Principals Representing \(1\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Cooper, James "Jim" E.](#)

Date of Registration: 1/1/2016

Firm or Company: [Cooper Development LLC](#)

400 N. New York Avenue #110, P.O. Box 2173, Winter Park, Florida 32790

407-808-9386

[Principals Representing \(2\)](#)

[Costar, III, Charles B.](#)

Date of Registration: 1/1/2016

Firm or Company: [Shutts & Bowen, LLP](#)

300 South Orange Ave., Ste 1000, PO Box 4956, Orlando, Florida 32802

407-835-6910

[Principals Representing \(85\)](#)

[Craig, Kevin D.](#)

Date of Registration: 1/1/2016

Firm or Company: [Central Florida Hotel and Lodging Association](#)

6675 Westwood Boulevard, Orlando, Florida 32821

407-313-5035

[Principals Representing \(1\)](#)

[Crawford, Gregory](#)

Date of Registration: 1/1/2016

Firm or Company: [Poulos & Bennett, LLC](#)

2602 E. Livingston Street, Orlando, Florida 32803

407-487-2594

[Principals Representing \(61\)](#)

[Daly, Thomas E.](#)

Date of Registration: 3/21/2016

Firm or Company: [Daly Design Group Inc.](#)

913 N. Pennsylvania Avenue, Winter Park, Florida 32789

407-740-7373

[Principals Representing \(12\)](#)

[Dawson, Christopher T.](#)

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(119\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Dobrev, Alexander](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Dr., P.O. Box 2809, Orlando, Florida 32802-2809

407-843-4600

[Principals Representing \(232\)](#)

[Drage, Robin G.](#)

Date of Registration: 2/18/2016

Firm or Company: [Drage Law](#)

Post Office Box 536057, Orlando, Florida 32853

407-616-5858

[Principals Representing \(1\)](#)

[Dymond, William T.](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-846-4600

[Principals Representing \(232\)](#)

[Egan, Scott](#)

Date of Registration: 1/1/2016

Firm or Company: [Orange County Professional Fire Fighters](#)

6828 Hanging Moss Drive, Orlando, Florida 32807

407-380-2057

[Principals Representing \(1\)](#)

[Elliott, Eileen Frances](#)

Date of Registration: 1/1/2016

Firm or Company: [Orlando Regional Realtor Association](#)

1330 Lee Road, Orlando, Florida 32810

407-513-7276

[Principals Representing \(1\)](#)

[Ericks, Candice](#)

Date of Registration: 1/1/2016

Firm or Company: [Ericks Consultants, Inc.](#)

333 N. New River Drive, East Suite 2000B, Ft. Lauderdale, Florida 33301

954-648-1204

[Principals Representing \(1\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Evers, III, Charles W.](#)

Date of Registration: 1/1/2016

Firm or Company: [Consensus Communications, Inc.](#)

201 S. Orange Ave., Suite 950, Orlando, Florida 32801

407-608-9500

[Principals Representing \(3\)](#)

[Evora, Orlando L.](#)

Date of Registration: 1/1/2016

Firm or Company: [Greenberg Traurig, P.A.](#)

450 South Orange Avenue, Suite 650, Orlando, Florida 32801

407-420-1000

[Principals Representing \(32\)](#)

[Fides, II, Peter J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Greenberg Traurig, P.A.](#)

450 South Orange Avenue, Suite 650, Orlando, Florida 32801

407-420-1000

[Principals Representing \(32\)](#)

[Fildes, Richard J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

[Principals Representing \(232\)](#)

[Fitzgerald, Miranda F.](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802-2809

407-843-4600

[Principals Representing \(233\)](#)

[Florio, John M.](#)

Date of Registration: 1/1/2016

Firm or Company: [Donald W. McIntosh Associates, Inc.](#)

2200 Park Avenue North, Winter Park, Florida 32789

407-644-4068

[Principals Representing \(64\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Francis, Thomas E.](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802-2809

407-843-4600

[Principals Representing \(232\)](#)

[Frey, Jr., Lou](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802-2809

407-843-4600

[Principals Representing \(232\)](#)

[Gentry, Scott M.](#)

Date of Registration: 1/1/2016

Firm or Company: [Kelly, Collins & Gentry, Inc.](#)

1700 North Orange Avenue, Orlando, Florida 32804

407-898-7858

[Principals Representing \(1\)](#)

[Glass, Scott A.](#)

Date of Registration: 1/1/2016

Firm or Company: [Shutts & Bowen, LLP](#)

300 South Orange Ave., Ste 1000, Orlando, Florida 32801

407-835-6910

[Principals Representing \(86\)](#)

[Glunt, David F.](#)

Date of Registration: 1/1/2016

Firm or Company: [Madden, Moorhead & Glunt, Inc.](#)

431 E. Horatio Avenue, Suite 260, Maitland, Florida 32751

407-629-8330

[Principals Representing \(2\)](#)

[Gonzalez, Jose M](#)

Date of Registration: 1/1/2016

Firm or Company: [Florida East Coast Industries, LLC](#)

2855 South Le Jeune Road, 4th Floor, Coral Gables, Florida 33134

305-520-2300

[Principals Representing \(1\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Gorovitz, Aaron](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802-2809

407-843-4600

[Principals Representing \(232\)](#)

[Gray, J. Charles](#)

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(119\)](#)

[Grindstaff, Michael J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Shutts & Bowen, LLP](#)

300 South Orange Ave., Ste 1000, Orlando, Florida 32801

407-835-6927

[Principals Representing \(85\)](#)

[Hall, Jim](#)

Date of Registration: 1/1/2016

Firm or Company: [VHB](#)

225 E Robinson St., Ste. 300, Orlando, Florida 32801

407-839-4006

[Principals Representing \(48\)](#)

[Harbert, Thomas R.](#)

Date of Registration: 1/1/2016

Firm or Company: [Mateer & Harbert, P.A.](#)

225 E. Robinson Street, Suite 600, Orlando, Florida 32801

407-425-9044

[Principals Representing \(2\)](#)

[Hattaway, Kathryn S](#)

Date of Registration: 1/1/2016

Firm or Company: [Hattaway Consulting, Inc. \(dba HCI Planning\)](#)

100 E. Sybelia Avenue, Suite 375 , Maitland, Florida 32751

407-622-7500

[Principals Representing \(2\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Hawkins, II, Charles J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Charles J. Hawkins, II, P.A.](#)

2215 West Gore Street, Orlando, Florida 32805

407-923-2180

[Principals Representing \(1\)](#)

[Heekin, James F.](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

[Principals Representing \(232\)](#)

[Hipp, Kent J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(119\)](#)

[Hoagland, Shannon D.](#)

Date of Registration: 1/1/2016

Firm or Company: [Hoagland Law, PLLC](#)

10412 Sparkle Court, Orlando, Florida 32867

651-208-4570

[Principals Representing \(1\)](#)

[Hoffman, James](#)

Date of Registration: 1/1/2016

Firm or Company: [VHB](#)

225 E Robinson St., Ste. 300, Orlando, Florida 32801

407-839-4006

[Principals Representing \(40\)](#)

[Holsenbeck, Daniel C.](#)

Date of Registration: 1/1/2016

Firm or Company: [University of Central Florida](#)

P.O. Box 160040, Orlando, Florida 32816-0040

407-823-2387

[Principals Representing \(1\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

Homler, Tiffany L.

Date of Registration: 1/1/2016

Firm or Company: **Central Florida Regional Transportation Authority d/b/a LYNX**

455 North Garland Avenue, Orlando, Florida 32801

407-254-6064

Principals Representing (1)

Howat, Scott D.

Date of Registration: 1/1/2016

Firm or Company: **Orange County Public Schools**

445 West Amelia Street, Orlando, Florida 32801

407-317-3337

Principals Representing (1)

Huels, Jonathan P.

Date of Registration: 1/1/2016

Firm or Company: **Lowndes, Drosdick, Doster, Kantor & Reed, P.A.**

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

Principals Representing (235)

Igua, Jaime

Date of Registration: 1/1/2016

Firm or Company: **VHB**

225 E Robinson St., Ste. 300, Orlando, Florida 32801

407-839-4006

Principals Representing (41)

Illas, Francois M.

Date of Registration: 1/1/2016

Firm or Company: **Florida East Coast Industries, LLC**

2855 South Le Jeune Road, 4th Floor, Coral Gables, Florida 33134

305-520-2300

Principals Representing (1)

Jacobsen, Kenneth E.

Date of Registration: 1/1/2016

Firm or Company: **Deseret Cattle & Citrus**

13754 Deseret Lane, St. Cloud, Florida 34773

407-892-3672

Principals Representing (2)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

James, Juli Simas

Date of Registration: 1/1/2016

Firm or Company: [Shutts & Bowen, LLP](#)

300 South Orange Avenue, Suite 100, Orlando, Florida 32801

407-835-6774

[Principals Representing \(85\)](#)

Johnson, Nathaniel

Date of Registration: 1/1/2016

Firm or Company: [JP Morgan Securities, LLC](#)

450 South Orange Ave, 10th Floor, Orlando, Florida 32801

407-843-0624

[Principals Representing \(1\)](#)

Johnston, James

Date of Registration: 1/1/2016

Firm or Company: [Shutts & Bowen, LLP](#)

300 South Orange Ave., Ste 1000, PO Box 4956, Orlando, Florida 32801

407-835-6795

[Principals Representing \(2\)](#)

Kahli, Beat M.

Date of Registration: 1/1/2016

Firm or Company: [Avalon Park Group](#)

3680 Avalon Park Drive, Suite 300, Orlando, Florida 32828

407-658-6565

[Principals Representing \(3\)](#)

Kantor, Hal H.

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

[Principals Representing \(233\)](#)

Kelly, Lavern R.

Date of Registration: 1/1/2016

Firm or Company: [KNA Services, Inc.](#)

520 W. Lake Mary Blvd., Suite 102, Sanford, Florida 32773

407-329-3888

[Principals Representing \(5\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

Kendig-Schrader, Julie P.

Date of Registration: 1/1/2016

Firm or Company: **Greenberg Traurig, P.A.**

450 South Orange Avenue, Suite 650, Orlando, Florida 32801

407-420-1000

Principals Representing (32)

Kershner, R. Bruce

Date of Registration: 1/1/2016

Firm or Company: **Underground Utility Contractors of Florida, Inc.**

231 West Bay Avenue, Longwood, Florida 32750

407-830-1882

Principals Representing (1)

Kittinger, Fred R.

Date of Registration: 1/1/2016

Firm or Company: **University of Central Florida**

, P.O. Box 160040, Orlando, Florida 32816

407-823-6310

Principals Representing (1)

Kolb Jr., Joseph F

Date of Registration: 1/1/2016

Firm or Company: **VHB**

225 E Robinson St., Ste. 300, Orlando, Florida 32801

407-839-4006

Principals Representing (48)

Langley, Daniel W.

Date of Registration: 1/1/2016

Firm or Company: **Fishback, Dominick, Bennett, Ardaman, Ahlers, Langley & Geller LLP**

1947 Lee Road, Winter Park, Florida 32789

407-262-8400

Principals Representing (54)

Lee, Charles

Date of Registration: 1/1/2016

Firm or Company: **Audubon Florida / Florida Audubon Society, Inc.**

1101 Audubon Way, Maitland, Florida 32751

407-620-5178

Principals Representing (2)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Lee, Gregory D.](#)

Date of Registration: 1/1/2016

Firm or Company: [Baker & Hostetler, LLP](#)

200 South Orange Avenue, Suite 2300, P.O. Box 112, Orlando, Florida 32802

407-649-4096

[Principals Representing \(12\)](#)

[Lewis, Terry E.](#)

Date of Registration: 1/1/2016

Firm or Company: [Lewis, Longman & Walker, P.A](#)

515 North Flagler Drive, Suite 1500, West Palm Beach, Florida 33401

561-640-0820

[Principals Representing \(1\)](#)

[Loncar, Dana](#)

Date of Registration: 1/1/2016

Firm or Company: [Consensus Communications, Inc.](#)

201 S. Orange Ave., Suite 950, Orlando, Florida 32801

407-608-9500

[Principals Representing \(3\)](#)

[Long, Anna H](#)

Date of Registration: 1/1/2016

Firm or Company: [Dean, Mead, Egerton, Bloodworth, Capouano & Bozarth, P.A.](#)

800 North Magnolia Ave., Suite 1500, Orlando, Florida 32803

407-428-5120

[Principals Representing \(4\)](#)

[Madden, Charles M.](#)

Date of Registration: 1/1/2016

Firm or Company: [Madden, Moorhead & Glunt, Inc.](#)

431 E. Horatio Avenue, Suite 260, Maitland, Florida 32751

407-629-8330

[Principals Representing \(1\)](#)

[Magill, James](#)

Date of Registration: 1/1/2016

Firm or Company: [Buchanan Ingersoll & Rooney PC](#)

101 N. Monroe Street, Suite 1090, Tallahassee, Florida 32301

850-681-0411

[Principals Representing \(2\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Maladecki, Richard J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Central Florida Hotel and Lodging Association](#)

6675 Westwood Boulevard, Suite 210, Orlando, Florida 32821

407-313-5035

[Principals Representing \(1\)](#)

[Marchena, Marcos R.](#)

Date of Registration: 1/1/2016

Firm or Company: [Marchena & Graham, P.A.](#)

976 Lake Baldwin Lane, Suite 101, Orlando, Florida 32814

407-658-8566

[Principals Representing \(10\)](#)

[Martin, Scott](#)

Date of Registration: 1/1/2016

Firm or Company: [Martin-Gold Coast, LLC](#)

150 NW 70th Avenue, Suite 3, Plantation, Florida 33317

954-558-4973

[Principals Representing \(1\)](#)

[McCrystal, Darrel](#)

Date of Registration: 1/1/2016

Firm or Company: [Orange County Professional Fire Fighters](#)

6828 Hanging Moss Drive, Orlando, Florida 32807

407-380-2057

[Principals Representing \(1\)](#)

[McIntosh, Donald W.](#)

Date of Registration: 1/1/2016

Firm or Company: [Donald W. McIntosh Associates, Inc.](#)

2200 Park Avenue North, Winter Park, Florida 32789

407-644-4066

[Principals Representing \(65\)](#)

[McKinnon, Genean H.](#)

Date of Registration: 1/1/2016

Firm or Company: [McKinnon Associates, Inc.](#)

701 Via Bella, P.O. Box 2770, Winter Park, Florida 32790

407-341-0341

[Principals Representing \(6\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

McMahon, Michael P.

Date of Registration: 1/1/2016

Firm or Company: **Akerman, LLP**

420 South Orange Ave., Ste 1200, P.O. Box 231, Orlando, Florida 32802

407-423-4000

Principals Representing (4)

McNeil, James H.

Date of Registration: 1/1/2016

Firm or Company: **Akerman, LLP**

420 South Orange Ave., Suite 1200, P.O. Box 231, Orlando, Florida 32801-0231

407-419-8543

Principals Representing (27)

McNeill, Arthur Geoffrey

Date of Registration: 1/1/2016

Firm or Company: **AGMCI, LLC**

235 S. Maitland Avvenue, P.O. Box 941617, Maitland, Florida 32794

407-622-9094

Principals Representing (7)

McReynolds, John L.

Date of Registration: 3/21/2016

Firm or Company: **Universal Parks & Resorts**

1000 Universal Studios Plaza, Orlando, Florida 32819

407-363-8774

Principals Representing (2)

Meade, Mark E.

Date of Registration: 1/1/2016

Firm or Company: **Quantum Spatial, Inc., (formerly GMR Aerial Surveys, Inc. d/b/a Photo Science)**

6200 Metrowest Blvd., Suite 206, Orlando, FL 32835, 532 Wellington Way, Lexington, Kentucky 40503

727-576-9500

Principals Representing (1)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Merritt, James Scott](#)

Date of Registration: 1/1/2016

Firm or Company: [Greater Orlando Builders Association \(fka Home Builders Association of Metro Orlando\)](#)

1953 Clayton Heritage Way, Maitland, Florida 32751

407-629-9242

[Principals Representing \(2\)](#)

[Monaco, Vivien J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Burr & Forman LLP](#)

200 South Orange Ave., Ste. 800, Orlando, Florida 32801

407-540-6658

[Principals Representing \(14\)](#)

[Moorhead, Chadwyck H.](#)

Date of Registration: 1/1/2016

Firm or Company: [Madden, Moorhead & Glunt, Inc.](#)

431 E. Horatio Avenue, Suite 260, Maitland, Florida 32751

407-629-8330

[Principals Representing \(2\)](#)

[Morrall, Matthew E.](#)

Date of Registration: 1/1/2016

Firm or Company: [Matthew E. Morrall P.A.](#)

2850 N. Andrews Place, Ft. Lauderdale, Florida 33311

954-563-4005

[Principals Representing \(1\)](#)

[Munns, Rulon D.](#)

Date of Registration: 1/1/2016

Firm or Company: [Bogin, Munns & Munns, P.A.](#)

2601 Technology Drive, P.O. Box 2807, Orlando, Florida 32802

407-578-1334

[Principals Representing \(2\)](#)

[Neal, Joseph](#)

Date of Registration: 1/1/2016

Firm or Company: [VHB](#)

225 E Robinson St., Ste. 300, Orlando, Florida 32801

407-839-4006

[Principals Representing \(41\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Newton, Jeffrey J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Donald W. McIntosh Associates, Inc.](#)

2200 Park Avenue North, Winter Park, Florida 32789

407-644-4066

[Principals Representing \(62\)](#)

[Nguyen, Truong M.](#)

Date of Registration: 3/18/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, P.O. Box 3096, Orlando, Florida 32802

407-843-3068

[Principals Representing \(120\)](#)

[O'Keefe, Daniel T.](#)

Date of Registration: 1/1/2016

Firm or Company: [Shutts & Bowen, LLP](#)

300 South Orange Ave., Ste 1000, Orlando, Florida 32801

407-835-6956

[Principals Representing \(85\)](#)

[Orosz, Andrew J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

[Principals Representing \(232\)](#)

[Ostrodka, Curtis M.](#)

Date of Registration: 1/1/2016

Firm or Company: [VHB](#)

225 E Robinson St., Ste. 300, Orlando, Florida 32801

407-839-4006

[Principals Representing \(47\)](#)

[Owen, Borron J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(119\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Patterson, Tamaa W.](#)

Date of Registration: 1/1/2016

Firm or Company: [Jefferies LLC](#)

200 South Orange Avenue #1440, Orlando, Florida 32801

407-583-0859

[Principals Representing \(1\)](#)

[Pope, Nicholas A.](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

[Principals Representing \(232\)](#)

[Poulos, Jamie T.](#)

Date of Registration: 1/1/2016

Firm or Company: [Poulos & Bennett, LLC](#)

2602 E. Livingston Street, Orlando, Florida 32803

407-487-9544

[Principals Representing \(62\)](#)

[Powell, David L](#)

Date of Registration: 1/1/2016

Firm or Company: [Hopping Green & Sams PA](#)

119 S. Monroe Street, Suite 300, Tallahassee, Florida 32301

850-222-7500

[Principals Representing \(1\)](#)

[Precourt, Steve:](#)

Date of Registration: 1/1/2016

Firm or Company: [Poulos & Bennett, LLC](#)

2602 E. Livingston Street, Orlando, Florida 32803

407-487-9544

[Principals Representing \(45\)](#)

[Prowell, John](#)

Date of Registration: 1/1/2016

Firm or Company: [VHB](#)

225 E Robinson St., Ste. 300, Orlando, Florida 32801

407-839-4006

[Principals Representing \(47\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

Pruitt, Ken

Date of Registration: 1/1/2016

Firm or Company: **The P5 Group, LLC**

10750 Heil Road, Fort Pierce, Florida 34945

772-971-5760

Principals Representing (2)

Ragsdale, Forrest

Date of Registration: 1/1/2016

Firm or Company: **Greenberg Traurig, P.A.**

450 South Orange Avenue, Suite 650, Orlando, Florida 32801

407-420-1000

Principals Representing (32)

Ramos, Heather M.

Date of Registration: 1/1/2016

Firm or Company: **Gray Robinson, P.A.**

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

Principals Representing (119)

Reyes, Henry

Date of Registration: 1/1/2016

Firm or Company: **JP Morgan Securities, LLC**

450 South Orange Ave, 10th Floor, Orlando, Florida 32801

407-843-0624

Principals Representing (2)

Rich, A. Wayne

Date of Registration: 1/1/2016

Firm or Company: **Broad and Cassel**

390 North Orange Ave., Suite 1400, Orlando, Florida 32801

407-839-4245

Principals Representing (4)

Ritchie, George Michael

Date of Registration: 1/1/2016

Firm or Company: **Quantum Spatial, Inc., (formerly GMR Aerial Surveys, Inc. d/b/a Photo Science)**

532 Wellington Way, Lexington, Kansas 40503

727-576-9500

Principals Representing (1)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Roberts, Russell L](#)

Date of Registration: 1/1/2016

Firm or Company: [All Aboard Florida Operations - LLC](#)

8529 South Park Circle, Suite 190, Orlando, Florida 32819

305-520-2395

[Principals Representing \(1\)](#)

[Robinson, Richard M.](#)

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(119\)](#)

[Roper, Christopher Paul](#)

Date of Registration: 1/1/2016

Firm or Company: [Akerman, LLP](#)

420 South Orange Avenue, Suite 1200, Orlando, Florida 32801

407-423-4000

[Principals Representing \(12\)](#)

[Rosenthal, Paul E.](#)

Date of Registration: 1/1/2016

Firm or Company: [Rosenthal, Paul E.](#)

2605 Norfolk Rd., Orlando, Florida 32803

407-758-3301

[Principals Representing \(4\)](#)

[Ryan, Michael](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

[Principals Representing \(232\)](#)

[Searl, Jason W.](#)

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(122\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Seay, James E. L.](#)

Date of Registration: 1/1/2016

Firm or Company: [Holland & Knight, LLP](#)

200 South Orange Avenue, Suite 2600, Orlando, Florida 32801

407-244-1117

[Principals Representing \(19\)](#)

[Sellen, James E.](#)

Date of Registration: 1/1/2016

Firm or Company: [VHB](#)

225 E Robinson St., Ste. 300, Orlando, Florida 32801

407-839-4006

[Principals Representing \(47\)](#)

[Sheppard, Jr., Alan C.](#)

Date of Registration: 1/1/2016

Firm or Company: [Greenberg Traurig, P.A.](#)

450 South Orange Avenue, Suite 650, Orlando, Florida 32801

407-418-1000

[Principals Representing \(32\)](#)

[Sindler, Robert B](#)

Date of Registration: 1/1/2016

Firm or Company: [Robert B. Sindler](#)

6644 Swain Road, Sorrento, Florida 32776

321-945-7491

[Principals Representing \(1\)](#)

[Sitnik, John](#)

Date of Registration: 1/1/2016

Firm or Company: [Orange County Professional Fire Fighters](#)

6828 Hanging Moss Drive, Orlando, Florida 32807

407-380-2057

[Principals Representing \(1\)](#)

[Sladek, Paul](#)

Date of Registration: 1/1/2016

Firm or Company: [Shutts & Bowen, LLP](#)

300 S. Orange Avenue, Suite 1000, Orlando, Florida 32801

407-835-6768

[Principals Representing \(85\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

Smith, Adam

Date of Registration: 1/1/2016

Firm or Company: **VHB**

225 E Robinson St., Ste. 300, Orlando, Florida 32801

407-839-4006

Principals Representing (48)

Smokey, Sharon C

Date of Registration: 1/1/2016

Firm or Company: **Walt Disney Parks and Resorts, U.S.**

P.O. Box 10,000, Lake Buena Vista, Florida 32830-1000

407-828-5072

Principals Representing (1)

Solik, Mary D

Date of Registration: 1/1/2016

Firm or Company: **Doty Solik Law, P.A.**

121 South Orange Ave., Ste. 1500, Orlando, Florida 32801

407-367-7868

Principals Representing (13)

Spartz, Lindsay N

Date of Registration: 1/1/2016

Firm or Company: **Orlando Regional Realtor Association**

1330 Lee Road, Orlando, Florida 32810

407-813-7277

Principals Representing (1)

Stanly, Jacquelyn L.

Date of Registration: 1/1/2016

Firm or Company: **Orlando Regional Realtor Association**

1330 Lee Road, Orlando, Florida 32810

407-513-7261

Principals Representing (1)

Stehli, Marc

Date of Registration: 1/1/2016

Firm or Company: **Poulos & Bennett, LLC**

2602 E. Livingston Street, Orlando, Florida 32803

407-467-9544

Principals Representing (63)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

Stephens, M. Lane

Date of Registration: 2/1/2016

Firm or Company: [SCG Governmental Affairs](#)

111 N. Calhoun Street, Suite 6, Tallahassee, Florida 32301

850-513-0004

[Principals Representing \(1\)](#)

Stokes, David A.

Date of Registration: 1/1/2016

Firm or Company: [Madden, Moorhead & Glunt, Inc.](#)

431 E. Horatio Avenue, Suite 260, Maitland, Florida 32751

407-629-8330

[Principals Representing \(2\)](#)

Streth, Michelle

Date of Registration: 1/1/2016

Firm or Company: [Orlando Health](#)

45 W Crystal Lake Street, Suite 201, 1414 Kuhl Avenue, MP #56, Orlando, Florida 32806

321-841-6008

[Principals Representing \(2\)](#)

Stuart, Jr., Robert F.

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(119\)](#)

Sullivan, Thomas R.

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(119\)](#)

Tedrow, Tara L.

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

[Principals Representing \(232\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Thalwitzer, Kurt E.](#)

Date of Registration: 1/1/2016

Firm or Company: [Mateer & Harbert, P.A.](#)

225 E. Robinson Street, Suite 600, Orlando, Florida 32802

407-425-9044

[Principals Representing \(1\)](#)

[Thibodaux, Elisabeth](#)

Date of Registration: 1/1/2016

Firm or Company: [SELF](#)

9205 SouthPark Center, Orlando, Florida 32819

407-226-5025

[Principals Representing \(2\)](#)

[Thompson, Scott C.](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

[Principals Representing \(232\)](#)

[Thomson, Mark D.](#)

Date of Registration: 1/1/2016

Firm or Company: [Shutts & Bowen, LLP](#)

300 South Orange Ave., Ste 1000, PO Box 4956, Orlando, Florida 32801

407-835-6738

[Principals Representing \(84\)](#)

[Tobin, Myles L](#)

Date of Registration: 1/1/2016

Firm or Company: [All Aboard Florida Operations - LLC](#)

2855 South Le Jeune Road 4th Fl., Coral Gables, Florida 33134

305-520-2300

[Principals Representing \(1\)](#)

[Townsend, John T.](#)

Date of Registration: 3/17/2016

Firm or Company: [Donald W. McIntosh Associates, Inc.](#)

2200 Park Avenue North, Winter Park, Florida 32789

407-644-4066

[Principals Representing \(59\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

Turnbull, Allison E

Date of Registration: 1/1/2016

Firm or Company: **Holland & Knight, LLP**

200 South Orange Avenue, Suite 1400, Orlando, Florida 32801
407-425-8500

Principals Representing (28)

Van Smith, Jean

Date of Registration: 1/1/2016

Firm or Company: **Florida Hospital**

2520 N. Orange Avenue, Orlando, Florida 32804
407-303-2850

Principals Representing (1)

Warren, Eric E.

Date of Registration: 2/1/2016

Firm or Company: **Poulos & Bennett, LLC**

2602 E. Livingston Street, Orlando, Florida 32803
407-467-9544

Principals Representing (62)

Welch, Jeffrey J

Date of Registration: 1/1/2016

Firm or Company: **Rochelle Holdings**

1900 Summit Townes Blvd., Suite 600, Orlando, Florida 32810
407-215-4835

Principals Representing (1)

Westine, Lauralee G.

Date of Registration: 1/1/2016

Firm or Company: **Law Offices of Lauralee G. Westine, P.A.**

800 Tarpon Woods Blvd. Ste E-1, Palm Harbor, Florida 34685
727-773-2221

Principals Representing (1)

Whyte, William D

Date of Registration: 1/1/2016

Firm or Company: **Deseret Cattle & Citrus**

13754 Deseret Lane, St. Cloud, Florida 34773
407-892-3672

Principals Representing (4)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[Wilkes, Thomas J.](#)

Date of Registration: 1/1/2016

Firm or Company: [Gray Robinson, P.A.](#)

301 East Pine Street, Suite 1400, Orlando, Florida 32802

407-843-3068

[Principals Representing \(120\)](#)

[Willard, James G.](#)

Date of Registration: 1/1/2016

Firm or Company: [Shutts & Bowen, LLP](#)

300 South Orange Ave., Ste 1000, Orlando, Florida 32801

407-423-3200

[Principals Representing \(85\)](#)

[Williams, Rawn N.](#)

Date of Registration: 1/1/2016

Firm or Company: [Jefferies LLC](#)

200 South Orange Avenue #1440, Orlando, Florida 32801

407-683-0856

[Principals Representing \(1\)](#)

[Wilson, M. Rebecca](#)

Date of Registration: 1/1/2016

Firm or Company: [Lowndes, Drosdick, Doster, Kantor & Reed, P.A.](#)

215 North Eola Drive, P.O. Box 2809, Orlando, Florida 32802

407-843-4600

[Principals Representing \(233\)](#)

[Wright, David](#)

Date of Registration: 1/1/2016

Firm or Company: [Taylor Creek Management Company](#)

13754 Deseret Lane, St. Cloud, Florida 34773

407-957-6744

[Principals Representing \(2\)](#)

[Yurko, Alison](#)

Date of Registration: 1/1/2016

Firm or Company: [Alison Yurko, P.A.](#)

921 Bradshaw Terrace, Orlando, Florida 32806

407-426-9141

[Principals Representing \(10\)](#)

ORANGE COUNTY LOBBYISTS (retrieved on April 11, 2016)

For the current list, go to: <http://www.orangecountyfl.net/OpenGovernment/LobbyistsandPrincipals.aspx>

[de la Portilla, Angel](#)

Date of Registration: 3/22/2016

Firm or Company: [Central Florida Strategies, Inc.](#)

121 S. Orange Ave., Suite 1500, Orlando, Florida 32801

407-377-5847

[Principals Representing \(13\)](#)



LAKE COUNTY
FLORIDA

County Attorney
RECEIVED
MAR 31 2016

Sign-in Sheet

Department / Division Name: BCC Office

Month/Year:

March 2016

pg 1

1. Name:	Yanette Moyano + Frank Fernandez	Address:	11715 Flynn Ct.
Client Name:		Client Address:	
Meeting Topic:	Extreme Groves Application		
Name of Person(s) Contacted:			Date:
2. Name:	Michael Kowaly	Address:	17249 Champagne Dr
Client Name:		Client Address:	
Meeting Topic:	Extreme Groves		
Name of Person(s) Contacted:			Date:
3. Name:	Jeff Scott	Address:	17701 Champagne Dr.
Client Name:		Client Address:	17717 Champagne Dr.
Meeting Topic:	Extreme Groves		11634 Saddle Ct.
			11636 Saddle Ct
Name of Person(s) Contacted:	Jeff Scott		Date: 3/22/16
4. Name:	Tom Graham	Address:	11714 Flynn Ct
Client Name:		Client Address:	
Meeting Topic:	EXTREME GROVES		
Name of Person(s) Contacted:			Date:



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: BCC Office

Month/Year:

pg 2

1. Name: Susan Yawn Address: 17921 Marsh Rd
Client Name: _____ Client Address: _____
Meeting Topic: Extreme Groves
Name of Person(s) Contacted: _____ Date: 3/24/16
2. Name: Wiley M. Caution Address: 17773 Champagne Drive
Client Name: _____ Client Address: Winter Garden, FL 34787
Meeting Topic: Extreme Groves Rezoning
Name of Person(s) Contacted: Wiley Caution Date: 3/24/16
3. Name: Scott Bad Address: 17801 Champagne Drive Winter Garden FL 34787
Client Name: _____ Client Address: _____
Meeting Topic: Extreme Groves Rezoning
Name of Person(s) Contacted: Scott Bad. Orange@gmail.com Date: _____
4. Name: Dennis Flynn Address: 11631 Flynn Ct. Winter Garden 34787
Client Name: _____ Client Address: _____
Meeting Topic: Extreme Groves LLC
Name of Person(s) Contacted: Dennis Flynn Date: 3/24/16



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: BCC Office

Month/Year:

march 2016

pg 3

1. Name: Cecelia Bonifay Address: 4205 Orange Ave, Ste 1200 Orlando
Client Name: Friendly Capital Client Address: Maitland, Orlando
Meeting Topic: Lake Meenology Landings
Name of Person(s) Contacted: Comm. Parks / Comm. Champion Date: 2/29/16
2. Name: Cecilia Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Name: _____ Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Name: _____ Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

County Attorney
RECEIVED
MAR 31 2016

Sign-in Sheet

Department / Division Name: County Manager's Office

Month/Year: March 2016

1. Name:	John Roberts Res.	Address:	23918 Coon Rd ASTOR	North Lake County
Client Name:	_____	Client Address:	_____	
Meeting Topic:	ST Johns River utility - Bad water meter - Neglect to Replace			
Name of Person(s) Contacted:	Waiting IN Waiting Rm - WALK IN -			Date: 1 Mar 2016
2. Name:	_____	Address:	_____	
Client Name:	_____	Client Address:	_____	
Meeting Topic:	_____			
Name of Person(s) Contacted:	_____			Date: _____
3. Name:	_____	Address:	_____	
Client Name:	_____	Client Address:	_____	
Meeting Topic:	_____			
Name of Person(s) Contacted:	_____			Date: _____
4. Name:	_____	Address:	_____	
Client Name:	_____	Client Address:	_____	
Meeting Topic:	_____			
Name of Person(s) Contacted:	_____			Date: _____



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: County Attorney's Office

Month/Year:

MARCH 2016

1. Attorney Name:	Attorney Address:
Client Name: Brian Gibson	Client Address: Big Sky Drive
Meeting Topic: NIKENSTON MOISE	
Name of Person(s) Contacted:	Date: 3/17/2016
2. Attorney Name:	Attorney Address:
Client Name: Fred Miller	Client Address:
Meeting Topic: Big Sky	
Name of Person(s) Contacted:	Date: 3-17-16
3. Attorney Name: DIANA	Attorney Address:
Client Name: Erin	Client Address:
Meeting Topic: Legal Marketing	
Name of Person(s) Contacted:	Date: 3-18-16
4. Attorney Name:	Attorney Address:
Client Name: Louis Melanick	Client Address: 1650 Palisades Blvd Clermont FL
Meeting Topic:	
Name of Person(s) Contacted: Melanick County Attorney	Date: 3-21-16



LAKE COUNTY
FLORIDA

Sign-in Sheet

County Attorney
RECEIVED
MAR 01 2016

Department / Division Name: ECONOMIC GROWTH/ PLANNING & ZONING

Month/Year: March 2016

1. Attorney Name:	<u>Dorek Schrott</u>	Attorney Address:	<u>600 Terrace Ave, Fortis</u>
Client Name:	<u>Advantage App</u>	Client Address:	<u>3940 Forest Dr, Fortis</u>
Meeting Topic:	<u>VAPEANCE</u>		
Name of Person(s) Contacted:	<u>Christine Rice</u>	Date:	<u>3/24/2016</u>
<hr/>			
2. Attorney Name:	_____	Attorney Address:	_____
Client Name:	_____	Client Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	_____	Date:	_____
<hr/>			
3. Attorney Name:	_____	Attorney Address:	_____
Client Name:	_____	Client Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	_____	Date:	_____
<hr/>			
4. Attorney Name:	_____	Attorney Address:	_____
Client Name:	_____	Client Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	_____	Date:	_____



LAKE COUNTY
FLORIDA

County Attorney
RECEIVED
APR 04 2016

Sign-in Sheet

Department / Division Name: Information Technology Department

Month/Year:

March 2016

1. Vendor Name: Leesburg Vendor Address: Leesburg
Guest Name: J Lemberg
Meeting Topic: Billing
Name of Person(s) Contacted: Searls Date: 28 Mar
2. Vendor Name: _____ Vendor Address: _____
Guest Name: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Vendor Name: _____ Vendor Address: _____
Guest Name: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Vendor Name: _____ Vendor Address: _____
Guest Name: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

March 31, 2016

County Attorney
RECEIVED
APR 01 2016

Sign-in Sheet

Department / Division Name: County Probation

Month/Year: March 2016

1. Attorney Name: _____ Attorney Address: _____
Client Name: Justin Manij Client Address: _____
Meeting Topic: Auerfest
Name of Person(s) Contacted: Tony Deaton Date: _____
2. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____

Kieran Johnson



LAKE COUNTY
FLORIDA

Sign-in Sheet

County Attorney
RECEIVED
APR 01 2016

Department / Division Name: Public Resources / Library Services

Month/Year: March 2016

1. Visitor's Name: Steve Lagana Visitor's Address: 1 Pecan Run Harbor/Cocoa, Fla / 34472
Business Name: R&R Builder Business Address: jravenscraft@comcast.com
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
2. Visitor's Name: _____ Visitor's Address: _____
Business Name: _____ Business Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Visitor's Name: _____ Visitor's Address: _____
Business Name: _____ Business Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Visitor's Name: _____ Visitor's Address: _____
Business Name: _____ Business Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

County Attorney
RECEIVED
FEB 29 2016

Sign-in Sheet

Department / Division Name: BCC Office

Month/Year: Feb. 2016

1. Name:	_____	Address:	_____
Client Name:	<u>Fia Lange</u>	Client Address:	<u>26215 Iron Ave, Mt Pym, HI 32776</u>
Meeting Topic:	<u>Mt Plymouth Greens</u>		
Name of Person(s) Contacted:	<u>Comm. Cadwell</u>	Date:	<u>2/10/16</u>
2. Name:	_____	Address:	_____
Client Name:	_____	Client Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	_____	Date:	_____
3. Name:	_____	Address:	_____
Client Name:	_____	Client Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	_____	Date:	_____
4. Name:	_____	Address:	_____
Client Name:	_____	Client Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	_____	Date:	_____



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: BCC Office

Month/Year: Feb 2016

1. Name: RAY BRADICK Address: 529 VERSAILLES Dr. Suite 210 MAITLAND 32751
 Client Name: PANDEUCO Client Address: 111 N. MAITLAND Ave MAITLAND 32751
 Meeting Topic: Mt Plymouth Greens
 Name of Person(s) Contacted: Comm CADWELL (10 AM) Comm SULLIVAN (1 PM) Date: 2/10/16
2. Name: Jessie Ruff Address: 2040 SPRINGS LANDING Blvd Longwood 32779
 Client Name: Panduco Client Address: 111 N MAITLAND Blvd. MAITLAND 32751
 Meeting Topic: Mt Plymouth Greens
 Name of Person(s) Contacted: Comm CADWELL (10 AM) Comm Sullivan (1 PM) Date: 2/10/16
3. Name: BAB HATHW Address: P.O. Box 2649 WINTER PARK FL 32789
 Client Name: PANDEUCO Client Address: 111 N. MAITLAND BLVD. 32751
 Meeting Topic: Mt Plymouth Greens
 Name of Person(s) Contacted: Comm CADWELL (10 AM) Comm SULLIVAN (1 PM) Date: 2/10/16
4. Name: FRANCES NIPE Address: 25748 PINE VALLEY DRIVE
 Client Name: MPLC & NEIGHBORS Client Address: SORRENTO FL 32776
 Meeting Topic: Mt. PLYMOUTH GREENS
 Name of Person(s) Contacted: Comm CADWELL Comm SULLIVAN Date: 2/10/16
 * BARBARA WATSON JEDABHE RYAN



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: BCC Office

Month/Year: Feb 2016

1.	Name: <u>Rae BRADICK</u>	Address: <u>529 VERSAILLES Dr. Suite 210 MAITLAND FL 32751</u>
	Client Name: <u>PANDUCCO</u>	Client Address: <u>111 S. MAITLAND AVE MAITLAND 32751</u>
	Meeting Topic: <u>Mt. Plymouth Greens</u>	
	Name of Person(s) Contacted: <u>Commission PARKS</u>	Date: <u>2/1/16</u>
2.	Name: <u>BOB HAYW</u>	Address: <u>P.O. Box 2644 WP FL. 32789</u>
	Client Name: <u>PANDUCCO</u>	Client Address: <u>111 S. MAITLAND AVE. MAITLAND, FL 32751</u>
	Meeting Topic: <u>MT. PLYMOUTH GREENS</u>	
	Name of Person(s) Contacted: <u>Commissioner PARKS</u>	Date: <u>2/1/16</u>
3.	Name: <u>Mary Brooks</u>	Address: <u>INFO@wekivaParkway.com</u>
	Client Name: <u>CFX</u>	Client Address: _____
	Meeting Topic: <u>Dropped boards for Commissioner Cardwell</u>	
	Name of Person(s) Contacted: _____	Date: <u>2-1-16</u>
4.	Name: <u>Karen Moss</u>	Address: <u>25903 EXMOOR DR. MT. PLYMOUTH</u>
	Client Name: <u>*Frances N. P., Barbara Watson</u>	Client Address: _____
	Meeting Topic: <u>MT. PLYMOUTH GREENS Zonings w/ S Parks</u>	
	Name of Person(s) Contacted: _____	Date: <u>2-9-16</u>



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: BCC Office

Month/Year:

Feb 2016

1. Name: Steve Truff Address: 2040 Springs Landing Blvd Lakewood
 Client Name: Pandeco Client Address: 711 N. MAITLAND AVE, MAITLAND 32751
 Meeting Topic: MT PLYMOUTH GREEN
 Name of Person(s) Contacted: Commissioner Conner Date: 2/9/16
2. Name: Bob Hahn Address: P.O. Box 2644, W.P. 32729
 Client Name: Pandeco Client Address: 1115. MAITLAND AVE. MAITLAND, 32751
 Meeting Topic: MT PLYMOUTH
 Name of Person(s) Contacted: COMMITTEE MEMBER CONNER Date: 2-9-16
3. Name: Ray Bradick Address: 529 Versailles Dr. Suite 210 MAITLAND 32751
 Client Name: Pandeco Client Address: 111 S. MAITLAND AVE MAITLAND 32751
 Meeting Topic: Mt. Plymouth Greens
 Name of Person(s) Contacted: Comm. Conner Date: 2/9/16
4. Name: Karen ^{SS} ~~Morgan~~, France Nipe, Barbara Watson Address: _____
 Client Name: _____ Client Address: _____
 Meeting Topic: Mt. Plymouth Green w/ Comm Conner
 Name of Person(s) Contacted: _____ Date: 2/9/16



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: County Attorney's Office

Month/Year:

FEBRUARY 2016

1. Attorney Name: FRED MORRISON Attorney Address: P.O. Box 491357 LEESBURG FL 34749
Client Name: RAY NICARBY Client Address: _____
Meeting Topic: LEISURE MEADOWS
Name of Person(s) Contacted: ERIN HARTIGAN Date: 2-1-2016
2. Attorney Name: JACK PRICKETT Attorney Address: _____
Client Name: _____ Client Address: POB 1599 Eustis fl 32727
Meeting Topic: Liberty Baptist David Walker Rd
Name of Person(s) Contacted: _____ Date: 2-8-16
3. Attorney Name: Randy Hestine Attorney Address: 320 W MAIN ST TAVARES
Client Name: UTC Client Address: _____
Meeting Topic: Utility Assessment
Name of Person(s) Contacted: _____ Date: _____
4. Attorney Name: William White Attorney Address: 350 N. SIMLAK AVE TAVARES
Client Name: LCPW Client Address: _____
Meeting Topic: UTILITY ASSESSMENT
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: County Attorney's Office

Month/Year:

Feb. 2016 Page 2

1. Attorney Name: N/A Attorney Address: N/A
Client Name: Jmy Bradford Client Address: N/A
Meeting Topic: Stretcher Ordinance
Name of Person(s) Contacted: _____ Date: _____

2. Attorney Name: _____ Attorney Address: _____
Client Name: Tornika Montmill Client Address: N/A
Meeting Topic: "
Name of Person(s) Contacted: _____ Date: _____

3. Attorney Name: Jimmy Crawford Attorney Address: 1201 W Hwy 50 Clermont FL 34711
Client Name: Palisades Golf Course Client Address: _____
Meeting Topic: "
Name of Person(s) Contacted: Erin Hartigan Date: 2-8-16

4. Attorney Name: Felecia Ziegler Attorney Address: 1201 N Robinson St Orl. FL 32801
Client Name: Clerk/Bonifacio Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: 2/12/16



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: County Attorney's Office

Month/Year:

Feb. 2016 Page 3

1. Attorney Name: Erin O'Brien Attorney Address: _____
Client Name: Erin Walker Client Address: _____
Meeting Topic: Leisure Meadows
Name of Person(s) Contacted: _____ Date: _____
2. Attorney Name: _____ Attorney Address: _____
Client Name: HANDEL GREGORY SWANSON Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Attorney Name: _____ Attorney Address: _____
Client Name: CHRISTOPHER A. SMITH Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Attorney Name: PAUL Harker Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: County Attorney's Office

Month/Year:

Feb. 2016 Page 4

1. Attorney Name: Bruce Harris Attorney Address: 1201 E. Robinson St. Orlando, FL 32801
Client Name: Greg Swanson Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
2. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

Sign-in Sheet

County Attorney
RECEIVED
MAR 01 2016

Department / Division Name: Public Resources / Library Services

Month/Year: February 2016

1. Visitor's Name: Louis Sooklal Visitor's Address: see below
Business Name: EBSCO Publishing Business Address: 10 Estes St., Ipswich MA 01938
Meeting Topic: database
Name of Person(s) Contacted: Gary Earl Date: 2-1-2016
2. Visitor's Name: _____ Visitor's Address: _____
Business Name: _____ Business Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Visitor's Name: _____ Visitor's Address: _____
Business Name: _____ Business Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Visitor's Name: _____ Visitor's Address: _____
Business Name: _____ Business Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

County Attorney
RECEIVED
FEB 29 2016

Sign-in Sheet

Department / Division Name: Public Resources/Parks & Trails

Month/Year:

Feb/2016

1. Visitors Name: ASH ARKINS Visitors Address: _____
Business Name: LEESBURG CONCERN Business Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: BROOKE Date: 2/19/16
2. Visitors Name: Brent Keith Visitors Address: _____
Business Name: _____ Business Address: _____
Meeting Topic: Interview
Name of Person(s) Contacted: Dwayne Date: 2/16/16
3. Visitors Name: _____ Visitors Address: _____
Business Name: _____ Business Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Visitors Name: _____ Visitors Address: _____
Business Name: _____ Business Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

County Attorney
RECEIVED
MAR 01 2016

Sign-in Sheet

Department / Division Name: Public Resources Department – Fairgrounds

Month/Year: February 2016

1. Attorney Name: _____ Attorney Address: _____
Client Name: Triangle New Leader Client Address: 4645 Hwy 19A MT DORA.
Meeting Topic: ADVERTISING
Name of Person(s) Contacted: Cole Date: 2/4/16
2. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____

Coyle, James

From: webmaster@lakecountyfl.gov
Sent: Tuesday, January 26, 2016 1:51 PM
To: lobbying
Subject: Lobbyist Email Contact Form Submission

Date Sent : 1/26/2016 1:50:33 PM

Employee Name : David Heath
Employee Title : County Manager
Employee Department : County Manager

Lobbyist Name : Randal A Raney
Lobbyist Email : influence@mindspring.com
Lobbyist Address : 3173 NE 30th ct Ocala FL 34479
Lobbying Topic : Emerald Lakes Co-op Water levels
Principal Name : Emerald Lakes Co-op
Principal Address : 1401 West Hwy 50 Clermont FL



LAKE COUNTY
FLORIDA

County Attorney
RECEIVED
JAN 29 2016

Sign-in Sheet

Department / Division Name: County Attorney's Office

Month/Year: January 2016

1. Attorney Name: Jimmy Crawford Attorney Address: 1201 W Hwy 50 Clermont FL 34711
Client Name: Robin Groves of Clermont Client Address: _____
Meeting Topic: DED Appeal
Name of Person(s) Contacted: Erin Hartigan, Drang Johnson Date: 1-26-16
2. Attorney Name: Chuck Bell, Law Mon. Attorney Address: —
Client Name: MATTHEW WOLFE Client Address: 1960 SUMMIT TOWER BLVD. ORLANDO FL 32810
Meeting Topic: POSTAL COLONY PLAT
Name of Person(s) Contacted: _____ Date: 27 JAN 2016
3. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

County Attorney
RECEIVED
FEB 02 2016

Sign-in Sheet

Department / Division Name: Information Technology Department

Month/Year: January 2016

1. Vendor Name: DAVID LOTT Vendor Address: 318 S 2ND ST
Guest Name: _____
Meeting Topic: NETWORK AND T1 CONNECTIVITY
Name of Person(s) Contacted: LEON PLATT Date: 1/5/2016
2. Vendor Name: _____ Vendor Address: _____
Guest Name: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Vendor Name: _____ Vendor Address: _____
Guest Name: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Vendor Name: _____ Vendor Address: _____
Guest Name: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____



LAKE COUNTY
FLORIDA

County Attorney
RECEIVED
FEB 02 2016

Sign-in Sheet

Department / Division Name: County Probation

Month/Year: January 2016

1. Attorney Name: Linda Savana Attorney Address: _____
 Client Name: _____ Client Address: 1166 W Princeton St Orlando FL 32804
 Meeting Topic: Showcase Installation
 Name of Person(s) Contacted: Tony Pearson Date: _____
2. Attorney Name: _____ Attorney Address: _____
 Client Name: _____ Client Address: _____
 Meeting Topic: _____
 Name of Person(s) Contacted: _____ Date: _____
3. Attorney Name: _____ Attorney Address: _____
 Client Name: _____ Client Address: _____
 Meeting Topic: _____
 Name of Person(s) Contacted: _____ Date: _____
4. Attorney Name: _____ Attorney Address: _____
 Client Name: _____ Client Address: _____
 Meeting Topic: _____
 Name of Person(s) Contacted: _____ Date: _____





LAKE COUNTY
FLORIDA

Sign-in Sheet

County Attorney
RECEIVED
JAN 29 2016

Department / Division Name: Public Resources / Library Services

Month/Year: January 2016

1. Visitor's Name:	<u>Brian Gilbert</u>	Visitor's Address:	_____
Business Name:	<u>Recorder Books</u>	Business Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	<u>Rockel M. Gentry</u>	Date:	<u>1/11/16</u>
2. Visitor's Name:	_____	Visitor's Address:	_____
Business Name:	_____	Business Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	_____	Date:	_____
3. Visitor's Name:	_____	Visitor's Address:	_____
Business Name:	_____	Business Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	_____	Date:	_____
4. Visitor's Name:	_____	Visitor's Address:	_____
Business Name:	_____	Business Address:	_____
Meeting Topic:	_____		
Name of Person(s) Contacted:	_____	Date:	_____



LAKE COUNTY
FLORIDA

Sign-in Sheet

Department / Division Name: County Attorney's Office

Month/Year: December

1. Attorney Name: Ethan Ware Attorney Address: 1441 Main St Ste 1250
Client Name: Bob Ware Client Address: Columbia, SC 29201
Meeting Topic: Land Planning/Development
Name of Person(s) Contacted: _____ Date: _____
2. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
3. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____
4. Attorney Name: _____ Attorney Address: _____
Client Name: _____ Client Address: _____
Meeting Topic: _____
Name of Person(s) Contacted: _____ Date: _____

Neither Osceola County nor Seminole County have a requirement to maintain a list of registered lobbyists.

OOCEA Project Section Map

End Project Section 429-206 (2C)
Begin Project Section (3A)

End Project Section 429-204 (2B)
Begin Project Section 429-206 (2C)

End Project Section 429-204 (2B)
Begin Project Section 429-205 (2A)

End Project Section 429-205 (2A)
Begin Project Section (4A)

End Project Section 429-203 (1B)
Begin Project Section 429-204 (2B)

End Project Section 429-202 (1A)
Begin Project Section 429-203 (1B)

Begin Project Section 429-202 (1A)

Pine Plantation

Northwest Recreation Complex

Apopka

US 429

US 441

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WEKIVA PARKWAY

PROJECTS 429-202, 429-203, 429-204, 429-205, 429-206

OWNERS OF PRACELS DIRECTLY IMPACTED

(Parcels that have not reached complete settlement)

ACKLEY WARREN M	FRISBIE MARY K
ACKLEY WENDY ANN	GGH 10 LLC
ALDERMAN DARYL A	GRESS ROY
ALDERMAN LAURA L	GRIMM JANUARY
ALI HAFEEZ R	GRIMM KENNETH
AMCO PROPERTY GROUP II LLC	GROSSENBACH ELIZABETH S
AMERICAN FINANCE LLC	GROSSENBACHER CALVIN S
BALLINGS MARY MASHBURN	GROSSENBACHER FRISBIE
BANK OF AMERICA ,NA	GROSSENBACHER JOHN C ESTATE OF
BENNETT PEGGY H	GROSSENBACHER ROBERT M
BENNETT WILLIAM S	GROSSENBACHER SCOTT
BENTON CECIL	GROSSENBACHER TODD
BENTON FRANKIE C	HAMPTON PAUL
BENTON HOWARD N	HARMAN ANN
BENTON JUDITH K	HARRELL & SUTHERLAND DEVELOPMENT COMPANY
BRIDGES ALAN L	HATCHER BRUCE A
BRIDGES KAREN Y	HATCHER JEANIE
BRIDLE PATH LLC	HENDERSON CYNTHIA
BROWN LOIS C	HENDERSON ROBERT
BROWN ROBERT P	HILL'S OF FLORIDA LLC
BUCHHEIT KIMBERLY A	HMF LLC
CARTER ANTHONY RANDALL	HOLDER SALLY R FAMILY TRUST
CARTER ARAN GLENN	HOLDER THOMAS J SR AS TRUSTEE OF THE SALLY R HOLDER
CHAPMAN CHARLES R	HOLDER THOMAS J SR AS TRUSTEE OF THE THOMAS J
CHAPMAN KIM A	HORNE ERNEST L AS TRUSTEE OF JD HORNE AND KATHLEEN
CIOCI CYNTHIA A	HOWELL ADELPHA
CIPOLLONE DAVID	HUYNTH THUYNHAN
CONNELLY JANET R	JONES EULA B
CONNELLY PATRICK R	JONES FREDDIT T
CSX TRANSPORTATION INC.	JONES SANDRA LEE
DAVILA ANGEL	KING MICHAEL J
DITCH CAROLYN	KING PAMELA
DOVER ODIS E SR	KIZER ROBERT G
DOVER POLLY	KLEPZIG DENNIS R
DRAPER THOMAS J	KLEPZIG JAMES ROGER
EMERY ANNETTE	KLEPZIG JILL LYNETTE
EMERY DONALD R	KLEPZIG JOANN
EVERLY CORRINE	KORUS ORCHID CORPORATION
EVERLY LARRY	LAKE COUNTY FRUIT CORPORATION
FREYGANG DEBORAH	LEE JUNG

LEWIS THOMAS	SIMONS PHILLIP TRUSTEE
LEWIS-GRESS JACQUELINE	SMALLWOOD SIGN CO
MAHAFFEY THOMAS OLIN	SMITH RALPH A AS TRUSTEE
MARTIN DAVID J	SMITH VERNICE L AS TRUSTEE
MCKELVY SYLVIA DELORIAS	SOLID LLC
MCMAHAN WILLIAM RAYMOND	SPARKS MARY
MCNEIL BROCANÉ	SPARKS RANDY L
MCNEIL CURTHS W	SUMMER LAKE-GRACE GROVES
MEGA GNG LLLP	TOLLIVER CECIL
MERCED FELIX L	TOLLIVER DORIS L
MERCED SUZANNE M	TYSZKO MICHAEL
MERRILL CLIFTON J JR	VIP PROPERTIES LLC
MERRILL SHIRLEY ANN	WATSON PATRICK E
MORRIS HARVEY L	WATSON TERESA G
MORRIS KAREN H. AS TRUSTEE OF JD HORNE AND	WILSON JR., EARL D
MORRIS KENNETH W	WILSON ADELAIDA
MORTON ANEETA MCMAHAN	WILSON EARL DAVID
NEW DEBORAH	YEOMANS JUDY A
NGUYEN KHOI	
ORANGE COUNTY BOARD OF COUNTY COMMISSIONERS	
PLYMOUTH HARBOR LLC	
PONKIN ROAD PROPERTY LLC	
POTTS ELLEN CHRISTINE	
PROJECT ORLANDO LLC	
RAMLEE HOLDINGS LIMITED PARTNERSHIP	
REHFELDT ALESIA AS TRUSTEE	
REHFELDT ROGER DEAN AS TRUSTEE	
REID DAMEN	
REID DARRELL D	
REID KATIE J	
RIGSBY MARK LANE	
RIGSBY STEPHEN LEE	
ROBERTS VONNEY	
ROBLES RAMONITA	
SCHOFIELD A TANNER III	
SCHOFIELD CATHLEEN	
SCHOFIELD TANNER	
SHOLLENBERGER JENNIFER E	
SHOLLENBERGER LEE H	
SIMONS PATRICIA TRUSTEE	



S.R. 414 / MARDEN ROAD
INTERCHANGE

Prepared by
ATKINS
for
CFX

SR 414

MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

1204 SEBURN ROAD REVOCABLE TRUST	BAERGA JENNIFER LISA
1217 SEBURN ROAD REVOCABLE TRUST	BAILEY FERIAN C
1308 SEBURN ROAD REVOCABLE TRUST	BAILEY ROLANDO RIADON
1323 SEBURN ROAD REVOCABLE TRUST	BAKER LAURENE W
1335 ALSTON BAY BLVD REVOCABLE TRUST	BALDEO KAWOLA
1350 VASEY ROAD REVOCABLE TRUST	BALDEO SEEYU
1386 VASEY ROAD REVOCABLE TRUST	BARRETT JEFFREY JAMES
2014-2 IH BORROWER L P	BASS JASON VAN ALLAN
AZZ RENTALS LLC	BASS ROSETTA TONYA
ABEL ALICIA	BASTCLEY LLC
ADAMS ANTHONY	BATTLE LAVEATRICE ANITA
ADAMS-SAUNDERS JAMILA INEZ	BECKETT ARTHUR N
AHMUDALLY JAMAL	BECKETT DEBORAH L
AKER RALPHETTA GLENNEZE	BECKETT ROGERS D
AL MUBARAK ADEL M	BECKETT RONALD
AL WAILY TURKY MOHAMMAD M	BECKWOOD REAL ESTATE DEVELOPMENT LLC
AL WAYLI ADEL M M	BELFAST ROY B
ALCANTARA JOSE F	BELL CHRISTOPHER C
ALDRIDGE JASON	BELL MICHELLE
ALDRIDGE NICOLE L	BENNETT PATRICK BRIAN
ALEJO BARBARA J	BENNETT TAMMY MARIE
ALEXANDER DIANE R	BENNIEFIELD ALPHONSO LENARD
ALEXANDRE DAPHNEY	BENNIEFIELD CHIQUITA
ALEXIS NADEGE	BERG WILLIAM P
ALICEA SANTIAGO ERIC FRANCISCO	BERNABE REMY GAMARDO
ALICEA-NIEVES TAMARA	BERNARD LUCIE GAGNON
ALRIFAI GHAZI	BERNHARDT ERIC
ALTILIO DEANNA L	BERNHARDT LAURA
ALVAREZ DE GONCALVES MAGALY JOSEFINA	BERRY AMY E
ALVAREZ TORRES TAHIS	BERRY RONALD L JR
ALVES JONATHAN MICHAEL	BERTONI BERTONI CLAUDIA
AMERICAN RESIDENTIAL LEASING CO LLC	BERTONI FABIO
AMOS REBECCA	BETANCOURT ALBERTO TR
AMRAM TOMER	BETANCOURT GILMA TR
ANDERSON KIA NICHELLE	BEY SHAHID SHABAZZ
ANDERSON LINDA V	BIEN-AIME DEBORAH E
ANDERSON WAYNE I	BLAIR BRIAN
ANDERSON WILLIAM EDWARD II	BLAIR JENNIE M
ANTUNEZ CARMEN ROSA	BLAIR VANESSA
APPLING VALERIE EVETTE	BOATRIGHT EDDIE LEE
AQUINO NICK	BODLEY BEVERLEY
ARANDIA GARCIA ANNIAN	BODLEY STEPHEN
ARGUELLO ADRIANA LUCIA	BOLIN DARRYL
ASH CARLTON D	BOLIN WANDA
ASHLEY IDA H	BOONE FLETCHER B III
ASH-VADEN JULIA M	BOONE KATRINA A HOPKINS
ASSAL SAMIR CHAHME	BORRERO TIRADO SONIA J
ATKINSON COLLEEN	BORTON TRAVIS JOHN
AYALA JOSE J	BOSTWICK MARGARET ANN
BACON KIMBERLY	BOURRET JO ANN

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MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

BOYD GREGORY J	CARNIVALE ALEXANDRA D
BOYKIN FRANCINA	CARTAGENA-DIAZ IVELISSE
BRADLEY DARMETA R	CARTER DARYL M TR
BRANSTETTER ANTHONY BRIAN	CARTER GERALDINE J
BRANSTETTER ULRIKE	CARTER MARTIN B
BRESNICK SHIRLEY J	CARTER-THOMAS CHRISTINA DANIELLE
BRESNICK STEVEN G	CASH LAKEISHA NICOLE
BRIDGES ALLISON DENISE	CASSAR SANDRA LEE
BRIDGES ELANIE	CASTORA ANITA P
BRIDGES MONICA M	CASTORA WILLIAM N
BRIGNONI ABNER	CDN AND ASSOCIATES LLC
BRIGNONI MELODY	CENTEX HOMES
BRITTEN DEBBIE GRAHAM	CENTRAL FLORIDA EXPRESSWAY AUTHORITY
BRONSON INA RUTH TR	CESARE ANNE SARAH
BRONSON INA RUTH TR	CHAMBERS WARREN HUGH
BRONSON TROY S TR	CHANG REBECCA
BRONSON TROY S TR	CHANG-SOONG ELIZABETH M
BROOKS JACKIE V	CHAPPELL-WILK RYNDA
BROOKS LARRY	CHIVERS ANTHONY
BROOKS PATRICIA A	CHIVERS ELENA K
BROOKS PATRICIA ANN	CHECHILE CARMINE LIUZZI
BROWN EDWARD LEE	CHERUBIN NAOMIE R
BROWN JOVAN M	CHIARELLA AIMEE
BROWN MELISSA KAY	CHIARELLA JOSEPH PAUL
BULLITT MARTHA O	CHIN JOE
BULLITT THOMAS R	CHINN THOMAS
BUNCE GREGORY P	CHIPMAN JAMES
BUNCE MICHELE E	CHISHOLM WILBERT
BURGOS BRUCE	CHRISTIANA TRUST
BURGOS CAMILLE ADELE	CHUNG CHRISTOPHER KENNETH
BURLINGAME LYNN STEARNS	CHUNG MARVA P
BURNEY ANDRESS L	CHUNG MATTHEW JASON
BUSBY FREDDIE	CHUNG MICHELLE PATRICE
BUSCH JULIANA M	CITY OF APOPKA
BUTLER TIMOTHY	CLARKE JAMES ALLAN
CABAN JOSE A	CLARKE JARED M
CABAN LEZCANO MAYRA C	CLARKE NATHAN C
CADDELL ELIZABETH JANE	CLARKE-ZANONI CRYSTAL
CADDELL JOSEPH	CLERZEAU ROSE
CAH 2014-2 BORROWER LLC	COA JHONATHAN
CAH 2015-1 BORROWER LLC	COELLO RODNEY
CAIAZZO CHRISTIAN	COHEN MARISSA ANN HEATHER
CAIAZZO MARCIA	COLEMAN TARA BETH
CALDONAZO LUCIANA PETIK	COLLIER ANTHONY
CAMPBELL DENNIS A	COLLIER MINNIE P
CAMPBELL EDNA L TR	COLLIER PHYLLIS A
CAMPBELL FISLEY TR	COLLIER VERLENE
CAMPBELL LUTHERIA R	COLON GARCIA ISAMAR
CARDENAS TIMOTHY JAMES	COLON NITZA I
CARELA CRUZ M CUSTODIAN	COLUMNAR DEVELOPMENT LLC

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MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

COMPAS CARL S	ECHANIQUE WALTER
CONFIDENTIAL	ECHEGOYEN RACQUEL
CONNALLY AMY	EDWARDS CHIMIRA
CONNALLY EMMANUEL	EDWARDS GLORIA T
CONNELLY AMY ELIZABETH	EDWARDS RHEA M
COOKS CATRINA LYNN	EDWARDS WADE W
COOKS MARY C	EDWARDS WALTER W
CORDERO LUIS J	ELLIS EVELYN
CORREA LUIS E	ELLIS JOUDAIN
CORREA MARIA A	EMBRY MARC
CRAIN PATRICIA J	EMERSON PARK HOMEOWNERS ASSOCIATION INC
CRAIN PATRICIA JEANNE	EMERSON POINT PHASE II LLC
CRUZ CARLOS FELIX	ENGELMANN HOLDING CO
CRUZ DANIELLE	ERSOY ERDAL
CULPEPPER BRIAN A	ESCOBAR RENE A
CULPEPPER ELIZABETH R	ESTEVEZ BECKY J
CUMMINGS ANTOINETTE N	ESTEVEZ JASON
CURRY MATTHEW R	ESTEVEZ LAURA
CURRY TRACY D	ETIENNE HANNAH PAUL
CURTIS GARY L	EUGENE VIVIANE EDMEE
CURTIS J AND G PROPERTIES INC	FAIR JANET D
DALLAS LESLEY	FEELEY NANCY J
DALLAS MICHAEL J	FERNANDES GILLIAN KATHLEEN
DAUGHERTY LUIS CARLOS	FERRER MARIE FE
DAVIS AMY MICHELLE	FETLAR LLC
DAVIS KIMBERLY D	FINDLATER RAYMOND II
DAVIS MARCELLA	FISCHER KENNETH EUGENE
DAVIS TRACI NAHESI	FISHER WANDA YVETTE
DE JESUS BUTHER RAFAEL A	FISHER-BOATRIGHT JOANNE
DE JESUS RODRIGUEZ YHESLKA BELIOSKA	FL CAPITAL INVESTMENTS LLC
DECAMBRE SUZETTE	FLATD LLC
DEL VALLE VICTOR ROMAN	FOLEY BRADLEY LEWIS
DESAUSSURE JUANIECE DEVORE	FOLEY MARY ANN PENALOSA
DEWAR FANCY PLANTS LLC	FORRESTER NICOLE WIDER
DHANRAJ DAVID G	FOURNIER RAYMOND A JR
DIAS CARL	GAHAN MICHAEL WILLIAM
DIAZ JONAS	GAHAN SABINE CHRISTA
DIAZ SANDERS	GALLAGHER JOHN J JR
DIAZ WENDY YESENIA	GALLAGHER SHERINA M
DIEBOLD EDWARD N	GARCIA ALEXIS O
DIX CYNTHIA R	GARCIA ARANDIA ANNIAN
DIXON ROY L JR	GARCIA EDWIN D
DORNFORD MARK TREVOR	GARCIA LISSEIDY
DORSEY ALLEAN	GARCIA MADELINE
DORSEY VINCE	GARCIA MARCELO
DREAM HOME LLC	GARCIA PRISCILLA
DROSEHN KEITH M	GARCIA VANESA DENISSE
DRP FL 1 LLC	GARDENER LESTER A
DUARTE HECTOR I	GEHRING AMY S
DUFRAIN RYAN E	GELIN JAMES

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MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

GENAO GIOVANNY	HARKINS MEGAN
GENARO CRUZ PEDRO LUIS	HARKINS ZACHARY BRETT
GENS SHERLY JEUNE	HARMON KEITH TYLER
GIARRATANA AYASHA BROOKE	HARRIS ALISON MARIE
GIARRATANA CHRISTOPHER JOSEPH	HARRIS CRENE
GIBBONS LISSA	HARRIS DAVID MICHAEL
GIBBONS SEAN C	HARRIS MARLIN L
GILLIAM EDWARD JEROME II	HART-CARTER SABRINA E
GILLIAM SUSAN L	HARTMAN MEGAN M
GILMOR CHEN	HARTMAN STEPHEN T JR
GILMORE FREDDIE LEE	HARTSFIELD CYNTHIA
GIPSON MARY L	HASTINGS WADE
GIPSON WARREN	HASTINGS WADE W
GOBY LOUDELSON	HATCHER CHAD EDWARD
GOLDEN LAURA LYNAE	HATCHER MELISSA ANN
GOLDEN RAPHEAL LETROY	HATTABAUGH HEIDI
GOLDSTEIN ERIKA L	HATTABAUGH HUGH EUGENE
GOLDSTEIN THOMAS J	HATTABAUGH TERESA KAY
GOMEZ SOSA HECTOR RAMON	HAUSINGER NATHAN CHARLES
GONCALVES SEABRA RAUL	HAWKINS HENRY III
GONZALEZ ELVIN	HAY JENNIFER
GONZALEZ GINA A	HAY NATHAN
GONZALEZ OSVALDO	HE WAYNE
GONZALEZ ROMAN NORBERT	HELGESON TODD R
GORDON SHANIEL S	HENDERSON WALLACE
GOSA RANDY W	HENRY EDWIN
GOSA SHARITA G	HENRY ODETTE
GRANGER LEROME ANTIONE	HERARD CAROLE
GRAVELLE DANIEL RAY	HERARD VICTOR
GRAVES ANDREA	HERNANDEZ ANA V
GRAY ALBERT J JR	HERNANDEZ DAMARIS
GRAY MERCINIA M	HERNANDEZ DE LA VEGA TERESA
GREEN BRIAN THOMAS	HERNANDEZ JUAN G JR
GREEN JUSTIN M	HERNANDEZ MARCELINO
GREEN MELVIN EVERETT	HERNANDEZ PINO KARIME DEL PILAR
GREGG CHRISTINA MARIE	HEW-BAILEY PAULA M
GUAMAN KARINA J	HEWETT VINA M
GUERRA HECTOR	HEWLETT ROBERT E
GUESS ANYA DANIELLE	HICKEY CHEYTORIA
GULLI THOMAS	HICKEY KURT B
GULLI TRACEY	HICKS-SIMS ELIZABETH
GUY MATTHEW OLDHAM	HIERHOLZER STEPHEN P SR
GUZMAN WANDA	HILLMON BRADLEY STUART
HALL ELIZABETH B	HILLMON IRIS N
HALL JOHN L	HINKLES CEDRICK A
HALLMARK CHRISTOPHER CHADWICK	HINTON JACQUELIN
HALLMARK KELLI BAXLEY	HODGE BILL MACK ESTATE
HANSON DEBRA KAY	HOLSINGER CARMEN I
HARDIG BRANDON M	HORAN SUSAN T
HARDIG VICTORIA PARKER	HORAN TIMOTHY J

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MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

HORNSBY MARY L	JOSEPH MERICIA
HOUSING AUTHORITY OF CITY OF ORLANDO	JULES BERNARD
HUDSON DEBORAH	K HOVNIANIAN AT HILLTOP RESERVE LLC
HUGGINS ELIZABETH FRANCINE	KAHAN BIRGITTE LEE
HUGHES STEVEN K	KAHAN KENNETH MICHAEL
HUGHLEY CURTIS JR	KANHAI CHEVALL A
HUGHLEY MARTHA D	KANHAI NANDLAL
HUNTER NAKIA	KAPUSTA HOLLIE AMANDA
HUSSEY ALFREDA	KAPUSTA MATTHEW JOHN
HYLTON CRAIG C	KARTHIK PROPERTIES LLC
IANOSCA KENNETH	KAZMA SOUHA M
IBARRA JORGE	KEENE ROAD LANDFILL INC
IBARRA MARTHA I	KELLER JEANETTE LEE
IHS PROPERTY FLORIDA LP	KELLETT H RAYMOND JR LIFE ESTATE
ILSE LUIZE MACDONALD IRREVOCABLE TRUST	KELLETT LAUREL G LIFE ESTATE
INGRAM DEVON	KELLNER JACLYN MARIE
INGRAM MILLISA MARIA	KELLY JOHN RONALD
INGRAM TERRANCE B	KELLY LUZ MARINA
INVESTOR TRUSTEE SERVICES LLC TRUSTEE	KELVIN O ELLIS AND JACQUELYN J ELLIS REVOCABLE TRUST
IRIZARRY HILDA	KHAN MOHAMED SHAFEEK
ISAAC JOCELYNN	KHAN SASHA R
ISARI TEODORA L	KIMBERLING ROBIN JARRETT
ISARI TICU	KING MARSHA G
JABAR ABDOOL FAZAL	KING NATHANIEL A
JACKSON ADAM JOHN	KING ROBERT J
JACKSON BARBARA	KINGSLEY BRADLEY S
JACKSON DANAE LYN	KINGSLEY CHRISTINE M
JACKSON JUSTIN LAMAR	KIRBY THERESA
JACKSON VALORIE D	KIRKLAND WILSON JR
JACKSON-JOHNSON DAWNASHAE RAE	KLOPPER MARY ANNABELLE
JAIRAM JAIGOPAL	KLOPPER RAYMOND PHILLIP II
JAIRAM SETA D	KNIGHT BESSIE LEE
JEFF 1 LLC	KOEPLINGER CHRISTOPHER S
JENNETT SYNORVIA G	KONDRATIEVA MARIA
JESA PROPERTIES USA LLC	KURTZ JAMES EDWARD
JINADU AYODELE M	LACSAMANA JOSEFINA Z
JOHNSON GLORIA J	LACSAMANA MANUEL L
JOHNSON GREGORY LYLE	LAIRSEY JEFFREY
JOHNSON JARVIS DANTE	LANE BRIAN CHRISTOPHER
JOHNSON LAUREN T	LANE CHERYL
JOHNSON LORI ANN	LANE STEVEN M
JOHNSON MARY L	LANE TONYA L
JOMA SONIC	LANE WALTER C
JOMA WILLIE MAE	LANZILLO JOHN D
JONES DEBRA	LANZILLO PHYLLIS
JONES EDDIE	LATORRE-PEREZ IVAN G
JONES GEORGE	LAUBE ADOLAR MAURICIO
JONES LASHAWNDA	LAW DAVID L
JORDAN SUSAN R	LAW ESSIE L
JOSEPH ANTOINE	LAWRENCE NATASHA D

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MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

LAWSON HENRY L	MARULANDA MARIA INES
LAWSON WANDA D	MARULANDA SARAH A
LEARY EULA MAE	MARULANDA VICTORIA
LEE DANNY S	MATTINI MELISSA
LEE FAITH D	MATUSZAK JASON M
LEFEVRE SOPHIE	MATUSZAK STEPHANIE A
LEHOCZ DIANA	MAY AMANDA M
LEMES AGUSTIN N	MAY BILLY S
LEMON RYAN BERNARD	MAYARD FRANCK
LENNAR HOMES LLC	MAYARD LISENIE
LEWIS HENRY	MCCARTNEY MELISSA E
LEYVA YESSSENIA	MCCLOSKEY HOLDINGS LLC
LILLO JOHN HENRY	MCCOMAS LAURA M TR
LIRANZO JOSE E	MCENTIRE MICHAEL
LIU JERRY RUOHAN	MCGRIFF JOHNATHAN
LIU NICOLE YITING	MCINTYRE JEFFREY
LIU QINGDE	MCINTYRE JUDY A
LLANAS TEODORO	MCKENZIE RHONDA
LODGE KEVIN BARRY	MCKINNEY JANET
LONDON ENTERPRISE LLC	MCROY ALTHEA Y
LONG TASHRONDA S	MEADORS JESSE NILE
LOPEZ MARITZA	MEADOWS SUSAN
LOSANI LOUIS	MEDINA JUAN A
LOSANI THERESA	MEDINA MARCO A
LOUIS GOLDSKY PIERRE	MEIDLING MARK LEONARD
LOWE MATTHEW	MELLENDEZ JULIA R
LUGO EUSEVIA	MENDOZA VALENCIA KELVER RODOLFO
LUMPKIN LEQUITIA	MERIWEATHER WILLIAM B
LYNN STEARNS BURLINGAME REVOCABLE TRUST	MERKLE BRIAN GREGORY
MACGRATH OLIVIA LEE	MERKLE MEGHAN ALICIA
MACIEL PONTES JOSE RENATO	MERKLE PAULA C
MACKEY GLADYS	MF MORGAN INVESTMENTS LLC
MANGUAL EIMY D	MICHETTI SCOTT EDWARD
MARC ISAIE	MILES BARBARA GEAN
MARCANO LORRAINE M	MILLER JOHN
MARCANO RUIZ LUIS SALVADOR	MILLER KIMBERLY
MARCELLINO ENRICO RAY	MILLER KIMBERLY MICHELLE
MARDEN PROPERTIES INC	MILLIGAN HENRY MOSES JR
MARROCCO GARY R	MISURACA ESTEFANIA
MARTIN ANITA Y	MOATS MICHAEL D
MARTIN LAWRENCE DANIEL	MOHAMMED SUZANN L
MARTIN MATTIE RUTH	MONROE JORDAN-DAVID A
MARTIN RHONDA ILENE	MONTOYO VANESSA
MARTIN TANGELA M	MOORE LATOYA GENISE
MARTIN TRAVIS	MOORE SANDRA
MARTINEZ CARMEN	MORALES ABNER
MARTINEZ JOAQUIN	MORALES AURELIO
MARTIR JOSE M	MORALES VIRGINIA
MARULANDA DANIEL A	MORELLO JEANNE M
MARULANDA DAVID	MORGAN PAUL DAVID

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MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

MORGAN SANDRA VIVIANA	PAEZ TOVAR ROSA MELANIA
MOSES GWENDOLYN ESTATE	PAGE ANNETTE H TR
MOTT BRENDA Y	PAGE THOMAS MICHAEL
MOTT MIRIAM D	PALACIOS KAREN C
MOYA DIANE	PALOMO YANIRA
MULLINS ERIC L	PAPKE DENISE ANN
MULLINS LINDA ANN	PARKER JOANNA
MUNOZ LINA	PARKER TARSHIA
MURRAY BETTY J	PARKS SHAWN
MURRAY RUDOLPH JR	PARRA ADRIANA
NAFFZIGER ROBERT D	PARRA LILIANA S
NAITRAM HEMRAJ	PATEL HELNA TUSHAR
NATES TOYS LLC	PATEL KETAN KIRTI KUMAR
NAVARRO CARMEN M	PAUL LASHONTA
NEISWANGER DIANNE S	PAVON ARIOSKA
NEISWANGER JOHN R	PAYNE WILLIE G
NELSON VICTORIA	PAYNE ZERA M
NEPTUNE EVAN	PEREZ ROSARIO ELIZABETH
NEVINS ETHELENA	PEREZ YOBESKA M
NEWMAN CHARLOTTE SUE	PERLMUTTER MARK STEVEN
NEWMAN LYNN EDGAR	PERNELL HOWARD-OMARI
NEWSOME ESSIE M	PERSAUD ALLAN C
NGUYEN TIMOTHY M	PERSAUD NIRUPADAVI
NICHOLS SHIRLEY	PERSAUD SOOKRANIE
NIEVES SANTANA ROSA	PEYTON KAREN DENISE
NIEVES SANTIAGO SAMUEL	PHAM DUY
NITA INVESTMENT LLC	PHANOUVONG LILA V
NIX WILFRED ELTON II	PHANOUVONG MANIHONG M
NOBLE ROHAN R	PHARES MATTHEW M
NOGUEIRA THALITA N	PHILLIPS TAQUEASIA L
NORRIS GROUP COMMUNITY REINVESTMENT LP	PICKARD EARLENE
OAKMONT APOPKA ROAD LLC	PICKENS KERBY LUTTRELL
OBRIEN CURRY	PICKENS STEPHEN M
OBRIEN SHAUNDA	PICKNEY BOBBY JOSEPH JR
OLIVERA JOSE ALBERTO	PINDER CYNTHIA
OMEGA OAKS OAK AVE	PITTS BRIAN K
ORANGE COUNTY BCC	POLLARD SHARON
OROUKE MELISSA GAIL	POP DANIELA
OROURKE JASON ALPHONSO	POWELL JESSIE HAMILTON
ORTIZ ANGEL M	PRACITTO PAUL JR
ORTIZ EDGARD	PRADO PAISANO JOSE GREGORIO
ORTIZ MABEL PENALOZA	PREFERRED TRUST CO CUSTODIAN
ORTIZ MARCO F	PRELUDE PROPERTY MANAGEMENT LLC
ORTIZ MARIN AUDRY L	PRICE KALEY B
ORTIZ REYES BETZAIDA	PRIETO DANIEL ALFONSO
ORTIZ SALDANA ADOLFO	PRINCE JILLIAN MARY
OTIKI HAKEEM OLADAPO	PRINCE JULIEN
OTIKI KALTUME ASABE	PRINGLE BRIAN
PACHECO AIDA M	PROGRESS RESIDENTIAL 2015-3 BORROWER LLC
PACHECO GUILLERMO H	PROROK SHANE DANIEL

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MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

PUEBLA JOSE	ROHDE DAVID
PULIDO CASTIBLANCO DORIS A	ROHDE SHIRLEY
QUILES ELIZABETH	ROMAND MARIE T
RABELLO DE PAULA SIMONE	ROMERO CRUZ
RADAM INVESTMENT LLC	ROMERO ESTELA
RAMCHARAN RAMDYAL	ROPER LISE G
RAMER DAMON	ROSARIO ANAIZ M
RAMER LAURA	ROSARIO HARRY W ESTATE
RAMIREZ DONNA RENEE	ROSARIO JOSUE R
RAMIREZ ENRIQUE	ROWE MAXINE ANDREA
RAMOS RIOS GEYDI	RUBALCAVA LINDA
REBOUCAS PERCONTINE MARCIA	RUIZ DIANA
REDDICK ZOLLIE LEE SR	RUIZ GILBERTO JR
REICHERT ALEXANDRA	RUSHING CAITLIN A
REICHERT MICHAEL	SAAVEDRA PATRICIA M
REID COYHRIAL	SAAVEDRA YUBERKY Y
REID ROBERT	SAEZ SOLANO CESAR MIGUEL
RELIFORD DARRYL	SALGADO MICHELLE
RELIFORD JEANETTE	SALVANT CLIFFORD
RENAUD MARIE-LUCIE	SALVANT JESSICA
RENFROW JESSICA	SAMUEL MONTINA
RENGIFO BALZA ARMANDO	SANCHEZ JAIME
RESIDENCES AT EMERSON PARK LLC	SANCHEZ TRACY
RHOADS YENNY CAROLINA	SANCINITO MICHAEL J
RHODES ALEXANDER JUNIOR	SANGSTER ERIC DOUGLAS
RICHART BARBARA LYNN	SANKAR TARA A
RICHART CHRISTINA ANNE	SANKAR TEELUCK
RICHART LAWRENCE BRIAN	SANKEY EDNA F
RICHET DIANNE M	SANKEY STEPHEN R
RICHET JAMES W	SANTANGELO ALVAREZ CARLOS EDUARDO
RIVERA NELSON OSCAR	SANTEE MARIA
RIVERA SARA LEE	SANTEE NOLAN LEE
RIVERA YELITZA VILERA	SANTIAGO ERIC FRANCISCO ALICEA
RIZO LUIS SALVADOR MARCANO	SANTOS TORRECILHA CLAUDIO
ROBERTS LESLINE T	SASON VERED
ROBINSON ROOSEVELT	SAUNDERS ANDREW
RODRIGUEZ ALEJANDRA ROSA	SCHOENDORF ERIC ANTHONY
RODRIGUEZ BRENDA M	SCHOFIELD JARETTE T
RODRIGUEZ BROOKE ALISON	SCHOREJS DAVID J
RODRIGUEZ CARMEN Y	SCHOREJS DIANA L
RODRIGUEZ DAVID	SCOON SYNDY A
RODRIGUEZ EDGUIMAR JOYCE	SCOTT MATTHEW PHILIP
RODRIGUEZ ELIO LUIS JR	SECRETARY OF HOUSING AND URBAN DEVELOPMENT
RODRIGUEZ ELIZABETH	SELLAS GABRIELLE
RODRIGUEZ ENID	SERRANO AGNES Y
RODRIGUEZ HARRY	SERRANO OMAR
RODRIGUEZ MILBIA	SERRANO RAMON J
RODRIGUEZ OMAR	SHEPHARD BRENDA ESTATE
RODRIGUEZ ORTIZ ROBERTO	SHEREMETA JAMES JOSEPH
RODRIGUEZ SERGIO JR	SHEREMETA LINDA M

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MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

SIBILLY BEATRICE	STRUBBE JENNY R
SILGUERO CASTANEDA ALVARO	SUAREZ ASHLEY O
SILVERIO GIL ERIC RAFAEL	SUEDMEYER MARTHA MCCLARY
SIMILUS ISRAMENE	SUEDMEYER PAUL A
SIMON AVERY JUSTIN	SURIN GERARD J
SIMON TERRA L BUCKLEY	SURIN JOCELYNE
SIMONEK DELMA	SWISHER SCOTT E
SIMS JIMMIE L	SWISHER TINA M
SINCLAIR FRANCES L	TAKAKI LINDA
SINGH DEVIKA	TAKAKI SHIRO
SINGH MICHAEL	TAMAYO PERCIVAL
SINGH NALINI DEVIANI	TAMAYO SHARON ALGER
SINGH RAJENDRA	TANG XINLIAN
SINGH RAMDAT	TARANTUR ELLEN M
SINGH SAMANTHA	TARVER LORI ANN
SIPION ALEJANDRA	TAYLOR BETTY P
SMITH ALFREDA M	TAYLOR BOBBY
SMITH ANNIE J	TAYLOR CHRISTOPHER D
SMITH JESSICA LEE	TAYLOR KIMBERLY L
SMITH JOHN MAXWELL	TAYLOR MARGIE MOODY
SMITH MARILYN HOWELL	TAYLOR REESHMAH
SMITH RICKY	TELENKO CHERYL
SMITH ROBERTA K	TELENKO ROBERT M
SMITH ROSCOE JR	TERRIBLE VANESSA
SOLANO CESAR MIGUEL SAEZ	THOMAS BRANTLEY JR
SOOKNANAN BRYAN	THOMAS DEBORRAH J
SOONG JOHN	THOMAS MARVIN D
SPEIGHTS EARL	THOMAS MICHELL S
SPEIGHTS SHARON D	THOMAS PHILIP JOHNE
SPENCER ALAN LEROY	THOMAS STEVEN D
SPENCER MADELINE A	THOMPSON JOSEPH
SPEZZACATENA DONNA M	THOMPSON ROSA ANN
SPINKS CARRIE L ESTATE	TIF-FK-B LLC
ST AMOUR VILLARD	TIPVEST LLC
ST AMOUR YLIONISE D	TOBIN WARWICK
STAHLMAN CLINTON WAYNE IV	TORREGROZA ELA
STAKOWIAK JULIANO MARFIM	TORRES ELIZABETH
STANLEY BENJAMIN LEE	TORRES STEVEN
STANLEY LEE ANN	TOTKA LAURA J
STATON CHRISTOPHER B	TOTKA RICHARD J
STEARNS JASON	TOUSSAINT JACQUELINE ALMONOR
STEARNS NATALIE	TOUSSAINT TAKISHA KERLYNE
STEVENS CREGG A	TREJOS RIVERA LUZ A
STEVENSON MARILYN M	TROY S BRONSON FAMILY L P
STEVENSON VAN	TSICHLIS PETER L
STEWART BRYAN	TURNER DANIEL W III
STEWART CLAUDE	TURNER MIKOLEAN B
STREMY ACCELINE	TURNER WILLIAM D
STRENTN JAMES W JR	TYSON MARY ANNE
STRENTN JAMES W SR	TYSON S WILLIAM

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MARDEN ROAD INTERCHANGE

OWNERS OF PARCELS DIRECTLY IMPACTED

EMERSON POINT PHASE II LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

ULERY KELLY JACQUELINE	WIDER EDWARD
ULMER COREY G	WIEGELMANN INGEBOG
UNITED STATES OF AMERICA	WIEGELMANN MARIA
VALENTIN ROBERTO AGOSTO	WILEY AMANDA GAYLE
VALERA PIETRA G	WILEY QUENTIN
VASQUEZ BERTHA	WILK TOMASZ F
VASQUEZ SANTOS	WILKERSON-JONES TANGELA
VAZQUEZ MARRERO ANGELA	WILKINS ANTONIO DAVID
VAZQUEZ REYNALDO J	WILKINS MAIRA
VAZQUEZ SANDRA M	WILLIAMS ARTIA
VEGA MICHELLE	WILLIAMS CAROLYN F
VELEZ JAVIER	WILLIAMS EUSTICE B
VELEZ JOSEPH	WILLIS MELISSA ALLEN
VELEZ MARTINA	WILLIS NOEL O
VELEZ WILFRED	WILSON CALVIN LEMONTE
VICTOR CHAD A	WILSON MONIFA
VILLALBA TEODULO	WINTERS MICHAEL SHERROD
VILLEGAS DAVID MEJIAS	WITTE DAWN YVETTE
WADE MARJORIE A	WOOD AUDRA M
WADE RICHARD T III	WOODS GLENDA D
WALLS-LAIRSEY DANIELLE	WOODS WALTER L
WALSH MATTHEW L	WRAY KATIE RUTH
WALTER JENNIFER C	WRAY STEVEN JEREMY
WALTON RICKY J	WRIGHT JOEL
WARE-GORDON MINNIE	WRIGHT LEBERT G
WAREHAM KENNETH J	WRIGHT VIRGINIA L
WAREHAM MARA E	YOUNG EDITH M
WARNER ANESHA	YOUNKER DAVID MEYER
WARNOWSKI ROSEMERE APARECIDA	YUAN SONGHUA
WASHINGTON JAMES F III	ZAPATA LUIS JR
WASHINGTON ROSETTA	
WAY ELISEO	
WEBER APRIL GARCIA	
WEBER JASON	
WELLS TRACIE	
WELSH DONALD A	
WELSH JOHN P	
WESLEY DONNIE MAE ESTATE	
WESTCARR BASIL C	
WESTCARR PAULETTE A	
WHITAKER CYNTHIA ANN	
WHITAKER JAMES KELLY JR	
WHITE DARNELL L	
WHITE DENISE D	
WHITE GEORFFREY D	
WHITE JARCELYN L	
WHITE KATHERINE J	
WHITE MARCELLINA A	
WHITE ROSA M	
WHITE TRELLANY Y	



PROJECT 528-313 S.R. 528 / INNOVATION WAY INTERCHANGE

Prepared by
ATKINS
for
CFX

SR 528

Project 528-313 - Innovation Way Interchange

Owners Directly Impacted by Project

SUBURBAN LAND RESERVE INC.

Owners of Parcels within 1/2 mile of project

CARLSBAD ORLANDO LLC

CENTRAL FLORIDA EXPRESSWAY AUTHORITY

CITY OF ORLANDO

ORANGE COUNTY BCC

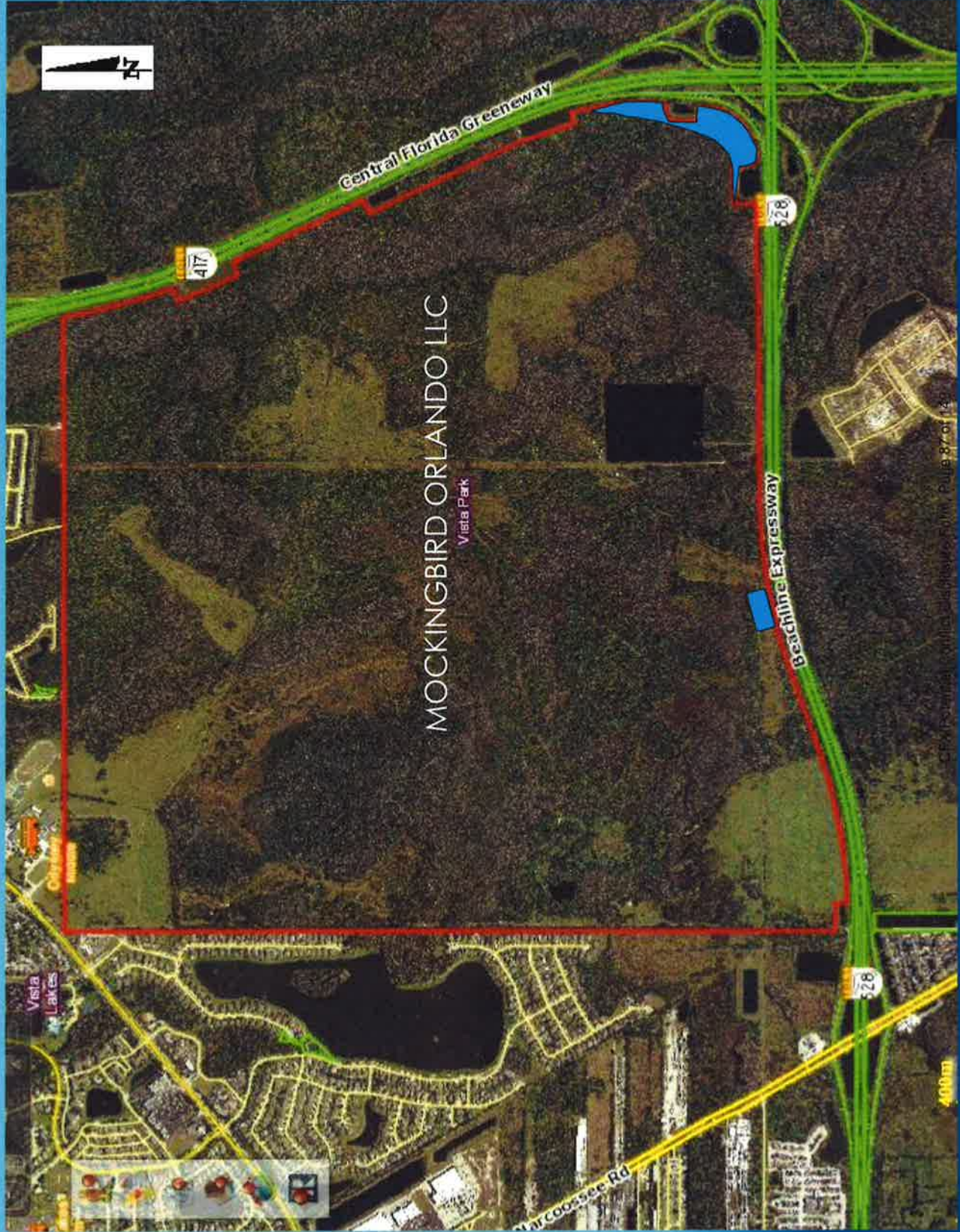
ORLANDO UTILITIES COMMISSION

SUBURBAN LAND RESERVE INC

TIITF/DOC

NEO LAND LLC

LT LLC



SR 417
SR 417/SR528 RAMP IMPROVEMENTS
PROJECT 599-126

OWNER OF PROPERTY DIRECTLY IMPACTED

MOCKINGBIRD ORLANDO LLC

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

AMERICAN TELEPHONE AND TELEGRAPH CO
BAL BAY REALTY LTD
CARLSBAD ORLANDO LLC
CENTRAL FLORIDA EXPRESSWAY AUTHORITY
MATTAMY (JACKSONVILLE) PARTNERSHIP
MOCKINGBIRD ORLANDO LLC
MOSS PARK PROPERTIES LLLP
ORANGE COUNTY BCC



S.R. 408 EXTENSION

PD&E

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Prepared by

ATKINS

for

CFX

SR 408 EXTENSION PD& E

From SR 408 Eastern Terminus to SR 520

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

14068 E COLONIAL DR TRUST	ACEVEDO ALEXA
14300 E COLONIAL DRIVE LLC	ACEVEDO JAVIER
15022 OLD CHENEY LLC	ACEVEDO MARCO A
151COL INC	ACEVEDO ROBERT
1575 ASSOCIATES	ACEVEDO WILLIAM O
1591 SHERMAN ST LLC	ACEVEDO-HERNANDEZ NEFTALI
17300 EAST COLONIAL DRIVE LLC	ACME BILLING CO TRUSTEE
17432 CAUDEL FLORIDA LAND TRUST	ACOSTA EDWARD
18002 7TH AVENUE LAND TRUST	ACOSTA GILBERT
19161 LLC	ACOSTA IVAN
2012 C PROPERTY HOLDINGS LLC	ACOSTA-QUINONES JOSE D
2012-C PROPERTY HOLDINGS LLC	ACUNA OSMANY
2013-1 IH BORROWER L P	ADAIR CHARLES J
2014-1 IH BORROWER L P	ADAIR ELAINE D
2014-2 IH BORROWER L P	ADAMES CAROLINA
2014-3 IH BORROWER L P	ADAMS BARTHA JO
2015-1 IH2 BORROWER L P	ADAMS DENISE L
2015-2 IH2 BORROWER L P	ADAMS MARK D
2015-3 IH2 BORROWER L P	ADAMS WALTER S IV
3 JAC LLC	ADELMAN WILLIAM K
321 CARPENTER ROAD LAND TRUST	ADKINS TIFFANY
3ZEN INVESTMENTS INC	ADOMENAS SANDRA L
40 ACRES & A MULE LLC	ADORNO-COTTO LYMARIS
47 N 5TH FLORIDA LAND TRUST	ADORNO-COTTO YARITZA
7-ELEVEN INC	ADULOJU OLUWASEUN O
A AND C UNIVERSAL INVESTMENT LLC	ADVENIR AT POLOS EAST LLC
A B I INVESTMENTS LLC	AE HAN KYONG
A PLUS HUMANITY MEDICAL SUPPLIES INC	AGUDELO LILIANA P
AALZ LLC	AGUDELO MARY LUZ
AARDVARK PROPERTIES LLC	AGUIAR MARIA
ABA LAND TRUST	AGUIAR MIGUEL F
ABADOYA NELSON C	AGUIRRE ANDRES RAMON
ABADOYA PAULETTE APRESTO	AH4R FL-3 LLC
ABBOTT RAYMOND	AH4R I FL ORLANDO LLC
ABBOTT THERESA	AH4R PROPERTIES LLC
ABBOTT THERESA L	AH4R-FL 11 LLC
ABC ORLANDO PROPERTIES	AHANGARI RAHELEH
ABC ORLANDO PROPERTIES LLC	AHMED MAHMOOD
ABERDEEN IAN	AHRAM TAREQ
ABRAHAM AMIN	AIHE DAVID O
ABRAHAM VIOLA G	AINSWORTH CHRISTINE
ABREU CLARIBEL M	AKHTAR ELIAS
ABREU JUAN	AKHTAR ELNARA
ABREU LUIS F	AKINS HUGH E
ACCARDO CRAIG G	AKINS LORRAINE

SR 408 EXTENSION PD& E

From SR 408 Eastern Terminus to SR 520

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

AKINTOLA DAVID	ALLEN WAYNE MCCOY LIVING TRUST
AKINTOLA LOVETH K	ALLIS MICHAEL
ALADIN DIEULA BURY	ALLIS WILLIAM
ALADIN HOFERNIO J	ALMANZAR CYNTHIA
ALAI GILDA	ALMANZAR DANILO
ALAI MOHAMMAD	ALMANZAR REYES ISABEL
ALAMO JOSE A	ALMODOVAR HARRY
ALAMO MARIAM Y	ALMONTE-CAMPOS DIONISIO
ALBA ARTURO	ALSAIDI MOHMOUD Y
ALBA RAQUEL	AL-SALEH HOMOUD
ALBELO CARLOS J	ALSTON GORDON P
ALBERT BARBARA	ALTSHULER ALAN M TR
ALBORES SHEILA E	ALVA ARACELLI
ALBRIGHT QUENTON	ALVARADO-CRUZ MARIA DE EL CARMEN
ALBRITTON CHARITY E	ALVARENGA ADONIREI CUNHA
ALCAZAR BRITTANY DIANE	ALVARENGA EDVALDO
ALDANA GLORIA C	ALVAREZ AGUEDA
ALDANA LISANDRO	ALVAREZ LUCY
ALDAY SUSAN J ESTATE	AMAT JUAN J
ALDERMAN SHEILA SITZMAN	AMAT YIDA
ALDI (FLORIDA) L L C	AMBARE SAMEER P
ALDRICH TRUDI G	AMBARE SNEHAL SAMEER
ALDRIDGE CLYDE RAY JR LIFE ESTATE	AMEEN VIRGILIA
ALDRIDGE DENISE MARCELLA LIFE ESTATE	AMERICAN HOMES 4 RENT PROPERTIES SEV
ALDRIDGE FAMILY TRUST	AMERICAN PETROLEUM INVESTMENTS INC
ALEJANDRO ANDREA	AMERICAN RESIDENTIAL LEASING CO LLC
ALEMU TSEGAYE	AMERICAN RESIDENTIAL LEASING COMPANY
ALENCAR JEISON LEIRRY	AMH 2014-1 BORROWER LLC
ALEXANDER ERVIN A	AMH 2015-1 BORROWER LLC
ALEXANDER LOID	AMIN BOBBY
ALEXANDER PIEDAD	AMIN DILRUBA
ALEXANDER SHERRY E	AMIN GITESH D
ALFONSO FRANK	AMIN NEHAL G
ALFONSO IRMA C	AMINOLSHARIEH BAHMAN TR
ALFONSO NELLY	AMINOLSHARIEH MALAK TR
ALFONSO PAUL	AMIR PROPERTIES INC
ALHELAYEL ABDULRAHMAN	AMJAD SBEIH FIRAS KEVIN
ALI BREANDON	AMMAR JON M
ALI SHYAM	AMRITT JOANNA
ALICEA RICARDO	AMSDOLL STORAGE VENTURES XXII LLC
ALLAZ OSAMA	ANAIHAH TRUST
ALLEN MOYA A	ANDERS ALICIA
ALLEN PAUL A	ANDERS STEPHEN
ALLEN REBECCA G	ANDERSON CURTIS
ALLEN ROBERT D	ANDERSON DAVID M

SR 408 EXTENSION PD& E

From SR 408 Eastern Terminus to SR 520

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

ANDERSON EMILY D	ARDAMAN MARY JO
ANDERSON ERIC B	ARDIN JUVENIA
ANDERSON FAMILY TRUST	ARDIN-SANTOS SATURINO
ANDERSON HARUMI	ARDIZZONE VINCENT R
ANDERSON JENNIFER R	ARENCIBIA DENNIS
ANDERSON LORENE	ARIETA ANGELO
ANDERSON MOIRA D	ARMENTA MARGARITA
ANDERSON RAY	ARMSTRONG ELEANORA E
ANDERSON ROBERT	ARNOLD DAVID L
ANDERSON SHAWN M	ARNOLD LINDA K
ANDINO DEBORAH	ARNOLD TATIANA
ANDINO-QUINONES RENE	ARREDONDO PORFIRIO
ANDRADE JUAN PABLO	ARROYO AIDA I
ANDRADE RICHARD	ARROYO ALFREDO
ANDREWS CHRISTINE	ARROYO LIGNY
ANDREWS JEFFREY M	ARROYO NOEL
ANGLO CENTURION LLC	ARROYO PAGAN PEDRO J
ANITA B FINEMAN TRUST	ARTHUZO JOSE ORLANDO
ANTHONY DAVONDA S	ARTHUZO ROSELI
ANTHONY FOSTER E	ARTYSHEVA IRINA
ANTHONY MARK STEVEN	ASCA GUSTAVO W
ANTHONY POLLY W	ASCA LOURDES J
ANTONIO LISA J	ASEBIOMO BANKOLE S
ANTONIO RUBEN D	ASH PAUL EDWARD
APLAND GLOBAL INVESTMENT AND HOLDING	ASHCRAFT KERRI J
APONTE ALICIA	ASHCRAFT RICHARD L
APONTE CESAR A	ASHTON CHARLENE
APONTE JOSE L	ASHTON MIKE
APONTE MARTA	ASI HANAN K
ARANAS ARGENIA C	ASTILLO JOSE M
ARANAS REDENTOR G	ATENCIO EUDIO ENRIQUE
ARANGO MARIA B	ATKINSON SHELIA A
ARAUJO JUAN PABLO ESTRELLA	ATLANTIC GULF COLONIAL PROPERTY INVE
ARBELAEZ JENNY P	ATTIA GEORGE N
ARBELAEZ ORLANDO J JR	AUBREY JUDITH A
ARBOLEDA ENRIQUE	AUBREY LUTHER F SR
ARBOLEDO-MERCADO ALIET VANESSA	AUGENSTEIN GINETTE
ARCAMONE DANA	AUGHENBAUGH WALTER D
ARCE BERNARDO A	AUSTIN DAVID L
ARCE EDWIN	AUSTIN JOE
ARCE GLENDA	AUSTIN THOMAS E
ARCE MIGUEL	AUTOZONE STORES INC
ARCE MIGUEL A	AVERITSEV VIKTOR
ARCENO R J	AVILES RUEBEN
ARCENO REDARCIE ARANAS	AWAD ALICE

SR 408 EXTENSION PD& E

From SR 408 Eastern Terminus to SR 520

OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

AWAD JEANNETTE	BANO SABERA
AWAD JOSEPH N	BANTOLO MARIA C
AYALA CARMELO	BARBARA A MILLER TRUST
AYALA FIDEL JR	BARBER ROBERTA J
AYALA JULIA	BARBOSA ENEIDA
AYALA MARCELO	BARKOW LISA
AYALA MARY	BARNES CYNTHIA
AZIZI YAMINA	BARNES FRANK
AZZOLINO MARILYN E	BARNES SUSAN LOIS
B M WEMPLE POOLS INC	BARNES VINCENT RAY
BAC HOME LOANS SERVICING LP	BARNETT CYNTHIA A
BACH BRUCE P	BARR DIANE C
BADERTSCHER WAYNE	BARR DIANNE
BAEZ CARMEN B	BARRETO LUIS A
BAGGYA CELIA	BARRETO-RODRIGUEZ MARICELA
BAGNULO MARIANELLA	BARRETT CAITLIN
BAHALDEEN BAHAAALDEEN ABDULMAJEED	BARRETT CHARLENE
BAILES NANCY	BARRETT CHARLENE M
BAILEY CLIFFORD M	BARRETT EDWIN
BAILEY EDWARD J	BARRETT EDWIN C
BAILEY EDWARD J TR	BARRETT JUSTIN D
BAILEY EDWARD J TR	BARRIERA VICTOR
BAILEY KATHY JO	BARRINGTON MARIELLA R
BAILEY MARVIN Z III	BARRINGTON RICHARD G
BAILLY MARGARET	BARRIOS JESUS
BAILLY MICHAEL	BARRIOS MICHELLE
BAIOCCO JENNIFER	BARRUS JACQUELINE S
BAIRD DEANN	BARTELS JOHN A
BAIRD FAMILY REVOCABLE TRUST	BARTLEY CELINE V
BAKARE CHRISTOPHER	BARTLEY WILBERT A
BAKER JULIE A	BASILE LOUISE
BAKER PATRICIA	BASS BOBBY W
BAKISE THOMAS R	BASS JEANNE K
BAKKE MARIE L TR	BASS KARL R
BALDWIN THOMAS R JR	BASSARAGH IAN C
BALIWAG HELEN B	BASSARAGH KAY A
BALIWAG OSCAR E	BATEMAN THOMAS J
BALL JONATHAN C	BATEMAN VICTORIA L
BAMBERG CHRISTOPHER	BATTREALL AMY
BAMBINO SUSAN	BAUCOM MARY
BANEGAS CHRISTINA	BAUCOM TOM
BANES FELIX	BAUDELET MATTHIEU
BANK OF AMERICA N A	BAXLEY CARL B
BANKSVILLE OF FLORIDA INC	BAYLIS ANNE M
BANNON DIANA	BAYLIS MARK A

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

BAYMCD LLC	BELLY ACRES REVOCABLE TRUST
BAYOU YENENEH	BELVIN GUY WALTER
BBIGG LLC	BEMIS COLLEEN M
BC FLORIDA RENTALS INC	BENITEZ HERIBERTO
BEALS AMBER	BENITEZ NORMA
BEALS JOHN	BENNETT ANN F
BEAMER CHARLES G	BENNETT ERIK R
BEARNS HERBERT S	BENNETT JOHN F
BEARNS JESSICA LYNN	BENNETT JOHN F
BEASLEY CAROLINE	BENNETT JULIE
BEASLEY LARRY L	BENNETT TAIT M
BEASLEY MARTA	BENT HEATHER LYNN
BEASLEY NORMA	BENT MICHAEL WOLFRAM
BEATNEH RABEHA	BERAMSINGH ROKEZA
BEATON LAURANCE P	BERAMSINGH SYDNEY
BEATON SILVIO J	BERGERON REBECCA A
BEAUDRIE NANCY	BERKMAN IAN
BEAUMONT ALFRED G	BERKMAN SHARON
BEAUMONT ELEANOR	BERMUDEZ HECTOR
BEAUREGARD KERRIE	BERNARDINI RAUL A
BEAVERS MATTHEW T	BERRIEL MAYRA HENITH
BEAZER PRE-OWNED HOMES II LLC	BERRIOS JOSE
BEAZER PRE-OWNED HOMES LLC	BERRIOS LUZ I
BECHSTEIN GREGORY M	BETANCOURT ALFREDO
BECKER JOYCE	BETANCOURT NOEMI
BECKER MICHAEL	BETANCOURT VICENTE
BEDOYA HERNANDO A	BETGUEN AILYNN GRACE
BEDOYA RAQUEL A	BETGUEN ALERD-ALFONSO
BEDROSSIAN JESSICA	BEVERLY MARY AILEEN VAN DYKE
BEECROFT BRENDA	BEVILACQUA MARIA LIFE ESTATE
BEECROFT BRENDA S	BEZAT AUREL
BEECROFT KENNETH	BEZAT DANIELA
BEECROFT KENNETH D	BHARADWA ATTUL MULJI
BEGUM FERDOUSHI A	BIALES SYBIL
BELANGER KAREN ELIZABETH	BIERLEY RALEIGH J
BELANGER ROBERT J	BIG OAKS MHP LLC
BELCHER EARL W	BIGHAM JONATHON
BELCHER RICKY DARRELL JR	BIGIO CHARLOTTE
BELCOURT RICHARD A	BILGER LESLIE
BELCOURT ROLAND A JR	BINDA-THAMBA ROSALIA
BELL LARRY S LIFE ESTATE	BIRD ADREN K
BELL NOBLE	BIRD URAIWAN
BELL RONALD E SR LIFE ESTATE	BIRE KAVITA
BELL RUBY	BIRE KEMRAJ
BELL TRAYON	BIRUSINGH RHEA

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

BISNETTE BRANDON MICHAEL	BOND BARRY L
BISNETTE DIANA YURYEVNA	BOND BRITTNEY DAWN
BISRAM BHUPAUL	BOND TIMOTHY MICHAEL
BISRAM INDRANEE	BONILLA JOSE R
BISSESSAR RABINA	BONNE 34567 INC
BITHLO BAPTIST CHURCH INC	BOOKER LARRY W
BITIKOFER SANDRA L	BOOKER JAMES L
BITIKOFER SCOTT D	BOOKER PEGGY M
BLACK-BARRIERA ELLA	BOONE KEYANNA R
BLACKWELL ELIZABETH J	BOOTH CHRISTINA T
BLACKWELL GREGORY CARL JR	BORGES SANTOS MARTINEZ
BLACKWELL ROBERT L	BORGESON CARMEN P
BLAIR CHRISTINA M	BORGESON TIMOTHY J
BLAIR STEPHEN M JR	BORROMEO PROPERTIES LLC
BLAIS DONALD J	BORUTH LAND INC
BLAIS PAULA	BOSCH ANDY W
BLAKE E L	BOSCH MELODY CAACBAY
BLAKE PHYLLIS T	BOSWELL BRIANNA PHELAN
BLANC CARLINE	BOTSON SHARON
BLANC FRITZ	BOULMETIS HARRY
BLANCHARD ROBERT C	BOULMETIS NANCY J
BLANKENSHIP DEAN	BOUNDS BROOKE A
BLANKENSHIP JANE	BOURLIER DONALD L
BLANTON EUNICE I	BOURLIER DOROTHY M
BLAZQUEZ ANGEL	BOUTON R C
BLAZQUEZ LINDA A	BOVA GAIL S
BLOOMFIELD LARRY S	BOVEDA LUIS M
BLOOMFIELD SCRAP IRON & METAL CO	BOWDEN CAREY D
BLOW KENNETH E	BOWDEN CHRISTINE E
BLUE WATER EXPRESS WASH 5 LLC	BOWLES JAMES M
BNK ASSET MANAGEMENT LLC	BOWSER CAMERON S
BOBAY CHARLES	BOYD MARTIN J
BOEMLER CATHY A	BOYD SYLVIA Y
BOEMLER STEPHEN G	BOYER BRIAN T
BOGGS BILL G	BOYER QUETLY
BOGGS IRA C	BOYER YVES
BOGGS SUE E	BOYLE BRYAN J III
BOGGS TERRY L	BR/CDP COLONIAL TRUST
BOGLE TERRY	BRADFORD GLENDA GAIL
BOISCLAIR CAROLINE A	BRADFORD WILLIAM S JR
BOISCLAIR PAUL A	BRADLEY ANITA T
BOJINOVA MAIA H	BRADLEY JEROME T
BOLIVAR WILLIAM	BRADSHAW MELISSA F
BOLLING YARDEISCH E	BRAINARD STEPHEN
BOMOTANO NAOMI A	BRAINARD SUSAN

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

BRANCATO BRIAN M	BROWN CYRIL L
BRANCATO DANIELLE A	BROWN ELSIE S
BRANTLEY KATHY	BROWN ELTANYA D
BRANTLEY KATHY TR	BROWN GLADSTONE
BRANTLEY ROBERT J TR	BROWN HOWARD D
BRASWELL STEVE W	BROWN KENNETH
BRASWELL VICKI L	BROWN LONNEEN M
BRAULT MARY	BROWN MICHELLE RENEE
BRAY ANGELENE	BROWN OLIVER JR
BRAY DENNIS F	BROWN ROBERT M
BRAY THELMA	BROWN RODERICK M
BRAZIE RUTH M	BROWN SHIRLEY M
BRESNEN ELLY M	BROWNELL CORINNE A
BRESNEN FRANCIS J	BROWNING KATIE G ESTATE
BREWER SUE ANN	BROXSON KENNETH LEE JR
BREWINGTON CINDY SUE	BRUBAKER DENIECE R
BREWINGTON RONALD	BRUBAKER GREGORY W
BRICE CAROL	BRUBECS PROPERTIES LLC TR
BRIDGES HEATHER	BRUCE OLLIE M
BRIDGES JAMES A	BRUGNONI CLARA I
BRIDGESTONE RETAIL OPERATIONS LLC	BRYAN LESTER
BRIDGEWATER AT LAKE PICKET HOMEOWNER	BRYAN RUBY
BRIDGEWATER AT LAKE PICKETT HOMEOWNE	BRYAN SANDRA MARI
BRIGHT HOUSE NETWORKS LLC	BRYANT DERINA M
BRIGHT SOLUTION LLC	BRYANT JASON L
BRIGMAN KEVIN	BRYANT OSCAR
BRINKER FLORIDA INC	BRYANT PATRICIA A
BRISTOL CLIFFORD NORMAN	BUDDE LEONARD R
BRISTOL GUY CLIFFORD JR	BUENO-HERNANDEZ JOSE A
BRISTOL IMA J ESTATE	BUFFALO-GREENBRIAR ASSOC LLC
BRISTOL IMA JEAN ESTATE	BUI BAO KHANH THI
BROCK CHRIS	BUI MARY THUY
BROCK DAVID A	BUITRAGO ESPERANZA
BROCK DIANE L	BUITRON KATHERINE M
BRODY MICHELLE DAWN	BUNKER CHERYL LEE
BROOKS FRANCINE	BUNKER RAYMOND MARK
BROOKS KEVIN LEE	BURBY SHERRIANN
BROOKS VALERIE Y	BURDEN MICHAEL
BROOKS WILLIE	BURDETTE DOUGLAS PAT
BROUGH CHARLEY E JR	BURGOS ESPERANZA
BROUGH JACQUELYN M	BURGOS GLADYS
BROWDY ANNETTE	BURGOS HECTOR A
BROWDY ANNETTE	BURGOS JENNIFER
BROWN ALICE G	BURGOS MILYNEL
BROWN CLARENCE DEVIN	BURGOS NIEVES RAFAEL

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

BURGOS RONNEY	CALO MARGARITA
BURGOS YAKARIA	CAMACHO ANGEL
BURIAS IAN J	CAMACHO JACKELINE
BURNAZI BEDRI	CAMARGO ANA PAULA TOTI
BURRELL LORI	CAMARGO CAMILO
BURRELL WESLY R	CAMARGO NELSON
BURROWS ROBERT	CAMARGO RAISA
BURROWS VALERIA	CAMARGO TED CALDAS
BURTH RONALD F	CAMPBELL ROBERT M
BURTON ERIKA A	CANALES MARLYN
BUSTAMANTE LESLIE I	CANALES MELISSA
BUSTAMANTE RAFAEL A	CANAVERAL DULCE
BUSTAMANTE LEONOR P DOCTORA	CANGEMILLO ANTHONY C III
BUSTILOS CHARLES L	CANNON BARBARA
BUTLER CRISTINA	CANNON BOBBIE L
BUTLER FRANK	CANNON BYRNA R
BUTLER GREGORY M	CANNON CYNTHIA M
BUTLER KRISTY J	CANNON JOHN L IV
BUZZETTA FRANCESCO A	CANNON ROBERT M JR
BUZZETTA LORELEI M	CANNOVA MICHAEL F
BUZZITTA MARIANNE	CAO TAM
BYRD ALLEN E	CAO TAM MY
BYRD PATSY ANN	CAPONE THOMAS
BYRDLEY REALTY CO LP	CAPPOLINO LAURIE
CAAJ CORP	CAPPS PAMELA
CABALLERO FAUSTO	CAPUTO ROSEMARY SHEA
CABARCAS AMPARO	CARABALLO GILBERT
CABELKA LUBOMIR	CARABALLO JUDITH
CABELKOVA MARIA	CARDONA IVAN D
CABRAL DENISE	CARDOZA ASTRIC D
CABRERA JAVIER FERNANDO	CARDOZA RANDY
CABRERA RUTH MARCHANY	CARLISI ROBERT F JR
CADALZO MERCEDES	CARLISLE CONSTRUCTION CO INC
CADALZO RALPH	CARLISLE GINA
CAH 2014-1 BORROWER LLC	CARLISLE JONATHAN L
CAIN RONALD W	CARLISLE LYNN
CALCANO HUGO D	CARLISLE LYNN S
CALCANO JULIA J	CARLISLE ROBBIE R
CALCANO VICTOR A	CARLISLE ROBBIE SR
CALDERON FLORES JEAN EMIL	CARLSON AMANDA
CALHOUN SABRINA LEE	CARLSON ERIK A
CALI BRIAN	CARLSON JEFFREY ALAN
CALI NATHAN	CARLSON LISA RENEE
CALLEJA STEPHANIE	CARLSON MATHEW
CALMES RICHARD A III	CARLSON PAMELA

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

CARLTON GERALDINE M	CASTILLO LIZET DEL
CARMELL VIRGINIA L	CASTILLO PATRICIA
CARMONA JUAN	CASTILLO ROSA ISABEL
CARPENTER MARY C	CASTILLO WILFRIDO
CARPENTER ROBERT V	CASTILLO YESSICA S
CARPER JARRET	CASTILLOVEITIA CARMEN Y
CARR LORRAINE G	CASTILLOVEITIA GEORGE
CARR MELVA KAY	CASTRO CARMEN GLORIA
CARR STEVEN LEE	CASTRO GRETCHEN
CARRASQUILLO ANGEL MIGUEL	CASTRO JESUS
CARRIGAN REALTY INC	CASTRO MARGERY
CARRILLO ALEXANDER	CASTRO MARIA
CARRILLO MARISOL	CASTRO SANDRA
CARRINGTON MORTGAGE SERVICES LLC	CASUCCIO CYNTHIA V
CARRION MILAGROS A	CASUCCIO JOSEPH N
CARRION RAFAEL E	CAT TRAN LLC
CARROLL DIANE	CATER KIEU
CARROLL DONALD J	CATOE KAREN
CARROLL ETHEL	CAUDLE ANTOINETTE T
CARROLL JOHN	CAUDLE CHARLES B
CARROLL ROBERT E	CAVINEE CHRISTOPHER J
CARROZZA JAMES J	CEDAR CROSSING ENTERPRISES INC
CARTAGENA JESSICA M	CELI ALFRED A
CARTAGENA JOSE A	CELI OLGA
CARTAGENA MARIA I	CENTNEO ADA BAEZ
CARTER ALFRED D JR	CENTRAL FLORIDA PARKWAY LLC
CARTER BETTY GANNON	CEPEDA ROSA M
CARTER BRYAN S	CERDEIRA NORMA GABRIELA
CARTER CHRYSAL L	CERRITOS LESTHER M
CARTER DAVID	CERRITOS LIDYA I
CARTER JIMMIE	CERVANTES ROSALVA
CARTER JOHN D	CHAKOUJIAN JEFFREY II
CARTER KAREN	CHALLENGER DISCOVERY LLC
CARTER MICHELLE	CHALLOP EAST LLC
CARVAJAL GLENN C	CHALLOP WEST LLC
CASADY AMY LOU	CHAMORRO HAYDEE
CASANOVA FRANCISCO	CHAMPION CHARLES W
CASSANDRO ANGELO	CHAMPION PATRICIA M
CASSATT CINDY	CHAMPLIN JEFFREY J
CASTELLANO AMBROCIO	CHAN IRENE WING YAN
CASTELLANO INES B	CHAN NORLAND T
CASTILLO ADRIAN WILFREDO	CHAN TARAMATTIE
CASTILLO ALFREDO	CHANDA THAKOOR D
CASTILLO CYNTHIA MARIE PADGETT	CHANG DAISY H T
CASTILLO HUGO JAVIER	CHANG KONG PROPERTY MANAGEMENT LLC

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

CHANG LIANG GEN	CHRISTENSON PETER
CHAPARRO CLARITZA	CHRISTOPHER COY R
CHAPARRO HERMAN	CHUBB JUDITH A
CHAPARRO SAMUEL	CHUBB KARL F
CHAPIN DONALD J	CHUCK HOLLOW INC
CHAPMAN LINDA J	CHUCK HOLLOW INC
CHARLTON IAIN	CHUONG PHONG HUNG
CHARLTON MELISSA A	CHURCH OF CHRIST AT EAST ORANGE INC
CHARRAN DAVID	CHUSID BARI J
CHARRAN TERRY P	CIANI ROBERT C
CHASE HOME FINANCE LLC	CICHANOWSKI MYRA J
CHATILA MAHAMAD	CINTRON MELANIA
CHAUDHRY IMRAN	CIO RESEARCH PARK LIMITED PARTNERSHI
CHEE MELISSA	CIOTTI ANTHONY
CHELVAN THAMARAI P	CIPOLLA JEANNETTE
CHEN BENJAMIN YAN BIN	CIRCLE K STORES INC
CHEN CHARLES	CITIRAM INVESTMENTS LLC
CHEN YU KUEI	CLARK AMY
CHENEY LLC	CLARK CARMEN R
CHENOWETH COURTNEY	CLARK FRANK
CHEREGO JAMES	CLARK GERARD
CHEREGO NANCY	CLARK KEITH
CHERJOVSKY MARIA F	CLARK KEVIN D
CHERRY EVELYN OLIVE	CLARK VALONDA D
CHERRY RICHARD ALAN	CLAYBOURNE JOHN P
CHERYL AND KIDS LLC	CLAYBOURNE THOMAS J
CHEVALIER NICOLE ALEXIS	CLEAN SWEEP AND MORE LLC
CHHOEUN DARA	CLEMENTE NORMAND
CHICKASAW HOLDINGS LLC	CLEMENTE WILLIAM
CHIESA MICHELLE	CLEMENTS CALVIN W
CHIK NOELLE K	CLEMONS MARK
CHIN BRIAN	CLEROP1 INC
CHIN EDWARD	CLONINGER EVELYN W
CHING RAYMOND	CLONTZ CLYDE J
CHIN-SEE DEIDRE	CMD OLDSMAR INC
CHIN-SEE LEIGHTON O	COATS DIANNA M
CHIN-SEE MARIE R	COATS HAL B
CHITWOOD JACQUELYN LEE ESTATE	COATS JEFFERY ALLEN
CHO HANG NGOR	COBURN BRENT A
CHO KIN MAN	COBURN EMILY I
CHOLKO EVELYN A	COBURN MICHAEL J
CHOLKO JOHN J	COFFEY JAMES G
CHOW HUAN CHUNG	COGGINS ESTHER SUE
CHRISTAKOPOULOS ELENI P	COHEN IRVING
CHRISTENSON LINDA	COHEN LEE

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

COHEN LEON A	COMMUNITY SERVICES TRUST
COLADO WALLYS	COMPTON TANA GAE
COLAS FRITZ	CONCEPCION ANA RAMONA
COLAS MARIE	CONCEPCION ELADIO ANTONIO
COLE VIENI GINA M	CONDO-LBS LLC
COLEMAN JOE M	CONDREY JILLIAN A
COLEMAN JOYCE G	CONE CARMEN DOLORES
COLEMAN KEITH W	CONE DAVID JR
COLEMAN PAUL C IV	CONFIDENTIAL
COLEMAN SHIRLENE	CONGO RIVER GOLF & EXPLORATION CO-EA
COLETTI ROSEMARIE TR	CONING RICHARD
COLFIN AH-FLORIDA 6 LLC	CONNELL CHRISTINA M
COLFIN AH-FLORIDA 8 LLC	CONOLEY E B II
COLLAZO IDA L	CONOLEY LILLIAN H
COLLEGE SUITES AT WOODBURY LLC	CONTRACT TECHNOLOGIES INTERNATIONAL
COLLETTI PAULA T	CONWAY SUZANNE
COLLIER CAROLYN L	COOK GLORYANN M
COLLINS PETER D	COOK JACK E
COLLINS ROBERT T	COOK MIRIAM
COLLINS ROSS E	COOK MIRIAM L
COLLINS VIRGINIA	COOK ZACKERY K
COLON ANGEL LUIS	COOKE BARBARA
COLON CARMEN	COOKE THOMAS F
COLON EDGARDO I	COOLEY ALEXANDER M
COLON HECTOR APONTE	COOLEY AMY F
COLON JIMMIE FRANCIE	COOPER CARL
COLON JOSEPH M	COOPER-HAPEMAN EVA
COLON JUSTINIANO	CORDNER III LLC
COLON LOURDES	CORDNER IV LLC
COLON MONA LIZA	CORNELISON RYAN
COLON MYRNA	CORNELIUS BRIAN P
COLON NATIVIDAD J	CORNELIUS CARL B
COLON NELSON Y	CORNELL MARY C
COLON ROSALINA	CORNELL STEPHEN N
COLON SALGADO OSCAR J	CORNER LAKES ESTATES HOMEOWNERS ASSN
COLON SILMARIE	CORNER LAKES ESTATES HOMEOWNERS ASSO
COLON SULMA	CORONADO MARINA
COLON VICTOR M	CORONADO RENE
COLYER PATRICIA	CORRAL ORLANDO
COLYER TIMOTHY	CORRAO JOHN
COMBEE MICHAEL JOSEPH	CORRAO MICHAEL
COMBEE PATRICK LARRY	CORRELL MARGARET ALLISON
COMBEE SHERON ANN	CORSI JULIO
COMBINE ORLANDO	CORSO MICHAEL
COMMUNITY HEALTH CENTERS INC	CORTES MARIA E

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

COSME HECTOR	CRUMMEY SHANDRA U
COSME LISSETTE	CRUZ AWILDA
COSME RAMON	CRUZ CARMEN L
COSTA DURVAL	CRUZ ESTHER
COSTA MARIA	CRUZ GADIEL
COSTA RIBEIRO CAROLINA	CRUZ ISABEL
COSTA RIBEIRO LEANDRO	CRUZ JOHN EDWARD
COTO MANUEL A	CRUZ JOHNNY
COTO SONIA	CRUZ NELIDA
COTTO DIAZ GRETCHEN MARIE	CRUZ PASTRANA ADA Z
COTTON RONALD	CRUZ ROBERTO SR
COTTON STEPHEN B	CRUZ-ORTIZ JANET
COTTON SYLVIA	CUADRADO ANNIE
COURTNEY DANNY E	CUDDY ROBIN L
COURTNEY LINDA C	CUDDY WILLIAM T
COURTNEY LOIS	CUEVAS DIANA
COURTNEY ROGER L	CUEVAS JUAN G
COUTTS SHELLY L	CULLUM BETTY N
COVILLE MARIA	CULLUM STEPHEN A
COWART GREGORY D	CULP ANTHONY
COWART KAY K	CUMMINGS BETTY JOAN
COWART PAUL H	CUMMINGS LOWELL E JR
COWART TAMUEL D	CUMMINGS MAE BETH
COWDEN ANN MARY	CUMMINS ALEXANDRA
COWDERY CHRISTINA M	CUMMINS NEIL
COWDERY SEAN B	CUNNINGHAM ERIC
COX BRIAN CHRISTOPHER	CURA ALFANSO R
COX JOSEPH R	CURA ZENAIDA E
COX JUANITA	CURIEL ISIMER Y
COX MARY HELEN	CURRY MARK S
COX NANCY A	CURRY SHERRY S
COX PATRICIA A	CURTIS LUCY
COX ROSEMARIE	CURTSINGER MARTHA
CRAVEN PATRICIA L TR	CUSTOM CARRIAGES INC
CRAWLEY JOSEPH BRUCE	CUYUGAN VIVENCIO A JR
CREGGER JUDY	CYPRESS LAKES COMMUNITY ASSN INC
CRESK PARTNERS LLC	DA SILVA PEDRO P
CRESPO NILSA	DA SILVA SHELDA S
CRISTY CARMELO	DAENS JAYNE
CRISTY IRIS	DAGUE WILLARD
CRITES ROBERT W	DAHAB-WAWRZYNIAK GEORGENE
CROSSGROVE CARMEN ESTHER	DAHL FAMILY REVOCABLE TRUST
CROWN CASTLE SOUTH LLC	DALESSANDRIS LISA
CROZIER BEATRICE	DALESSANDRIS LUIGI
CRUMMEY MALCOLM	DALLAVALLE LAURIE

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

DALLAVALLE MICHAEL EDWARD	DE BARBIERI ALEJANDRO
DALMAR RHOAN	DE CAMARGO ALESSANDRO P
DALTON ROBERT M	DE CARMARGO BARBARA C
DALY IVETTE Z	DE JESUS ALEXIS
DAMELIO ANGELA	DE JESUS ESTEVEZ ROSEMARY
DAMELIO JUDY	DE JESUS SYLVETTE
DAMELIO MICHAEL	DE LA CRUZ JUANA I
DAMELIO MIKE	DE LAUDER SHEILA
DAMELIO PAUL	DE LOS SANTOS JUAN
DAMICO ANTHONY P	DE LOS SANTOS MORALES SOISMEL SAUL
DAMICO ROSEMARIE T	DEANGELO JEFFREY
DANG MINH Q	DEANGELO LINDA
DANG NGOC HONG	DEARY RICHARD G JR
DANG NHAN C	DEBORD FREDYS
DANG THANH	DEBORD MARIA
DARBOUZE MAHASON	DEBRITA MAURICE LEONARD III
DARLINGTON BONNIE	DECKER INSTRUMENTS INC
DARLINGTON CHRISTINA	DECKER KATHERINE KELLY CUSTODIAN FOR
DASS CHANDALANEE	DECKER LAWRENCE
DASS DOODNAUTH	DEDO FRANCIS K
DAU PHU	DEERWOOD II ASSOC
DAUD AFSHAN U	DEFABBIO MICHAEL J
DAUD MOHAMMAD S	DEGENNARO JOYCE
DAVILA EDUARDO SERRANO	DEGRAW JOHN DAVID
DAVILA KRISTA R	DEGRAW SARAH NICHOLE
DAVILA RAFAEL A II	DEGREE LAVERNE
DAVILA SONIA	DEJESUS ALEXIS
DAVIS ANITA	DEJESUS CARMEN L
DAVIS BERNADETTE ADAMS	DEJESUS MILDRED
DAVIS CATHY ANN	DEJESUS R ESTEVEZ
DAVIS DAN	DEJESUS RUBEN
DAVIS DARYL JEFFREY	DEJU ELENA A
DAVIS FRANCIS D	DEL CAMPO ADA
DAVIS GAIL EINSON	DEL HIERRO THATIANA
DAVIS GREGORY S	DEL RIO ABEL
DAVIS JAN ESTATE	DEL RIO MARTA N
DAVIS LARRY D JR	DEL ROSA OLIVIA M
DAVIS MARGARITA E TR	DEL VALLE EMILIA
DAVIS RICHARD E TR	DEL VALLE ROBERTO
DAVIS ROBERT E	DELERME HENRY R
DAVIS ROSE E ESTATE	DELERME KARINA
DAVIS SAMUEL	DELFOSSSE GEORGE EDWARD
DAVOODIAN HAMID	DELFOSSSE SARAH ANN
DAWSON DIANE K	DELGADO ALEXANDER L
DAZSER-ORL PROPERTIES LLC	DELGADO EVA

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

DELGADO GLORIA	DIAZ MARLENE
DELGADO REINA	DIAZ MIGUEL
DELGADO-LUGO SILVIA L	DIAZ MINERVA
DELIZ LUIS A ITARA	DIAZ MIRTHA
DELLA ROCCO JANICE	DIAZ MYRIAM
DELLA ROCCO NICHOLAS JR	DIAZ RAFAEL
DELPH CATHARINE L	DIAZ RICHARD
DELPH CATHERINE L	DIAZ RITA MAE
DELPH DONALD F	DIAZ SIERRA JOSE L
DELRIO EVA L	DIAZ SILVIA C
DELRIO RENE J	DIAZ SONIA
DENBERG JOSEPH	DIAZ YESSICA
DENBERG SHERI	DIBARTOLO JOSEPH
DENBERG SHERI TR	DICKENSON DAX
DENG KE	DICKENSON KATIE
DENNIE TRACEY	DIDDLE JOSEPH J
DEPAZ DIANA	DIDDLE RENA
DEPAZ LAZARO JOEL	DIDDLE TIMOTHY
DEPPERSCHMIDT FAMILY TRUST	DIEGO LINA A
DESCH JAMES B ESTATE	DIEGO MANUEL R
DESKINS NATALIE B	DIETRICH BROTHERS INC
DESKINS ROBERT L	DIETRICH H FRED III LIFE ESTATE
DESMOINEAUX CARLOS	DILDINE ROBERT A
DEVOL CLAYTON T	DILLEN CHARLES T
DEVOL CLAYTON T JR	DILLEN PATTY
DEVOR DANIEL ELIAS III	DILLON PENNY LYNN
DEVOR SUSAN LEE	DIMACULANGAN HARLAN
DEVORA EMMAJANE FERNANDEZ	DIMACULANGAN ROWENA
DEVORA ROLANDO CAYAGO	DIMOND SANDRA SVOS
DEWDAT NIERENDRA	DINH CECELIA
DEYOUNG JOAN TR	DINO MARIETTA
DG BITHLO FLORIDA LLC	DINO MARIETTA J
DH OUTDOOR WORLD INC	DINO MARIO B
DHALIWAL PRITHIRAJ	DIOCESE OF ORLANDO
DI DEVELOPMENT LLC	DIORIO DANIEL
DIAB DIB TR	DIORIO RAELYNN A
DIAB ROSETTE TR	DIPAOLA WILLIAM
DIAZ AMADOR	DIPPOLD ANDREW
DIAZ ARISTIDES JR	DIPPOLD MARGARET
DIAZ CARMEN	DISCOUNT AUTO PARTS LLC
DIAZ DAVID	DISERAFINO ANTHONY
DIAZ ELIZABETH	DISERAFINO ZOILA
DIAZ JAVIER R	DITERLIZZI DOMINICK
DIAZ MARIA DE L	DIXON CAROLYN
DIAZ MARITZA R	DIXON FRANCES C

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

DIXON FRED	DOUGLAS ANGELENE
DIXON FREDERICK	DOUGLAS BRIANE
DIXON REMA	DOWD JENNIFER
DIXON ROBERT T	DOWD JENNIFER N
DLJ MORTGAGE CAPITAL INC	DOWD MICHAEL DAVID
DO HA	DOWDY DONALD
DO HA HOANG	DOWDY FLORENA
DO HAI HOANG	DOWDY JAMES CHRISTOPHER
DO KHOI	DOWDY STEFANIE LIN
DO MAL	DOWNER KAYE A
DO MINH	DOWNER MILLICENT E
DO MY TIEN THI	DRAGICHEVICH ZVONIMIR R
DOBLES ANA	DREW HAZEL L
DOBLES LOUIS A	DREW ROBERT L
DODD BASIL C II	DREW WILMA K
DODD JAMES M	DRINKWATER & DRINKWATER INC
DODD JERRY E	DRINKWATER CLIFFORD J
DODD JUNE JENKINS	DRINKWATER MARGARET P
DODGEN MELISA D	DRINKWATER MARSHALL C
DOHENY ROXANNE MARIE	DRINKWATER MARSHALL CRAIG
DOLPHIN STATIONS LLC	DRINKWATER NORMAN W
DOMINGUEZ ALEJANDRO	DRINKWATER RITA HIRT
DOMINGUEZ FANNY	DRUHOT ELIZABETH C
DOMINGUEZ LILLIAN	DU ANNA H
DOMINGUEZ LUIS H	DUARTE HERNAN
DOMINICCI ESTHER	DUARTE HERNAN U
DOMITROVICH CINDY A	DUARTE HERNAN UBELIO
DOMITROVICH GEORGE P SR	DUBAY DALBELIS
DON L TAYLOR JR AND CHARLOTTE R TAYL	DUBAY DANIEL J II
DONALD RAY MULLEN TRUST	DUBEAU JOE A
DONATO RICHARD T	DUBOISE CHARLIE
DONER ANNETTE	DUBOISE THERESA J
DOOBAY LINDALVA	DUGAN BARBARA J
DOOBAY MOHINDRA P	DUGGER SHEILA W LIFE ESTATE
DOOBAY PADMOUTIE	DUKE ENERGY FLORIDA INC
DOOBAY ROHIT	DUNBAR DEBORA JUANITA LIFE ESTATE
DORE TAJINERE	DUNCAN CHARLES RICHARD
DORN FAMILY TRUST	DUNCAN PATRICIA M
DORRIS C P	DUNN CHARLOTTE
DORSAINVIL LORDY STEPHANIA	DUNN THEODORE
DOSTI IGLI	DUONG NATHAN
DOSTI RENATO	DUONG QUANG B BUI
DOT/STATE OF FLORIDA	DUPUIS MELANIE
DOTSON EDWARD	DURAN ANA M
DOTSON ROXANNA	DURAN MILAGROS

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

DURAND JASON P	EHAGUINA BIBIAN
DW CL V LLC	EHAGUINA EPHRAIM
DWARKA ALAN	EHOUSE HELEN
DWYER KRISTIN L	EKBERG CHRISTOPHER J
DWYER TRAVIS	EKWUEME BEATRICE C
DYER DOROTHY ESTATE	ELESTIN MARC A
DYER THOMAS W	ELKINS ELEANORE
DYER VIVIAN	ELLIEROSE LTD
E AND S FAMILY TRUST	ELLIOTT CHARLENE E
E FOROUZI TRUST	ELLIOTT CHERRI KIRK
E T AND D LLC	ELLIOTT EILEEN
EADS ROBERT E	ELLIOTT EILEEN C
EADS VALERIE J	ELLIOTT RANDALL L
EAGAN GLORIA	ELLIOTT STEPHEN
EARLY BONNY	ELLIOTT STEPHEN L
EARP JACQUELINE	ELLISON GLENN R TR
EAST COLONIAL INVESTMENTS LLC	ELLISON MARTIN R
EAST COLONIAL PROPERTY INC	ELLIS-PAINTSIL JOAN
EAST ENGLISH CONGREGATION OF JEHOVAH	ELMASRY ABDELHEAMED
EASTMAN FAMILY TRUST	ELSTON CYNTHIA M
EASTPARK RANCH LLC	ELSTON JAMES L
EASTPOINT FELLOWSHIP INC	EMENECKER RUSSELL
EBELING JASON	EMERSON INVESTMENTS INTERNATIONAL IN
ECCLI FAMILY TRUST	EMERSON RHODA M TR
ECHEVARRIA CHRISTINE	EMERT GERALD A
ECHEVARRIA JANERA	EMERY MARISA RENEE
ECHEVARRIA JOSE	EMERY MICHAEL VINCENT
ECHEVARRIA WILLIAM	EMOND BRIAN
ECON WOODS HOMEOWNERS ASSOCIATION IN	EMOND KRISTEN
EDDAD PROPERTIES LLC	ENGEL JORDAN H
EDDINGER THOMAS E ESTATE	ENGLAND ANN M
EDMONDSON DOTTIE LOU	ENGLISH ROBERT G
EDR ORLANDO LIMITED PARTNERSHIP	ENRIQUEZ IRMA E
EDSTROM BRITT TR	ENSMENGER GARY E
EDSTROM LENNART TR	ENSMENGER GARY EDWARD
EDWARD H MINARSCH REVOCABLE TRUST	ENTRUST ADMINISTRATION SERVICES INC
EDWARDS ARTHUR	EQUITY ONE INC
EDWARDS HUGH ESTATE	ERB HAROLD
EDWARDS JAMES B	ERB HAROLD G
EDWARDS LEONEL WILLIAM	ERB JOYCE
EDWARDS OLIVE E	ERB JOYCE A
EDWARDS RICKY	ERICKSON BARBARA
EDWARDS ROSA M	ERICKSON MARK
EDWARDS SEYMOUR R	ERICKSON SCOTT
EDWARDS VICTORIA M	ERNST JERRY A

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

ERNST JUDITH A	FARR WENDY POLITIS
ESCARCHA ALLEN SALES	FARRELL WILLIAM H
ESCOBAR ANGEL M	FARRINGTON KAREN B
ESCOBAR ESPERANZA	FAULKNER DONNA
ESGUERRA NANCY	FAZ ROLANDO J JR
ESPINET CHRISTINE H	FAZ VICTORIA
ESPINET MARLON J	FCPT SUNSHINE PROPERTIES LLC
ESPINOZA ELOY B	FEBO WILL
ESPINOZA GLORIA E	FECHTER MELVIN A
ESTAFAN MARIAM	FEDERAL NATIONAL MORTGAGE ASSN
ESTEFAN MARK	FEDERAL NATIONAL MORTGAGE ASSOC
ESTEVEZ SANDOVAL OLGA N	FEDERAL REALTY INC
ESTEVEZ ANNY	FEIJO RICARDO
ESTEVEZ EUNICE	FELIBERTY ALBERTO
ESTEVEZ ROBERTO	FELICIANO BEVERLY E
ET AND D LLC	FELICIANO KAREN L
EUBANKS DANNY KEITH	FELICIANO XAVIER
EUBANKS THOMAS C	FELICIANO YMER
EVA DESJARDINS SUSAN	FERNANDEZ ANGELINA
EVANS ASHLEY N	FERNANDEZ FIDEL
EVANS HAROLD QUINTIN	FERNANDEZ HUGO
EVANS KIMBERLY J	FERNANDEZ JORGE C
EVERGREEN PROPERTY HOLDINGS LLC	FERNANDEZ VILMA
EVERIDGE CAROLYN L	FERNANDEZ YORDANIS
EVERIDGE MARGARET V	FERTIC COLE H
EVERIDGE ROBERT R	FESTA ANTHONY
FABIJANIC DOUGLAS	FIDEL TRAJEAN
FAIL KATHERINE LIFE ESTATE	FIFTH THIRD BANK
FAIRES MARJORIE R	FIGUEIREDO PEDRO NORMAN
FAIRES PATRICIA E	FIGUEIREDO PEDRO PAULO MAURICIO
FAIRWAYS SPE LLC	FIGUEROA CARMEN
FAITH FREE WILL BAPTIST CHURCH	FIGUEROA HAMILEAR W
FAJARDO ELSA I	FIGUEROA ISSABET GARCIA
FAJARDO PASCASIO G	FIGUEROA JOSEPH A
FAKHOURY HADI	FIGUEROA ROBERTO
FAKHOURY JAMAL	FIGUEROA VIRGINIA
FAKHOURY TAMARA	FIGUEROA WILLIAM
FANG FANG	FINELLI PROPERTY HOLDINGS LLC
FANG SHIAOFEN	FINN DANIEL E
FARIS JULIE S	FINNEGAN KAREN L
FARIS PAT	FINNEGAN MICHAEL P
FARMAN DELNORA M	FINO CAROLANN
FARMAN DELNORA M	FINO DANA P
FARMER MARK K	FIORANI ANITA J
FARR CHRISTOPHER R	FIorentino ANTONIO

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

FIorentino JOYCE A	FOWLER DELORIS
FIRST CREATIVE PARTNERS LLC	FOX ROBERT L
FISHBACK HARRY D	FOX ROSEMARY L
FISHER ELOISE	FRACKIEWICZ ZDZISLAW
FISHER JEFFREY	FRACKIWICZ EWA
FISHER NICOLE	FRAGA MARIA
FISHER SHAWN W	FRANCESCHI ASTRID A
FITZPATRICK REVOCABLE LIVING TRUST	FRANCIS BARBARA P
FLEMING DEBORAH L	FRANCIS ROBERT K
FLEMING ETHAN	FRANCISCO CORA
FLEMING FRAN	FRANCOIS RODOLPHE
FLEMING HEATHER	FRANK & FRANCES C MACDONALD TRUST
FLEMING PAUL	FRANK BRIAN
FLESCH CAROLANN	FRANKLIN MATTHEW D
FLESCH RICHARD	FRANTZ LEONARD
FLETCHER DEZETTA E	FRAVEL JEANNE
FLETCHER EGLAN T	FRAVEL WILLIAM
FLORENCIANI MORENO WILFREDO JAVIER	FREAL DAVID M
FLORES AIDILYN	FREDERICK LIONEL
FLORES ALEXIS R	FREDERICKS MURRAY L
FLORES ARTHUR V	FREDRICKS RHONDA G
FLORES CONSESOR DAVID	FREEDOM MORTGAGE CORP
FLORES FAUSTINO W	FREIRE SONIA
FLORES GUILLERMO E	FREIRE WASHINGTON
FLORES HILDA V	FREITAG GARY W
FLORES TOBIAS ELEUTERIO	FREO FLORIDA LLC
FLORES YAMIL ANTONIO	FRICTON MARK
FLORES-FERNANDEZ ORIELYZ	FROGGE DEREK
FLORIDA AUDUBON SOCIETY INC	FRYE ROBBIE B TR
FLORIDA RESTAURANT VENTURES LLC	FRYE THOMAS W TR
FLORIDA TAX LIEN ASSETS IV LLC	FSDD INVESTMENTS INC
FLOYD JEFFERSON L	FULFORD SARA M
FLOYD KATHLEEN S	FULLER ELEANOR
FONG CYRIL NG	FULLER THOMAS E
FONG MARIA	FULTON DOROTHY E
FONG NICOLE NG	FULTON THOMAS L
FONTANEZ ABNER	FUND WATERFORD LAKES LLC
FONTANEZ MIRNA L	FUQUA AMANDA LEIGH
FORMOSO MIRIAM	FURUKAWA BLAKE G
FORMOSO RENE	FURUKAWA NICOLE A
FORREST-JACOBS PAULINE P	GABRIEL REAL ESTATE INVESTMENT LLC
FOSDYCK RON	GAHERTY CHERYL R
FOSTER ROBERT A II	GAHERTY DAN J
FOTSO ATWELL DINARA B	GAINES CURTIS I
FOUR STAR MODULAR HOME SPECIALISTS L	GALARZA ABEL

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

GALICIA BRUCE K	GARRISON WILLIAM G
GALLAGHER WILLIAM P	GARZA ELVA
GALLEGO ARBEY	GARZA JUAN M
GALLEGO MIRYAM	GATCHALIAN ALLISON KELLY
GALLEGOS ADA A	GATCHALIAN CONSTANCIA
GALLOWAY STACEY LEE	GAVIRIA ALVARO
GAMBOA LAURENTINO JAIMES	GAVIRIA GLORIA VELEZ
GANDER PETROLEUM LLC	GAY DAVID A
GANDOLFO SALVATORE	GAYTON ANGELA P
GANDOMIKAL ALI H	GAYTON BRIAN K
GANDOMIKAL MARGARET R WALLACE	GAZIL RAYMOND JOSEPH
GANEM DINA	GEFEN AMOUR
GANESH ANDREW	GENTRY RONNIE LEE
GANESH VIDYARTIE D	GEORGE CHRISTOPHER SCOTT
GANGANI HITENDRA M	GEORGE FAMILY LIVING TRUST
GARBER LAMONT	GERACE CATHERINE B
GARCES DIEGO	GERACE CIRO J JR
GARCES MARITZA	GERENEDE CAROL ANN
GARCIA ADAM W	GERVALLA ARBEN
GARCIA ANGEL	GESSEL GREGORY R
GARCIA DOLORES	GESSEL QIANG H
GARCIA DOMINGO P	GESUALDI MARY
GARCIA FERNANDO	GG AND J HOLDINGS LLC
GARCIA GEORGE L	GHAFOURI KAMRAN
GARCIA GERARDO	GHAITH FAHAD BAKR T
GARCIA GIUSEPPINA M	GHASEMI-OWJI ELHAM A
GARCIA JAIME	GHATTAS SAMIA A
GARCIA KAREN	GHOLSTON JEREMIAH
GARCIA LUIS R	GIANCOLA LAURA
GARCIA MARIA CRISTINA	GIANNELLI GERALD H
GARCIA RITA E	GIANNELLI ROBIN
GARCIA VICTOR	GIAROLO CHRISTOPHER
GARCIA VIRGINIA	GIAROLO MAUREEN BRAULT
GARCIA-DIAZ MARIA INES	GIBBS CORA B
GARDNER CALVIN E	GIBSON TANYA M
GARDNER GARVIN C	GIL ARACELIS I
GARDNER PAMELA C	GILBERT JACK D
GAROFALO MAURO Z	GILLEN GEORGETTE
GARRETT FRANKLIN D	GILLEN GEORGETTE S
GARRETT JAMES A	GILLEN GWENDOLYN I
GARRETT JAMES H	GILLEN JAMES H JR
GARRETT JAMES H SR	GILLIARD HEATHER B
GARRETT SHARON K	GILLIARD MATTHEW R
GARRETT SIGRID F	GIMENO FABIAN
GARRETT WENDY R	GIMMESTAD KENNETH S

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

GINES ANA M	GOMEZ SYLVIA
GIRALDO ANGELA F	GONDEK ROBERT J
GIRALDO FLOR D	GONG PING
GIRARD IRIS N	GONGORA LIMONTA CHANIN C
GIRARD R MICHAEL	GONZALEZ ADDIE O
GISMONDI MARY J	GONZALEZ ANDRES FELIPE
GLASS WAYNE E	GONZALEZ BARBARA
GLENN ANDREW	GONZALEZ BLANCA I
GLENN DORIS E TR	GONZALEZ DANIEL
GLENN HELEN F TR	GONZALEZ DIANA L
GLENN JULIE M	GONZALEZ FRANCISCO
GLENN TERRY J	GONZALEZ GEORGE
GLESK JOSEPH S JR	GONZALEZ HECTOR J
GLETTY DAVID H	GONZALEZ JORGE
GLOVER JAMES RYAN	GONZALEZ LARRY
GLOVER THOMAS L	GONZALEZ LEENA
GMCP INVESTMENTS CORP	GONZALEZ LEONARDO
GOBIN GERARD R	GONZALEZ MARIA
GOBIN MELISSA J	GONZALEZ MIGUEL A
GOEGLEIN KAREN	GONZALEZ NIEVES CARMEN I
GOEGLEIN MARK	GONZALEZ PEDRO L SR
GOEPEL JAMES EDWIN	GONZALEZ ROSA
GOINS BARBARA A	GONZALEZ VERONICA
GOLD KRISTIN	GONZALEZ VICENTE
GOLDMAN MATTHEW	GONZALEZ WANDA
GOLDSON JOHN	GONZALEZ-MOSHER JOSE MARIA
GOLDSON JOHN JR	GONZALEZPLATA ELIEZER
GOLDSTEIN HELENE WEBSTER	GOODRICH MARY ELIZABETH
GOLDSTEIN WALTER	GOODWIN DEAN
GOMES GERARD M	GOODWIN JOYCE
GOMES JOANNE E	GOPIDI ANITHA
GOMEZ ANGEL JUAN CARLOS	GOPMAN FAMILY LIMITED PARTNERSHIP
GOMEZ ANIBAL	GORE E K
GOMEZ BELKIS	GORNALL ARTHUR W LIFE ESTATE
GOMEZ CAESAR	GOSNAY ERIC
GOMEZ HAROLD	GOSS ANNMARIE
GOMEZ JOHN	GOSS ROBERT
GOMEZ JUAN	GOTWALT DONNA M
GOMEZ JUAN A	GOTWALT GARY H
GOMEZ JUDY	GOWINS TONJAS
GOMEZ LETICIA	GOYETTE BO J
GOMEZ LUIS M	GRAHAM FRANCES M
GOMEZ MARCELO G	GRAHAM RONNIE T
GOMEZ MARITZA	GRANADOS DARCY
GOMEZ NUBIA	GRANADOS ROY

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

GRANDEVILLE AT AVALON PARK LTD	GRENTZER JOHN
GRANDEZ BLANCA E	GRIFFIN CARLA D
GRANDEZ WESLEY M SR	GRIFFIN ROBERT J
GRANDJEAN AMY L	GRIMALDO JOSE MORENO
GRANITE BARBARA K	GRIMMER W JR
GRANITE GLENN E	GRINESTAFF DALE E
GRANT ANDREW M	GRINESTAFF JUDY
GRANT PATRICE M	GRISSOM BRANDI S
GRANT VALERIE	GRISSOM JAMES S
GRANT WINSTON	GROSS TIMOTHY
GRANT-TAYLOR KAYEANN E	GROUP CENTRAL INC
GRATEREAUX ALTAGRACIA	GROVER CHRISTINA LEE
GRAUBERT JEAN	GROVIGATION INC
GRAUBERT SETH H	GUADALUPE MARCOS PEREZ
GRAVESANDY-VASSALL JELANI A	GUAITA ILEANA HERRERA
GRAY DAWN DENISE	GUEDES MATTSON
GRAY JENNIFER M	GUELDE GRETCHEN E
GRAY KORY L	GUERRA BROTHERS PROPERTY MANAGEMENT
GRAY STEPHEN LANE	GUERRERO ISABEL
GRAYSON CHRISTINA MARIE	GUERRERO MARIA A
GRAYSTONE PROPERTY HOLDINGS LLC	GUERRERO MARINO
GREAT MEADOWS INC	GUERRIER LESLY P
GRECO NATALIA M	GUERRIER LOTRICIA
GREDOVAL LLC	GUERS GAYLENE
GREEN AMANDA	GUEVARA FELIX A
GREEN CARL	GUEVARA FELIX E
GREEN CHRISTINA	GUEVARA JEANET M
GREEN COLLEEN M	GUEVARA LESLIE M
GREEN EAST COLONIAL DRIVE LLC	GUEVARA MARIO ANTONIO
GREEN JACQUELINE C	GUEVARA MARTHA L
GREEN KEVIN	GUEVARA RENE A
GREEN MARY LOU	GUILBE ELIEZER
GREEN PATRICK T	GUILFOYLE FRANCIS E
GREEN RICHARD	GUILIANI MARY
GREEN ROBIN N	GUILIANI RAYMOND
GREEN ROGER L	GUILLAUME MARIE
GREEN SCOTT E	GUISE RUSSELL A
GREEN SUSAN M	GULDI JAMES E
GREENE CHASE	GULLIGE WILLIAM
GREENE JENNIFER MORALES	GUMBS SEMON D
GREENWOOD ANDREW WALLACE	GUO HONGSHENG
GREENWOOD MARY ELIZABETH	GUPTA MONIKA
GREER NORMAN IVAN	GUPTA TARUN
GREER SUSAN DELUCIA	GURTIS ELIZABETH D
GREMORE RUSSELL A	GUSCOTT KEITH L

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

GUSCOTT RITA M	HANCOCK JUDY ANN
GUSTAFSON BRAD	HANCOCK RHONDA L
GUTIERREZ HERIBERTO	HANCOCK RONALD C
GUTIERREZ JOEL L	HANKEY PATRICIA
GUTIERREZ JOSE M	HANNA CATHERINE A
GUTIERREZ PATRICIA	HANNA ROBERT D
GUTIERREZ YINETTE A	HANSLEY CHRISTINE
GUZMAN FABIOLA	HANSON DONALD A
GUZMAN JOHN WILSON	HANSON PAMELA A
GUZMAN RAUL	HAPEMAN CHRISTOPHER ALEXANDER
GWYNN SEAN	HAQUE AKM AMINUL
HA CHUNG	HARDIAL MAXINE
HAAS MICHAEL	HARDIAL NEAL
HAASE EARL S III	HARDING DARIN
HABITAT FOR HUMANITY OF GREATER ORLA	HARDING HEATHER J
HACHE ELVIN C	HARGER NIKOLAS A
HAGEN DONALD R	HARMS CARL E
HAGEN ELIZABETH A	HARMS SHIRLEEN K
HAGLER CRYSTAL V	HARMS STEPHEN C
HAGLER DAVID A	HAROLD R DECKER REVOCABLE TRUST
HAJIYEV JAVADKHAN	HARPER DOLLIE T
HALABI MIRELLA	HARPER EUGENE W JR
HALE CHARLES ROYCE JR	HARPER JOHN KEITH
HALE RENEE ANN ROZEL	HARPER KERRY
HALIM BETTY S	HARRELL ALICE D
HALL KAREN M	HARRELL HOMER W
HALL LINDA HUTT	HARRELL-MERIDIAN LLP
HALL MARK LEE	HARRINGTON LYNDA L
HALL RONALD F	HARRIPERSAUD ESHWAR
HALL RONALD FRANKLIN	HARRIPERSAUD PREM S
HALL TERRENCE	HARRIPERSAUD RUANI DAI
HAM WILLIAM L IV	HARRIS AMOS W TR
HAMBEY RENAE	HARRIS ANTHONY SCOTT
HAMILTON LLC	HARRIS DENNIS J
HAMM ALBERT	HARRIS DEVELOPMENT INC
HAMM SHIELA J	HARRIS HELEN D
HAMMEL-ROTH DAWNMARIE	HARRIS JAIMIE M
HAMMER CAROL A	HARRIS JENNIFER E
HAMMER STEVEN ARTHUR	HARRIS JOSHUA G
HAMMONS PEGGY A	HARRIS RYAN R
HANCE JAMES R	HARRIS WALTER G
HANCE NADYA	HARRISON ALAN E
HANCHETT CHRISTIE S	HARRISON DAVID RAPHAEL JR
HANCHETT JAMES S	HARRISON SUSAN S
HANCK MICHAEL A	HART CHRISTINA L

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

HARTER MARGARET A ESTATE	HENAO MARTHA R
HART-HORN ELIZABETH ESTATE	HENDERSON CHRISTOPHER
HARTLEY JEANETTE M	HENDERSON GEORGE D
HARTLEY LARRY C	HENDERSON MICHAEL JOSEPH
HARVEY CHRISTOPHER S	HENDRICKS JUANITA
HARVEY DAPHINE	HENDRICKS RICHARD
HARVEY KERI	HENDRICKS T
HARVEY PAUL	HENDRICKS THOMAS
HARVEY TERRY L	HENDRICKS THOMAS TR
HASLACKER BEVERLY R	HENNINGS LISA A
HASLACKER GERALD F	HENSON JAMES R
HASTINGS GREGORY A	HENSON JAMES R TR
HASTINGS STEPHEN O TRUSTEE	HEPBURN HOWARD D
HATMAKER DANNY R	HEPBURN WILLIAM JOHN
HATMAKER MARY C	HERCL NANCY MODGLIN
HAWKINS ARNECIA DEVON	HERNANDEZ ALBERT
HAWKINS BARBARA A	HERNANDEZ ALICIA
HAWKINS BRUCE JR	HERNANDEZ ALONSO MAGANA
HAWKINS BRUCE SR	HERNANDEZ AMANTINA
HAWKINS JOHN D	HERNANDEZ CARMEN I
HAWKINS RONALD LEE	HERNANDEZ EDNA N
HAWKINS SANDRA M	HERNANDEZ ELIZABETH HAMMEL
HAWKINS TERRANCE LAVERTT	HERNANDEZ ELSA
HAWLEY MARK	HERNANDEZ ISRAEL R
HAWLEY MARK D	HERNANDEZ KATHERINE
HAYDEN DEVON A	HERNANDEZ KIMBERLENE
HAYDEN JUNE	HERNANDEZ LEONARDO
HAYES DEBORAH R	HERNANDEZ LEONARDO M
HAYO ELIZABETH ESTATE	HERNANDEZ LINCOLN
HE XINDONG	HERNANDEZ MARIA C
HEBROCK RUSSEL ESTATE	HERNANDEZ MARTHA
HEDDRICH OLIVIA V	HERNANDEZ MILDRED
HEDDRICH OLIVIA VIOLETA	HERNANDEZ RAMON
HEDRICK NORMA J	HERNANDEZ RAMONITA
HEDRICK WILLIAM R	HERNANDEZ RAUL JR
HEIKKINEN ANDRIA L	HERNANDEZ RODOLFO
HEINOLD GEORGE C	HERNANDEZ STEVEN
HEISER GREGORY C	HERNANDEZ SUZETTE
HEISER TODD D	HERNANDEZ WILLIAM
HEISER TODD DOUGLAS	HERON MICHAEL E
HELEN M SMITH TRUST	HERON STEPHANIE C
HELLMAN LINDA P	HERRADA JORGE
HELMY AMIR	HERRERA SANDRA
HELMY SHEREAF	HERRERA VICENTE
HELTON GUY M JR	HESS KRISTY ANN

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

HESTER SANDRA L	HOLLAND VICTORIA L
HESTER STEPHEN	HOLLAND WILLIAM
HETTINGER ROBERT	HOLLOWELL BILLY JACK
HETZEL CAROLE M	HOLLOWELL SHAVONNE L
HEYMANN GEORGE W	HOLMES EMILY
HEYMANN WANDA D	HOLMES NICHOLAS
HICKMAN PEGGY B	HOLT GABRIEL A
HIERS CLAYTON R	HOLT SHANE MICHAEL
HIGGINS CATHERINE M	HOLTZAPPLE SAGE JOSEPHINE
HIGGINS PROPERTIES LLC	HOME 407 CO
HIGGINS RODNEY F	HOME MORTGAGE SOLUTIONS INC
HIGGS DEITRA	HOME RENTAL EXPERTS LLC
HIGGS MARK RICHARD	HOME RODRIGO
HIGGS RAYMOND P III	HOMES FOR YOU LLC
HIGHWAY 50 PROPERTIES INC	HOMS CARLOS R
HILDEBRAND PATRICIA A	HOMSHER LOLITA D
HILDEBRAND ROBERT C	HONEY BEE HOLDINGS LLC
HILL ANTHONY	HOOD JILL
HILL ANTWANE E	HOOD LADONNA J
HILL CAROLYN	HOOD LARRY E
HILL DONALD L	HOOD ROBIN G
HILL JACQUELINE E	HOOK CECIL MIDDLETON JR TR
HILL JANET KIMBERLYN MARKS	HOOK JEAN ROBINSON TR
HILL KATHY S	HOOSIER PATRICIA A
HILL/GRAY SEVEN LLC	HOOVER CHARLES D
HILLIS JEFFREY S	HOOVER LAURA J
HILL ROBERT G	HORAK WENMIN
HILYER ADRIAN L	HORNBuckle DEBORAH
HIMMELRICH JEFFREY	HORNBuckle JAMES L
HIRSCHBERGER MARIANNE	HORNSBY JESSICA
HIRSCHBERGER ROBERT T	HORNSBY JOSHUA J
HLAVACKA JOHN	HORSFORD NICHOLE MAUREEN
HO LONG M	HORTA RAPHAEL
HOANG BACH HUU	HORTON THOMAS N
HOANG BICHHANH THI	HOSEIN IMSHAN
HOBBS CRAIG J II	HOUSE BARBARA
HOFFLAND LLC	HOVIS EVELYN M
HOFFMAN MARK	HOVIS M WAYNE
HOFMANN JENNIFER	HOWARD CHARLES OHARA
HOFSTRA SYLVIA T	HOWARD CLYDE L
HOFSTRA WILLIAM A	HOWARD CYNTHIA E
HOGAN GEORGE R II	HOWARD LEONARD V
HOGAN REBECCA D	HOWARD LEWIS W
HOLDER MARLON	HOWARD LOIS L
HOLLAND RANDY E	HOWARD RODEY

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

HOWARD TERRY G	HUNIHAN JEREMIAH JR
HOWARD WILLIAM S	HUNIHAN MARGARET
HOWELL EVELYN	HUNT CARRIE L
HOWELL GALEN	HUNT CARRIE LYNN
HOWELL PAULA J	HUNT JACK W
HOWERTON PEGGIE A	HUNT NANCY E
HPA BORROWER 2016-1 LLC	HURLEY DANIEL
HRITZ ALVIN J	HURLEY KACY LANE C
HRITZ ALVIN J TR	HURLEY KATHERINE
HRITZ MARIA B	HURRY CHRISTOPHER
HRITZ MARIA B TR	HURST ELBERT
HSU CHEN-MING	HURST JULIE
HU GUOJIE	HURTADO SARA
HUANG LI JU	HUSTON SAMANTHA
HUANG WEN F	HUSTON VICTOR BRIAN
HUANG ZHIWU	HUTT ARTHUR LAWRENCE
HUBBARD CHARLOTTE LEE	HUYNH CANG TRUNG
HUBBARD HEATHER L	HUYNH HIEN LIFE ESTATE
HUBBARD JOHN DAVID	HUYNH KATHY
HUBBARD NANCY ANN	HUYNH MARK
HUCK ROBERT	HUYNH ONE DAO
HUDSON JOSEPHINE M	HYERS ROHONDA
HUDSON KELLY D	HYLTON JACQUELINE
HUFF GREGORY S	HYLTON KEITH
HUGGINS BRIAN K	HYMAN AMANDA L
HUGGINS NORMAN L JR	HYMAN BARRY A
HUGGINS NORMAN L SR	IAN AND DOSLYN PALMER REVOCABLE LIVI
HUGHES ANGELA REGALADO	IBARRA MARIBEL
HUGHES CLYDE E	IBRAHIM NEVILLE ABRAHIM
HUGHES GLEN A	IGBIDE PAUL
HUGHES JOHN A	IGLESIAS ARMANDO E
HUGHES MICHAEL	IGLESIAS MELISSA M
HUGHES MUFFET M	IH3 PROPERTY FLORIDA LP
HUGHES STEPHANIE U	IH5 PROPERTY FLORIDA LP
HUGHES VICKIE	IH6 PROPERTY FLORIDA LP
HUGHES VICKIE B	INES HELEN R
HULETT DEANA L	INFINGER MICHAEL P
HULL BRENDA W	INGERMANN BARBARA E
HULL KATRINA	INGERMANN DENNIS W
HULL RONALD W	INGERMANN RICHARD D
HULL RONALD W JR	INTERCOASTAL ACQUISITION INC
HULSE MELISSA J	INTERINVEST CO LLC
HULSE TODD D	INYAMAH STELLA
HUMBLE LORETTA	IRBY GLORIA J
HUMES LUCINE	IRIS JACQUELINE LEWIS REVOCABLE TRUS

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

IRIZARRY JENNIFER LEIGH	JAY HOME SERVICING LLC
IRIZARRY JULIO IV	JAZDZEWSKI RITA A
IRON BEND INVESTMENTS LLC	JEAN-ANTOINE TAMEKA S
IRWIN BAKER REVOCABLE TRUST	JEAN-BAPTISTE MARYSE
ISAIAH GIL	JEAN-BAPTISTE PROPERE
ISHAK BIBI F	JEAN-LOUIS FLORENCE
ISHAK RAFICK A	JEAN-LOUIS HUGUES J
ISLA ISRAEL LIMA	JEAN-LOUIS MICHELLE
IZUTANI KOUSUKE	JEAN-LOUIS PATRICK
J P F D INVESTMENT CORP	JEANTY NAJLA M
JABBOUR MILAD	JEFFREY CHRISTINA
JABBOUR MILAD A	JEFFREY ETSON BARNEY
JACKSON ALEXANDRA	JEFFREY F AND ELIZABETH A EIFLER TRU
JACKSON AMY L	JEFFREY JOHN W
JACKSON CYNTHIA L	JEFFREY SUZANNE M
JACKSON DOUGLAS L	JENKINS ELIZABETH N
JACKSON HAROLD L	JENKINS ROBERT J
JACKSON JOSEPH L	JEUNG HAE
JACKSON KELLIE	JEWELL JAMES C
JACKSON KENDRICK	JEWELL LORNA S
JACKSON WILLIAM E	JIANG HAI RONG
JACOB AMIR	JIMENEZ ALVARO JR
JACOBI LYNN NAN	JIMENEZ JORGE E
JACOBS HARDEN R	JIMENEZ MATTHEW
JACOBUS CAROL K	JIMENEZ-COLLAZO MARIA L
JACOBUS WILLIAM F	JIMINEZ ENRIQUE A
JACOBY JOSEPH A	JIN QINGBO
JACOBY LISA S	JOE ERNEST III
JACQUES R BROUILLETTE AND EDNA M DUM	JOE PAMELA D
JADE NGOC DUOC LLC	JOHN A CARPENTER TESTAMENTARY TRUST
JAIN FAMILY HOLDINGS NORTHEAST LLC	JOHNS DONALD L JR
JAIN PROPERTIES LCC	JOHNSON ARTHUR C JR
JAIN PROPERTIES LLC	JOHNSON BERNIE A
JAMES CERES A	JOHNSON COLLEEN H
JAMES DONALD A	JOHNSON CORRINNE S
JAMES JANICE L	JOHNSON DEBORAH C
JAMES JESSY	JOHNSON JAMES E
JANES CYNTHIA LOUISE	JOHNSON JON E
JANSEN THEODORE	JOHNSON JOYCE
JANSSEN BRENDA L	JOHNSON LISA C
JANZ CECELIA C	JOHNSON MARGARET
JANZ JONATHAN D	JOHNSON NANCY C
JARAMILLO JESUS	JOHNSON NIKETRA
JARAMILLO NATALIA	JOHNSON PAUL A
JARETT MARC	JOHNSON PILSUN

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

JOHNSON RICHARD H	JOWERS MICHAEL S
JOHNSON SALLY	JOYCE DANNY M
JOHNSON SHIRLEY A	JOYCE DUANE T
JOHNSON TEDDY	JOYCE H EINSON REVOCABLE TRUST
JOHNSON TONKARAY	JP AND YP LLC
JOHNSON WALTER R	JSCM HOLDINGS LLC
JOLIBOIS MARIE SONIE	JUDD DEBRA SUE
JONES BAYNARD C JR	JUDD RICHARD ALAN
JONES BONITA KAY	JUGO MICHAEL
JONES CATHERINE G	JULIAN ELYSE N
JONES CHANDRA W	JUNOD JUSTIN D
JONES CHRISTIANA	JURADO LEGNY M
JONES DANIEL D	JURADO ROBERTO D
JONES DANIEL J	JUREIDINI MATTHEW M
JONES DENNIS	JUSTUS 1ST INC
JONES E M	JUSTUS MABEL D TR
JONES ELIZABETH A	JUSTUS RICHARD W TR
JONES EUGENE M	JUVIDA ERICK
JONES HARVEY JR	JUVIDA PRINCESITA
JONES JEFFREY	KABBOURIM SUE ELLEN LANCASTER
JONES JENNIFER	KAHKAH SAIDA
JONES JEREMY	KALAM MANAL
JONES JESSICA A	KALISH CARRIE A
JONES KATHRYN P	KALISH JEROME
JONES KEVIN EUGENE LIFE ESTATE	KALISH MARGARET A
JONES LUCINDA B	KALISH NORMAN S
JONES MICHAEL N	KALKOWSKY RAYMOND
JONES MILDRED	KAMPS TERESA A
JONES ROBERT ELLIS	KANE PAULA L
JONES ROBERT P JR	KANGAS LUKAS
JONES THEO	KAPSALIS CHRISTOS
JONES THOMAS G	KARSLI TUGHAN YUSUF
JONES WADE W JR	KARSLI VIRGINIA
JONES WILLIAM ELLIS	KASEL JOLEENE
JORDAN CLIFFORD	KAUHANE HENRY T
JORDAN INVESTMENTS INC	KAUHANE JACQUELINE O
JOSEF LAND INVESTMENT LLC	KAVETT FAMILY LIVING TRUST
JOSEPH DEIRDRE HILL	KEE CARL S
JOSEPH EDNER	KEE CAROL E
JOSEPH GUERDA	KEENE ROBERT A
JOSEPH MICHAEL	KEFFER HARRY M
JOSEPH NERLANDE M	KEITH BERNICE
JOUBERT RALPH	KEITH RALPH C
JOURDAN LORME	KELLEY HENRY WILLIAM JR
JOWERS KERI	KELLUM JOHN J

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

KELLY JEFFREY M	KNIGHT CAROL W
KELLY SARAH J	KNIGHT CAROL W
KELSEY MELINDA L	KNIGHT LAVINIA E
KELSEY STUART F	KNIGHT RETAIL CONDOMINIUM ASSN INC
KEMPLIN RUBEN M III	KNOR JAMES
KEMPLIN WINDI L	KNORR ALICIA
KENDRICK TIFFANEY A	KNORR JAMES
KENNEDY CYNTHIA	KNORR MARY
KENT LILLIE M	KNORR ROBERT C
KEOTHAVY VONGPASEUTH	KNORR ROSE V
KERR DOUGLAS A G	KNOWLTON ALBERTA M
KERR TONIA L	KOERBER PAUL E
KESIC STEVO	KOERBER SARAH L
KHAN BIBI A	KOHLHOFF ELIZABETH M
KHAN IRFAAN F	KOLAKOWSKI MICHAL J
KHAN RAYAAD FAWAAZ	KOLATTUKUNDY PAPPACHAN E
KHONDAKER SAIFUL I	KOLB MYRTLE E
KHOO HUI C	KOLB PAULA M
KHOO HUI K	KOLB STEVEN A
KHOSHNU ESHA	KOLB TAMMY L
KIEJKO BETTY M	KOLB VIRGINIA L
KIEJKO STANLEY F II	KONG JULIETTE ALETHEA
KILROY DANA MARK	KONG ROSE
KIMBERLY CHARLES DEANE JR	KONIAK-YANOWITZ CYNTHIA
KIMREY BETTY JEAN	KOVACEVIC BOJANA
KINCAID CHARLENE LIFE ESTATE	KOVYNEV DMITRY
KINCAID JERRY L	KRAMER ALAN R
KINCAID TRENNA J	KRAMER MELINDA J
KINERSON DAVID W	KRISHNA P R TR
KINERSON SHELIA L	KRUEGER JESSICA
KING MARY LOU E	KUDIRE VENKATESH
KING RUTHANNE E	KUDO KOVE LLC
KINNAMON DAVID	KUKOWSKI HILDA
KINSEY ADAM S	KULASIVEG JAMES E
KIRKLAND REBA	KULASIVEG STELLA
KIRSCH LAUREN	KUROWSKI ALEKSANDRA
KISS BETTE JO	KUROWSKI GRZEGORZ
KISS SHANDOR	KUSIMO BENJAMIN
KITCHENMASTER STEPHONY M	KUSIMO OMOLOLA
KLEIN JOHANNA N TR	KUZENSKI LILIAN
KLEIN PAUL TR	KUZENSKI RICHARD
KLEIN VITOR SERGIO	KWON HYE SOOK
KLINGER ELWOOD L ESTATE	KYCYKU ADILE
KLUZA CAROL A	KYCYKU ELVANA
KMP PROPERTIES LLC	KYCYKU ILIA

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

KYCYKU JORGO	LAPOINT ADAM W
L AND L TROPICAL INVESTMENTS LLC	LARGO CLINT B
LAAN HOSPITALITIES LLC	LARGO IMELDA S
LABBE HUBERT O	LARMOND PATRICK
LABBE SABINE M	LARMOND SHAWN
LABIANCO WESTON F	LATHROP ZETTIE M
LABOY MOISES	LATTY BLOSSOM R
LACKIE DOROTHY	LAUBSCHER ROBERT
LACKIE DOROTHY M	LAUBSCHER RUTH
LACKIE WILLIAM	LAUREANO ALBA N
LACKIE WILLIAM H	LAUREANO JOSE R
LAFATA LOIS NELL WINEGARNER ESTATE	LAURO SALVATORE N TR
LAFATA PAOLO RAOUL ESTATE	LAUSSER JOHN A
LAFLAMME GLEN F	LAVALLAIS-HOWARD ANNETTE
LAKE PICKETT MANOR HOMEOWNERS ASSN I	LAVOIE FAMILY TRUST
LAKESHORE UNIVERSITY LLC	LAVOIE GEORGANNE
LALOO STEPHEN	LAVOIE RICHARD
LAM KY	LAW BETH M
LAMB CANADETH MARLENA	LAW DARREN R
LAMB JULIE	LAWRENCE E MOHRMAN TRUST
LAMB ROBERT T	LAWSON EDIN
LAMBETH LEWIS R ESTATE	LAWSON LAMONT
LAMBING TORRANCE J	LB-UBS 2007-C6- BRIDGEWATER STATION
LAMIA KIMBERLY J	LCA RIVER REACH LP
LAN ALLAN TRAN	LCG DELTONA HOMES LLC
LAND JESSIE A	LCS PROPERTIES LLC
LAND MICHELLE L	LE KY XUAN
LANDETA FABIAN	LE LAN
LANDMAN LOREN LEO	LE MY LAI THI
LANDRON MILTON R	LE THAI T
LANE AMY	LE THUAN M
LANE DONALD	LE TRI MINH
LANE KATRIN A	LE TRUNG DUC
LANG JANET D	LE VINH T
LANG RICHARD W	LEAVENS CHRISTOPHER J
LANGEROCK DIANA L LIFE ESTATE	LEAYM CHARLES W
LANGFORD MICHAEL	LEBRON EMILIANO
LANIER ISAAC	LEBRON PETER J
LANIER MARY	LEBRON REINALDO
LANOUE ALAN D	LECLAIR ROBERT
LANOUE JEAN R	LEE CHUAN LIN
LANSEL GABRIELLA	LEE MICHAEL L
LANSEL RICHARD	LEE WAN LIN C
LANTHORNE-WAGNER MARILYN	LEEDS FRANCES L
LAPERRE JEFFREY ALLAN	LEFOTHERIS PROPERTIES CORP

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

LEGRANGE ENTERPRISES LLC	LICHT HERBERT A
LEHMAN RICHARD D	LICHT PAMELA G
LEHMAN ROSE MARY	LIEBMAN JARRETT
LEIGH JUSTIN	LIEBMAN SCOTT A
LEIGH RICHARD A TR	LIETAERT THOMAS J II
LEIGHTON JOHN A	LIEU THUY NGA
LEISSING KARIN S	LIGHT JOSHUA
LEMON RYAN M	LIGHTBOURNE DARRON
LEN JACK P ESTATE	LIGHTBOURNE DEDYS
LEN PHYLLIS K	LIGON PROPERTIES LLC
LEN TIMOTHY A	LIMA CATHERINE
LENCH MARCIA	LIN YA-HUI
LEON CYNTHIA	LINARES MANUEL SR
LEON LEONARDO	LINCOLN GEORGE
LEON PAUL E	LINCOLN GEORGE MRS
LEON RAUL	LINDAS MICHELLE
LEON ROGELIO	LINDER PAUL C
LEPORTE SANDRA JOHNSON	LINDER PAUL R
LESLIE JAMES F	LINDSAY JAN A
LESLIE RITA K	LINDSAY JOHN A ESTATE
LEUNG MELINDA	LINDSAY MARLENE V
LEUNG TERESA	LINDVIG MICHAEL
LEVINE ANNE ESTATE	LINDVIG STEPHANIE ANN
LEVINE ERIC L	LINSLEY BRIAN KEITH
LEVY ALANO A	LINSLEY JOYCE
LEVY JENNIFER	LIU KUOTSAI
LEWANDOWSKI ADAM P	LIU SUSAN
LEWIN HOPETON	LIU SUSAN S
LEWIN KENAN ALEX	LISBOA ANTHONY C
LEWIS DEBRA C	LISBOA GRIMILDA
LEWIS GLENN H	LISOJO AHSLEY M
LEWIS IRIS JACQUELINE TR	LISOJO GLORIA
LEWIS JENNIFER	LISSETTE VALENCIA IRREVOCABLE TRUST
LEWIS LEONARD G	LITTLE TARA ANN
LEWIS MATTHEW	LITTON BURDELL GIRSTLE
LEWIS TERESA L	LITTON GIRSTLE B
LEZCANO SANDRA A	LITTON SUZANNE M
LGC INVESTMENTS LLC	LIU DIANA
LI ALEJANDRO	LIU HUIPING
LI ANTONIA	LIU YING
LI CONAN	LIU YISUN
LI LING	LIU YUANMEI
LI XIN DI	LIZARDI PATRIA
LI ZHAOTANG	LLANES ORLANDO T
LI ZHENGONG	LLERAS LUCIA

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

LLOYD DALY	LOPEZ MIRIAM E
LLOYD F GLOVER TRUST	LOPEZ PADUA ELIZABETH
LLUZ MAOR	LOPEZ PEDRO H
LOCKAMY JAMES K	LOPEZ ROBERT T TR
LOCKWOOD CROSSING OWNERS ASSOCIATION	LOPEZ RODOLFO
LOCKWOOD FIRST BAPTIST CHURCH	LOPEZ RONA
LOCKWOOD MISSIONARY BAPTIST CHURCH O	LOPEZ RUBEN
LOGAN KATHLEEN	LOPEZ SARA
LOGAN RONNIE	LOPEZ SOLANGE
LOGAN SUSAN E	LOPEZ WESLEY A
LOGES ALICE MARIE	LOPEZ-CALCANO ISIS V
LOGGIE JOHN R III	LOPEZ-GONZALEZ ANTONIO
LOGGIE ORA M	LOPEZ-VEGA WANDA I
LOGGIE ORA MAE	LORA LORENZO
LOGUE DANNY M	LORENZ JEFFERY A
LONG CAROLYN R	LORENZO ANA M
LONG CECILIA ANN	LORENZO BENITO
LONG CYNTHIA D	LORENZO JOSH FONFRIAS TRUST NUMBER 1
LONG FRANK J SR	LORENZO MARCELINA
LONG HOMER F	LORIE ANA IRIS
LONG JANA L	LORIE INVESTMENT GROUP FL LLC
LONG KONG PROPERTIES INVESTMENTS LLC	LORIE ORLANDO R
LONG LEVI R	LORIE PELAYO R
LONG SANDRA J	LORNE JOANN
LONTOC JACQUELINE C	LORNE JOHNNY III
LONTOC SHERMAN A	LOSITO JO-ANN
LOPERENA WILSON	LOUIE BARBARA A TR
LOPEZ AMARILI	LOUIE ERNEST G TR
LOPEZ BIENVENIDA M	LOUIS JEAN M
LOPEZ CARMEN J	LOUIS MARIE S
LOPEZ CRUZ HIRAM	LOVETT JOEL B
LOPEZ DAYA Z	LOWE BRITTANY GAYLE
LOPEZ DELIA PAGAN	LOWE MICHAEL PAUL
LOPEZ HELEN	LOWE TERESA A
LOPEZ JOSE	LOWERY JANET M
LOPEZ JOSE B	LOWERY KELVIN J
LOPEZ JOSE L	LOWERY UTE E R
LOPEZ JUAN J	LOYAL ORDER OF MOOSE COUNTY LINE LOD
LOPEZ JULIO	LOZA PIERRE
LOPEZ LETHESHA	LOZADO VICTORIA
LOPEZ LUZ A	LOZANO DIEGO
LOPEZ MARIA	LOZANO INGRID F
LOPEZ MARIANITA ESTATE	LOZANO MARIA GABRIELA
LOPEZ MARTA M	LOZANO MARIA V
LOPEZ MEJIAS NORMA I	LUCAS HILDA E

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

LUCAS JOHN L	MACHADO RICHARD N
LUCAS MARGARET J	MACKO DAVID H
LUCAS WILLIAM R	MACKO MELISSA
LUCE ROBERT G	MADDOX CAROL S
LUCE WENDI LYNN	MADDOX JEFFREY W
LUCIANO JUAN ALBERTO	MADINA MARIBEL
LUCKONCHANG CARLOS	MADISON MICHAEL T
LUCKONCHANG SOLEDAD	MADISON PETER D
LUCZYWO BRANDY	MAGALHAES JAILA S
LUDVIGSEN JENNIFER A	MAGALLON EUNICE
LUDWIG DENNIS K	MAGALLON NOEL S
LUEG LISA	MAGGIO ISABEL
LUEG MIKE	MAHAN JAMES
LUGO ANIBAL CRESPO	MAHARAJ KAMALA
LUGO FRED ESTATE	MAHARAJ RABINDRANATH
LUGO FREDDY SR	MAI HUY
LUGO JOSE	MAI TRISH
LUGO JUAN A	MAJESTIC PROPERTY MANAGEMENT CORP
LUGO MIGDALIA R TACTUK	MALAD LAILA S TR
LUGO NOEMI	MALAD SHIRAZ TR
LUI SHUI YEE	MALAGON HERMINIA
LUI TERENCE WAI	MALANDRA CHRISTY W
LUK YEUNG INC	MALAVE JUAN R
LUNA ALEXIS	MALAVE MARIA I
LUND ANDREW JOHN	MALAVE RAY
LUND KRISTY MARIE	MALDONADO CARMEN LUZ
LUNDQUIST MICHAEL NORMAN	MALDONADO CLAUDIA
LUTE FRANCES ESTATE	MALDONADO EDGARDO
LUTZ WINFREDA H	MALDONADO ELBA J
LUU THANH NGOC	MALDONADO JOSE L
LUZZO MICHAEL J	MALDONADO JULISSA SANCHEZ
LY HAI	MALDONADO MARIO N
LYNCH JIM ESTATE	MALDONADO OBED D
LYNDES CHRISTINE I	MALDONADO WILFREDO
LYNDES IRVING R III	MALECEK GUILLY A
LYONS GRACE E TRUSTEE	MALECEK STEVEN M
LYONS ROBERT J JR	MALONE MATTHEW
LYONS ROBERT JR	MALONEY JAMES J
M AND T BANK	MALONEY SADIE L
M F PROGRESSIVE LLC	MAM MARY
M P FARMERS L L C	MAMLOUK KHALDOUN
MA CHUNFENG	MAMLOUK YOLANDA
MA QIAN	MAMLOUK YOLANDO
MACEIRA OCEAN STEPHANIE	MANGA LUISA MAGALHAES
MACHADO LOURDES G	MANN CANDACE J

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MANN CYNTHIA L	MARTIN DAVE
MANN FATTIS NEVERRO	MARTIN DUANE E
MANN FORREST J	MARTIN ERIC S
MANN JAN LEE	MARTIN ERIN
MANN MAGDALENE	MARTIN FRANCEELLA HEPZIBAH-BEULAH
MANN OMER D	MARTIN HAROLD G
MANN REBECCA	MARTIN KEENAN
MANN WILLIAM N	MARTIN MELISSA JO
MANNING JUDITH BURNETT	MARTIN ROBERT S III
MANNING KELVIN M	MARTIN SHARI L
MANRY CARY J	MARTIN SUSAN M
MANRY JANE-ELLEN	MARTIN VALREY
MANSO JANELLY	MARTIN-CINTRON MARLENE
MANSOUR MOHAMED A	MARTINEZ ANGEL L JR
MANSOUR MUAD ALI	MARTINEZ CHRISTOPHER
MANTECON GABRIEL	MARTINEZ DENIS
MANTECON GILLIAN	MARTINEZ DENISE
MANTUANO JULIA	MARTINEZ EDNA M RODRIGUEZ
MAPP BOBBY	MARTINEZ GERARDO
MAPP G W JR	MARTINEZ GLORYSMALI
MAPP MARY R	MARTINEZ GUSTAVO
MAPP MARY RUTH	MARTINEZ IVAN E
MAPP SANDRA M	MARTINEZ JOSE A
MARAVI FERNANDO	MARTINEZ JUANITA
MARAVI JANETTE	MARTINEZ LUIS ORTEGA
MARGARET G MILLER REVOCABLE LIVING T	MARTINEZ MIRIAM
MARIA H SEDENS REVOCABLE LIVING TRUS	MARTINEZ RICKY J
MARIN VICTOR JR	MARTINEZ SABRINA
MARIN VICTOR SR	MARTINEZ SABRINA M
MARINONI DAWN	MARTINEZ SONIA VAZQUEZ
MARINONI GUSTAVO	MARTINOV LYUDMILA
MARJORIE ANN BAKER REVOCABLE TRUST 1	MARTINOV TIM
MARLANCO INC	MARTIR LUIS
MARMOLEJOS RAFAEL	MARTIR LUIS R
MARRERO MILDRED	MARTIR MIRIAM
MARROQUIN JAN MICHAEL	MARTYN DEANNA
MARS ALWYN K	MASON BARBARA L
MARS AMAIDA	MASON BLAKELY P
MARSH CAMERON	MASON DANIEL
MARSH CAROL	MASON DEBRA
MARSH IAN	MASON SALLY LOWE S
MARSH ROBERT	MASON SARAH SHEA
MARTIN AMY	MASSARI ENTERPRISES LLC
MARTIN BETHANY A	MASSEY KATHLEEN R
MARTIN COLLIN	MASSEY ROBERT M

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

MASTRAPA LORENA LOSADA	MCCONNELL ANAIS
MATAMOROS GLORIA A	MCCONNELL CHERYL A HAULK
MATAMOROS LUZ LOPEZ	MCCONNELL REBECCA R ESTATE
MATAMOROS NELSON W	MCCOURT ERIN
MATEO LUZ E	MCCOURT JOSEPH
MATHENY GREGORY	MCCOWN LEROY
MATHENY ROBIN	MCCOY MARSHA T
MATHEW VIJESH	MCCOY RICHARD
MATHEWS HARRY EUGENE	MCCOY STEVEN JR
MATHIS CHADWICK DONALD	MCCOY TRINA
MATNARD LOUISE G ESTATE	MCCREARY RONALD E
MATOS ALICE R	MCCREERY GARRETT R
MATOS JORGE	MCCREERY HEATHER S
MATTEI DENNIS A	MCCULLOUGH LINDA J
MATTEI JAMI K	MCCULLOUGH THOMAS R
MATTER SAMUEL	MCDONALD DAVID M
MATTS DONALD G TR	MCDONALD GERALDINE R
MAULE GARRETT	MCDONALD KIMBERLY E
MAURA ANTOINETTE	MCDONALD MAURICE L ESTATE
MAURA MARIO JR	MCDONALDS CORP
MAY CAROLEAN	MCDONNOUGH JESSICA A
MAYNARD DAVID S	MCDOWELL RUBY
MAYO SHEREEN	MCDOWELL SHAWN K
MAYORGA MICHAEL	MCEACHERN CAMILLE A
MAYS DEBERAH B	MCEACHERN JAMES C
MAYS REED L	MCFARLAND JENNIFER
MAZZEO LISSETTE	MCFARLAND JENNIFER GAYLE
MAZZEO PAUL	MCFARLAND JERRY
MBH 888 HOLDINGS LLC	MCGINNIS DOROTHY M
MCARDLE BE	MCGINNIS WILLIAM L
MCARDLE GEORGE R JR	MCGLAUGHLIN JANE
MCATEE APRIL JO	MCGUIRE CHRISTOPHER A
MCAFFREY LEIGH	MCGUIRE TINA M
MCCARTHY BRIAN	MCHANAY SUSAN
MCCARTHY SEAN	MCILVAINE JOHN J
MCCLARREN BRIDGET L	MCINTOSH AGNES L
MCCLUNG JANET M	MCKAY RON J
MCCLUNG RANDY K	MCKEE JESS
MCCLURE CONNIE SUE	MCKEE ROSE
MCCLURE COREY DEANDRE	MCKENLEY FLORA E
MCCLURE LISA	MCKENLEY-DESROSIERS ANTOINETTE M
MCCLURE MICHAEL	MCKINNON DANIELLE
MCCLURE WILLIAM G	MCLAREN DELSADA
MCCONKEY JENNIFER L	MCLAREN GARY H
MCCONKEY JOSHUA S	MCLEES WANDA

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

MCMURTRY CHRISTOPHER M	MENDIETA MARIA
MCMURTRY JESSICA A	MENDOZA CONSUELO
MCNAIR JOHN OLIVER	MENDOZA PLUTARCO
MCNAIR TAMMI G	MENGES DEBORAH M
MCNEIL KELVIN E	MENGES RICHARD E
MCP DEVELOPMENT INC	MEPAL INC
MCPHERSON DARLENE	MERA FABIOLA
MCQUARRIE DUNCAN P	MERCADO CRISANTO
MCQUARRIE ELISSA L	MERCADO IMELDA
MCQUAY JOYCE	MERCADO MARIA T
MEADE DALE E	MERCADO MYRNA
MEADE FRANK	MERCADO ORTIZ BRUNO
MEADE SHIRLEY	MERCED ROBERT
MEADOWS ALFRED C	MERHAI SABITA
MEADOWS CHARLES	MERINO RHINA
MEADOWS CONNIE	MERLAU MICHAEL GEORGE
MECABE RICHARD E SR	MERZOUKI NISRINE
MEDINA ANGEL	MESSEROFF ANNA R WAGNER
MEDINA DAGAMRI	MESSEROFF WILLIAM D
MEDINA DONNA	MESSERSMITH TERRY LEE JR
MEDINA FELIX	METELLUS LENEL E
MEDINA JENNY	METZ ANDREW
MEDINA REINA	METZ LAURIE
MEI HONG ZHANG	METZ LILLIAN B TR
MEI MENG LONG	METZLER BRIAN J
MEINER EDWARD	MEYERS YANA A
MEINER EDWARD S	MHR GROUP LLC TRUSTEE
MEINER KIMBERLEA D	MICHAEL SHAPIRO TRUST LLC
MEISEL L J	MICHAELS PAMELA J
MEJIA AMPARO E	MICHEHL DAVID H
MEJIA LUZ S	MICHEHL LINDA LEE
MELARA LILY	MICKENS SPENCER L
MELARA OSCAR	MIDDLETON CHRISTIE CLAIRE
MELLENDEZ EDWIN A	MIDDLETON KRISTI K
MELLENDEZ LILLIAN	MIGLIACCI DENNIS J
MELLENDEZ LOUIS A	MIGLIACCI LAURA L
MELLENDEZ MIRIAM	MIKUTEL ANDREW D
MELLENDEZ WIPAWAN P	MIKUTEL ASHLEY DIANNE
MELON ENRIQUE G	MILAN CONSTANCE E
MENA JUAN	MILLAN IRMA SILVESTRE
MENDEZ CELINES	MILLER BRENDA KAY
MENDEZ ELIZABETH J	MILLER BUDDY
MENDEZ HERMINIO	MILLER CHRYSTAN
MENDEZ JOSUE	MILLER JANICE
MENDEZ LUIS H	MILLER JENNIFER L

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

MILLER TRAVIS	MONGE PEDRO
MILLER VERLINE	MONGE ZOILA
MINELLI CAROL A	MONTANEZ BARBARA
MINELLI ROBERT L	MONTANEZ BRIAN
MING HUANG CHUN	MONTANEZ JOSE E
MINIER HAZEL M	MONTANEZ NELSON
MINNIEAR CONNIE S	MONTENEGRO DANIEL
MIRANDA ANADIN	MONTERO LUCY
MIRANDA ROBERTO	MONTOYA JOHN F
MIRHESA LLC	MONTOYA LUZ H
MIRZA ADNAN	MONTVILLE PATRICIA
MIRZA JAVED	MOONIAN MAINE
MIRZA JAVED A	MOORE BONNIE S
MISKIEWICZ JAMES	MOORE CHRISTINE E
MISKOVSKY CHRISTOPHER	MOORE DAVID E
MISKOVSKY MARIE	MOORE LARRY R
MITCHELL JASON A	MOO-YOUNG ALYSON
MITCHELL RICHARD B	MOO-YOUNG DIANA
MITCHELL SARAH	MORA JORGE
MITTER LYNN M	MORA JUAN REINALDO
MODGLIN LAVERNE ESTATE	MORA MARIA
MODGLIN V NEAL	MORAIS ALFREDO A
MOHAMMED CAROLYN	MORALES ADELA
MOHAMMED SHAHEED	MORALES ANA I
MOHAMMED WAZIR	MORALES BLANCA L
MOHIUDDIN GULAM M	MORALES CELESTINO
MOISE ELSIE GUILLAUME	MORALES ERNESTO
MOISE HERVE A	MORALES HERIBERTO
MOISE MAY C	MORALES IRAIDA
MOJICA ANTONIO L	MORALES JACQUELINE
MOLDAN HOWARD	MORALES JUAN
MOLDAN PAMELA	MORALES LUZ
MOLDON MARAY	MORALES NORMA
MOLINA ANGEL D	MORALES OSCAR
MOLINARES IRMA R	MORALES RICARDO D
MOLINARES JAVIER A	MORE LAUREN DANIELLE
MOLINARI CAROLINA R	MOREJON ISRAEL CORDERO
MOLINARI MICHAEL J	MORENO CAROLINE CULLEN BRAY
MOLON KIMBERLY	MORENO MICHAEL ALAN
MOLON MARK	MORENO-MILAN AGUSTIN
MONACO FRANK L	MORESCO MICHAEL JOSEPH
MONACO ROSALIE L	MORESCO ROSINA LIFE ESTATE
MONCRIEF RUSSELL BRUCE	MORGAN DERRICK
MONDESIR ALANSEN T	MORGAN JAY B TRUSTEE
MONDESIR BRANDON	MORGAN MARGARET

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

MORGAN SUSAN GAYLE	MUNOZ MACEIRAS JUANA MARIA
MORITZ ANOTHAI T	MURGUIA OSCAR
MORITZ SHELLY J	MURILLO TANYA
MORRISON MATTHEW P	MURPHY ESTHER W
MORRISON SHERRILL J	MURPHY JEFFREY T
MORRISON TOBY H	MURPHY JOSE
MORRISON TOBY H III	MURPHY KATHLEEN M
MORRISON Verna J	MURPHY PENELOPE
MORRONE JAMES A	MURPHY WILLIAM
MORSE GLORIA	MUSAMBIRA GEORGE
MORSE JESSICA L	MUTH JANE M
MORSE ROBERT	MYERS BEVERLY K
MORTON THOMAS S	MYERS EVELYN E
MORTON THOMAS S JR	MYERS JERALD I
MORTON TOM	MYERS RITA A TR
MOSHER JOSE M GONZALEZ	NAGWA KALINI LIVING TRUST
MOSKAL EDWARD	NAHIRNY ROMAN
MOSKALL BERNADETTE GARY	NAHIRNY YELENA
MOSS DORIAN	NAHOUM LESLIE
MOSS ELBERT LEROY SR	NALE JUANITA
MOTA ANSELMA N	NALLAVOLU KALPANA
MOTILALL BIBI A	NALLAVOLU SRIKAR R
MOTILALL ROOPCHAND	NAPLETON ORLANDO IMPORTS LLC
MOTZ HOWARD W	NARAYAN ENTERPRISES INC
MOUNCE MARGARET S	NASEEM SOFIA
MOUNTS TODD D JR	NASH ALLISON L TR
MOUSER MICHAEL G	NASH JIMMY R TR
MOUSER YVONNE	NASH MICHAEL E LIFE ESTATE
MOY JOHN	NASIBU-NASSY MUANAM UMBA
MPF 2 LLC	NATER IRIS
MTA PROPERTIES INC	NATIONSTAR MORTGAGE LLC
MUCCIGROSSO JOHN THOMAS	NAUMANN CASPER K
MUCHACHO ADOLFO A	NAVY FEDERAL CREDIT UNION
MUCHACHO CARMEN I	NAWAZ NAZISH A
MUDRAK DALE V	NAWAZ SHAUKAT
MUDRAK OMAIRA R	NEAL SHARON F ESTATE
MUDRAK SUSAN J	NEALE ARTHUR K
MUHAISEN MURAD ABDULLAH	NEBANGWA ABONGNWI
MUIR RICHARD NORMAN	NEBANGWA VALENTINE
MULLINS RANDALL E	NEBB LIDIA TR
MUNERA JOHN O	NEBB ROBERT M TR
MUNIZ AIDA L	NEEDHAM CAROL M
MUNIZ DANIEL J	NEGRON CARMEN Z
MUNOZ LINNETTE W	NEGRON NOEMI
MUNOZ LUCRECIA T	NEILSON ELIZABETH ANN TR

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

NEILSON ERIC H TR	NGUYEN PHUONG T
NEITO JACQUELINE	NGUYEN QUY N
NELSON ALICE	NGUYEN SI
NELSON AND NELSON F CAMARGO	NGUYEN SON TRUONG
NELSON MARK	NGUYEN STEVE
NELSON PATRICIA	NGUYEN THAI QUOC
NEMETH JACK	NGUYEN THANH
NEMETH ROSE	NGUYEN THANH T
NEPTUNE 1 LLC	NGUYEN THAO CHAN
NEUNER LISA R	NGUYEN THU LE
NEW IDEAS INC	NGUYEN THUAN D
NGO LAI V	NGUYEN TINA
NGO LAI VAN	NGUYEN TRONG T
NGO LONG V	NGUYEN TRUC
NGO TRAN B	NGUYEN TRUONG
NGO XUAN T	NGUYEN TRUYEN
NGO XUAN THI	NGUYEN TUYEN DUC
NGUYEN ANDREW	NGUYEN UYENLY
NGUYEN BINH	NGUYEN VAN DUY
NGUYEN CHARLES	NGUYEN VAN VAN
NGUYEN CHIEN	NGUYEN VU
NGUYEN DEBBIE	NGUYEN VY
NGUYEN DZUNG	NGUYEN-ACEVEDO SUSAN THANH
NGUYEN HIEN	NICHO ISMAEL
NGUYEN HOA	NICHOLSON-PEGASUS INC
NGUYEN HONG	NICOLET JOHN LUC RAYMOND
NGUYEN HUAN	NIEMANN STEFFEN
NGUYEN HUNG	NIETO ALBA C
NGUYEN HUUTHIEN N	NIETO LINA
NGUYEN JENNY	NIETO RODRIQUEZ MARIA ROSARIO
NGUYEN JESSICA	NIEVES ELIZABETH
NGUYEN KATHY LIEN	NIEVES PAUL ANTHONY
NGUYEN KIM	NIEVES ROBERTO
NGUYEN KIM H	NIEVES-AROCHO MERVIN E
NGUYEN KIMCUC T	NIEVES-LOPEZ EDNA E
NGUYEN LIEM	NOEGEL AMY
NGUYEN LINH	NOEGEL DAVID E
NGUYEN LINH DIANA TANG	NOLASCO JOSE F
NGUYEN LOAN	NOONIAN HARRIPERSAD
NGUYEN LUU	NORATO MARTHA
NGUYEN MARY	NORATO MATTHEW
NGUYEN MICHELLE T	NORDARSE YAMILE
NGUYEN NGUYET T	NORDLINGER STEPHEN L
NGUYEN NINA UYEN	NOREIGA AUGUSTINE
NGUYEN PHUOC	NORENA ELKIN

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

NORMAN EDITH LYNNE	OGLESBY RICHARD BRADLEY
NORMAN PATRICIA	OHLHORST GARY R
NORTH CLORA SUE	OJEDA JOYCE
NORTON CATHY J	OLAVARRIA ENRIQUE
NORTON CURTIS H	OLAVARRIA NICOLA
NOWACKI IRENE M TR	OLDHAM JENNIFER L
NOWAK BRIAN D	OLIVA ROMERO MANUEL
NOWAK HUI CHEN	OLIVA ROMERO RAQUEL
NOWAK LAURA	OLIVERIA ELIA C
NOWAK OLIVER	OLMEDA ANTHONY R
NUNEZ DAVID	OLMEDA JOANNE
NUNEZ HUGO	OLSON DIANE
NUNEZ JACQUELINE	OLSON PAUL
NUNEZ LESLIE L	OMALLEY JAMES K JR
NUNEZ LOURDES	OMAR CHAUNCY FAMILY LAND TRUST
NUNEZ MONSERRATE	OMAT DOMNICA
NUNEZ NANCY S	OQUINN LAUREN
NUNEZ REYNALDO	ORANGE COUNTY BCC
NUNN GARY E	ORANGE COUNTY RESEARCH AND DEVELOPME
NURSE ERIN M	ORANGE COUNTY RESEARCH DEVELOPMENT A
NURSE PATRICK A	ORANGE GROUP INVESTMENTS INC TRUSTEE
NUVIEW IRA INC	ORDAZ ARMANDO
NUVIEW IRA INC (JAMES TOPMILLER IRA)	OREILLY AUTOMOTIVE STORES INC
NUVIEW IRA INC (VALERIE TOPMILLER IR	ORION LL LLC
NUVIEW IRA INC FBO	ORK KONG
O53A LLC	ORLANDA MELODY C
O53C LLC	ORLANDO N-TRACK CLUB INC
OAKES MICHELE	ORLANDO PICKETT 2 LLC
OAKES MICHELE R	ORLMCD LLC
OAKES TIMOTHY	ORLOVSKAYA NINA
OBANNON FRANK	ORONA SERGIO
OBARR JERI C	ORONA ZINA
OBRYAN MICHELLE L	ORSOL LLC
OCANA GERARDO	ORTEGA ANA L
OCANA MARTHA	ORTEGA ELVIO M
OCASIO DENNIS	ORTEGA FERNANDO
OCASIO GONZALEZ PONCIANO	ORTEGA GASPAR
OCHOA LILIANA	ORTEGA MARTINEZ CLEMENCIA
OCKUNZZI CHRISTINE L	ORTEGA MARTINEZ FERNANDO
ODDIE CORNELIA G	ORTEGA SOCORRO
ODDIE WILLIAM JR	ORTIZ BETZAIDA
ODELL JOHN RILEY II	ORTIZ CHRISTIE LEIGH
ODELL MARY F	ORTIZ COTTO FERNANDO
OESTERLING JOHN H JR	ORTIZ JOANELYN
OESTERLING SUZANNE	ORTIZ JOSE R

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

ORTIZ JOSEFINA	PAJOR ROBERT A
ORTIZ JUAN	PALACIO JORGE L
ORTIZ LILI LE GUEN	PALACIO YAILIN
ORTIZ ROBERT E	PALMBY JEAN A
ORTIZ-NAHOUM LOUISE F	PALMBY WILLIAM G
OSHE JUNE C	PALMER BLAKE W
OSINUGA OLUTOBI	PALMER HOMES INC
OSORIO ALMA C	PALMER MARILYN THERESA
OSWALD EILEEN M	PALOMAR JAMES A
OSWALD RICHARD W	PAN ANITA
OTERO JOSE E	PANCHAL ROMESH
OTERO MIGDALIA	PANCHEROVICH BRIAN R
OTERO NATAL JOSE	PANKIEWICZ OLIVER
OTERO ROSA I	PANTANO CHRISTOPHER T
OTERO VICTOR J	PANTANO DONNA L
OTTENS CHRISTINE M	PANTANO JASON A
OUAKIL ABDELHAMID	PANTOJAS JUAN
OVERMAN RACHEL L	PANTRY INC
OVERMAN THOMAS S	PANTUSO DELIA E
OVERTON CARL M JR	PANTUSO JOHN F
OVERTON KATHY A	PARADISE JENNIFER
OWENS ADAM R	PARADISE STEPHEN
OWENS GAYLE	PARADISO KARIN
OWENS JOYCELYN S	PARE CAROL A
OWENS KENNETH C JR	PAREL DANIEL
OWENS KIMBERLY	PAREL STEPHANIE L
OWENS MICHAEL T	PARK IN BAE
OWENS MYRIAM D	PARK JEONG H
OWJI JAVID	PARK JUNG OK
OZAKIEWICZ MAXINE M	PARKER ANTONIA
PABON VELAZQUEZ GERARDO JR	PARKER JOYCE
PABON VILMA L	PARKER LINDA J
PACE LYLE W	PARKER RAYMOND
PACHECO CHRISTOPHER	PARKER RENATE
PACHECO IRIZARRY ISMAEL HOMMY	PARKER RITCHIE
PACHECO YORDANIA	PARKER RITCHIE K
PACIFIC OVERSEAS LLC	PARKER ROBERT E
PADAVIL GEORGE T	PARKER ROSA M JORDAN
PADAVIL JOMEIO G	PARKS JOYCE H
PADGETT CYNTHIA	PARMERLEE JOHN R
PADILLO CASADO CARMEN M	PARMERLEE TERRI M
PADRO RICHARD	PARROTT BARBARA
PAGAN FRANK	PARROTT BARBARA E
PAINTSIL SAMPSON K	PARROTT TERRY R
PAJOR PATRICIA E	PARTIN FLORENCE W

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

PARTINGTON JUSTIN A	PENA SHIRLIN
PARTINGTON MEGAN	PENAFIEL NORMA
PARTON BRIAN E	PENCE LOGAN
PARTON LISA L	PENG JUN
PARUPIA RASHIDA	PENNEPACKER ESTHER MARIE
PASTRANA JOHN A III	PERDOMO APOLINAR
PATEL AJITKUMAR CHIMAN	PERDOMO CANDIDA
PATEL DINESHKUMAR	PERDOMO GISELLE
PATEL DIVYABALA	PERDOMO MARIA V
PATEL KOKILA	PERDUE CARL
PATEL NILAKUMARI	PERDUE ELISE
PATEL NIMESH R	PEREZ ALEMAN VICTOR J
PATEL PRAMOD K	PEREZ ANA
PATEL TEENA	PEREZ CARMEN
PATEL VIREN	PEREZ CESAR L
PATRICK ASHLEY	PEREZ DEBRA T
PATRICK MICHAEL A JR	PEREZ DELIA
PATTELENA DOUGLAS R	PEREZ DOMINGO
PATTELENA LESLIE M	PEREZ EMMA
PATTON MARGARET M	PEREZ FELIX
PAUL ALAIN	PEREZ GREGG
PAUL DANA F	PEREZ HUGO
PAUL URSULA	PEREZ IVETTE
PAULAS PIT INC	PEREZ JANNETTE
PAYNE ALYSSA	PEREZ JASON B
PAYNE MARK	PEREZ JOSE M
PAYNE MONA SUMIKO	PEREZ JUDITH
PEACHER WILLIAM D JR	PEREZ LUIS
PEADEN JOAN ALICE	PEREZ MARGARITA
PEARSON JOHN PATRICK	PEREZ MARIA
PEARSON KEITH W	PEREZ MELIDA
PEARSON RALPH D	PEREZ NATALIA ROCIO
PEASE RALPH JAMES	PEREZ RAMON ELIAS
PEDRAZA DORA ANGELICA	PEREZ REYES
PEDREIRA DEBORAH D	PEREZ VICKI
PEDREIRA EUGENE S	PEREZ WILFREDO
PEDRO AND OLGA ANTUNA FAMILY LIVING	PERKO LOIS C
PEEPLES JENNIFER	PERKOWSKI KRZYSZTOF
PEITZSCH BARBARA A	PEROSINO KEVIN
PEITZSCH WILFRED E	PERRY ALRICK B
PELHAM ANTHONY	PERRY CLIFFORD L
PELHAM ROBYN	PERRY RONDIL
PELL DWIGHT ALAN	PERRYMAN MARIKA M
PELL MIE N	PERSAUD OUDIT S
PENA LEONOR	PERSAUD RAJESH

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

PERSAUD VINODE	PIERCE RON
PERTTULA SHARON L	PIERCE SONDRRA
PERVINKLER PAUL J	PIERONI ANN
PESANTE JOHNNY	PIETERS-CARROLL SHEILA A
PETERS DAVID ARTHUR	PINA WILFREDO
PETERS JODI	PINE CREEK HOMEOWNERS ASSN INC
PETERSOHN JANICE	PINILLA GUSTAVO
PETERSOHN MARK	PINILLA PAULA A
PETERSON KENT G	PINION ANTHONY W
PETERSON ROBERTA B	PINION REBECCA A
PETGRAVE KATHLEEN	PINOT IV LLC
PETITON HAROLL	PITTLE EILEEN S
PETTY LUCRETIA FAY	PITTLE JOHN E
PEYTON FAMILY LIVING TRUST	PIZARRO LEONIDES
PFINGSTEN JENNIFER D	PLASSE PAUL R
PFISTER MICHAEL E	PLATAS IRMA ALICIA
PHAM CHARLES	PLATT FARMS INC
PHAM CHI N	PLATT IRMA F
PHAM CINDY	PLATT IRMA F TR
PHAM HA LE	PLOSA-BOWSER BEVERLY A
PHAM HIEN	PLOTNIKOVA EVGENIIA
PHAM HIEP QUANG	FLOWMAN JENELLE
PHAM HONG	FLOWMAN VINCENT
PHAM KY VAN	PLUGUEZ ANGEL M
PHAM LOAN	PLUGUEZ CARMEN R
PHAM NHUNG	PLUGUEZ GEORGE
PHAM Q LUYEN	PLUGUEZ NOEMI
PHAM THUC	PLUTO 1 LLC
PHAM TUNG	POLANCO GREGORIO
PHAN HOANG TIEN	POLEN STEPHEN
PHAN PHIN	POLEN SUSAN M
PHAN THAI BINH	POLI LANCE
PHELPS NORMAN LEE II	POLI MARGARET L
PHILLIP MOLCY	POLI MARGARET LINDA
PHILLIPS DEBBIE J	POLLITT MATTHEW
PHILLIPS FAMILY TRUST	POLLITT ROBERT
PHILLIPS KAREN MAYHEW	POMEROY DAVID C
PHILLIPS MICHAEL R	POMEROY JANET A
PHILLIPS MITCHEL STEVEN	POMEROY LASHAE
PHILLIPS NICHOLAS	POMEROY WILFORD C
PHILLIPS ROGER D	PONCE NANCY
PHILLIPS SCOTT A TR	PONS MARIA MILAGROS
PHUNG AI	PONS WILLIAM L
PIERCE HUNTER	PONTON CHARLES JR
PIERCE MAUREEN	POOLE MARK

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

POOLE MELINDA G	PROVIDENT RESIDENTIAL REAL ESTATE FU
POOLE MONICA L	PROVINCE ROSE
POP FLORIDA PROPERTIES LLC	PRUITT BETTY W
POPE JOSEPH NELSON JR	PSM CORNER LAKES PLAZA LLC
POPELAS JOHN WAYNE	PUCHE MONICA PATRICIA
POPELAS PATSY FAN	PUCKETT CHERYL C
PORNMUKDA MANOCH	PUCKETT STEPHEN L
PORNMUKDA MONTANEE	PUELLO MARCIA
POTTER DONALD R	PUELLO NELSIDO
POTTER ENTERPRISES INC OF CENTRAL FL	PUGH ELAINE A
POTTER SARAH	PUNNEN ROSAMMA
POVELITE CYNTHIA A	PUOPOLO MATTHEW D
POVELITE KEVIN K	PUOPOLO MOLLY J
POWELL JONATHAN M	QE PROPERTY INVESTMENTS LLC
POWELL RICHARD	QUACH NHI
POWER STONE INVESTMENT INC	QUALITY TRANSMISSION AND TOTAL CAR CARE
PRADO DAMARIS	QUALLS JAMES
PRADO PEDRO	QUALLS JAMES A
PRESCOD WINSTON	QUALLS JUDITH
PRESCOTT MONICA	QUALLS JUDITH G
PRESENT HELEN	QUATES MARLAINA F
PRESENT STEVEN	QUEZADA ELIZABETH
PRESSON PAULETTE	QUEZADA JOSE
PRESSON RONDALL S	QUIAOIT FAMILY REVOCABLE TRUST
PREVATT JOHN C	QUICK RESPONSE CARRIERS INC
PREVATT MARY L	QUILES HERIBERTO
PREWETT ROGER	QUILLION LLC
PRG CYPRESS LAKE LLC	QUINONES CARMELINA
PRG VICTORIA LLC	QUINONES DE RODRIGUEZ IVONNE
PRIAMOU JOYCE HELEN	QUINONES EDDIE
PRIAMOU LEA ELENA	QUINONES ERIK
PRICE DAVID M	QUINONES FANNY
PRICE IAN ANDREW	QUINONES LUIS
PRICE JOHN A	QUIROS HUGO
PRICE NANCY	QUIROZ NOHORA E
PRIEST JAMES M	R AND G COMMERCIAL PROPERTY LLC
PRIETO EDGAR H	R B S J R INC
PRIETO LUZ M	R M PROPERTIES INC
PRINCE RICHARD C	RABAH AHMAD A
PRIOLETTI JOANN	RABAH ALI S
PROGRESS RESIDENTIAL 2014-1 BORROWER	RABAH MARIA C
PROGRESS RESIDENTIAL 2015 1 BORROWER	RABAH TAREK A
PROGRESS RESIDENTIAL 2015-2 BORROWER	RABAUT RONALD
PROGRESS RESIDENTIAL 2015-3 BORROWER	RACETRAC PETROLEUM INC
PROPHET DAVID T ESTATE	RADWANSKI JOANNA

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

RAESLY DALE H	RAPP LISA B
RAESLY MARION R	RAPP WILLIAM S
RAETZ DAVID W	RATA CHANDY V ASCENZO
RAETZ SANDRA G	RATHBUN DAVID A
RAGANELLA ROSEMARY	RATHBUN MICHELE
RAGANELLA WILLIAM F	RAVIPATI MURTHY
RAGOSTA VINCENZO	RAVIPATI RAJESWARI
RAILING ROBERT W	RAY TIMOTHY L
RAINES KELLY JOISSE	RAYAMAJHI GEETA
RAINES RICHARD BARRY JR	RAYAMAJHI MIN B
RAM HOLDING GROUP LLC	RAYMONVILLE MARIE CHRISTINE
RAMA COMMUNICATION INC	RAYMONVILLE MAXI
RAMADAN IMAD	RDF 251 COLONIAL BITHLO FL LLC
RAMADAN SAKINA	REAL MARIBEL ROTGER
RAMDEEN ANDREW J	REBECCA AND SARAH LLC
RAMDEEN SOPHIA E	RECINOS MAURITA
RAMGOLAM JASODA	REED DORIS
RAMGOLAM SHAMLALL	REED GEORGE
RAMHARACKSINGH NARVIN R	REED THOMAS
RAMHARACKSINGH TRUDY S	REESE ERICA L
RAMIREZ AURELIA	REEVES MITCHELL E
RAMIREZ JANET	REGINALDO CHRIS E
RAMIREZ JUAN PABLO	REGNIER KATHLEEN J
RAMIREZ JULIO	REGNIER WARREN E JR
RAMIREZ KARIM	REHAK DIANE M
RAMIREZ MANUEL E	REID AKIBA A
RAMIREZ PAUL	REID LOYLOLEE
RAMKISSOON OUDHAI N	REIMAN PATRICK D
RAMKISSOON SHAIROON	REINA MARIA NELLY
RAMNARAIN AVINASH	REINBECK RANDOLPH THOMAS
RAMNARAIN NADIRA	REM: BRADLEY T GORNALL
RAMOS CARMEN	REM: CARMEN MARIE SHAW FAMILY TRUST
RAMOS DOMINGO	REM: DIANA L LANGEROCK TR
RAMOS EDWARD	REM: DIETRICH BROTHERS INC
RAMOS GISELA C	REM: DUSTIN M BELL
RAMOS IVETTE	REM: ELAINE MORESCO STOERRLE
RAMOS JUVENAL	REM: LEANA MICHELLE DUNBAR
RAMOS KRISTINE M	REM: MARK H NASH
RAMOS MURPHY VICTOR	REM: MARY HIEN HUYNH REVOCABLE TRUST
RAMOS SABINA	REM: PETER M FAIL
RAMPERSAD-PHELPS NISHA R	REM: RICHARD AND JANICE JONES REVOCA
RAMSAMMY JEFFREY	REM: RONALD E BELL JR
RAMSAMMY SHARMILEE	REM: SALVATORE BEVILACQUA
RANCE S CHASYN J	REM: SCOTT A SANSOM
RANDOLPH MURIEL	REM: SHEILA W DUGGER TRUST

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

REM: SHIRLEY M YOAKUM TRUST	RIMKUS STEPHEN
REM: TIMOTHY M STEINER REVOCABLE TRU	RIMMER MICHELLE
RENNEBU ROD	RIMPHISON WATCHARA
REPUBLIC SERVICES OF FLORIDA LP	RINALDI ROBERT C
RESI II LLC	RIOS ANABELLA
RESI LOAN LLC	RIOS DIANONIS
RESIMONT BARBARA J	RIOSPITE L C
RESIMONT WILLIAM NORDIN	RITTENHOUSE HEATHER J
RESTREPO ESTHER	RITTENHOUSE ROGER A
RESTREPO ROBERT	RITZ ELEXIS
REWAH SHALINEE	RITZ JOAN
REWATIRAMAN STEPHANIE	RITZ JOAN B
REY MATTHEW	RITZ ROBERT
REYES ALAN L	RITZ ROBERT A
REYES JORGE A	RITZ SEAN
REYES LUIS A TR	RIVAS MIRIAM
REYES MARIA L	RIVEN PINES MODEL HOMES PARTNERSHIP
REYES NEMESIO	RIVERA ADA I
REYES NORMA	RIVERA AIDA L
REYES RUBEN	RIVERA ALEJANDRO
REYMANN DENISE	RIVERA BENJAMIN
REYNIERS LARRY J	RIVERA BRENDA J
REYNOLDS BONITA L	RIVERA CARLOS
REYNOLDS JACK W	RIVERA CARMEN
REYNOLDS JAMES R	RIVERA CARMEN L
REYNOLDS JOANN	RIVERA CAROLINE
REYNOLDS PHILLIP R	RIVERA DANIEL J
RHJ GROUP LLC	RIVERA DOROTHY J
RICARDO J MORENO M D INC	RIVERA DOROTHY JEAN
RICART MIGUEL R	RIVERA ELIZABETH
RICE DAVID N	RIVERA EMILIA M
RICE GARY GARLAND	RIVERA ERIC ESCUDERO
RICE JUDITY	RIVERA ERICA
RICHARD C MISTRETTA JR MISTRETTA FAM	RIVERA GIL A
RICHARD D BAXTER REVOCABLE TRUST	RIVERA GILBERTO
RICHARD MICHAEL S	RIVERA JACQUELINE
RICHARDS GLADSTONE C	RIVERA JANET
RICHARDS HENRY J	RIVERA JEAN
RICHARDS JANET LEE	RIVERA JEANIE
RICHARDS THEODORA	RIVERA JOAN F
RICHNER NICOLE M	RIVERA JUAN JR
RIDDLE DAVID B	RIVERA LUIS
RIEDEL FAYE HENSON	RIVERA MELBA A
RIGAUD PEDRO YVES	RIVERA MIGUEL R
RILEY EDNA M	RIVERA MIKE R

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

RIVERA NESTOR M	RODRIGUEZ ALBA
RIVERA PAULO C	RODRIGUEZ AMARILIS B
RIVERA RAFAEL	RODRIGUEZ ANGEL C
RIVERA RAUL	RODRIGUEZ ANITA
RIVERA RITA CRUZ	RODRIGUEZ ARGENIS B
RIVERA ROSA I	RODRIGUEZ ARLEEN
RIVERA ROSEMARY	RODRIGUEZ CARMEN
RIVERA SARAH	RODRIGUEZ CARMEN R
RIVERA STEPHANIE COOK	RODRIGUEZ CASTEX KALEX
RIVERA VIVIAN	RODRIGUEZ CRYSTAL
RIVERA-CUADRADO HAYDEE	RODRIGUEZ DEBORAH
RIVERA-PABON JAMIE L	RODRIGUEZ DENNIS A
RIVERBARK DUSTIN	RODRIGUEZ EDWIN
RIVERON LOURDES N	RODRIGUEZ ELBA I
RIZZO MARY ESTATE	RODRIGUEZ GABRIEL A
RJ AMERICAN HOMES 4 RENT TWO LLC	RODRIGUEZ ISAAC
ROADWAY CHALLENGER LLC	RODRIGUEZ JEOVEL
ROAS PROPERTY LLC	RODRIGUEZ JIMMY
ROBERTS ALLISON L	RODRIGUEZ JOSE A
ROBERTS BENJAMIN DANH	RODRIGUEZ JOSE R
ROBERTS CASANDRA L	RODRIGUEZ JOSEFA
ROBERTS DONNA	RODRIGUEZ JUAN
ROBERTS ERIC F	RODRIGUEZ LAURA
ROBERTS GREGORY P	RODRIGUEZ LIZBETH
ROBERTS JIMMIE D SR	RODRIGUEZ LUIS
ROBERTS NATHAN LEE	RODRIGUEZ LUZ A
ROBERTS SYLVIA	RODRIGUEZ MARCELINO
ROBERTS VICTORIA B	RODRIGUEZ MARIA ADRIANA
ROBERTSON CARMEN M	RODRIGUEZ MARIA I
ROBERTSON DANIEL B	RODRIGUEZ MICHAEL R
ROBERTSON HAROLD L III	RODRIGUEZ MILDRED AGUILLI
ROBERTSON SHELBY C	RODRIGUEZ NEREIDA
ROBINSON ALLISON M	RODRIGUEZ NILDA
ROBINSON CAROL ANN	RODRIGUEZ OMAR A ESTATE
ROBINSON DENNIS D	RODRIGUEZ RAMOS ANA
ROBINSON TERESA C	RODRIGUEZ RAUL
ROBINSON WILLIAM D	RODRIGUEZ RIGOBERTO
ROBLEDO LIZANDRA	RODRIGUEZ ROBERTO ELISBAN
ROBLES ALDA L	RODRIGUEZ ROSA
ROBLES FELIZ	RODRIGUEZ SANTA L
ROCCA GAIL	RODRIGUEZ SHIRLEY M
ROCK RDP 4 LLC	RODRIGUEZ SILVIA A
RODI RONALD M	RODRIGUEZ WILLIAM
RODRIGUES DAVID M	RODRIGUEZ WILMARIS
RODRIGUES ELISA M	RODRIGUEZ ZAIDA N

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

RODRIGUEZ-SANCHEZ JOSE F	ROSSARIO ERICA
RODRIQUEZ NEYDA	ROTELLA ALFRED
ROGACHESKY JASON	ROTELLA DOMINICK
ROGERS CAROLYN	ROTH LINDA K
ROGERS CASEY	ROTHROCK JAMES E TRUSTEE
ROGERS JENNIFER LEE	ROTHROCK ROSALINA T TRUSTEE
ROGOZINSKI EDNA A WALSH	ROUPP HARRY D
ROJAS MARGARITA	ROUPP HARRY DENNIS
ROJAS ROSARIO	ROUPP TAMMIE J
ROLDAN EVELYN	ROUSE VAN E MRS ESTATE
ROLDAN PETE	ROWE JACQUELINE
ROLDAN RUTH	ROWE RAQUEL
ROMAN MARIA I	ROY BRENTON R
ROMAN VANESSA I	ROY DIANE J
ROMANS BRANDON	ROYSTON RHONDA LEE
ROMANSKI RAYMOND TR	ROZO LUIS RAUL
ROMELUS MARIE ROSELINE	RUA CARMEN RAMIREZ
ROMERO MANUEL OLIVA	RUA GABRIEL E
ROMERO RAQUEL OLIVA	RUBASH SHANEN
ROMERO REYNA	RUBENS JAMES B
ROMICK ROBERT A	RUBENS SHIRLEY L
ROMICK SHARON P	RUDELL DAVID R
ROMULUS JEAN M	RUDELL KAY H
ROMULUS MARIE G	RUDIS MARY L
RONLEE MOBILE HOMES OF MIAMI INC	RUIZ LYDIA M
ROONEY ANNE P	RUIZ MIGUEL A
ROQUEVERT TARA M	RUIZ NILSA
ROQUEVERT WILLIAM T	RUIZ VERONICA
ROSA JOSE TR	RULAND JOHNNIE L
ROSALY CARLOS	RUSS JUDSON W LIFE ESTATE
ROSALY MYRIAM	RUSS NATALYA LIFE ESTATE
ROSARIO ALEX	RUSSELL BRENDA SUE
ROSARIO MANUEL	RUSSELL JOHN
ROSARIO MELVIN A	RUSSELL MAROLYN COWART TR
ROSARIO MIGDALIA	RUSSELL MONICA
ROSAS EUGENIO	RUSSELL WILLIAM D
ROSAS MELBA	RUSSO JANET A
ROSA-TORRES ANNA E	RUTHERFORD DAHLIA DIANE
ROSELAND JOYCE	RYAN ADRIANA J I
ROSELAND JOYCE M	RYKER JAMES
ROSELAND PATRICIA M	RYKER SONIA
ROSELAND TIMOTHY M	RYMER CORP OF RT 50
ROSENWASSER STEVEN A	SAAL JOHN S
ROSKOWSKI MARY	SAAL MAYRA E
ROSSARIO ALEX	SABBATIS JOSEPH E

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

SACKALOO CLIFTON E	SANTORO JOHN JR
SACKALOO JEAN J	SANTOS MARTIN A
SADRACK RIDA N	SANZ QUINTERO RANDY
SAEDI ZUHARE A	SARA E DELK FAMILY TRUST
SAENZ LIANA	SARAN JOSHUA
SAHADAO LALBAHADUR	SARAN SALLY
SALADINO JOSEPH A	SARAPAIVARNICH YUTHASAK
SALADINO MELODIE	SARMIENTO LUIS G
SALAS NORHA E	SARMIENTO MARTHA D
SALAZAR DENIS H	SASKO BONNIE
SALCEDO AMARILIS	SASKO STEVEN M
SALEHI FAMILY LIVING TRUST	SATTAUR BIBI HAFIZA
SALEHI MALIHEH	SAUL JOHN P
SALHANI FADI	SAUL JOY L
SALLEY MICHAEL J	SAUMELL MARIA V
SALMON DAMALI M	SAVAGE BETTIE J
SALMON VINCENT H	SAVAGE JOHN E
SALYERS GARY	SAVAGE WILLIAM JOHN JR
SALYERS MARGARET L	SAWH SABITA M
SAMSAM MOHTASHEM	SAWH VISHNU
SANABIA ROSE	SAWYER MICHAEL F
SANABIA ROSE M	SAYDAH MEGAN
SANCHEZ ALLAN J	SAYDAH NEIL
SANCHEZ CRUZ OMAYRA	SAYLOR BOBBY
SANCHEZ JENNY	SAYLOR REBECCA
SANCHEZ JOSE LUIS	SCHAFER MARK E
SANCHEZ LOURDES CRUZ	SHELL LOIS J TR
SANCHEZ-MARQUEZ JOSE F	SHELL RICHARD G TR
SANDELIN EMILE L	SCHERER DAWN H MITCHELL
SANDOVAL ALBEIRO	SCHILDWACHTER RACHEL T Y
SANDOVAL ALFREDO	SCHILDWACHTER ROBERT A
SANDY CREEK HOMEOWNERS ASSN INC	SCHNECK JACQUELYNE
SANFORD ANN	SCHNECK RICHARD
SANTIAGO ADANEL	SCHNEIDER BENSON
SANTIAGO EDWIN	SCHNEIDER LORETTA
SANTIAGO JUAN	SCHOOL BOARD OF ORANGE COUNTY FLORID
SANTIAGO KATHRYN A	SCHORR CHRISTINE
SANTIAGO LOPEZ LILLIAN	SCHROEDER ANGELA M
SANTIAGO MARLENE	SCHROEDER MARK S
SANTIAGO MAYRA E	SCHROEDER WILLIAM ALPHONSE III
SANTIAGO ROBERTO JR	SCHUETRUM MICHAEL L
SANTIAGO VICTOR M	SCHULTZ JUSTINE E
SANTIAGO-VERA NANCY	SCHULTZ THEODORE E
SANTIGO MYRNA D	SCHWEER NATHAN W
SANTILLI GINO	SCOTT KENNETH JR

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

SCOTT LINDA S	SHANKS BETTY J
SCRIMA JAMES A	SHARMA ANKUR
SCUDERI GEORGE	SHARMA HUNSHA S
SCUDERI LOUIS	SHARMA SUBODH K
SCUDERI VINCENT	SHARPE GEMMA EMILE
SDG EAST ORLANDO LLC	SHARPE SELWYN
SECRETARY OF HOUSING AND URBAN DEVEL	SHARRITT BARBARA
SEDA CARMEN R	SHARRITT DALE
SEDA CONSUELO	SHARRITT DAWN
SEDA DAVID	SHARRITT JOEY
SEDA GERMAN	SHARRITT KRISTEL
SEDA GERMAN L	SHAVITRANURUK MASTER K
SEDA GERMAN P	SHAW CARMEN M LIFE ESTATE
SEGURA ROLANDO Q	SHAW JOEL
SEHINFELL MARTHA	SHAW PAMEIL E
SEIPLER RIAN	SHEA CHARLES A III
SELLERS DONA JO	SHEARER MICHAEL DOUGLAS
SELLERS RONALD LAMAR	SHEIKH MUSTAFA
SEMORA WAYNE T	SHEIKH-OL-ESLAMI FERIAL
SEN SHABORI	SHELTO JOSEPH
SENAY JUNE M	SHELTO VICHHYKA
SENAY ROBERT A	SHEPARD FAYE J
SENAY UNE M	SHEPARD GERALD
SENAY WILLIAM J	SHEPHERD ALANA J
SEPULVEDA CHRISTOPHER	SHEPHERD ALANA JILL
SERRA LABOY ROXANA	SHEPHERD ELIZABETH B
SERRANO LUZ M	SHEPHERD RICHARD W
SERRANO MARLENE	SHERGILL BALBIR
SERRANO-ACEVEDO LINDA	SHERIDAN MARY L
SERRITELLI CAROL A	SHERLOCK JANICE M
SETO AMY	SHERLOCK THOMAS
SETO JOHN	SHIDELER ELLEN T
SEVERANCE DOUGLAS N	SHIDELER FAMILY REVOCABLE TRUST
SEYBOLD LOUIS R TRUST	SHIDELER JOSEPH A
SHAFFER NORMA JEAN	SHIFFLETT ELMER J
SHAFRIR EVIATAR S	SHIH JASON
SHAFTER FRANKIE	SHINDE TRUPTI
SHAFTER JOHN	SHIPLEY ANDRE
SHAH ARCHANA K	SHIPLEY KORISHA
SHAH KARTIK A	SHIRREFFS MICHAEL S
SHAH NITA S	SHOEN LOUISE HUDSON
SHAH SAILESH H	SHOGREN JENNY L
SHANHOLTZ JUNE L MEYER	SHOKOOHI AHMAD
SHANKLAND BRENDA	SHOOK ALDA H
SHANKLAND RUSSELL	SHOPPES AT EAST COLONIAL LLC

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

SHORT TIMOTHY L	SING NORMAN E
SHRADER JERRY THORNTON JR	SINGBENCO-SY LIEZL
SHROCK PEPPER A	SINGER METAL RECYCLING INC
SHROCK RICKEY A	SINGH EARANAND
SHULL MELVIN D	SINGH GAJENDRA
SIBLE PAMELA K	SINGH JAINARINE
SIBLE PAMELA L TR	SINGH JASHOMATTIE L
SIBLE TERRY L	SINGH KAY DONNA
SIBLE TERRY L TR	SINGH NANDITA KRISHNA
SICARD FLOR	SINGH RICHARD A
SICHLER ROBIN	SINGH ROHIT KUMAR
SICHLER ROGER	SINGH SUBODH
SIDLEY BARBARA J	SINGH VEONA
SIDLEY WAYNE P	SINGH VISHNU D
SIFUENTES CLAUDIA	SINGLETARY LAFAYETTE
SIGMORE LIONEL H JR	SINGLETARY SHAWN L
SILLS JAMAIL D	SINGLETARY WENDY G
SILLS STEVEN N	SINKER JOANN L
SILLS SUZANNE	SINKER MARTIN T
SILVA ROSE	SISSON ELIZABETH A
SILVA SEILER JESUS A	SISSON WALTER B II
SILVANIA ROBERT L	SKINNER BRUCE
SILVAS JEANETTE	SKIPPINGS ANTONIO WAYNE
SILVIANO JAMES F	SLAUGHTER DAEDRA O
SILVIANO JENNY M	SLAUGHTER RICHARD
SIMEONOV SIMEON	SMALL CAROL A
SIMEONOVA LIDIA	SMALL DELON L SR
SIMKINS NAOMI R	SMALLWOOD HEIDI A
SIMKINS SAMUEL H	SMART JAMES AARON
SIMMONDS ARTURO J	SMITH ARRON MICHAEL
SIMMONDS ASUNCION	SMITH AUSTIN
SIMMONS KELLY	SMITH BROOK
SIMMONS PAUL	SMITH CLAY
SIMMONS REBECCA	SMITH DENISE J
SIMMONS SHAWN M	SMITH DIANA M
SIMMONS TIMOTHY	SMITH DONNA
SIMONINI MARTIN N	SMITH DONNA D
SIMONSEN BRANDON	SMITH DONNA R PEDIGO
SIMPSON CRAIG L	SMITH GARY L
SIMPSON JESSICA	SMITH HARRY J JR
SIMPSON KENNETH	SMITH MARIAN E
SIMPSON MISHONE	SMITH MARQUETTE
SIMS DERRY CORNELL SR	SMITH MICHAEL R
SINCLAIR JOY	SMITH MONIKA
SING KATHLEEN A	SMITH PAUL

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

SMITH RICARDO MELISE	SPIRIT CV ORLANDO FL LLC
SMITH RICHARD W	SPIRIT MASTER FUNDING X LLC
SMITH ROBERT E	SPITALE JON B
SMITH ROGER	SPITALE MONETTE
SMITH SHARON L	SPONTELLI MARK
SMITH SHERRI	SPOONLEY JANET ANN
SMITH STEVEN	SPOONLEY WILLIAM A
SMITH SUZANNE	SPRAGUE JOHN
SMITH TIMOTHY B	SPRAGUE VIRGINIA S
SMITH VALERIE	SPRINGS DOROTHY J
SMITH WILLIAM	SPROUSE KENNETH S
SMITHERS ALLEN E	SPROUSE KIMBERLY A
SMOUSE DAVID E	SQUIRES THURSTON W
SMOUSE SHARON J	ST JOHN JILL M
SMS REAL ESTATE INVESTMENTS LLC	ST JOSEPH GARDEN COURTS INC
SNAPP CHARLES V ESTATE	ST LEWIS KNOLLY F
SNAPP RENEE	STACK GARY
SNEED DANICIA A	STACK ROSELLEN
SNEED JASON P	STANALAND CINDY K
SNELLEN CHRISTOPHER M	STANALAND STEVEN H
SNIPES LEE ANN	STANLEY BENJAMIN ADAM
SNIPES SCOTT ANDREW	STARKWEATHER HELEN YIN
SNYDER MATTHEW R	STAUDINGER JANNETTE
SOK LY	STAUDINGER WILLIAM B
SOK SIDETH	STEELE ANNETTE W
SOLOMON PATRICIA A	STEELE DWAIN E
SOLTYS HELENA A	STEINER DANIELLE
SOLTYS JOHN	STEINER MATTHEW
SORENSEN DALE J JR	STEINER TIMOTHY M LIFE ESTATE
SOTO GIDGET	STENBERG ERLAND L TR
SOTO GISELA GARCIA	STENBERG MARY ANN TR
SOTO GRACE	STEPHENS ALISSA
SOTO SAMUEL	STERLING HEATH
SOULIOTIS RICHARD	STERLING PROPERTIES LLC
SOUTH PHILLIP C	STEURER JOHN
SOUTHERN BELL TELEPHONE AND TELEGRAP	STEVENS MARY ANNE
SOWTI NILOOFAR	STEVENS RICHARD M
SPARKS DAVID D	STEWART CARMEN
SPARKS NORMAN E	STEWART HOWARD A
SPARLING JEFFERY	STEWART MANSFIELD E
SPARLING MEI-MEI	STEWART PATRICE L
SPEED HAROLD H	STIMENS THOMAS
SPICER KAREN S	STINCHCOMB DEBRA
SPINDLE CHERYL F	STINCHCOMB MARK
SPINDLE EDWARD A	STINSON MONTY

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

STOBAUGH CLIFFORD T	SWIETON STEVEN J
STOCKTON THERESA K	SWINDAL JERRY
STOKES CHARLES F JR	SY WILSON
STOKES CHRISMON	SZCZEPANSKI MARIAN
STOKES TIFFANY	SZCZEPANSKI MYRNA
STONE JONATHAN	T AND D PROPERTIES INC
STONE LUCILLE M	T AND W BBQ LLC
STOOTMAN MICHAEL J	TADESSE MIERAF
STORAWAY SELF STORAGE OF ORLANDO LLC	TADRUS LAILA
STOREY BOYD	TADRUS NASR
STOREY SHERRI	TALAVERA JORGE E
STORMES MELANIE	TALBERT IRVIN G
STORMES RODNEY	TALBERT LAVON
STORNELLI VICTORIA	TAMAGOGLU APEL
STORY DAVID	TAMNEY PARTNERSHIP LTD
STORY GAYLE	TAMURA HENRY
STOUT DAWN EILEEN	TAMURA MARICEL
STRICKLAND ALLEN P	TAN ROLAND P
STRICKLAND RUBY K	TANG YEMIN
STUFFLEBEAM JEANNIE L	TANGUI HORACIO A
STUFFLEBEAM ROGER D	TANT JAMES PRESTON
STUIB SUSAN	TANWAR HARISH
STURLA DE TRABUC FAMILY TRUST	TANWAR RAJ
STURTZ ANNETTE	TARDUGNO MICHELE
STURTZ ZACHARY C	TARDUGNO THERESA
SUAREZ NISSA	TASSIN PEGGY ANN W CORBIN
SUEAN JEANNIE	TAURUS INVESTMENT GROUP LLC
SUEASAKUL INTIRA	TAVARES ANTHONY
SUGRIM ANDREW A	TAVAREZ YOLANDA
SUGRIM RAMESHWARI	TAX DEED ENTERPRISES LLC
SUN WEN FANG	TAX LIEN STRATEGIES LP
SUNFLOWER HOLDINGS CO	TAYLOR DEBRA A
SUNFLOWER HOLDINGS CO INC	TAYLOR JOHN J
SURACHAI SUTHA REVOCABLE TRUST	TAYLOR LARRY E
SUSKOWITZ MARTHA J	TAYLOR LINCOLN
SUSKOWITZ MARTHA JONES	TECK RAPHAEL
SUSSEX PLACE HOME OWNERS ASSN INC	TECK TERESITA
SUTER DARRYL L	TEED BRENDA M
SUTHERLAND I ANTOINETTE	TEED SCOTT D
SUTORUS CHESTER LYNN	TEEPLE BETTY L
SWAVELY ALYSON M	TEKAWADE AVINASH
SWAVELY TYLER B	TEKLESS LLC
SWEARINGEN JOHN C	TELIBASA MARIUS
SWEARINGEN SHELLEY	TELIBASA MIHAELA
SWEIGART KATHRYN	TELLERIA FERNANDO

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

TELLERIA KIMBERLY	TONGE NEIL
TELUSMA KARLINE M	TONNU QUYNH THI
TELUSMA SAMUEL M	TOPMILLER JAMES H
TEMPLES IRENE	TOPMILLER VALERIE
TENCH W N	TOPMILLER VALERIE L
TEVYAW JOSETTE	TORO BEATRIZ E
THAKKAR ANUJA J	TORO BEATRIZ ELIZABETH
THAKKAR JAYRAJ T	TORO MIGUEL
THAMARAICHELVAN UMARANI	TORO RUBEN DARIO
THANG DUC NGUYEN AND THUY THANH BUI	TORRES ADOLFO
TERRIAT JENNIFER L	TORRES ALMA I
TERRIAT MICHAEL P	TORRES ANA C
THOMAS A NORRIS TRUST	TORRES ANGEL L
THOMAS GLEN	TORRES DAVID A
THOMAS JANET	TORRES EIRISLYN
THOMAS NANCY ACKERMAN	TORRES EXOR F
THOMAS NELSON	TORRES JANETTE
THOMPSON ANTONIO BERNARD	TORRES JASMIN
THOMPSON CHRISTOPHER B	TORRES JESSICA E
THOMPSON DAVID	TORRES JONATHAN
THOMPSON DAVID L	TORRES JUAN R
THOMPSON KATHLEEN	TORRES LILIAM
THOMPSON LILY L	TORRES LUIS
THOMPSON OMARI P	TORRES MICHELE
THOMPSON RACHEAL A	TORRES MIRIAM
THOMPSON SONIA	TORRES MIRIAM C
THOMSON MARCUS K	TORRES MIRNA
THOMSON SHERI L	TORRES ROGER J
THPI ACQUISITION HOLDINGS LLC	TORRES SHEILA
THURMAN THOMAS G JR	TORRES TATIANA
THURSTON W AND SHIRLEY A SQUIRES CHA	TORRES VELEZ DAISY L
THURSTON WALLACE H	TOTAL EQUITY DEVELOPMENT LP
TIETZ MARK	TOTTEN JAMIE C
TILDEN AND BONSER INC	TOTTEN JOHN J JR
TITH VYADA HONG	TOTTON LINDA
TOBIAS SCOTT C	TRABAL MELISSA
TOBIN MARY LOU	TRALEE CRICKET CLUB LLC
TODD JOHN E SR	TRAMELL JONATHAN
TODD WINSTON	TRAN BICH HA THI
TOLENTINO ASTERIO	TRAN HAHN HONG
TOLENTINO IMELDA	TRAN HAI P
TOMBERLIN VERONICA	TRAN HONG
TOMLINSON ASHLEY C	TRAN LUULY T
TONG KIM HIEN T	TRAN MINH D
TONG PINGFANG	TRAN MINH D TR

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

TRAN MINH DINH	TRUST NO 18318
TRAN MY	TRUST NO 18327
TRAN NGHIA HVU	TRUST NO 414E
TRAN PAUL	TU QUYEN
TRAN THAI BINH	TU SANDY
TRAN THAI-BINH	TUCKER DOUGLAS KEITH
TRAN THU A	TUCKER ROSCOE
TRAN THU ANH	TUCKER TIMOTHY B
TRAN THU ANH TR	TUCKER-GAINER MILDRED G
TRAN THUAN	TULENKO JULIANA
TRAN THUY NGU	TULENKO THOMAS J
TRAN THUYEN D	TULLIS COVIA M
TRAN TOM	TULLIS ISIDRO D
TRAN TOM M	TURCOTTE DUSTIN
TRAN TONY	TURCOTTE LENNORE
TRAN UYEN	TURKO TODD
TRAN VINNIE	TURKO TODD C
TRAN-LAM ISABELLE	TURKO TODD C JR
TRANQUILITY MEADOWS LLC	TURNBULL LILLIAN W
TRANter ANDREW J	TURNER CHARLES M
TRANter KIM M	TURNER JOHNNIE D
TRAUB GREGORY	TURNER KAREN J
TRAUB SANDRA	TURNER MICHAEL
TRAVERZO RAMOS AIDA	TURNER MICHAEL J
TRENTADUE STEPHANIE A SORNATALE	TURNER VICTORIA
TRESSLER DENNIS R	TURRELL PHILLIP RICHARD
TRESSLER JANET A	TURRELL SHIRLEY DEAN
TRESSLER MYRA L	TWILLEY GRANT J
TRESSLER RICKY L	TWILLEY SHANNON
TREVARthen ANN	TX COMPANY LLC
TREVARthen STEVEN	TYLER JOANN
TRINH PHILLIPS BANG	U S BANK N A
TRINH THUY NGAN	U S BANK NATIONAL ASSN TRUSTEE
TRINIDAD ERNESTA	U S NADLAN LLC
TRINIDAD FRANCISCO	UBELS EDWARD
TRINIDAD JOSE JULIO	UBELS VIRGINIA
TRINIDAD LUIS G	UDR
TRIPATHI TARA PRAKASH	ULL SHAWN
TRIPLE GEM LLC	ULL SUSAN
TROCHE RIVERA BRYAN J	UNCAPHER BRUCE A
TROUTMAN DAVID	UNCAPHER PAMELA A
TROUTMAN TARA	UNDERWOOD LARRY D LIFE ESTATE
TRSTE LLC TR	UNDERWOOD PATRICIA A LIFE ESTATE
TRUONG JOSEPH	UNION PARK POST NO 242 AMERICAN LEGI
TRUST NO 1446	UNITED GLOBAL OUTREACH INC

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

UNIVERSITY HOSPITALITY III LLC	VELAZQUEZ DIEGO
VAAER AARON	VELAZQUEZ MIRIAM
VAAER KRISTEN	VELAZQUEZ PEDRO
VALDEZ LILIANA	VELENZUELA RODOLFO
VALDIVIA MICHAEL A	VELEZ ERIC
VALENTINO CHRISTOPHER M	VELEZ FRANCISCO
VALENTINO SANDRA J	VELEZ JONATHAN
VALENZUELA NORMA	VELEZ JUAN D
VALESKY J THOMAS	VELEZ LEIDA YOLANDA
VALESKY JENNIE A	VELEZ STACY AYMAT-RIVERA
VALSSAINT CHELTON	VELLE ROBERT N
VAN HOOSE JAMES R III	VENCEBI ANDRES
VAN HOOSE JULIE A	VERA-LAURENTIN DEVELOPERS LLC
VAN LIEROP MARIA	VERDOIA LYNNE C
VANCHA AJITH	VERDOIA ROBERT P
VANCOONEY DON E	VEREEN JOANN L
VANCOONEY PAULA KAY	VERGIN JUDY D
VANDEGRIFT JENNIFER	VERMA ARCHANA
VANNORMAN DELVIN	VETERANS AFFAIRS SECRETARY
VARGAS BRANDI	VIALA CHRISTIAN
VARGAS EFIGENIO	VICKERS KATHERINE C
VARGAS MATTHEW	VICKERY LAMAR D
VARGAS MWILLIAM	VICKERY WILLIAM
VARGAS NELLY	VICKERY WILLIAM V
VASQUEZ ROSA J	VICKERY WILLIAM VAN BUREN
VAUGHAN CAROL J	VICTORY PROPERTIES LLC
VAUGHN JED	VIEIRA PATRICIA
VAUGHN MARCI	VIENI ANTHONY M
VAZQUEZ ALEXIS	VIERA DIANA
VAZQUEZ CARLOS R	VIGH ERZSEBET
VAZQUEZ CARMEN	VIGH ZOLTAN
VAZQUEZ GLORIA A	VILES KENNETH W
VAZQUEZ HECTOR	VILES KRIS S
VAZQUEZ MARTHA	VILLARI MICHELLE
VAZQUEZ MINERVA	VIOLETTE JUDITH M
VAZQUEZ NOEMI	VIRANI ANWAR A
VAZQUEZ RAMON	VIRANI GULZAR A
VAZQUEZ ROSA LIZ	VIRGINIA BAPTIST HOMES INC
VAZQUEZ SEGUNDO	VIRK GURMIT
VAZQUEZ WILLIAM	VIRK SUKHWINDER
VECCHIO FRANK	VN HOA T
VEGA MARIBEL	VOLBERG WAYNE MARTIN
VEGA NILSA E	VOLPE ANGELINA
VEGA ROGELIO	VOLPE ANTHONY P
VEGA ROSEMARY	VOLPE ELIZABETH

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

VOLPE SAMUEL J	WALLER LESLIE C
VOYIK BRADLEY K	WALMSLEY SHAUN M
VRS TOWN PARK SC LLC	WALTERS EARL
VU CUC T	WALTERS F P MRS ESTATE
VU HOANG-DUNG	WALTERS MAXINE A
VU HOP THI	WALTERS ROSETTA
VU PAT	WANG GUANTONG
VU T HANG	WANG JUNMEI
VUONG NGHIA HIEU	WANG LIHE
VUONG THUY	WARD DAVID
WADE EVELYN G	WARD JINELLE K
WADE NEIL L	WARFEL SHERRY
WADE WALTER D	WARMACK ORLANDO LLC
WAESCO JOSEPH	WARNER LOUIS
WAESCO SEAN	WASHBURN SANDRA M
WAGNER GARY D	WATER DENYSE R
WAGNER GLENDA J	WATERFORD CREEK HOMEOWNERS ASSN INC
WAGNER JACK	WATERFORD LAKES COMMUNITY ASSN INC
WAGNER LINDA M	WATERFORD LAKES TRACT N-19 NEIGHBORH
WAGNER THOMAS W	WATERFORD PARTNERSHIP LLP
WAGONER CARL D	WATERFORD POINTE APARTMENTS LTD
WAGONER WANDA L	WATERFORD TRAILS HOMEOWNERS ASSN INC
WAHRHEIT CORP	WATERFORD TRAILS PARTNERSHIP LLP
WAIFE DAVID K	WATERFORD VILLAS HOMEOWNERS ASSOC IN
WAJDA MICHAEL J	WATERS KIMBERLY
WAJDA SIRIA O	WATERS MARCUS
WAKEFIELD TAMMY S	WATERS PAUL M
WALEWSKI ALEXA P	WATKINS JUANITA
WALEWSKI BRIAN R	WATSON DONALD L
WALGREEN CO	WATSON DOROTHY ESTATE
WALKER DAVID	WATSON GALE
WALKER DEAN	WATSON LISA M
WALKER GARY L SR	WATSON MELVIN L
WALKER JULIE	WATSON MYRA G
WALKER YULIN	WATTS LARRY D
WALLACE CEDRIC	WATTS RICHARD CHARLES
WALLACE GORDON T	WAWRZYNIAK EUGENE F
WALLACE JIMMY S	WAXLER ELENA GOGILTAN
WALLACE JONATHAN W	WAXLER IAN R
WALLACE JOSEPH	WEBB DOREEN KIMBERLY
WALLACE MRYTA	WEBB ONDA L
WALLACE SUSAN	WEBB ONDA LEE
WALLACE VIRGINIA	WEBB SUSAN
WALLEN KAREN	WEBER CHARLES G
WALLEN STANLEY JR	WEBER EDEN PAGE

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

WEBER MERRILL ANN	WHISNER WESLEY M
WECLEW INVESTMENTS LLC	WHITAKER MARTHA L
WEEKS CHERYL	WHITAKER PATTY
WEEKS WILLIAMS W	WHITAKER ROGER
WEIGEL SANDRA T	WHITE CHARLES R
WEINBERG BURTON L	WHITE CHRISTOPHER
WEISBERG JOHN	WHITE HOLLY
WEISBERG LILLIAN C	WHITE JAMES B
WEISINGER CARL E JR	WHITE JENCY S
WEISINGER DIANE K	WHITE KATHLEEN C
WEISS DOUGLAS R	WHITE KATRENA I
WEISS KAREN M	WHITE KELLY E
WELLONS BOBBY L	WHITE LYNNE M
WELLONS DAVID	WHITE MARIA
WELLONS MISHAEL DAVID	WHITE PHILLIP
WELLS FARGO BANK N A	WHITE RITA C
WELSH TATYANA V	WHITE TERRY L
WEN HUI LAI TRUST	WHITE WANDA
WENDELL BRENDA J	WHITE WILLIAM F
WENG SHUQIN	WHITLOW ALBERT R
WENTE BRIAN M	WHITLOW PATRICIA G
WENTE KAREN A	WHITMORE ERIK S
WERNER COLLEEN	WHITMORE NEALIE M
WERNER NEIL B	WHORMS EUGENIE A TR
WESBECCA INC	WICKA ARCHIE
WESLOWSKI TYLER S	WICKA ARCHIE R
WEST DUSTIN L	WICKA DEBBIE
WEST LAWRENCE A JR	WIGGINS CHARLES
WEST MARISA	WIGGINS CHARLES R
WEST ORANGE HABITAT FOR HUMANITY INC	WIGGINS CYNTHIA L
WEST ROBIN	WILBUR MICHELLE
WEST SUSAN E	WILBUR MICHELLE K
WESTBROOK GARY	WILBUR SEAN
WESTBROOK TERRI H	WILBUR SEAN M
WESTERLUND KEN	WILCOX DOROTHY N
WESTOVER JASON	WILCOX INEZ
WESTOVER THERESA PLOWMAN	WILCOX JAMES V JR
WHALEY BRENDA	WILCOX JAMES VERNON JR
WHALING MICHAEL A	WILCOX LOU ANN
WHEELER CARL E JR	WILCOX LOUANNE
WHEELER GRACE ALICE	WILDE BENJAMIN
WHEELER JOSEPH ARNOLD	WILDE KELLY
WHEELER SANDRA	WILDES THOMAS O
WHIGHAM ADA	WILLARD GLENN M
WHIGHAM ARNOLD	WILLIAM E STORMS REVOCABLE TRUST

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

WILLIAMS BEVERLY J	WONG MARIE A
WILLIAMS BYRON K	WOOD AMY M
WILLIAMS CARL B	WOOD BARBARA
WILLIAMS DANIEL ALLEN	WOOD BARRIE M
WILLIAMS DANNY	WOOD CAROL J ESTATE
WILLIAMS DAWN D	WOOD PATRICIA
WILLIAMS GARRY ROBERT	WOODBURY COVE COMMUNITY ASSOC INC
WILLIAMS JAMES G	WOODBURY PARK HOMEOWNERS ASSOCIATION
WILLIAMS JASON F	WOODBURY PRESBYTERIAN CHURCH INC
WILLIAMS JENNIFER	WOODLAND SHARON A
WILLIAMS JOHN L	WOODS ERIC R
WILLIAMS JUSTIN M	WOODS ETTA
WILLIAMS KATHARINE N	WOODS HIRAM
WILLIAMS KEVIN D	WOODS JANE
WILLIAMS LANA	WOODY REX O
WILLIAMS LINDA M	WOOTEN CLAY
WILLIAMS NANCY A	WOOTEN STEPHEN E
WILLIAMS PEGGI D	WORD MARILYN
WILLIAMS RAHSHAN	WORKMAN ROSA E
WILLIAMS RONALD C	WORLD LINK CAPITAL LLC
WILLIAMS STEPHEN A	WORM HEIKO
WILLIAMS TERRY L	WORM-HERZNER MICHAELA
WILLIAMS VICKI LEE	WORRALL HAROLD W
WILLIAMSON CLARA	WORRALL ILEANA T
WILLIBEY NANCY	WOZNIAK KATHLEEN M
WILLIBEY TIMOTHY	WOZNIAK RICK J
WILLIS JAMES E SR TR	WRAY ROBERT K
WILSON DOYLE	WR-I ASSOC LTD
WILSON DOYLE C IV	WRIGHT AMY L
WILSON EVELYN L	WRIGHT CAROLINE DONNA
WILSON KATHERINE	WRIGHT DONNELL L
WILSON MELISSA F	WRIGHT MARY
WILSON RALEIGH B JR	WRIGHT MAY E
WILSON ROGER	WRIGHT MICHELLE A
WILSON TROY V	WRIGHT PATRICK P
WINNIE AMY	WRIGHT RICHARD
WINNIE JAY	WRIGHT RICHARD E
WINTER ANGELA	WU FANG
WINTER BLAISE	WU JIAN
WISE ROBERT	WU LIAN HUO
WL LLC	WU NAI JEN
WODICKA CLELIA COSTA	WU QIN
WODICKA EDWARD J	WU WEIFANG
WONG CHIP KWOK	WURTZ SHARON LEE
WONG CHIU YUK	WYCKOFF JOHN

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OWNERS OF PARCELS WITHIN 1/2 MILE OF PROJECT

WYMONT FLORIDA III LLC	ZEWDIE-HEPBURN SHEBA S
XIE LE	ZHANG QUN
XU QING	ZHAO RU GAN
YABER ALFREDO	ZHENG XI HUAN
YABER ALICIA	ZHENG YUZHOU
YANG DI	ZHOU DINGWEI
YANG SAM SING	ZHU CAPRISE
YANOWITZ GARY	ZIEMBA FRANCIS A
YATES JOAN S	ZIEMBA TADINE L
YATES RONALD C	ZILKA JUANITA A
YEAGLEY SUSAN LYNN	ZILKA RICHARD V
YEAGLEY TIMOTHY SCOTT	ZILKHA PAUL
YEN MING TR	ZINN LOUISE
YESSIOS FAMILY L P	ZINNI LEONARD
YIANNAS DAISY	ZUNIGA VICTOR H
YIANNAS HARALAMBOS	ZUO FENGRONG
YIANNAS ROBERT	
YOAKUM SHIRLEY M	
YOAKUM SHIRLEY M LIFE ESTATE	
YORDAN COREY JOCELYN	
YOUNES EDWARD J	
YOUNG BRYAN K	
YOUNG MICHELE D	
YOUNG STEVE L	
YOUNG WESLEY	
YUSUF NAJEH	
ZABEL MATTHEW A	
ZABEL REGINA M	
ZAHARAKIS DIMITRIOS	
ZALIKHA AHMAD	
ZALIKHA AMAR	
ZAMBITO CHRISTOPHER J	
ZAMBRANO JAQUELINE AMALIN	
ZAMBRANO MAURO FAUSTO	
ZAX INC	
ZAYAS JOSE	
ZAYAS MARIA	
ZAYAS-VARGAS ABIGAIL	
ZELAYA DORIS R	
ZELAYA MIREILLE	
ZELAYA RICARDO	
ZELAYA WILFREDO	
ZETINA ARMANDO	
ZETINA MARIELA	
ZEWDIE SHEBA S	